

# **ECMS User Handbook Counterparties**

**UHB v1.1** 

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 UHB v1.1

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# 1 Introduction to the ECMS

This chapter serves as an introduction to the ECMS, which is developed by the Eurosystem as a harmonised and standardised pan-European service with common functionality across different countries and jurisdictions. This chapter is intended as a general description which includes all the main features of the ECMS.

Section ECMS Overview [ 5] describes the features of the ECMS.

## 1.1 ECMS Overview

The Eurosystem Collateral Management System (ECMS) is a harmonised and standardised pan-European service with common functionality across different countries and jurisdictions.

The key function of the ECMS is to manage collateral in Eurosystem credit operations and to support the handling of Monetary Policy Operations.

The ECMS scope has been defined in line with the legal framework included in the guideline on the Implementation of the Eurosystem Monetary Policy Framework (General Documentation), the CCBM Agreements (CCBM Main Agreement and CCBM Out Agreement) and the Governing Council decisions that specify the elements and principles of Eurosystem Monetary Policy.

The ECMS functional scope covers the general processing of marketable and non-marketable assets which are considered as eligible, and therefore accepted by the Eurosystem as collateral susceptible of being mobilised. The ECMS also supports the settlement of Monetary Policy Operations (MPOs) including liquidity providing reverse transactions and fixed term deposits. The ECMS also supports the settlement of collateralized monetary policy operations, as well as the handling of intraday credit and marginal lending. The collateral management in the ECMS will apply the valuation methods and risk control measures defined by the Eurosystem.

The collateral pooling system is supported in the ECMS. The core of the ECMS Pool is the credit & collateral position which is fed on one hand via the Eurosystem Credit Operations and on the other hand via posted collateral.

The ECMS design permits a multi-pooling service per Counterparty, i.e. the handling of different dedicated pools of collateral for different purposes or specific types of operations.

Regardless of the fact that some collateral such as Emergency Liquidity Assistance (ELA) are held and managed externally, the ECMS provides a mechanism for recording the total amount of credit and collateral provided for each Counterparty.

#### 1.1.1 ECMS Interface

The ECMS Interface handles all incoming and outgoing communications with ESMIG and other services and infrastructures. It manages the use of the appropriate communication and undertakes the relevant technical entry checks. ECMS Actors have to comply with the formats and specifications defined for the ECMS.

In particular, the ECMS Interface is responsible for receiving instructions from different ECMS Actors and transferring those instructions to the relevant functional modules (inbound and outbound communication).

In addition, the ECMS Interface receives information from the relevant ECMS functional modules and structures the data, creates the message, determines the recipients and the associated communication means.

The system can be accessed through:



- User to application mode (U2A): allowing activities performed manually by users via a Graphical User Interface.
- Application to application (A2A): allowing direct communication between software applications via XML messages.

In the ECMS GUI, mandatory fields are signaled, being underlined in red. If mandatory fields are not filled in, data cannot be saved and a warning window pops up.

In this UHB, mandatory fields are marked with an asterisk after the field name. If the asterisk appears between brackets (\*), it means the field is mandatory if the dedicated section of the screen it belongs to is populated, but not for the input of the data in the main part of the screen (normally, the General Information section). A field marked with a hash (#), indicates that the respective field is a read-only field, which is prefilled and does not allow data changes. Only input screens, e.g. Create and Edit screens, have fields marked with a hash.

#### 1.1.1.1 U2A Access

Each application is linked one-to-one to a special system privilege (stored in CRDM), e.g. CRDM\_Access, CLM\_Access, RTGS\_Access, TIPS\_Access, DMT\_Access, ECMS\_Access. Several web applications can be opened in parallel, sharing the same Single Sign-On session.

After authentication by ESMIG, the person chooses the logical "user" (linked to the data scope) the person wants to impersonate, selecting it among a set of user-IDs that have been previously linked to the user's DN. This selection is done in the ESMIG Portal.

The ESMIG portal allows and guides the person accessing the system to:

- choose the service among the authorised services accessible by at least one user-ID linked to the DN of the user;
- choose the component/application among the authorised components and applications accessible by at least one user-ID linked to the DN of the user;
- choose the user to impersonate when accessing such an application.

After this process, the ESMIG Portal redirects the user to the homepage of the application selected (e.g. CRDM, DMT, TIPS, ECMS, etc).

In order to access the ECMS via U2A, first the user needs to insert the smartcard or USB token (or the remote Hardware Security Module is used) containing the certificate for accessing ESMIG. The pincode associated with the token needs to be entered by the user.

The user needs to store the ESMIG-ECMS digital certificates on his/her PC, and then import them on to the PC. See below an example of 5 ESMIG-ECMS digital certificates on the PC.

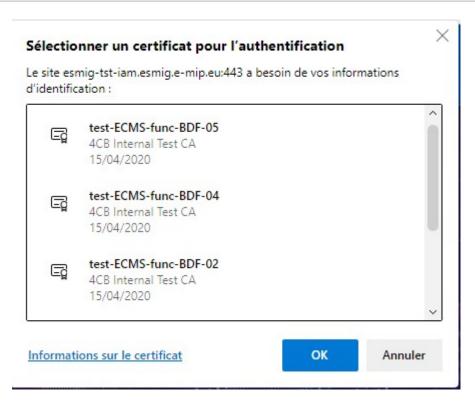
test-ECMS-func-BDF-01	10/06/2020 15:07	Échange d'inform	5 Ko
test-ECMS-func-BDF-02	10/06/2020 15:07	Échange d'inform	5 Ko
test-ECMS-func-BDF-03	10/06/2020 15:07	Échange d'inform	5 Ko
test-ECMS-func-BDF-04	10/06/2020 15:07	Échange d'inform	5 Ko
test-ECMS-func-BDF-05	10/06/2020 15:07	Échange d'inform	5 Ko

Note: Screenshots are taken from real users based on their PC language settings (French in this case).

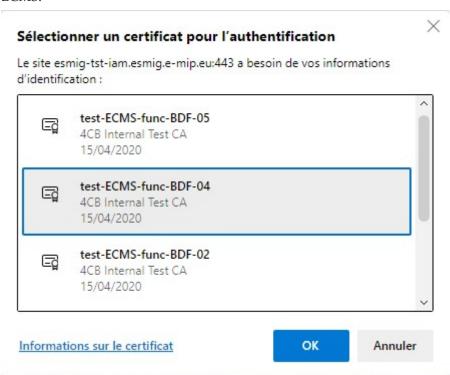
Then the user can access the URL for the ESMIG portal, via the ECMS supported web-browser. The ESMIG portal is the initial page (landing page) that ensures proper routing to the web applications according to the user's access rights profile.

The imported ESMIG-ECMS digital certificates are then displayed.



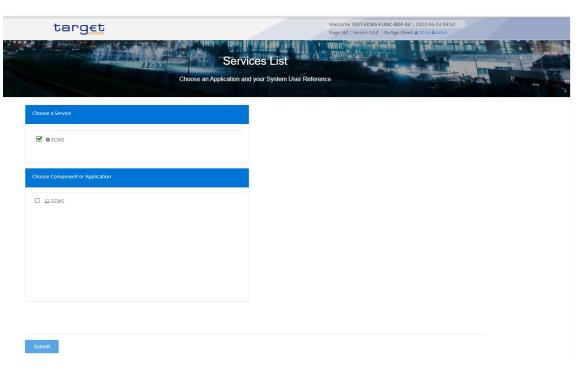


The user is prompted to select the ESMIG-ECMS digital certificate to connect to ESMIG and ECMS.

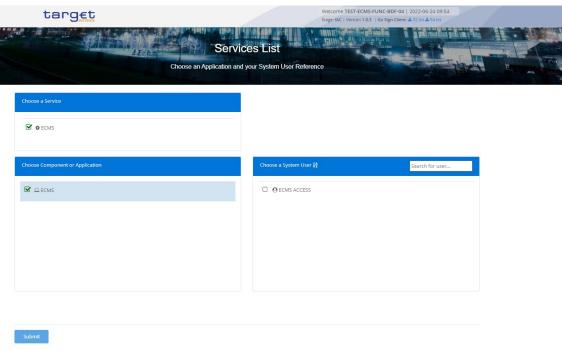


If the user is not authenticated by ESMIG, the user is redirected to the IAM page to authenticate the access validating the user's distinguished name (DN). After entering the ESMIG portal, the user can select a Service and then one application to be opened using a specific System User. In this case, the user selects "ECMS" Service. Note that the user only has access to the ECMS.



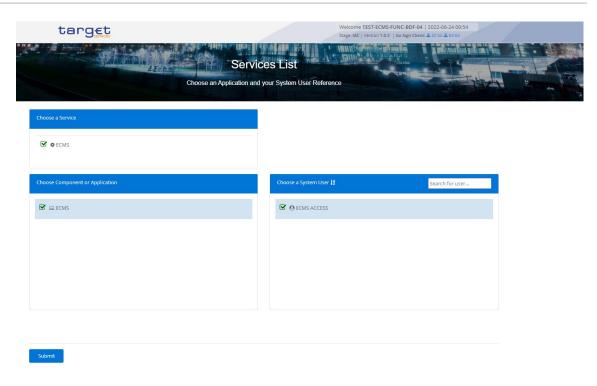


Then the user selects "ECMS" Application.



And then the user selects "ECMS Access" System User.

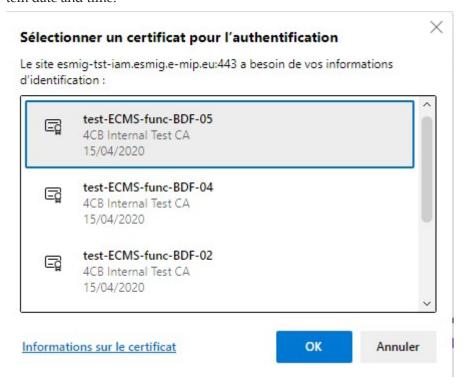




For more details, see the TARGET Services Connectivity Guide.

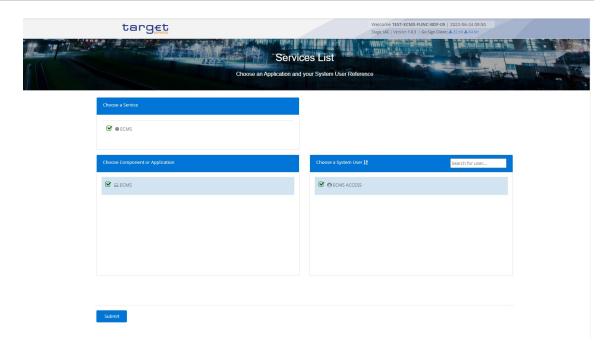
### 1.1.1.1.1 Counterparty User Access

For the connection to the ECMS GUI landing page (and the Counterparty user can access the menu corresponding to the Counterparty user's roles), in this case the digital certificate "TEST-ECMS-FUNC-BDF-05" is selected, , as well as information on the selected environment, the system date and time.



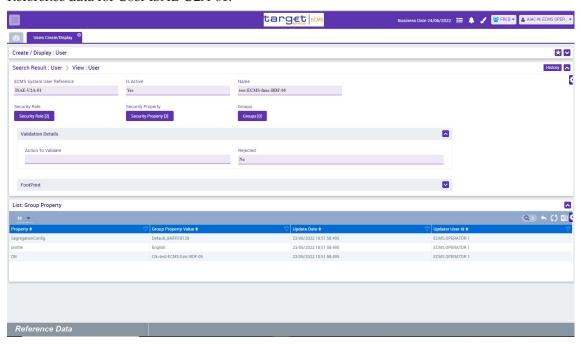
The following is the ESMIG page for the user who has selected the digital certificate for a Counterparty user. The selected digital certificate "TEST-ECMS-FUNC-BDF-05" is displayed in the title of the ESMIG page.





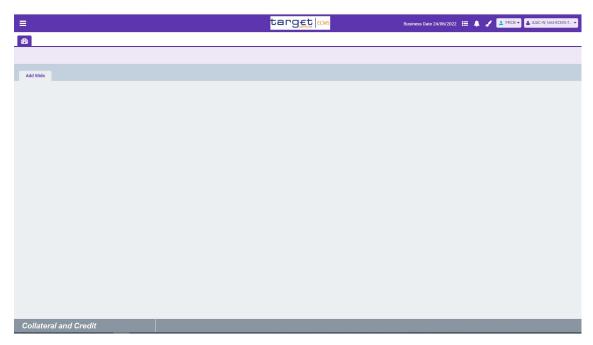
In this case, the Counterparty user only has access to the ECMS TARGET Service and has only one System User associated with the digital certificate. The reference data configuration is as follows: there is one 1 Counterparty user "ISAE-U2A-01" configured with the DN certificate: "TEST-ECMS-FUNC-BDF-05".

Reference data for User ISAE-U2A-01:



After submitting the request to access the ECMS, the Counterparty user is directed by default to the ECMS Collateral and Credit module.





From the ECMS Collateral and Credit module, the Counterparty user has access to the different functionnalities on the Collateral and Credit module and can also access the other ECMS modules shown under the menu heading ECMS Core.



# 2 Overview of the User Handbook

The ECMS User Handbook, hereinafter called UHB, aims at facilitating the use of the Graphical User Interface (GUI) of the ECMS. The GUI component includes all the necessary elements to provide the authorised users with the possibility to query, manage and amend reference and transactional data, according to their roles and privileges. The UHB provides detailed reference information on all GUI screens (3 Screen Reference Guide [\*\* 25]- and step-by-step user actions for typical workflows (4 Screen User Guide [\*\* 871]).

# 2.1 UHB Methodology

Several symbols and methodological elements are used throughout the ECMS UHB to ease orientation and help the reader to find the desired information quickly. Every page of the main UHB parts has a similar page layout. The reader can find four different elements:

- The header, which shows the chapter and sub-chapter title;
- The margin column on the left side of each page, which is used for subheadings and information signs;
- The text column, which contains the main information, tables and screenshots;
- The footer, which shows the page number.

## 2.1.1 Information Signs

Name	Icon	Description
Notice sign		Notice signs are used to highlight useful information.  Read its content to find out about hints, action alternatives or other details helping you to improve your usage of the ECMS GUI.
Example sign		Example signs are used to highlight examples, which illustrate the corresponding text, especially when a verbal description is difficult or too extensive.

### 2.1.2 Tables

Tables are used to present information in a clearly arranged format. They consist of a table header and a table body. The body is divided into a left and a right column. The left column contains keywords, for example field names, which are explained in the right column.

Subsections within a table are preceded by a heading. The order of the description follows the appearance on the screen from left to right and from top to bottom.

## 2.1.3 Type set

Regular text in the UHB is written in Arial font with a size of 11pt. Screen and button names are written in italic letters, GUI paths use the Courier New font. Field names and field values are put in quotation marks if they appear in a context other than their dedicated description.

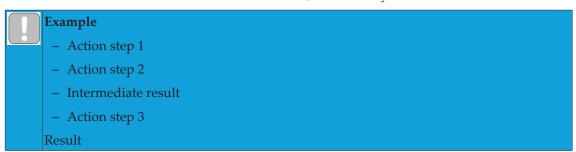
77	T	T 1
Element	Format	Example
		<b>.</b>



Screen Name	Arial font size 11pt. Italic letters	Data changes – search/list screen
Button Name	Arial font size 11pt. Italic letters	New
GUI path	Courier New font size 11pt.	Reference Data >> ECMS Parties
Field name or value quotation	·'	'Activation Date', 'Active'

## 2.1.4 Action Steps

Business scenarios are divided into single action steps. These action steps are numbered sequentially. Intermediate results are described where appropriate and marked with an indented arrow. Each business scenario ends with a final result, indicated by an arrow.



### 2.1.5 Screenshots

Screenshots are used to illustrate the corresponding text. Note that there might be minor deviations between the screenshot and your screen appearance, according to your access rights or a specific selection you have made.

# 2.2 Overview of the Graphical User Interface

The GUI is a browser-based application for communication with the ECMS in U2A mode. It is based on the ISO nom 9241 "Ergonomics of human system interaction".

The ECMS provides a Graphical User Interface offering basic functionalities to access information and controls (e.g. U2A queries, reference data maintenance).

## 2.2.1 Sitemap

This section provides you with formalised illustration of screens and their related actions. The illustration helps you to get an overview of all GUI screens and their interrelation, indicated by the arrows.

The header indicates the GUI menu item pictured by the sitemap. The single GUI screens are pictured following their business logic, starting with search/list screens, following with details or enter

The following figure explains the structure and description of each object:



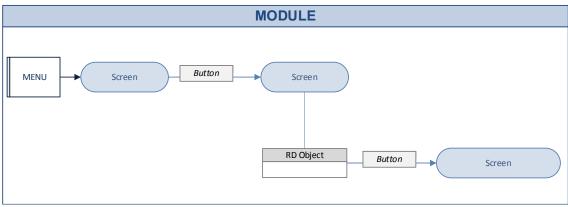


Illustration 1: Example of the sitemap

## 2.2.2 Setup and login process

Before entering the GUI, make sure that your workstation complies with the supported Web-Browsers described below.

Such requirements/preparations may be subject to periodical review/update to comply with changing technical/regulatory scenario.

### 2.2.2.1 Hardware Requirements

The following PC requirements are needed for a trouble-free working environment:

- Processor: Minimum of one Gigahertz (GHz), supporting streaming SIMD extensions (SSE2)
- RAM: Minimum of 8 Gigabyte (GB)
- CPU: Minimum of 4 cores
- Hard disc space: Minimum of 200 Megabyte (MB)
- Graphics Adapter: Support of Microsoft DirectX 9 graphics device with Windows display driver model (WDDM)
- Screen Settings: Minimum screen resolution of 1280\*720 pixels at a colour depth of min. 24-bit true colour. A higher colour depth of 32-bit is supported. Higher resolutions are recommended; using lower screen resolutions can result in an inconvenient display of the GUI.

## 2.2.2.2 Software Requirements

No specific operating system (OS) or other important software is required. In general, every OS is possible that supports the designated web-browsers.

## 2.2.2.3 Supported Web-Browsers

The following web-browsers are supported:

- Microsoft Internet Explorer (11)
- Microsoft Edge
- Google Chrome (40+)
- Firefox (32+).

Java script and java applets are used for validations on the client side therefore 'JavaScript' and 'Cookies' have to be set to 'enabled'. No additional plug-in based internet applications such as flash or silverlight are necessary. The recommended cache size is one to two MB.



The complete definition of the qualified browsers' set and their related versions and the full replacement of the applet technology are defined in the context of ESMIG, see ESMIG UDFS document.

#### 2.2.2.4 GUI Access

Users are directed to an initial page named ESMIG portal that allows the user to login to ESMIG. After the successful ESMIG login, a landing page is displayed offering all TARGET services, including the ECMS, according to the access rights of the user. Then the user chooses to connect to the ECMS GUI.

### 2.2.2.5 Firewall Settings

The firewall settings provided by the VAN Network Service Providers are described in their respective user documentation.

Access to the ECMS GUI uses the Transport Layer Security (TLS) protocol.

### 2.2.2.6 Authentication

Authentication takes place on the ESMIG portail login screen provided by the VAN Network Service Providers. On this login screen you are requested to authenticate yourself via the certificate used together with your password. Both pieces of information (choosing a certificate and typing in a password) are only required once at the beginning of every session and the authentication remains active for the entire session. After this process, the ESMIG Portal redirects the user to the homepage of the ECMS, if selected by the user.

### 2.2.2.7 System User Reference

After successful login to the ESMIG, you can choose the one of the ECMS system user reference on the welcome screen according to the following principles:

- You can select only one of the system users related to your certificate;
- You cannot choose an ECMS system user that is already locked or inactive.

#### 2.2.2.8 User Administration

Prior to the first GUI access, for each Central Bank a system administrator has to be created. The respective system administrator creates the users which can subsequently access the GUI. Only registered users have access to the ECMS GUI.

The system administrator can only assign predefined sets of access rights and roles to its users, single privileges cannot be assigned.

#### 2.2.3 GUI Structure

This chapter explains the basic elements of the ECMS GUI structure (i.e. structure of the module, menu and the screens) helping you to navigate through the system and to use it quickly and efficiently.

The first subsection describes the module and menu structure where screens are grouped hierarchically. Then, the second subsection explains the layout structure common to all screens. The following subsections provide details on the different screen types and on recurring elements, such as common field types or buttons and icons.



## 2.2.3.1 Module and Menu Structure Counterparties

The GUI is structured into different modules and each module is structured into three hierarchical levels. The first level is presented as a menu bar containing the first-level items:

Module	First-Level
Reference Data	Parties Reference Data
	Accounts Reference Data
	Settlement Possibilities Reference Data
	Eligible CSD Link
	Banking Group Manager
	Counterparty-ISIN Close Links
	Internal Asset Account – External Cash Account Links
	External Asset Account – External Cash Account Links
	Other ECMS Reference Data
	Reports Reference Data
Instructions and Posi-	Counterparty Marketable Asset Instructions
tions	NCBs Marketable Asset Instructions
	Marketable Asset Settlement Instructions
	Client Marketable Asset Position by Position Type
	Client Marketable Asset Movement
	Market Marketable Asset Position by Position Type
	Market Marketable Asset Movement
Collateral and Credit	Pool Setup Reference Data
	Global Collateral Position Management
	Monetary Policy Operations
	Global Credit Position Management
	Credit Claims
	Multi-Pooling
	ELA Operations
	Payments
	Triparty
	Cash as Collateral
Corporate Actions	CA Events Reference Data
	CA Announcements
	CA Positions
	CA Instructions
	CA Entitlements
	CA Movements
	CA Cash Payments
	CA Message Monitoring



The second and third level items are accessible via mouse-over on the first and second level items respectively. All entries on the second menu level are GUI menus and all entries on the third level are screens.



#### ECMS Menu levels

Number	Description
1	Level 1
2	Level 2
3	Level 3

For ease of use, some screens are accessible via different paths according to your business preferences.



#### Example

The *Parties - History* screen can be accessed by the following two paths:

- Reference Data >> Parties Reference Data >> Parties Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Party
   Click on the View button (Parties -Details Screen >> Click on the History Button
- Reference Data >> Parties Reference Data >> Parties Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Party >> Click on the History button

### 2.2.3.2 Screen Structure

In general, each screen of the ECMS GUI follows the same layout containing a header (section 2.3.3.2.1 Header [▶ 18]) and a content area (section 2.3.3.2.2. Content areas [▶ 19]).



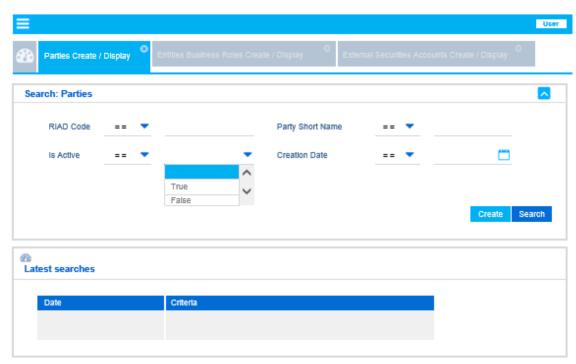
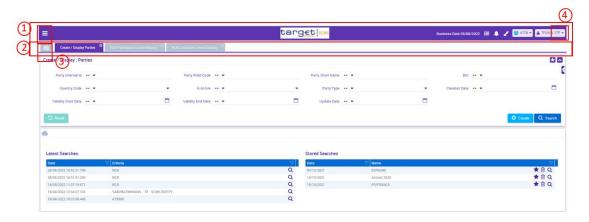


Illustration 2: Screen Structure

It is possible for a user to have up to a maximum of 10 tabs (screens) open simultaneously.

#### 2.2.3.2.1 Header

The header appears at the top of every screen and it is flexible as it is adapted to the navigation path chosen by the user, thus it facilitates coming back to the menus visited during the session.



Number	Element	Description
1	Menu Icon	It displays the menu levels.
2	Home Icon	It displays the white page where the user will only see the menu Icon.
3	Screen Bar	It presents every menu option chosen by the user as a Screen Tab, only 10 screen tabs at once are allowed.
4	Information Panel	It shows the environment, the tenant, the business date, the alerts and your login name.
		When clicking on the login name button, some information related to the user is displayed.



#### 2.2.3.2.2 Content Areas

The content area is the part of the GUI where the user can trigger all business actions. It is organised by six main elements which help to interact properly with the GUI as shown in the illustration below.

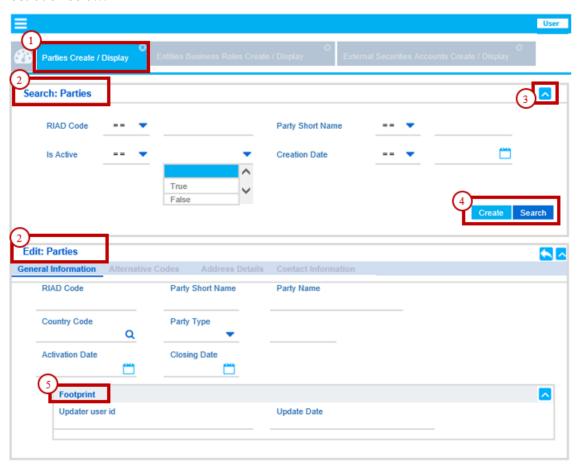


Illustration 3: Content area elements

Position	Element	Description
1	Menu Name	It shows the name of the menu in which the user is currently placed.
2	Screen Name	It shows the name of the screen.
3	Minimize Icon	It minimizes the screen.
4	Button bar	It shows the available buttons for the current screen.
5	Subsection Name	It shows the name of the subsection

## 2.2.3.3 Screen types

The ECMS GUI consists of the following types of screens, each with a different function:

- Welcome screen: The welcome screen is the entrance into the ECMS GUI.
- Search screen: The search screen allows the user to query the ECMS data using a predefined set of search criteria. Searches are case-sensitive.
- Historical depth for "latest searches" is 5.



- Selection screen: The selection screen allows the user to look for a specific value to inform in
  a field and select it. It is shown when you click on the magnifier icon and the fields shown
  in the screen varies depending on the information that applies for the field where the magnifier icon is.
- List screen: The list screen allows the user to query the ECMS data by inserting search criteria. After executing a search, a list of data records matching the search criteria is displayed in a table. It is also possible not to enter any search criteria, in this case no record will be excluded from the results. The user can sort the search result by clicking on the description of any column displayed in the list screen.
- Maximum size results recovered by the application is 10.000 records.
- User can configure the number of records to be listed (10, 15, 20, 25, 100 and 150).
- Details screen: The user can only access the details screens via the list screen. The user can
  find all possible ways of accessing the screen via other screens in the respective screen description within the screen reference part.
- Create / Edit screen: The create / edit screens allow the user to enter data in new or edit
  mode respectively. In general, both modes contain the same fields. In the case of the new
  mode, all fields are blank. In the case of the edit mode, existing data are prefilled in the
  fields.
- History screen: This screen displays historical information that has changed on the selected record. It allows the user to check the information that have been updated since the creation of the record.

You can find all possible ways of accessing the screen directly in the respective screen description within chapter 3 Screen Reference Guide [ > 25].

## 2.2.3.4 Field types

On search and create / edit screens, users can enter information via input fields and select fields.

- Input fields: In input fields the user can enter text and/or numeric content. The format requirements, which are part of each field/screen description, shall be fulfilled.
- Select fields: Select fields are either select boxes, radio buttons or check boxes.
- Select boxes: Functionally a select box is a way to enter data from a limited list of possible values. You can select only one value at a time.

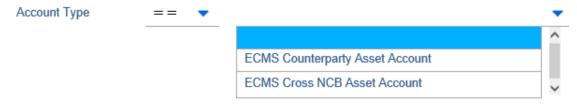


Illustration 4: Select box

Radio buttons: Enable you to make exact selections using one value from a set of options.
 You can select only one value at the same time. Click on the icon corresponding to the option you would like to select.

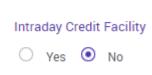


Illustration 5: Radio button



 Check boxes: Enable you to select more than one value at the same time. Click on the boxes corresponding to the options you would like to select. Selected check boxes contain a check mark.



In the ECMS GUI, mandatory fields are signaled, being underlined in red. If mandatory fields are not filled in, data cannot be saved and a warning window pops up.

In this UHB, mandatory fields are marked with an asterisk after the field name. If the asterisk appears between brackets (\*), it means the field is mandatory if the dedicated section of the screen it belongs to is populated, but not for the input of the data in the main part of the screen (normally, the General Information section). A field marked with a hash (#), indicates that the respective field is a read-only field, which is prefilled and does not allow data changes. Only input screens, e.g. Create and Edit screens, have fields marked with a hash.

Input and select fields without an asterisk are always optional.

For screens that allow the input of data, the button "Reset" is available for the user to clean up the entire form and re-start the editing process. In this case, a pop up screen will be displayed for the user to confirm or not the cleaning of data. Click on the Button "Yes" to confirm or "No" to cancel.

#### Field Properties:

The ECMS fully supports UTF-8 Character Set. The character set is restricted for references and identifiers to support the Latin characters which are commonly used in international communication.

- The complete list is as follows:

Character	Description
a-z	26 small characters of the Latin alphabet
A-Z	26 capital characters of the Latin alphabet
0-9	10 numeric characters
-	Hyphen
:	Colon
(	Opening Parenthesis
)	Closing Parenthesis
	Full Stop
,	Comma
,	Apostrophe
	Space (blank)

#### 2.2.3.5 Common Icons and Buttons

While working with the ECMS GUI you will find that some buttons and icons appear regularly. The buttons contained in the button bar are variable and therefore explained in the screen descriptions. The icons are described in the table below.

Icon	Description
	This icon enables you to go to the previous screen.



This icon enables you to minimize the screen.
This icon enables you to maximize the screen.
This icon shows the number of records displayed in the list.
Maximum size results recovered by the application is 10.000 records.
This icon enables you to export the results to an Excel file.
This icon enables you to export the results in different formats (pdf, csv and xml)
This icon enables you to filter the results.
This icon enables you to delete the record selected.
This icon enables you to save the current search.
When you click on the Star Icon in Search Screen you have the possibility of saving current search or to select a previous saved search.
When you click on the Star Icon in List Screen you have the possibility of saving current search or to select a previous saved search.
This icon enables you to refresh the current search.
This icon enables you to save the reason to deactivate the Reference Data element
This icon enables you to edit the selected record
This icon enables you to select a date from a calendar.
This icon enables you to search for data from a selection screen

# 2.2.3.6 Search screen operators

While working with the ECMS GUI display screen you will find, depending on the fields, the following search operators.

Operator	Description
==	Equal to
!=	Different from
"	Field is null (empty)
!"	Field is not null (not empty)
>	Superior to
<	Inferior to
>=	Superior or equal to
<=	Inferior or equal to
[]	Between two values e.g. [1000;2000]
()	In a list of values separated by ;



	Ethend Acoust. O
	Create / Display : External Asset Account
	Account Reference () * ARWR001 ARWR025AC002 Account Center ** Cresion Date ** C
	Account type • • ▼
	In Active ••• Validity Start Date ••• V
	TIS Account Owner BIC • • V Yallety End Dise • • V Asset Congroy • • V
	② Death Q South
	Search Result: External Asset Account
	<u> </u>
	Account Femous \$\infty\$ Account Toward \$\infty\$ Accoun
	ABHRIZSSACX02 ABHRIQS
!()	Not in the list of values separated by ;
~	Looks like the text, using % to replace any character
^	Text starts with

### 2.2.4 Validation

#### 2.2.4.1 Front-end Validation

For the first part of the validation process, the front-end validation takes place without communication to the back-end. The front-end validation includes both the field validation and the cross-field validation. It is carried out after clicking on a button.

The field validation verifies that all entries comply with the required format.

The cross-field validation checks the data consistency between two or more fields/buttons in relation to each other.

### 2.2.4.2 Confirmation Prompt

In the case of business actions in 2-eyes mode, a confirmation prompt is shown after successful front-end validation to give you the opportunity to submit or reject the business action. This confirmation prompt does not appear in 4-eyes mode.

#### 2.2.4.3 Back-end Validation

The back-end validation is the second part of the validation process. After successful front-end validation, your request is submitted to the back-end and checked for compliance with the business validation rules. In case of failure an error message is displayed.

#### 2.2.4.4 Result

After each validation, the ECMS GUI informs you about the result. There are two different message types available, the error message and the success message.

### 2.2.4.5 Four-Eyes Mode

Depending on the access rights setup, you can use the ECMS in 2-eyes or in 4-eyes mode. The 2-eyes mode and the 4-eyes mode apply for enter screens (new and edit mode) and for any kind of deletion processes. If you are a 4-eyes mode user, your actions have to be confirmed by a second user in order to be processed.

First User



In 4-eyes mode the first user enters, changes or deletes the data on a screen and afterwards submits the action by clicking on the submit button in the button bar. The success message appearing after successful validation includes a 4-eyes principle. For the final execution a second user is needed to confirm the action.

#### Second User

After the first user has entered, changed or deleted the data, a second user (with the required privilege) has to approve or revoke this action via the relevant screen either using the 4-eyes mode or the search functionality. As soon as the data changes are positively approved, the ECMS marks these data as approved and they are forwarded to further processing.

### 2.2.4.6 Digital signature-NRO

In order to ensure non-repudiation of origin (NRO) for critical transactions, the use of a digital signature has been implemented for specified screens. This means that the user will be asked to enter a PIN code for signature purposes whenever an instruction is initiated. With the entry of the PIN, the ECMS attaches a digital signature to the instruction entered by the ECMS actor.

The ECMS users will have to install the Ascertia Go>Sign Desktop client on their workstation in order to be able to use ECMS via U2A for the ECMS screens subject to a digital signature. Ascertia is the unique U2A NRO solution for TARGET services. Only one version of the Go>Sign Desktop client will thus be used and distributed across the different TARGET services.

### 2.2.5 Communication Network and Services

Refer to the Common TARGET Services Connectivity Guide and the Connectivity Guide specified in the Eurosystem Single Market Infrastructure Gateway (ESMIG) UDFS document for details on the communication network and services.

## 2.2.6 Security and Administration Service

In order to guarantee a secure and safe handling of the information and to protect customer data provided via the ECMS GUI, various security elements have been put into place:

Each action requires system or human validation as described in the validation chapter.

The scope of available data and functions is controlled via the management of access rights.

The security features provided by the VAN Network Service Providers and described in their respective user documentation prevent unauthorised access to the ECMS GUI.



# 3 Screen Reference Guide

## 3.1 Reference Data

### 3.1.1 Parties Reference Data

Parties that interact with the ECMS application either directly or indirectly (i.e. through an Instructing Party) are generally known as ECMS Parties.

Legal relationships between parties in the ECMS determine a hierarchical Party model based on a three-level structure. The ECMS Operator is the only Party on the top level of the hierarchy and it is responsible for the setup of each Party of the second level, i.e. each NCB in the ECMS. Similarly, each Party belonging to the second level (i.e. a NCB) is responsible for the setup of all entities of its community represented by parties of the third level.

The possibility for users of each ECMS Actor to access their respective functions and fulfil their roles and responsibilities is enabled by setting up an adequate access rights configuration.

#### National Central Banks

NCBs are responsible for setting up and maintaining reference data in the ECMS for all its ECMS Parties belonging to their community. NCBs are responsible for monitoring the operations related to their ECMS Entities and any other operational task related to the collateral management, and they can act on behalf of one of their entities in case of need. Each NCB is in charge of maintaining the business and legal relationship with its entities. An NCB cannot access data related to another NCB or to the Counterparties of that NCB.

#### Non-euro area Central Banks

NCB outside the Eurosystem that participated in the CCBM out agreement.

#### **Entities**

The function of an **ECMS Entity** depends on its business roles. Each ECMS Entity may play different role in the ECMS depending on the need. The following table lists the defined business roles in the ECMS.

<b>ECMS Entity Roles</b>	Business Roles description
Counterparty	Counterparty using the ECMS to mobilise collateral and/or to participate on open market operations (e.g. obtain credit from their respective NCB) or only accessing intraday credit.
CSD	Central Securities Depository participating in T2S used as custodian or depository only by an NCB and Counterparties for marketable asset collateral.
TPA	Eligible Triparty Agent that provides triparty collateral management services.
Cash correspondent	Cash correspondent used for the settlement of non-euro payment.



# 3.1.1.1 Parties – Create / Display

## 3.1.1.1.1 Parties – Search Screen

searches. By inputting the relevant data, you can search for your own NCB and Counterparties, as well as all the CSDs, TPAs and Non-euro area CBs.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.					
Depending how the screen is accessed, it can be used to search the valid information of the Parties or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:					
Reference Data >> Reference Data >> Parties Reference Data >> Parties Create/Display  For information subject to 4 eyes validation:					
Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Parties					
Cester / Display: Parties  Farry Internal Id **					

Parties – Search	screen					
Field	Description					
Party Internal Id	Enter the Internal unique identifier of the Party automatically assigned by the system					
Party RIAD Code	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS. Required format is: Max. 30 characters.					
Party Short Name	Enter the short name of the Party.  Required format is: Max. 35 characters.					
BIC	Enter the BIC Code of the Party in the ECMS. Required format is: Max. 11 characters					
Country Code	Enter the country of location of the Party between those stored in the ECMS (Generic Data). The magnifier icon can also be used.					
Party Type	Required format: Max. 2 characters (SWIFT-x).  Select the type of the Party from the possible values:  - ECMS Entity					
Is Active	Select whether the Party is active or not from the possible values:  - Yes - No					
Creation Date	Enter the reference date of the creation of the Party. The calendar icon can also be used.  Required format is: DD/MM/YYYY hh:mm:ss:µs.					



Validity Start Date	Enter the reference date from which the Party is valid. The calendar icon can also be used.			
	Required format is: DD/MM/YYYY.			
Validity End Date	Enter the date from which the Party is not valid anymore or use the calendation.			
	Required format is: DD/MM/YYYY.			
	The Validity End Date must be equal to or later than the Validity Start Date. If the Party is active, this field is empty.			
Update Date	Enter the reference date of the last update of the Party. The calendar icon can also be used.			
	Required format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Function			
Reset	This function enables you to clear the form.			
Create	This function enables you to create a new Party.			
	Next screen:			
	- Parties - Create Screen.			
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.			
	Next screen:			
	- Parties - List Screen.			

# 3.1.1.1.1 Parties – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.											
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Parties or the information that requires 4 eyes validation before they can be considered valid in the system.											
	Refere play > needed For info	For valid information:  Reference Data >> Reference Data >> Parties Reference Data >> Parties Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed)  For information subject to 4 eyes validation:  Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Parties >> Click on the search button (after inputting the										
Screenshot	List : Parties					K < P	age 1 of8 > >					<u>へ</u> 2で * 雄の早
	400 453 452 438 428 416 398 375	Party RIAD Code \$ " ES0102. AP1235 AP1235 AP1234 ES4324 ES4324 ES6305 IT9900 IS75465 ES6321	AP1235 AP1234 SHORTNAME20062022 SHORTNAME17062022	MONTE TITOLI S.P.A. PartyName	Country Code & NUNCTHERILANDS ESSPAIN ESISPAIN NUNCTHERILANDS NUNCTHERILANDS NUNCTHERILANDS FRIFRANCE NUNCTHERILANDS NUNCTHERILANDS	EMS ENTITY ECMS ENTITY	24/06/2022 07.12/05. 24/06/2022 07.12/05. 24/06/2022 15.41/16.5. 24/06/2022 15.99 39.9. 20/06/2022 15.99 39.9. 20/06/2022 07.16.02. 10/06/2022 07.16.02. 21/06/2022 07.16.02. 31/06/2022 07.16.02. 31/06/2022 10.10 07.6. 31/06/2022 10.10 07.6. 27/06/2022 10.20 07.6. 31/06/2022 07.04.411.	Yes Yes Yes	Vallety XARD Date   Vall	Updater User Id 8 STP ECMS OPERATOR 1 ECMS OPERATOR 1 STP STP STP ECMS OPERATOR 1 STP STP ECMS OPERATOR 1	RIDO 4 RIDO 4 RIDO 4 RIDO 5 RIDO 5 RIDO 5 RIDO 6 RI	© Update Date €
Parties – Lis	t Screen	ı										
Field	Des	cripti	on									



Party Internal Id	Internal unique identifier automatically assigned by the system				
Party RIAD	Shows the RIAD Code that uniquely identifies the Party in the ECMS.				
Code	Displayed format is: Max. 30 characters.				
BIC	Shows the BIC Code of the Party in the ECMS.				
	Displayed format is: Max. 11 characters				
Party Short	Shows the short name of the Party.				
Name	Displayed format is: Max. 35 characters.				
Party Name	Shows the name of the Party.				
	Displayed format is: Max. 350 characters.				
Country Code	Shows the ISO-2 Code and the description of the country of location of the Party.				
	Displayed: Max. 255 characters.				
Party Type	Shows the type of the Party from the possible values:				
	- ECMS Entity				
Is Active	Shows whether the Party is active or not. The possible values are:				
	- Yes				
	- No				
Validity Start	Shows the date from which the Party is valid.				
Date	Displayed format is: DD/MM/YYYY.				
Validity End	Shows the date from which the Party is not valid anymore.				
Date	Displayed format is: DD/MM/YYYY.				
	The Validity End Date must be equal to or later than the Validity Start Date.				
Creation Date	Shows the date and time of the creation of the Party.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Update Date	Shows the date and time of the last update of the Party.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Updater User Id					
After selecting	a record, the following buttons are displayed				
Button	Function				
History	This function enables you to access to the historical information of a Party.				
	Next screen:				
	- Parties - History Screen.				
Edit	This function enables you to edit a Party.				
	Next screen:				
	- Parties - Edit Screen.				
View	This function enables you to access to the detailed information of a Party.				
	Next screen:				
	- Parties - Details Screen.				
If the screen is	used in the 4 eyes validation context, the following buttons are also displayed				
when a record	is selected				



Button	Function			
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.			
	Next screen:			
	– Parties – History Screen.			
View Draft	This function enables the user to view the draft record to be validated.			
	Next screen:			
	– Parties – Details Screen.			
Edit	This function allows the user to edit and modify the draft.			
	Next screen:			
	– Parties – Edit Screen.			
Validate	This function allows the user to validate the creation or upate of the record so			
	that they can be considered valid in the system			
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.			

# 3.1.1.1.1.1 Parties – History Screen

Context of Usage	Party. Yo	This screen displays historical information that has changed on the selected Party. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.					
Screen Access	Crea	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Parties Reference Data &gt;&gt; Parties Create/Display &gt;&gt; Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select a Party &gt;&gt; Click on the <i>History</i> button</li> </ul>					
	Crea Refe	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Parties Reference Data &gt;&gt; Parties         Create/Display &gt;&gt; Click on the search button (after inputting the relevant         Reference Data, if needed) &gt;&gt; Select a Party &gt;&gt; Click on the View button         (Parties –Details Screen) &gt;&gt; Click on the History Button</li> </ul>					
Screenshot	History : Parties			Q 2 Companie Expand Al 🔩 🔯			
	Fields	© 61;2022-01-2614-24:27,876[2		51.405(1			
	Party Short Name	ABVR012 - CAMBIO	ABVR012				
	Update Date Party RIAD Code	26/01/282214.24:27:876 ABVR012	30/08/2021 17:53:51:4 A6/R012	35			
	Party Name Country Code	ABVR012 AT	A8/99012 AT				
	Party Type Party Internal Id	ECMS ENTITY 61	ECMS ENTITY 61				
	Validity Start Date  Validity End Date	28/07/2021	28/67/2021				
	Creation User Id	STP ABVBATWW012	STP				
	(2) difference(s) found.	ADVINA I WINU LZ	ADTPALITRULZ				
Parties – Histor	y Screen						
Field	Descript	Description					
Fields		Shows the fields whose information have been updated since the creation of the selected Party.					
Timestamp	Shows th	Shows the date and time when the fields have been updated.					
•	Displaye	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Button	Descript	Description					
Compare		Shows the differences between the selected records whose data have been updated.					
Expand All	Shows the expanded information of the fields whose information have been						
		*	of the selected Party.				



Show All	Shows the information that existed before each modification of all the fields regarding the selected Party.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Party.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Party

## 3.1.1.1.2 Parties – Details Screen

	1							
Context of	This screen displays detailed information of the selected Party.							
Usage								
Screen Access	Co-Depending how the screen is accessed, it can be used to show the valid information of the Parties or the information that requires 4 eyes validation before the be considered valid in the system.							
	For Valid Information:							
	Reference Data >> Reference Data >> Parties Reference Data >> Parties Create/play >> Click on the <i>search</i> button (after inputting the relevant Reference Data, needed) >> Select a Party >> Click on the <i>View</i> button							
	For information subject to 4 e	yes validation:						
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject							
	Parties Reference Data >> Parties >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Party >> Click on the View button							
Screenshot	List: Parties > View: Party GENERAL INFORMATION				16007			
	Party RAAD Code	Party Name		Party Short Name	<b>△</b>			
Í	Essees Country Code	PartyName Porty Type		SHORTNAME19651922				
	NLINETHERLANDS	ECMS ENTITY						
	Address Details (0)	Additional Codes [3]	Connect enformetion [6]					
	JOSDE-99LXXX	245						
	Activation Details				- ■			
	is Active No.	Velicity Start Date		Validity End Date				
	No. 1489.222 Special Massacia Special Massacia							
					_			
	Velidation details	in the second			- ■			
	Action to visioace	Rejected No						
	Foot Print				<b>8</b>			
	Creation Date		Creation User Id					
	35/06/2022 07:06-40:042		517					
	Update bore IMAGEORIZATIONEZ		Opdater User III 517					

Parties – Details screen				
Button	Description			
History	This function enables you to access to the historical information of a Party.			
	Next screen:			
	– Parties – History Screen.			
Address Details	This function enables you to display the subsection Address Details			
Additional	This function enables you to display the subsection Additional Code			
Codes				
Contact Inform-	This function enables you to display the subsection Contact Information			
ation				
General Information				
Field	Description			
Party Internal Id	Internal unique identifier automatically assigned by the system			



The existing addi	tional codes of the Party are displayed.
Additional Code	s
Updater User Id	Shows the identification of the user that made the last update of the Party.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the update of the Party.
Creation User Id	Shows the identification of the user that created the Party.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the date and time of the creation of the Party.
Footprint	
	The Validity End Date must be equal to or later than the Validity Start Date. If the Party is active, this field is empty.
Date	Displayed format is: DD/MM/YYYY.
Validity End	Shows the date from which the Party is not valid anymore.
	If the Party is not active (Is Active field = "false"), this field is empty.
Date	Displayed format is: DD/MM/YYYY.
Validity Start Date	Shows the date from which the Party is active.
(De)Activation Reason	Shows the reason informed when the Party was (de)activated.
(Do) Activation	
	- Yes - No
15 / 1011/0	_
Is Active	Shows whether the Party is active or not. The possible values are:
Activation detail	- No
	- Yes
	ues are:
Rejected	Shows if any action subject to validation has been rejected. The possible val-
Action To Validate	Shows if there is any action waiting for validation.
Validation detail	
3 31	- ECMS-Entity
Party Type	Shows the type of the Party from the possible values:
	Displayed: Max. 255 characters.
Country Code	Shows the ISO-2 Code and the description of the country of location of the Party between those stored in the ECMS (Generic Data).
	Displayed format is: Max. 11 characters
BIC	Shows the BIC Code of the Party in the ECMS.
	Displayed format is: Max. 350 characters.
Party Name	Shows the name of the Party.
Name	Displayed format is: Max. 35 characters.
Party Short	Shows the short name of the Party.
Code	Displayed format is: Max. 30 characters.
Party RIAD	Shows the RIAD Code that uniquely identifies the Party in the ECMS.
Party RIAD	Shows the RIAD Code that uniquely identifies the Party in the ECMS



Field	Description
Provider	Shows the provider of the Additional Code from the possible values:
	- LEI
	- TPA Id
Additional Code	Shows the code assigned by the provider.
	Displayed format is: Max. 35 characters.
Footprint	
Creation Date	Shows the date and time of the creation of the additional code.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the additional code.
Update Date	Shows the date and time of the update of the additional code.
1	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the additional code.
Address Details	
The existing addr	resses of the Party are displayed.
To view the detai	ls of an existing mail address, select it and click on the View button.
Field	Description
Purpose	Shows the Purpose of the Address from the possible values:
	- Official
Street Address	Shows the street name of the address of the Party.
	Displayed format is: Max. 70 characters.
House Number	Shows the house number of the address of the Party.
	Displayed format is: Max. 16 characters.
Postal Code	Shows the postal code of the address of the Party.
	Displayed format is: Max. 16 characters.
City	Shows the city of the address of the Party.
	Displayed format is: Max. 70 characters.
State or Province	Shows the state or province of the address of the Party.
	Displayed format is: Max. 35 characters.
Country Code	Shows the ISO-2 Code and the description of the country code of the address of the Party.
	Displayed format is: Max. 255 characters.
To view the detai	ls of an existing technical address, select it and click on the View button.
Field	Description
Purpose	Shows the Purpose of the Address from the possible values:
	- Securities Settlement
	- Securities Management
	- Payment
	- Cash Management
	- Corporate Actions
I	Corporate retroite



	– Margin Call
	- Credit Claims
	- Credit Freezing
	- Clean Preezing - Collateral and Credit
Network	
Network	Shows the network from the possible values:
	- Swift
Talania 1 A I	- SIA Colt
Technical Address	Shows the technical address for the purpose and network selected. Required format is: Max. 256 characters
To view the detai	ls of an existing BIC address, select it and click on the View button.
Field	Description
Purpose	Shows the Purpose of the Address from the possible values:
	In case Party Type is "NCB":
	- Official
	- T2S Eligible assets BIC
	- T2S CSD BIC
	- T2S CSD Participant BIC
	- Additional
	In case Party Type is "ECMS Entity":  - Official
BIC Address	- Additional
DIC Address	Shows the BIC address of the Party.
To vious the detail	Required format is: Max. 11 characters
Field	ls of an existing email address, select it and click on the View button.  Description
Purpose	Shows the Purpose of the Address from the possible values:
larpose	- Official
Email	Shows the Email address for the purpose selected.
Linuii	Displayed format is: Max. 255 characters
Footprint	Displayed format is. Max. 200 characters
Creation Date	Shows the date and time of the creation of the address.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the address.
Update Date	Shows the date and time of the update of the address.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the address.
Contact Informat	
The existing conta	act information of the Party are displayed.
Field	Description
Contact Name	Shows the name of the contact of the Party.
	Displayed format is: Max. 255 characters



Function / Busi-	Shows the Function and/or the business area of the Contact of the Party.	
ness area	Displayedformat is: Max. 255 characters	
Email	Shows the email address of the contact of the Party.	
	Displayed format is: Max. 255 characters	
Email2	Shows the email address of the contact of the Party.	
	Displayed format is: Max. 255 characters	
Telephone	Shows the telephone number of the contact of the Party.	
	Displayed format is: Max. 16 characters	
Fax	Shows the Fax number of the Contact of the Party	
	Displayedformat is: Max. 255 characters	
Footprint		
Creation Date	Shows the date and time of the creation of the contact information.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the contact information.	
Update Date	Shows the date and time of the update of the contact information.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the contact information.	

# 3.1.1.2 Entities Business Roles Create / Display

## 3.1.1.2.1 NCB Participant Create / Display

# 3.1.1.2.1.1 NCB Participant - Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for NCB Participants.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.				
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the NCB Participant or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:				
	Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> NCB Participant Create / Display  For information subject to 4 eyes validation:				
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> NCB Participant				
Screenshot	Create / Graphy / KCB Participate  Party Nuclose - * \$ Party Statistics - * Party Statistics - * Party Statistics - * Country Cook - * * Country C				



NCB Participants – S	earch screen
Field	Description
Party RIAD Code	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS.
	Required format is: Max. 30 characters
Party Short Name	Enter the short name of the Party.
	Required format is: Max. 35 characters
Party Name	Enter the name of the Party.
	Required format is: Max. 350 characters.
Country Code	Enter/Select the country of location of the Party between those stored in the ECMS (Generic Data). The magnifier icon can also be used.
	Required format: Max. 2 characters (SWIFT-x)
BIC	Enter the BIC Code of the Party in the ECMS.
	Required format is: Max. 11 characters
ECMS Entity Type	Enter / Select the ECMS Entity Type from the possible values:
	- Counterparties
	- Cross NCB
	– Non-euro Area CB
Is Active	Enter/Select whether the NCB Participant is active or not from the possible values:
	– Yes
	- No
Creation Date	Enter the reference date of the creation of the NCB Participant or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Validity Start Date	Enter the reference date of the activation of the NCB Participant or use the calendar icon.
	Required format is: DD/MM/YYYY
Validity End Date	Enter the date from which the NCB Participant is not valid anymore or use the calendar icon.
	Required format is: DD/MM/YYYY
	The Validity End Date must be equal to or later than the Validity Start Date. If the NCB Participant is active, this field is empty.
Update Date	Enter the reference date of the last update of the NCB Participant or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new NCB Participant.
	Next screen:
	– NCB Participant – Create Screen.



Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the NCB Participant - List Screen.
	Next screen:
	– NCB Participant – List Screen.

# 3.1.1.2.1.1.1 NCB Participant – List Screen

Context of Usage	by the setting	ne valu ng). Af	es of th	ecting	date	Date' c	olumi	played in des proceed	cendir	ng ord	er (de	fault
Screen Access  Depending how the screen is accessed, it can be used to list to formation of the NCB Participants or the information that recovalidation before they can be considered valid in the system.  For valid information:					nat rec							
	Reference Data >> Reference Data >> Parties Reference Data >> En Business Roles Create/Display >> NCB Participant Create/Display Search Button For information subject to 4 eyes validation: Reference Data >> Reference Data >> Validation / Rejection >> Va Reject Parties Reference Data >> NCB Participant >> Search Butto					splay :	>>					
G 1 .	Search Result		es itere	Terice	Date	1// 110	Diai	пстрати	// 500	arcir D	utton	
Screenshot	10 V Party RAAD COOR 8 LT188607064 LT188607064 NL001 EMSG0A-76 ET0031 EST0031 A000000000 A010000 A0100000 A0100000 A0100000	Pany Stort Name \$ 1708 COMT COM COMT COM COMPCTO COMPCTO COMPCTO COMPCTO COMPCTO COMPCTO ADVISOR ADVISOR ADVISOR ADVISOR	Country Good & CLESTERMANA MATRIALIZA MERETHERILANDS ATMINISTRIA CESSANIA CONSTRUCTOR CONTRACTOR ATMINISTRIA ATMINISTRIA ATMINISTRIA ATMINISTRIA ATMINISTRIA ATMINISTRIA ATMINISTRIA	ECMS EMITY Type 4 COUNTERPARTIES	Ves No Active 8  Yes No Yes No Yes	Validity Start Date (1997)  Validity Start Date (1997)  2001/2002  2001/2002  2003/2002  2004/2002  2004/2002  2004/2002  2004/2002  2004/2002		Topone dans 8 Topone dans 9 To	STP STP	Party Name 0  Energia centrale de Litau  Bercapa centrale de Litau  Bercapa centrale de Maña  De Nederlandsche Blank  ECMSOL2-76  Commentation Nationa  Commentation Nationa  FARSHOOS  ARTHROLD  ARTHROLD  ARTHROLD	Q 2 BIGS  FLORNIZACIOX  ABPARATIVACO  ABPARA	# E3 II O O O O O O O O O O O O O O O O O O

NCB Participants – List Screen				
Field	Description			
Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS.			
	Displayed format is: Max. 30 characters			
Party Short Name	Shows the short name of the Party.			
	Displayed format is: Max. 35 characters			
Party Name	Shows the name of the Party.			
	Displayed format is: Max. 350 characters			
Country Code	Shows the ISO-2 Code and the description of the country of location of the Party between those stored in the ECMS (Generic Data).			
	Displayed format: Max. 255 characters.			
BIC	Shows the BIC Code of the Party in the ECMS.			
	Displayed format is: Max. 11 characters			
ECMS Entity Type	Shows the ECMS Entity Type from the possible values:			
	- Counterparties			
	- Cross NCB			



	– Non-euro Area CB
Is Active	Shows whether the NCB Participant is active or not from the possible val-
	ues:
	- Yes
	- No
Validity Start Date	Shows the date from which the NCB Participant is active.
	Displayed format is: DD/MM/YYYY
Validity End Date	Shows the date from which the NCB Participant is not valid anymore.
	Displayed format is: DD/MM/YYYY
	The Validity End Date must be equal to or later than the Validity Start
	Date. If the NCB Participant is active, this field is empty.
Creation Date	Shows the date and time of the creation of the NCB Participant.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the date and time of the last update of the NCB Participant.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a re-	cord, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of an NCB Participant.
	Next screen:
	- NCB Participant - History Screen.
Edit	This function enables you to edit an NCB Participant.
	Next screen:
	- NCB Participant- Edit Screen.
View	This function enables you to access to the detailed information of an NCB Participant.
	Next screen:
	- NCB Participant - Details Screen.
If the screen is used when a record is se	d in the 4 eyes validation context, the following buttons are also displayed elected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	- NCB Participant - History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	- NCB Participant - Details Screen.
 Edit	This function allows the user to edit and modify the draft.
	Next screen:
	– NCB Participant – Edit Screen.



	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
· /	This function allows the user to reject the creation or update of the record. The draft will be deleted.

## 3.1.1.2.1.1.1. NCB Participant – History screen

Context of Usage	This screen displays historical information that has changed on the selected NCB Participant. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.					
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Parties Reference Data &gt;&gt; Entities Business Roles Create/Display Entities &gt;&gt; NCB Participant Create/Display &gt;&gt; Search Button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select an NCB Participant &gt;&gt; Click on the History button</li> </ul>					
	Busine play >> needed	ss Roles Cr Search But ) >> Select	reate/Display tton (after inj an NCB Part	Entities >> Noutting the relicipant >> Cl	NCB Particip	
Screenshot	History : NCB Participant					Q
Screenshot	Fields	© 61(2022-02-17 10:33:44.213)5	♥ 61(2021-08-31 17:13:49.580)4	♥ 61(2021-06-31 12:18:22:700(3	© 61(2021-08-31 10:00:54 729(2	© 61 2021-00-30 18 03:07.442(1 §
	Updane Date	17/02/2022 10:33.44:213	31/08/2021 17:13.49.580	31/08/2021 12:18:22:706	31/08/2021 10:06:54:729	30/08/202118:03:07.442
	Party Internal Id	61	61	61	61	61
	Is Tax Certified	No	No	No	No	No
	Party Internal Id	61	61	61 20/07/2071	61	61
	Validity Start Date Add On Hairout	28/07/2021	28/07/2021	28/07/2021	28/07/2021	28/07/2021
	Banking Group Manager (Head of Branch)					
	BIC REST OF STREET, ST	ABVRATWW012	ASVRATWW012	ABVPATWW012	ABVRATWW012	ABVRATWW012
	Externally Covered OMO parameter	No	No	No	No	No
	Intraday Credit Facility	Yes	Yes	Yes	Yes	Yes
	Validity End Date					
	Creation User Id	STP	STP	STP	STP	STP
	(1) difference(s) found.					

NCB Participants – History Screen			
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected NCB Participant.		
Timestamp	Shows the date and time when the fields have been updated		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Function		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected NCB Participant.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected NCB Participant.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected NCB Participant.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected NCB Participant		



# 3.1.1.2.1.1.2 NCB Participant – Details screen

Context of Usage				on on the selected NC eed further by clickir		
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.					
	For valid information:					
	Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> NCB Participant Create/Display >> Click on the <i>Search</i> button >> Select an NCB Participant >> Click on the <i>View</i> button.					
	For information subject to 4 eyes validation:					
	Reference Data >> Reference Data >> Validation/Rejection >> Validate/ Reject Parties Reference Data >> NCB Participant >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant >> Click on the <i>View</i> button.					
Screenshot	Search Result : Internal Client > View : NCB	Participant			Hessoy 🔨	
	Party treated to 388 County Color EXEMENT TO Conflict De Conflict Exemply Group Manager (seed of branch)  No.	Part Fild Code  STREE  STATE TO THE PART THE PAR	Plany Short States CRTSS DC DC DC Shopping active tousies — Assessed discharge Sg	Party Norse  Charactery STEMM  US Trapper Centification Stems  And On Nation  And On Nation  The Charactery Stems  To Charactery Stems		
	Activation Details  16 Active  Nax		Validay Start Date #866/3822	Voiding End Date  Disjustment of Research  Administrat Research	<b>a</b>	
	Validation details  Action To Validate		Playected No		۵	
	Foot Print  Oversion Date  #106-2823 Set 71-8-272  Uppearer Date 13  \$T7		Cheldon User Id 317	Uguina Orac #1966-9823 16 4*3 Mil-272	8	

NCB Particip	NCB Participant – Details Screen		
Button	Function		
History	This function enables you to access to the historical information of an NCB Participant.		
	Next screen:		
	– NCB Participant – History Screen.		
Address De-	This function enables you to display the subsection Address Details		
tails			
Additional Codes	This function enables you to display the subsection Additional Code		
Tax Docu- mentation	This function enables you to display the subsection Tax Documentation		
General Info	General Information		



Field	Description
Party In- ternal Id	Internal unique identifier automatically assigned by the system
Party RIAD	Shows the RIAD Code that uniquely identifies the Party in the ECMS.
Code	Displayed format is: Max. 30 characters.
Party Short	Shows the short name of the Party.
Name	Displayed format is: Max. 35 characters.
Party Name	Shows the name of the Party.
	Displayed format is: Max. 350 characters.
Country Code	Shows the ISO-2 Code and the description of the country of location of the Party between those stored in the ECMS (Generic Data).
	Displayed: Max. 255 characters.
BIC	Shows the BIC Code of the Party in the ECMS.
	Displayed format is: Max. 11 characters
ECMS Entity	Shows the ECMS Entity Type from the possible values:
Type	- Counterparties
	- Cross NCB
	– Non-euro Area CB
Banking Group Man- ager	Shows the RIAD Code and the ISO-2 Code of the Country of the Manager of the Banking Group to whom the Counterparty belongs to.
Add-on hair- cut	Shows the add-on haircut to be applied to the collateral mobilised by the Counterparty due to discretionary measures.
Country code of Banking Group Man- ager	Shows the country code of the Banking Group Manager.
Banking Group Name	For counterparty belonging to a banking group, shows the name Banking Group Manager.
	Displayed format is: Max. 350 characters.
Externally covered OMO para- meter	Shows whether externally covered OMOs are authorised for the Counterparty or not from the possible values:  - Yes  - No
US Taxpayer Certification Status	Shows the NCB Participant Certification Status from the possible values:  - Beneficial Owner
	– Qualified Intermediary A (QI-A)
	– Qualified Intermediary B.
	– Qualified Intermediary C.
	– Non-qualified Intermediary
	- Unknown Recipient



US Corporate action	Shows the tax Rate that the ECMS will apply to the NCB Participant when "INTR" CA Event on an Asset flagged as "QI-A asset" by an NCB having QI-A
taxation	status from the possible values:
	– Normal
	- Reduced
Is QI Certi- fied	Shows whether the NCB Participan is or is not authorised to mobilise assets flagged as "QI-A asset" from the possible values:
	- Yes
	- No
Eligibility At	tributes
Intraday	The possible values are:
Credit facil-	- Yes
ity	- No
Accounts	
Button	Function
Internal As-	Shows the list of Internal Asset Account that belong to the NCB Participant.
set Account	After selecting one of the Accounts you can view its details.
	Next screen:
	– Internal Asset Account – Details Screen.
External	Shows the list of External Cash Account that belong to the NCB Participant.
Cash Ac-	After selecting one of the Accounts you can view its details.
count	Next screen:
	– External Cash Account – Details Screen.
Blocking	Shows the information of the blocking period of the NCB Participant.
Status	After selecting one record, you can view its details:
	Next screen:
	- Counterparty Blocking Setup - Details Screen
Validation de	, , ,
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No
Activation de	tails
Is Active	Shows whether the NCB Participant is active or not. The possible values are:
	- Yes
	- No
(De)Activa- tion Reason	Shows the reason informed when the NCB Participant was (de)activated.
Validity Start	Shows the date from which the NCB Participant is active.
Date	Displayed format is: DD/MM/YYYY.



	If the Party is not active (Is Active field = "false"), this field is empty.
Validity End	Shows the date from which the NCB Participant is not valid anymore.
Date	Displayed format is: DD/MM/YYYY
	The Validity End Date must be equal to or later than the Validity Start Date. If the NCB Participant is active, this field is empty.
Footprint	1 / 1 /
Creation	Shows the date and time of the creation of the NCB Participant.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the NCB Participant.
Update Date	Shows the date and time of the update of the NCB Participant.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the NCB Participant.
Additional co	des
The existing a	dditional codes to identify the NCB Participant are displayed.
Field	Description
Provider	Shows the provider of the Additional Code from the possible values:
	– LEI
	– TPA Id
Additional	Shows the code assigned by the provider.
Code	Displayed format is: Max. 35 characters.
Footprint	
Creation	Shows the date and time of the creation of the additional code.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the additional code.
Update Date	Shows the date and time of the update of the additional code.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the additional code.
Address Deta	ils
The existing a	ddresses of the NCB Participant are displayed.
To view the d	etails of an existing mail address, select it and click on the View button.
Field	Description
Purpose	Shows the Purpose of the Address from the possible values:
	– Official
Street Ad-	Shows the street name of the address of the NCB Participant.
dress	Displayed format is: Max. 70 characters.
House Num-	Shows the house number of the address of the NCB Participant.
ber	Displayed format is: Max. 16 characters.
Postal Code	Shows the postal code of the address of the NCB Participant.



	Displayed format is: Max. 16 characters.
City	Shows the city of the address of the NCB Participant.
City	Displayed format is: Max. 70 characters.
State or	Shows the state or province of the address of the NCB Participant.
Province	Displayed format is: Max. 35 characters.
Country	Shows the ISO-2 Code and the description of the country code of the address of
Code	the NCB Participant.
	Displayed format is: Max. 255 characters.
To view the d	etails of an existing technical address, select it and click on the View button.
Field	Description
Purpose	Shows the Purpose of the Address from the possible values:
	- Securities Settlement
	- Securities Management
	- Payment
	- Cash Management
	- Corporate Actions
	– Margin Call
	- Credit Claims
	- Collateral and Credit
Network	Shows the network from the possible values:
	- Swift
	- SIA Colt
Technical	Shows the technical address for the purpose and network selected.
Address	Displayed format is: Max. 256 characters
To view the d	etails of an existing "BIC Address", select it and click on the View button
Field	Description
Purpose	Shows the Purpose of the Address from the possible values:
	- Official
BIC Address	Shows the BIC address of the Party.
	Required format is: Max.11 characters
To view the d	etails of an existing email address, select it and click on the View button.
Field	Description
Purpose	Shows the Purpose of the Address from the possible values:
	- Official
Email	Shows the Email address for the purpose selected.
	Displayedformat is: Max. 255 characters
Footprint	
Creation	Shows the date and time of the creation of the record.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the record.



Update Date	Shows the date and time of the update of the record.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the record.
Tax Docume	ntation
The existing	Tax Documentation is displayed
Field	Description
Business Date	Business date of ECMS
Document ID	Shows the Id Code that identifies the document
Document	Upload the Tax document
Jurisdiction	Shows the Jurisdiction from the possible non-EEA G10 countries:
	- United States of America
	– Canada
	– Japan
	- Switzerland
	- United Kingdom
Validity Start Date	Shows the first day when the Tax Documentation is valid
Validity End Date	Shows the last day when the Tax Documentation is valid
Validity Period	Shows the validity period of the tax Documentation
Validity Period Type	Shows the validity period type of the Tax Documentation

### 3.1.1.2.2 NCB Custodian – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for NCB Custodian.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
	Reference Data >> Reference Data >> Parties Reference Data >> Entities Business
cess	Roles Create/Display >> NCB Custodian Create/Display
Screenshot	Create / Display : NCS Custodian  Farty RMD
	Validry Start **   Validry End **   Update Date **   Update Date **   Is CSD **   Is TFA **   Is Gross NCS **   Cheech

NCB Custodian – Search Screen		
Field	Description	



Party RIAD	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS.
Code	Required format is: Max. 30 characters
Party Name	Enter the name of the Party.
	Required format is: Max. 350 characters.
Party Short	Enter the short name of the Party.
Name	Required format is: Max. 35 characters
Country	Enter/Select the country of location of the CSD between those stored in the ECMS
Code	(Generic Data). The magnifier icon can also be used.
	Required format: Max. 2 characters (SWIFT-x)
BIC	Enter the BIC Code of the Party in the ECMS.
	Required format is: Max. 11 characters
Is CSD	Enter/Select whether the NCB Custodian is a domestic CSD or not considering the possible values:
	- Yes
	- No
Is Cross NCB	Enter/Select whether the NCB Custodian is a Cross NCB or not considering the possible values:
	• Yes
	• No
Is TPA	Enter/Select whether the NCB Custodian is a TPA or not considering the possible values:
	• Yes
	• No
Is Active	Enter/Select whether the NCB Custodian is active or not from the possible values:
	- Yes
	- No
Creation Date	Enter the reference date of the creation of the NCB Custodian or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Validity	Enter the date from which the NCB Custodian is active or use the calendar icon.
Start Date	Required format is: DD/MM/YYYY
Validity End Date	Enter the date from which the NCB Custodian is not active anymore or use the calendar icon.
	Required format is: DD/MM/YYYY
	The Validity End Date must be equal to or later than the Validity Start Date. If the NCB Custodian is active, this field is empty.
Update Date	Enter the reference date of the last update of the NCB Custodian or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.



Next screen:

- NCB Custodian – List Screen.

#### 3.1.1.2.2.1 NCB Custodian – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.													
Screen Ac-	Refer	Reference Data >> Reference Data >> Parties Reference Data >> Entities Business												
cess	Roles	Roles Create/Display >> NCB Custodian Create/Display >> Click on the search												
	butto	n												
Screenshot	Search Result :	Custodian				К (	Page 1 of6	K <					Q 58)	<b>☆</b> ★師日のマ
	Party RIAD Code ♥ 5	Party Short Name 67	Country Code ©	7 is CSD 0	S Active 0	▽ Validity Start Date €	Validity End Date 0	Update Date • S	Updater User Id •	Party Name 0 S	BIC •	□ Is TPA •	Creation Date 0	▽ Type Φ ▽
	ECMSOPERATOR	ECMSOPERATOR	FRIFRANCE	Yes	Yes	21/06/2021		21/06/2021 19:55:18.	STP	ECMS OPERATOR EN.		No	21/05/2021 19:55:18	B com.vermeq.services
	PT1	PTCB	PTIPORTUGAL	No	Yes	28/03/2022		28/03/2022 16:28:40	ECMS OPERATOR 1	Banco de Portugal		No	28/03/2022 16:28:40	D com.vermeg.services
	CY000001	CYCB	CYICYPRUS	No	Yes	10/01/2022	31/12/2025	10/01/2022 07:12:48.	STP	Banque Centrale de C.		No	10/01/2022 07:12:48	B com.vermeg.services
	ES6752	SHORTNAME051120	ESISPAIN	Yes	Yes	16/11/2021		22/11/2021 13:59:10.	STP	PartyName		No	16/11/2021 08:35:2	3 com.vermeg.services
	NL002	Euroclear Nederland	NUNETHERLANDS	Yes	Yes	12/11/2021		23/03/2022 16:19:04.	STP	Euroclear Nederland	NECINLZAXXX	Yes	12/11/2021 12:17:36	6 com.vermeg.services
	ES7763	SHORTNAME131220	NUNETHERLANDS	No	Yes	16/12/2021	31/12/2025	16/12/2021 12:16:23.		PartyName		Yes		3 com vermeg services.
	MTCBMALT	CBMT	MTJMALTA	No	Yes	20/12/2021	31/12/2025	20/12/2021 07:09:24		Banque centrale de		No	20/12/2021 07:09:24	4 com.vermeg.services
	ES7751	SHORTNAME271220.	NUNETHERLANDS	No	Yes	27/12/2021	31/12/2025	27/12/2021 07:11:15.		PartyName		Yes		5 com.vermeg.services
	ES7749	SHORTNAME030120		No	Yes	03/01/2022	31/12/2025	03/01/2022 07:11:15.		PartyName		Yes		5 com.vermeg.services
	ES7748	SHORTNAME040120	NUNETHERLANDS	No	Yes	04/01/2022	31/12/2025	04/01/2022 14:11:11	STP	PartyName		Yes	04/01/2022 14:11:11	1 com.vermeg.services
													History	Edit

NCB Custod	ian – List Screen
Field	Description
Party RIAD	Shows the RIAD Code that uniquely identifies the Party in the ECMS.
Code	Displayed format is: Max. 30 characters
Party Name	Shows the name of the Party.
	Displayed format is: Max. 350 characters.
Party Short	Shows the short name of the Party.
Name	Displayed format is: Max. 35 characters
Country Code	Shows the ISO-2 Code and the description of the country of location of the NCB Custodian between those stored in the ECMS (Generic Data).
	Displayed format: Max. 255 characters.
BIC	Shows the BIC Code of the Party in the ECMS.
	Displayed format is: Max. 11 characters
Is CSD	Shows whether the NCB Custodian is a domestic CSD or not considering the possible values:
	- Yes
	- No
Is Cross NCB	Shows whether the NCB Custodian is a Cross NCB or not considering the possible values:
	• Yes
	• No
Is TPA	Shows whether the NCB Custodian is a TPA or not considering the possible val-
	ues:
	• Yes
	• No
Is Active	Shows whether the NCB Custodian is active or not from the possible values:



	- Yes					
	- No					
Validity	Shows the date from which the NCB Custodian is active.					
Start Date	Displayed format is: DD/MM/YYYY					
_	Shows the date from which the NCB Custodian is not active anymore.					
Date	Displayed format is: DD/MM/YYYY					
	The Validity End Date must be equal to or later than the Validity Start Date. If the NCB Custodian is active, this field is empty.					
Creation	Shows the date and time of the creation of the NCB Custodian .					
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs					
Update Date	Shows the date and time of the last update of the NCB Custodian.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs					
Updater User Id	Shows the identification of the user that made the last update of the NCB Custodian.					
After selectin	g a record, the following buttons are displayed					
Button	Function					
History	This function enables you to access to the historical information of an NCB Custodian.					
	Next screen:					
	- NCB Custodian - History Screen.					
View	This function enables you to access to the detailed information of an NCB Custodian.					
	Next screen:					
	- NCB Custodian - Details Screen.					

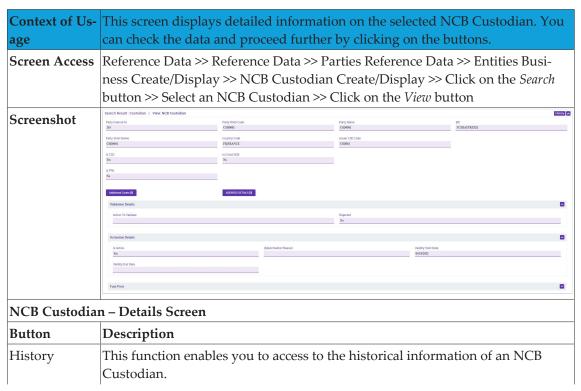
# 3.1.1.2.2.1.1 NCB Custodian – History screen

Context of Usage	This screen displays historical information that has changed on the selected NCB Custodian. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Parties Reference Data &gt;&gt; Entities Business Roles Create/Display &gt;&gt; NCB Custodian Create/Display &gt;&gt; Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select an NCB Custodian &gt;&gt; Click on the <i>History</i> button</li> </ul>
	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Parties Reference Data &gt;&gt; Entities Business Roles Create/Display Entities &gt;&gt; NCB Custodian Create/Display &gt;&gt; Search Button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select an NCB Custodian &gt;&gt; Click on the View button (NCB Custodian –Details Screen) &gt;&gt; Click on the History Button</li> </ul>



Screenshot	History: NCB Custodian							
Sciecisilot	Fields	Q → ← ∇ 80p021-00-23 13:13:32:895[1						
		<b>≥</b>	×	•				
	is CSD	Yes	Yes	No				
	Update Date Icsd	11/11/2021 14:90:20:613 No	23/09/2021 13:16:28:270 No	23/09/2021 13:13:32:896 No				
	is Cross NCB	No	No	No				
	Is TPA Party Internal Id	Yes 89	Yes 80	Yes 80				
	Validity Start Date	23/09/2021 ТРАОРТРУКУ	23/09/2021 TPACETEPPOXX	23/09/2021				
	BIC Issuer CSD Code	TPAPTOI	TPAPTO1	TPACPTPPXXX TPAPT01				
	Creation User Id  Validity End Date	STP	STP	STP				
	(2) difference(s) found.							
NCB Custodia	an – Histor	ry Screen						
Field	Descrip	tion						
Fields	Shows th	ne fields whose info	rmation have been unc	dated since the creation of				
1 icids	Shows the fields whose information have been updated since the creation of							
	the selec	ted NCB Custodian	•					
Timestamp	Shows the date and time when the fields have been updated.							
	Displaye	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Button	Descrip	Description						
Compare	Shows th	ne differences betwe	en the selected records	s whose data have been up-				
1	dated.							
	uaicu.							
Expand All	Shows th	ne expanded inform	ation of the fields who	se information have been				
Ι	updated since the creation of the selected NCB Custodian.							
	upaatea	since the creation o	the selected NCB Cus	stodian.				
Show All	Shows the information that existed before each modification of all the fields re-							
JIOW AII								
	garding the selected NCB Custodian.							
C - 11 A 11								
Collapse All	Collapse the expanded information of the fields that have been updated since							
	the creation of the selected NCB Custodian.							
Show Differ-	Charica	nlrr tha fial da rula a a	information bar-al-as-	n undated sings the greation				
Show Differ-	Shows only the fields whose information have been updated since the creation							
ences Only	of the se	lected NCB Custodi	an.					
	1 2 2 2 2 2 2 2 2		- ·					

#### 3.1.1.2.2.2 NCB Custodian - Details screen





	Next screen:
	– NCB Custodian – History Screen.
Address De-	This function enables you to display the subsection Address Details
tails	
Additional Codes	This function enables you to display the subsection Additional Code
General Inform	nation
Field	Description
Party Internal Id	Internal unique identifier automatically assigned by the system
Party RIAD	Shows the RIAD Code that uniquely identifies the Party in the ECMS.
Code	Displayed format is: Max. 30 characters.
Party Name	Shows the name of the Party.
	Displayed format is: Max. 350 characters.
Party Short	Shows the short name of the Party.
Name	Displayed format is: Max. 35 characters.
Issuer CSD Code (as in	Shows the country of location of the CSD between those stored in the ECMS (Generic Data).
C2D/EA)	Displayed format: Max. 6 characters (SWIFT-x).
Country Code	Shows the ISO-2 Code and the description of the country code of the address of the NCB Custodian.
	Displayed format: Max. 255 characters.
BIC	Shows the BIC Code of the Party in the ECMS.
	Displayed format is: Max. 11 characters
Is CSD	Shows whether the NCB Custodian is a domestic CSD or not considering the possible values:
	- Yes
	- No
Is Cross NCB	Shows whether the NCB Custodian is a Cross NCB or not considering the possible values:
	• Yes
	• No
Is TPA	Shows whether the NCB Custodian is a TPA or not considering the possible values:
	• Yes
	• No
Validation deta	ails
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No



Activation det	ails
Is Active	Shows whether the NCB Custodian is active or not. The possible values are:
	- Yes
	- No
(De)Activation	Shows the reason informed when the NCB Custodian was (de)activated.
Reason	
Validity Start	Shows the date from which the NCB Custodian is active.
Date	Displayed format is: DD/MM/YYYY.
	If the NCB Custodian is not active (Is Active field = "No"), this field is empty.
Validity End	Shows the date from which the NCB Custodian is not active anymore.
Date	Displayed format is: DD/MM/YYYY.
	- The Validity End Date must be equal to or later than the Validity Start Date
	- If the NCB Custodian is active, this field is empty.
Footprint	
Creation Date	Shows the date and time of the creation of the NCB Custodian.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the NCB Custodian.
Update Date	Shows the date and time of the update of the NCB Custodian.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the NCB Custodian.
Additional cod	les
The existing ad	Iditional codes to identify the NCB Custodian are displayed.
Field	Description
Provider	Shows the provider of the Additional Code from the possible values:  – LEI
	- TPA Id
Additional	Shows the code assigned by the provider.
Code	Displayed format is: Max. 35 characters.
Footprint	
Creation Date	Shows the date and time of the creation of the additional code.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the additional code.
Update Date	Shows the date and time of the update of the additional code.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the additional code.
Address Detai	ls
The existing ad	Idresses of the NCB Custodian are displayed.
To view the de	tails of an existing mail address, select it and click on the View button.



Field	Description					
Purpose	Shows the Purpose of the Address from the possible values:					
	– Official					
Street Address	Shows the street name of the address of the NCB Custodian.					
	Displayed format is: Max. 70 characters.					
House Num-	Shows the house number of the address of the NCB Custodian.					
ber	Displayed format is: Max. 16 characters.					
Postal Code	Shows the postal code of the address of the NCB Custodian.					
	Displayed format is: Max. 16 characters.					
City	Shows the city of the address of the NCB Custodian.					
	Displayed format is: Max. 70 characters.					
State or	Shows the state or province of the address of the NCB Custodian.					
Province	Displayed format is: Max. 35 characters.					
Country Code	Shows the ISO-2 Cod and the description of the country code of the address of the NCB Custodian.					
	Displayed format is: Max. 255 characters.					
To view the det	ails of an existing technical address, select it and click on the View button.					
Field	Description					
Purpose	Shows the Purpose of the Address from the possible values:					
	– Official					
Network	Shows the network from the possible values:					
	- Swift					
	- SIA Colt					
Technical Ad-	Shows the technical address for the purpose and network selected.					
dress	Displayed format is: Max. 256 characters					
To view the det	ails of an existing email address, select it and click on the View button.					
Field	Description					
Purpose	Shows the Purpose of the Address from the possible values:					
	- Official					
Email	Shows the Email address for the purpose selected.					
	Displayed format is: Max. 255 characters					
To view the det	ails of an existing "BIC Address", select it and click on the View button					
Field	Description					
Purpose	Shows the Purpose of the Address from the possible values:					
	– Official					
	- Securities Settlement					
BIC Address	Shows the BIC address for the purpose selected					
	Displayed format is: Max. 11 characters					
Footprint						
Creation Date	Shows the date and time of the creation of the record.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					



Creation User	Shows the identification of the user that created the record.
Id	
Update Date	Shows the date and time of the update of the record.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User	Shows the identification of the user that made the last update of the record.
Id	

# 3.1.1.3 Banking Group Manager

## 3.1.1.3.1 Banking Group Manager Create / Display

### 3.1.1.3.1.1 Banking Group Manager – Search Screen

<b>Context of Usage</b>					
	data, you can search for the Banking Group Managers.				
	The search results will be displayed in a list. After selecting an entry, you				
	can proceed further by clicking on the buttons that are shown.				
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Banking Group Managers or the information that requires 4 eyes validation before they can be considered valid in the system.				
	For valid information:				
	Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> Banking Group Manager Create/Display				
	For information subject to 4 eyes validation:				
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Banking Group Manager				
Screenshot	Create / Display : Banking Group Manager  Pary Start Name				
	Is Active ••• • • • • • • • • • • • • • • • • •				
	Characteristics in V				
Banking Group M	Ianager – Search screen				
Field	Description				
Party RIAD Code	Enter / Select the RIAD Code that identifies in the ECMS the Party with role Banking Group Manager.				
	Required format is: Max. 30 characters.				
Party Short Name	Enter the short name of the Party with the role Banking Group Manager.				
	Required format is: Max. 35 characters.				
Party Name	Enter the name of the Party.				
	Required format is: Max. 350 characters.				
Country Code	Enter / Select the country of the Banking Group Manager between those stored in the ECMS (Generic Data). The magnifier icon can also be used.				
	Required format: Max. 2 characters (SWIFT-x)				
Is Active	Enter / Select whether the Banking Group Manager is active or not from the possible values:				
	- Yes				



	- No
Creation Date	Enter the reference date of the creation of the Banking Group Manager or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Validity Start Date	Enter the date from which the Banking Group Manager is active or use the calendar icon.
	Required format is: DD/MM/YYYY
Validity End Date	Enter the date from whichthe Banking Group Manager is not active anymore or use the calendar icon.
	Required format is: DD/MM/YYYY
	The Validity End Date must be equal to or later than the Validity Start Date. If the Banking Group Manager is active, this field is empty.
Update Date	Enter the reference date of the last update of the Banking Group Manager or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Banking Group Manager.
	Next screen:
	- Banking Group Manager - Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	– Banking Group Manager – List Screen.

# 3.1.1.3.1.1.1 Banking Group Manager – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Banking Group Managers or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:
	Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> Banking Group Manager Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed)
	For information subject to 4 eyes validation:
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Banking Group Manager >> Click on the search button



Screenshot	Search Result : Banking Group Ma	ager							へ Q7 ★ 即口() マ
	Party RIAD Code © Pu NL101 NI U8001 U8	ny Shori Name • Party Na CPTY 1 NL CPTY 001 Luxembo	ne •	ountry Code © C LINETHERLANDS 2 JILUXEMBOURG 2	reation Bate 6 7/10/2021 16:32:51:724 8/10/2021 12:35:43:181	Validity Start Date ◆	27/10/2021 16:32:51:724 28/10/2021 12:35:43:181	Updater User to 0  DNBTESTNCBUSER  UBCL003	Ves Ves
	E 50009 E5  A8V8012 A6  PTCPTY001 P1	0000 SHORT NAME 0.0000 P 0000 SHORT NAME 0.0000 P VR012 - CAMBIO ABVR012 CPTY001 PTCPTY	AME ES	ALLICEMBOURG 2 SISPAIN 1 THAUSTRIA 1 THORITIGM 8	7/01/2022 12:58:17:010 6/05/2022 12:54:16:356 1/04/2022 14:14:52:746 0/06/2021 12:49:56:261	27/01/2022 16/05/2022 11/04/2022 10/09/2021	28/01/2022 16 07/07/887 16/05/2022 12:54:16:356 11/04/2022 14:14:52:746 30/09/2021 12:49:56:261	69A1009 ESCE003 ATCE003 PTCE003	Yes Yes Yes
	AT0000000099375 R2	ffeisenlandesbank Raiffeise	landesbank Oberost A	TIAUSTRIA 2	4/09/2021 11:17:18:945	24/09/2021	24/09/2021 11:17:18:945	STP	Yes History
Banking Group M	Ianager – L	ist Scree	n						
Field	Description	n							
Party RIAD Code	Shows the	RIAD Co	ode th	at uniq	uely ic	dentifies the	e Party in t	he ECN	ИS.
	Displayed	format is	s: Max	. 30 cha	ıracter	s			
Party Short Name	Shows the	short na	me of	the Par	ty wit	h the role B	anking Gro	oup Ma	nager.
	Displayed	format is	: Max	. 35 cha	ıracter	s.			
Party Name	Shows the	name of	the Pa	arty.					
	Displayed	format is	: Max	. 350 ch	aracte	ers.			
Country Code	Shows the	ISO-2 Co	ode an	d the d	escrip	tion of the	country of	the Par	ty
	between th	ose store	ed in t	he ECN	IS (Ge	neric Data)			
	Displayed	format: l	Max. 2	255 char	acters	•			
Is Active			Banki	ing Gro	up Ma	anager is ac	ctive or not	from t	he pos-
	sible values:								
	- Yes								
	- No	1							
Validity Start						ig Group M	lanager is a	ictive.	
Date Displayed forma									
Validity End Date	Shows the date from which the Banking Group Manager is not active anymore.								
	Displayed format is: DD/MM/YYYY								
	The Validity End Date must be equal to or later than the Validity Start Date.								
	If the Banking Group Manager is active, this field is empty.								
Creation Date	Shows the date and time of the creation of the Banking Group Manager.								
	Displayed	format is	s: DD/	MM/Y	YYY hl	h:mm:ss:µs			
Update Date	Shows the date and time of the last update of the Banking Group Manager.								
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs								
Updater User Id	Shows the	identific	ation (	of the u	ser tha	at made the	last updat	e of the	e Banking
	Group Ma								
After selecting a re	1	ollowing	butto	ns are d	lisplay	red			
Button	Function								
History	This function Group			ı to acc	ess to t	the historic	al informat	ion of a	a Bank-
	Next scree	n:							
	– Bankin	g Group 1	<i>Manag</i>	er – His	tory So	creen.			
Edit	This functi	on enabl	es you	ı to edi	t a Bar	king Grou	p Manager		
	Next scree	n:							
	– Bankin	g Group 1	Лапад	er – Edi	t Scree	en.			



View	This function enables you to access to the detailed information of a Banking Group Manager.	
	Next screen:	
	- Banking Group Manager - Details Screen.	
If the screen is use when a record is s	ed in the 4 eyes validation context, the following buttons are also displayed elected	
Button	Function	
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.	
	Next screen:	
	- Banking Group Manager - History Screen.	
View Draft	This function enables the user to view the draft record to be validated.	
	Next screen:	
	- Banking Group Manager - Details Screen.	
Edit	This function allows the user to edit and modify the draft.	
	Next screen:	
	- Banking Group Manager - Edit Screen.	
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system	
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.	

#### 3.1.1.3.1.1.1. Banking Group Manager – History Screen

Context of Usage This screen displays historical information that has changed on the selected Banking Group Manager. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown. Screen Access - Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display Entities >> Banking Group Manager Create/Display >> Search Button (after inputting the relevant Reference Data, if needed) >> Select a Banking Group Manager >> Click on the History button Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display Entities >> Banking Group Manager Create/Display >> Search Button (after inputting the relevant Reference Data, if needed) >> Select a Banking Group Manager >> Click on the View button (Banking Group Manager - Details Screen) >> Click on the History Button



Fields  Party Internal Ist  ADDRESS DETAILS  ADDRESS DETAILS  ADDRESS DETAILS  ADDRESS DETAILS  ADDRESS DETAILS  ADDRESS DETAILS  ADDRESS  Party Internal Ist  Action To Validate  Validaty Start Date				
ADDRESS DETAILS Additional Codes Is Active Rejected Party Internal Id Action To Validate Validity Start Date				
ADDRESS DETAILS Additional Codes Is Active Rejected Party Internal Id Action To Validate Validity Start Date				
Additional Codes Is Active Rejected Party Internal Id Action To Validate Validity Start Date				
Rejected Party Internal Id Action To Validate Validity Start Date				
Party Internal Id Action To Validate Validity Start Date				
Validity Start Date	1.00			
	27/10/2020			
Allied Type				
Being Deactivated BIC	No			
Comment Comprate Name				
Date Of Entry In Relation	27/10/2(02)			
Deactivated Permanently	No			
Economic Agent Category				
Lei Professional Category				
Rejection Reason				
Country Code Validation Status				
Validation Status	27/10/2021 16/22-51726			
Creation Discrib	CHITICAL 10 JESUS (AN CONTROL OF THE			
(0) difference(s) found.				
anager – H	listory Screen			
Description				
Shows the fields whose information have been updated since the creation of the selected Banking Group Manager.				
Shows the date and time when the fields have been updated.				
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Description Description				
Shows the differences between the selected records whose data have been updated.				
Shows the expanded information of the fields whose information have been updated since the creation of the selected Banking Group Manager.				
Shows the information that existed before each modification of all the fields regarding the selected Banking Group Manager.				
Collapse the expanded information of the fields that have been updated since the creation of the selected Banking Group Manager.				
	Commence Tension (Compress of the Compress of			

### 3.1.1.3.1.1.2 Banking Group Manager – Details Screen

Context of Usage	This screen displays detailed information on the selected Banking Group Manager. You can check the data and proceed further by clicking on the buttons.
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:  Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> Banking Group Manager Create/Display >> Click on the search button (after inputting the relevant Reference Data, if
	needed) >> Select a Banking Group Manager >> Click on the <i>View</i> button For information subject to 4 eyes validation:



1	ı					
				/ Rejection >> Validate		
	ľ			anager >> Click on the		
	,			ence Data, if needed) >	> Se-	
	lect a Banking Group		Click on the v	lew button	History	
Screenshot	Party Internal Id 125	Party RIAD Code NLI91	Party Short Name NL CPTY 1			
	Courtry Code NLPAYS-RAS					
	Party Name NL-CPTV1  Activation Details					
	In Active Yes	Validity Start Date 27/98/2821		Validity End Date		
				(De) activation Reason. Antomated Reason		
	Validation Details  Action To Validane		Rejected			
			No.			
	Food Print  Creation Date 1308/05/11 Mod25/81-724	Creation User Id DNSTESTNCBUSER		Update Dane 2000-0021 Inch28:724		
	Updater Uper Id DNBTESTNCBUSER					
Ranking Group M	     Ianager – Details Sc	roon				
Field	Description Description	iccii				
History	_	os vou to acco	es to the histori	cal information of a Ba	nk-	
Thistory	ing Group Manager	•	55 to the instoll	car information of a ba	II IIX-	
	Next screen:					
	– Banking Group N	Manager – Hist	ory Screen.			
General Informati	ion					
Object	Description					
Party Internal Id	Internal unique identifier automatically assigned by the system					
Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS to					
	whom the role is assigned.					
	Displayed format is					
Party Short Name	Shows the short name of the Party.					
	Displayed format is: Max. 35 characters					
Party Name	Shows the name of the Party.					
	Displayed format is: Max. 350 characters.					
Country Code	Shows the ISO-2 Code and the description of the country of the Party					
	between those stored in the ECMS (Generic Data).					
	Displayed: Max. 255 characters.					
Activation details						
Is Active	Shows whether the Banking Group Manager is active or not. The possible					
	values are:					
	- Yes					
	- No					
(De)Activation		oformed tither	the Raplana C	roup Manager was (de	2)26	
Reason	tivated.	normed when	the banking G	Toup Manager was (de	ejac-	
Validity Start		n which the B	anking Group I	Manager is active.		
Date	Shows the date from which the Banking Group Manager is active.  Displayed format is: DD/MM/YYYY					
	Displayed format is: DD/MM/YYYY.					
	If the Banking Group Manager is not active (Is Active field = "false"), this field is empty.					



Validity End Date	Shows the date from which the Banking Group Manager is not active anymore.
	Displayed format is: DD/MM/YYYY
	The Validity End Date must be equal to or later than the Validity Start Date. If the Banking Group Manager is active, this field is empty.
Validation details	3
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:  - Yes
	- No
Foot Print	
Creation Date	Shows the date and time of the creation of the Banking Group Manager.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Banking Group Manager.
Update Date	Shows the date and time of the update of the Banking Group Manager.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Banking Group Manager.

#### 3.1.2 Accounts Reference Data

For bookkeeping collateral positions, the ECMS maintains **Internal Asset Accounts** used by Counterparties and NCBs. Those internal accounts are opened and operated directly in the ECMS.

For the settlement of marketable asset instructions, the ECMS makes use of External Asset Accounts opened or registered in CSD books and configured in T2S. Those securities accounts are not opened in the books of a National Central Bank in the ECMS, but it is just a reference to an account existing outside the ECMS. However, those accounts need to be configured in the ECMS reference data repository as they are used in the ECMS to mirror the market positions so that the ECMS can perform business checks on them, send settlement instructions for marketable assets and reconcile securities position towards CSDs and correspondent NCBs.

For the settlement of cash operations and for the provision of intraday liquidity, the ECMS also makes use of External Cash Accounts operated by external systems such as TARGET Services (CLM). Those cash accounts are not either opened directly in the ECMS but need to be setup as reference data. The ECMS uses them to send payment instructions but it does not record the cash balance on those accounts.



# 3.1.2.1 Internal Asset Account – Create Display

### 3.1.2.1.1 Internal Asset Account – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for Internal Asset Accounts. The search results will be displayed in the <i>Internal Asset Accounts – List</i> Screen.			
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:  Reference Data >> Reference Data >> Accounts Reference Data >> Create / Display Internal Asset Account			
	For information subject to 4 eyes validation:  Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Internal Asset Account			
Screenshot	Consect Content Accounts  Account Engineers  Accoun			

<b>Internal Asse</b>	t Account – Search Screen
Field	Description
Account Owner	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to and the Party Short Name from the possible values:  - NCB Participant Required format is: Max. 70 characters.
Account Reference	Enter/Select the Internal Asset Account reference.  Required format is: Max. 35 characters.
Account Name	Enter the name of the Internal Asset Account.  Required format is: Max. 255 characters.
Account Servicer	Enter the RIAD Code that uniquely identifies the Party in the ECMS that is the servicer of the account and the Party Short Name from the possible values:  - Tenant del NCB Required format is: Max. 70 characters.
Account Type	Enter/Select the type of the Internal Asset Account from the possible values:  - ECMS Counterparty Asset Account  - ECMS Cross NCB Asset Account  - ECMS Non-euro area CB Asset Account
	- ECMS NCB Asset Account



Asset Cat-	Enter/Select the category of the assets hold by the Internal Asset Account from
egory	the possible values:
	– Marketable Assets Account
	- Credit Claims Account
Collateralisa- tion Tech-	Enter/Select the type of the assets hold by the Internal Asset Account from the possible values:
nique	- PLEDGE
	- REPO
Creation Date	Enter the reference date of the creation of the Account. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Validity Start Date	Enter the reference date of the activation of the Account. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Validity End	Enter the reference date of the closing of the Account or use the calendar icon.
Date	Required format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Account. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Is Active	Enter/Select whether the Internal Asset Account is active or not from the possible values:
	- Yes
	- No
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Internal Asset Account.
	Next screen:
	– Internal Asset Account – Create Screen.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the List Screen.
	Next screen:
	– Internal Asset Account – List Screen.

## 3.1.2.1.1.1 Internal Asset Account – List Screen

Context of Usage	This screen contains the search results regarding the Internal Asset Accounts displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:



	Referen play In inputti	iternal	Asset	Accou	nts C	reate/[	Displa	y >> (					
	For info	ce Data	ı >> Re	ference	Data	>> Val	idation	,				,	1 <i>c</i> -
Screenshot	Search Result : Interr	nal Asset Accounts				K < Page 1 or							^ □ □ □ □ ★ ♡
	Account Reference 00032022301	ABVR004(ABVR004 ABVR004(ABVR004	Account Servicer \$ 5 AT0000003888151 AT000003888151	Account Type   ECMS Counterparty A  ECMS Counterparty A	Account Name 0	Asset Category \$ \$\times MARKETABLE ASSET	Collateralisation tech	No Ves	Validity Start Date # 7 09/03/2022 11/02/2022	Validity End Date © 7	Updater User Id © SECMSOperational Day ATCB003	Update Date \$ 909/03/2022 18:51:02:	Creation Date \$

Internal Asset	t Account – List Screen
Field	Description
Account Ref-	Shows the Internal Asset Account reference.
erence	Displayed format is: Max. 35 characters.
Account	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom
Owner	the account belongs to.
	Displayed format is: Max. 30 characters.
Account Owner (PSN)	Shows the Party Short Name of the Party in the ECMS to whom the account belongs to.
	Displayed format is: Max. 35 characters.
Account	Shows the name of the Internal Asset Account.
Name	Displayed format is: Max. 255 characters.
Account Ser-	Shows the RIAD Code that uniquely identifies the Party in the ECMS that is the
vicer	servicer of the account.
	Displayed format is: Max. 30 characters.
Account	Shows the type of the Internal Asset Account from the possible values:
Type	- ECMS Counterparty Asset Account
	- ECMS Cross NCB Asset Account
	- ECMS Non-euro area CB Asset Account
	- ECMS NCB Asset Account
Asset Category	Shows the category of the assets hold by the Internal Asset Account from the possible values:
	– Marketable Assets Account
	- Credit Claims Account
Collateralisa- tion Tech-	Shows the type of the assets hold by the Internal Asset Account from the possible values:
nique	- PLEDGE
	- REPO
Validity Start	Shows the date from which the Internal Asset Account is active.
Date	Displayed format is: DD/MM/YYYY.



Is Active	Shows whether the Internal Asset Account is active or not from the possible values:
	– Yes
	- No
Validity End	Shows the date from which the Party is closed.
Date	Displayed format is: DD/MM/YYYY.
	If the Account is active, this field is empty.
	The Validity End Date must be equal to or later than the Validity Start Date.
Creation	Shows the date and time of the creation of the Account.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the last update of the Account.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Account.
After selecting	g a record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of an Account.
	Next screen:
	– Internal Asset Account – History Screen.
Edit	This function enables you to edit an Account.
	Next screen:
	– Internal Asset Account – Edit Screen.
View	This function enables you to access to the detailed information of an Account.
	Next screen:
	- Internal Asset Account- Details Screen.
If the screen is	s used in the 4 eyes validation context, the following buttons are also displayed d is selected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	– Internal Asset Account – History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	– Internal Asset Account – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	– Internal Asset Account – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.



# 3.1.2.1.1.1.1 Internal Asset Account – History Screen

Context of Usage	This screen displays historical information on the selected Internal Asset Account. You can check the data and proceed further by clicking on the buttons below.				
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Accounts Reference Data &gt;&gt; Create / Display Internal Asset Accounts Create/Display &gt;&gt; Click on the Search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select an Internal Asset Account &gt;&gt; Click on the History button</li> </ul>				
	Display ton (after ternal As	re Data >> Reference Data >> Accounts Reference Data >> Create / Internal Asset Accounts Create/Display >> Click on the Search but- r inputting the relevant Reference Data, if needed) >> Select an In- sset Account >> Click on the View button (Internal Asset Account – bereen) >> Click on the History Button			
Screenshot	History : Internal Asset Account	Q   6			
	Account Indivence  Account Name  Account Servicer  Account Spe  Assect Company  College Servicer  Account Spe  Assect Company  Outlier selevations recensions  18 Account Spe  Valeiny End Date  Valeiny End Date  Update Out	ADMINISTRATING OFFICE 112119 (MIN)  ADMINISTRATING OFFI  ADMINISTR			
	(0) difference(s) found.				

<b>Internal Asse</b>	Internal Asset Account – History Screen			
Field	Description			
Fields	Shows the fields whose information have been updated since the creation of the selected Account.			
Timestamp	Shows the date and time when the fields have been updated.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Description			
Compare	Shows the differences between the selected records whose data have been updated.			
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Account.			
Show All	Shows the information that existed before each modification of all the fields regarding the selected Account.			
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Account.			
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Account.			

### 3.1.2.1.1.2 Internal Asset Account – Details Screen

Context of	This screen displays detailed information on the selected Internal Asset Ac-
Usage	counts. You can check the data and proceed further by clicking on the buttons.



Screen Ac-	Depending how t	the screen is ac	cessed, it can be us	sed to show the vali	d informa-			
cess	tion or the information that requires 4 eyes validation before they can be considered valid in the system.							
	For valid information:							
	Reference Data >> Reference Data >> Accounts Reference Data >> Create / Display Internal Asset Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an Internal Asset Account >> Click on the View button							
	For information sub	bject to 4 eyes τ	alidation:					
	Deference Data >> 1							
		oata >> Internal ant Reference D	Asset Account >> C	Click on the search but lect an Internal Asset	ton (after			
Screenshot	counts Reference D inputting the releva Click on the View b	oata >> Internal ant Reference D	Asset Account >> C	lick on the search but	ton (after : Account >>			
Screenshot	counts Reference D inputting the relevor Click on the View b	oata >> Internal ant Reference D outton	Asset Account >> C	Click on the search but lect an Internal Asset	ton (after			
Screenshot	counts Reference D inputting the releva Click on the View b	Oata >> Internal ant Reference D outton	Asset Account >> C ata, if needed) >> Se	lick on the search but lect an Internal Asset	ton (after : Account >>			
Screenshot	counts Reference D inputting the relevant Click on the View b	oata >> Internal ant Reference D outton  Account 1966 Account 1966 Account 1966 Account 1966 Account 1966 Account 1966	Asset Account >> C ata, if needed) >> Se	Click on the search but lect an Internal Asset	ton (after : Account >>			
Screenshot	counts Reference D inputting the relevant Click on the View before Account Chick on the View before Account Chicks  Account Ch	Oata >> Internal ant Reference D outton  Account to Acc	Asset Account >> C ata, if needed) >> Se	Click on the search but lect an Internal Asset	ton (after : Account >>			
Screenshot	counts Reference Dinputting the releval Click on the View b	Oata >> Internal ant Reference D outton  Account to Acc	Asset Account >> C ata, if needed) >> Se	Click on the search but lect an Internal Asset	ton (after Account >>			
Screenshot	counts Reference Dinputting the releval Click on the View before the Account Details  Account Details  Account Details  Account Contails	Oata >> Internal ant Reference Coutton  Met Account  Account Type  Accou	Asset Account >> C ata, if needed) >> Se	Click on the search but lect an Internal Asset	ton (after Account >>			
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<b>Internal Asse</b>	t Account – Details Screen
Button	Description
History	This function enables you to access to the historical information of an Internal Asset Account.
	Next screen:
	– Internal Asset Account – History Screen.
Address De-	This function enables you to display the subsection Address Details.
tails	
General Infor	mation
Field	Description
Account Ref-	Shows the unique Internal Asset Account reference.
erence	Displayed format is: Max. 35 characters.
Account	Shows the name of the Internal Asset Account.
Name	Displayed format is: Max. 255 characters
Account Owner	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to, and also the "Party Short Name".
	Displayed format is: Max. 70 characters.
Account	Shows the BIC Code of the Account Owner.
Owner BIC	Displayed format is: Max. 11 characters.
Account Servicer	Shows the RIAD Code that uniquely identifies the Party in the ECMS that is the servicer of the account.



	Displayed format is: Max. 30 characters.
Account	Shows the type of the Internal Asset Account from the possible values:
Туре	- ECMS Counterparty Asset Account
	- ECMS Cross NCB Asset Account
	– ECMS Non-euro area CB Asset Account
	– ECMS NCB Asset Account
Asset Cat- egory	Shows the category of the assets hold by the Internal Asset Account from the possible values:
	– Marketable Assets Account
	- Credit Claims Account
Collateralisa- tion Tech-	Shows the type of the assets hold by the Internal Asset Account from the possible values:
nique	- PLEDGE
	- REPO
Validity Start	Shows the date from which the Internal Asset Account is active.
Date	Displayed format is: DD/MM/YYYY
Is Active	Shows whether the account is active or not. The possible values are:
	- Yes
	- No
Validity End	Shows the date from which the Account is closed.
Date	Displayed format is: DD/MM/YYYY
	The Validity End Date must be equal to or later than the Validity Start Date. If the Account is active, this field is empty.
Validation De	etails
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Account last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation	Shows the date and time of the Account creation.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Address Deta	ils
	ddresses of the Party are displayed.
To view the de	etails of an existing mail address, select it and click on the View button.



Field	Description				
Purpose	Shows the Purpose of the Address from the possible values:				
	- Official				
Street Ad-	Shows the street name of the address of the Party.				
dress	Required/Displayed format is: Max. 70 characters.				
House Num-	Shows the house number of the address of the Party.				
ber	Required/Displayed format is: Max. 16 characters.				
Postal Code	Shows the postal code of the address of the Party.				
	Required/Displayed format is: Max. 16 characters				
City	Shows the city of the address of the Party.				
	Required/Displayed format is: Max. 70 characters.				
State or	Shows the state or province of the address of the Party.				
Province	Required/Displayed format is: Max. 35 characters.				
Country Code	Shows the ISO-2 Code and the description of the country code of the address of the Party.				
	The magnifier icon can also be used.				
	Required/Displayed format is: Max. 2 characters.				
To view the d	etails of an existing technical address, select it and click on the View button.				
Field	Description				
Purpose	Shows the Purpose of the Address from the possible values:				
	- Securities Settlement				
	- Securities Management				
	- Corporate Actions				
Network	Shows the network from the possible values:				
	- Swift				
	- SIA Colt				
Technical	Shows the technical address for the purpose and network selected. Required				
Address	format is: Max. 256 characters				
To view the d	etails of an existing BIC address, select it and click on the View button.				
Field	Description				
Purpose	Shows the Purpose of the Address from the possible values:				
	• Official				
BIC Address	Shows the BIC address of the Party.				
	Required format is: Max. 11 characters				
To view the d	etails of an existing email address, select it and click on the View button:				
Field	Description				
Purpose	Shows the Purpose of the Address from the possible values:				
	- Official				
Email	Shows the Email address for the purpose selected.				
	Required format is: Max. 255 characters				
Footprint					



Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the address last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data
Creation	Shows the date and time of the Address creation.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

# 3.1.2.2 NCB Participant Cash Account – Create Display

### 3.1.2.2.1 NCB Participant Cash Account – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for NCB Participant Cash Accounts. The search results will be displayed in the NCB Participant Cash Accounts – List Screen.
Screen Ac-	Depending how the screen is accessed, it can be used to search the valid informa-
cess	tion of the Accounts or the information that requires 4 eyes validation before
	they can be considered valid in the system.
	For valid information:
	Reference Data >> Reference Data >> Accounts Reference Data >> NCB Parti-
	cipant Cash Accounts Create/Display
	For information subject to 4 eyes validation:
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject
	Accounts Reference Data >> NCB Participant Cash Accounts
Screenshot	Create / Display : NCB Participant Cash Account
	Account findence
	Is Active ** ▼
	Update Date Creation Date
	□ Frank Q Sauch

NCB Participant Cash Account – Search Screen				
Field	Description			
Account Ref-	Enter/Select the unique NCB Participant Cash Account reference.			
erence	Required format is: Max. 35 characters.			
Account	Enter the name of the NCB Cash Account reference.			
Name	Required format is: Max. 255 characters.			
Account	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS to			
Owner	whom the account belongs to from the possible values:			
	– NCB Participant			
	Required format is: Max. 30 characters.			
Account	Enter the BIC Code of the Account Owner.			
Owner BIC				



	Required format: Max. 11 characters					
Account Ser-	Enter/Select the RIAD Code that uniquely identifies the NCB or Cash Corres-					
vicer	pondent in the ECMS that is the servicer of the account from the possible values:					
	- EUR -> Refinancing NCB					
	- Non-EUR -> Cash Correspondent					
	Required format is: Max. 30 characters.					
Account Type	Enter/Select the type of the NCB Participant Cash Account from the possible values:					
	- CLM Main Cash Account					
	- CLM CB Account					
	- T2S Dedicated Cash Account					
	– Non-euro Cash Account					
	- Contingency Account					
Account Cur- rency	Enter/Select the denomination currency of the account. The magnifier icon can also be used.					
	Required: Max. 3 characters (SWIFT-x).					
Account	Number of the Cash Account in CLM.					
Number	Required: Max. 34 characters.					
Is Active	Enter/Select whether the NCB Participant Cash Account is active or not from the possible values:					
	- Yes					
	- No					
Creation Date	Enter the reference date of the creation of the Account. The calendar icon can also be used.					
	Required format is: DD/MM/YYYY.					
Validity Start Date	Enter the reference date of the activation of the Account. The calendar icon can also be used.					
	Required format is: DD/MM/YYYY.					
Validity End	Enter the reference date of the closing of the Account or use the calendar icon.					
Date	Required format is: DD/MM/YYYY.					
	The Validity End Date must be equal to or later than the Validity Start Date.					
Update Date	Enter the reference date of the last update of the Account. The calendar icon can also be used.					
	Required format is: DD/MM/YYYY.					
Button	Function					
Reset	This function enables you to clear the form.					
Create	This function enables you to create a new External Cash Account.					
	Next screen:					
	– NCB Participant Cash Account – Create Screen.					
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the List Screen.					
	Next screen:					



- NCB Participant Cash Account - List Screen

## 3.1.2.2.1.1 NCB Participant Cash Account – List Screen

Context of Usage	This screen contains the search results regarding the NCB Participant Cash Accounts displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting).													
	Afterlow.	r sele	ecting	an en	try, ː	you	can pr	oce	ed furtl	her by cl	ickiı	ng on tl	ne butt	ons be-
Screen Access	of the be conformed and the co	e Acconside alid in the case of the results of the case of the results of the case of the	count lered inform e Data sh A eleva nation e Data s Refe	ts or the valid in ation:  a >> Reccount references subjection and subjection and subjection are references and subjection are references are	efere s Cr eren	forne sychological	Data > Policy Po	> A ay > nee ation	ccounts > Click ded)  :: allidation		s val	idation Data >> th butto	NCB I on (afte	r input- Reject
Screenshot	10 🔻		pant Cash Accoun	-				Page 1						Qzz () 聞 * 🗟
	ACCOUNT Referen ABVRADC1016 ABVRADC2016	ACCOUNT OWNE ABVR016	ABVR016	AT0000003888151	ABVRADC1016	EUR	rrend⊋ Account Type ◆ ⊊ T2S Dedicated Ca	Is Active 0 Yes	♥ Validity Start Dat	Validity End Date Update Date 05/09/2021 1	1:14. STP	ABVRADC1016	05/09/2021 11:14	Activation R Account Owner  ABVRATBIS16
	ABVRADC2016 ABVRADCA005	ABVR016 ABVR005	ABVR016 ABVR005	AT0000003888151 AT0000003888151		EUR	T2S Dedicated Ca T2S Dedicated Ca		05/09/2021	05/09/2021 1 07/09/2021 1		ABVRADC2016 ABVRADCA005	05/09/2021 11:19 07/09/2021 16:49	ABVRATBI216 ABVRATWW005
	ABVRADCA016	ABVR016	ABVR016	AT0000003888151	ABVRADCA016	EUR	T2S Dedicated Ca		05/09/2021	05/09/2021 1		ABVRADCA016	05/09/2021 10:52	ABVRATWW016
	ABVRATWW001 ABVRATWW002	ABVR001 ABVR002	ABVR001 ABVR002	AT0000003888151 AT0000003888151		EUR	CLM Main Cash A CLM Main Cash A		01/09/2021	01/09/2021 1		CLM MCA of ABV	31/08/2021 11:51	ABVRATWW001 ABVRATWW002
	ABVRATWW002	ABVR003	ABVR003	AT0000003888151		EUR	CLM Main Cash A		31/08/2021	31/08/2021 1		CLM MCA of ABV	31/08/2021 11:53	ABVRATWW002
	ABVRATWW004	ABVR004	ABVR004	AT0000003888151		EUR	CLM Main Cash A		31/08/2021	31/08/2021 1		CLM MCA of ABV	31/08/2021 11:56	ABVRATWW004
	ABVRATWW005	ABVR005 ABVR006	ABVR005 ABVR006	AT0000003888151		EUR	CLM Main Cash A		31/08/2021	31/08/2021 1		CLM MCA of ABV	31/08/2021 12:11	ABVRATWW005 ABVRATWW006
	ALTAITHIOGO	PART (MI)	AU119000	P. (10000000000101		Lori	Con Hall Cash A.	160	377-00 AUCT	31/08/2021	315	CON SECULO I ADV	J1709 AND 1212.	History Edit View

NCB Participa	NCB Participant Cash Account – List Screen				
Field	Description				
Account Ref-	Shows the NCB Participant Cash Account reference.				
erence	Displayed format is: Max. 35 characters.				
Account	Shows the name of the NCB Cash Account reference.				
Name	Displayed format is: Max. 255 characters.				
Account Servicer	Shows the RIAD Code that uniquely identifies the NCB or Cash Correspondent in the ECMS that is the servicer of the account from the possible values:				
	– EUR -> Refinancing NCB				
	- Non-EUR -> Cash Correspondent				
	Displayed format is: Max. 30 characters.				
Account Owner	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to from the possible values:				
	– NCB Participant				
	Displayed format is: Max. 30 characters.				
Account Owner (PSN)	Shows the Party Short Name of the Party in the ECMS to whom the account belongs to.				
	Displayed format is: Max. 35 characters.				



Account	Shows the BIC Code of the Account Owner.
Owner BIC	Displayed format: Max. 11 characters
Account	Shows the type of the NCB Participant Cash Account from the possible values:
Туре	- CLM Main Cash Account
	- CLM CB Account
	- T2S Dedicated Cash Account
	- Non-euro Cash Account
	- Contingency Account
Account Cur-	Shows the denomination currency of the account.
rency	Displayed: Max. 3 characters (SWIFT-x).
Account	Number of the Cash Account in CLM.
Number	Required: Max. 34 characters.
Validity Start	Shows the date from which the NCB Participant Cash Account is active.
Date	Displayed format is: DD/MM/YYYY.
Validity End	Shows the date from which the NCB Participant Cash Account is closed.
Date	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date. If
	the Account is active, this field is empty.
Update Date	Shows the date and time of the last update of the Account.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Account.
Is Active	Shows whether the NCB Participant Cash Account is active or not from the possible values:
	- Yes
	- No
After selecting	g a record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of an Account.
	Next screen:
	- NCB Participant Cash Account - History Screen.
Edit	This function enables you to edit an Account.
	Next screen:
	- NCB Participant Cash Account-Edit Screen.
View	This function enables you to access to the detailed information of an Account.
	Next screen:
	- NCB Participant Cash Account - Details Screen.
If the screen is when a record	s used in the 4 eyes validation context, the following buttons are also displayed I is selected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.



	Next screen:
	- NCB Participant Cash Account - History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	- NCB Participant Cash Account - Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	- NCB Participant Cash Account - Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

## 3.1.2.2.1.1.1 NCB Participant Cash Account – History Screen

Context of Usage		* *		ected NCB Participant Cash by clicking on the buttons				
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Accounts Reference Data &gt;&gt; NCB Participant Cash Accounts Create/Display &gt;&gt; Click on the Search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select an NCB Participant Cash Account &gt;&gt; Click on the History button</li> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Accounts Reference Data &gt;&gt; NCB Participant Cash Accounts Create/Display &gt;&gt; Click on the Search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select an NCB Participant Cash Account &gt;&gt; Click on the View button (NCB Cash Account - Details</li> </ul>							
	Screen)	Screen) >> Click on the History Button						
Screenshot	Is Active  Validy Start Date Censors Date Account Inferences Account Inferences Account Inferences Account Inferences Account Inferences Account Control Has Bill Type Regreed Account Type Additional Date3 Additional Date3 Additional Date3 Additional Date3 Additional Date3 Additional Field Ball Rec Bal	APPINAT WHOSE ELEMPTICAL GREEN \$1.17.28 45CS3  THE  STORY OF THE STORY	ADVIATIVACIO I CARD ST. 16 OL 21 6 NDZ  182 010900201 310000201 16 OL 21 6 700 ADVIATIVACIO ILLA ADVIATIVACIO ILLA ADVIATIVACIO ILLA ADVIATIVACIO ILLA CONT. WINTEGE SPECIES CONTEGE SEC. CIENT.CESTACO NS CLIM Main Cash Account	APPONT PRODUCTION  APPONT PRODUCTION  NO  310062021 310062021 310062021 310062021 310062021 310062021 310062021 310062021 3106202				



Bank Id			
Bban			
Being Deactivated	No	No	No
Beneficial Owner Type			
Account Owner BIC	ABVRATWW001	ABVRATWW001	ABVRATWW001
Billing Cash Account			
Billing Currency	EUR	EUR	EUR
Branch			
Business Activity			
Ceiling Amount			
Comment			
Control Digit			
Account Currency	EUR	EUR	EUR
Deactivated Permanently	No	No	No
External T2S Reference			
Floor Amount			
Account Number			
Immediate Bill Payment	No	No	No
Is Blocked	No	No	No
Notification Profile			
Opening Date	31/08/2021	31/08/2021	31/08/2021
Payment Method			
Private Key			
Regional Settings			
Rejection Reason			
Reporting Profile			
Repository			
Validation Status			
Account Name	CLM MCA of ABVR001	CLM MCA of ABVR001	CLM MCA of ABVR001
Acc Long Name			
Creation User Id	STP	STP	STP
(3) difference(s) found.			

NCB Participant Cash Account – History Screen				
Field	Description			
Fields	Shows the fields whose information have been updated since the creation of the selected NCB Participant Cash Account.			
Timestamp	Shows the date and time when the fields have been updated.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Description			
Compare	Shows the differences between the selected records whose data have been updated.			
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected NCB Participant Cash Account.			
Show All	Shows the information that existed before each modification of all the fields regarding the selected NCB Participant Cash Account.			
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected NCB Participant Cash Account.			
Show Differ- ences Only	Shows only the fields whose information have been updated since the creation of the selected NCB Participant Cash Account.			

## 3.1.2.2.1.2 NCB Participant Cash Account – Details Screen

Context of Usage	This screen displays detailed information on the selected NCB Participant Cash Accounts. You can check the data and proceed further by clicking on the buttons.
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:  Reference Data >> Reference Data >> Accounts Reference Data >> NCB Participant Cash Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant Cash Account >> Click on the View button
	For information subject to 4 eyes validation:



Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> NCB Participant Cash Account >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant Cash Account >> Click on the View button

Screenshot

\*\*Coreenshot\*\*

\*\*Coreenshot

NCB Participa	ant Cash Account – Details Screen
Button	Description
History	This function enables you to access to the historical information of an NCB Participant Cash Account.
	Next screen:
	- NCB Participant Cash Account - History Screen.
General Infor	mation
Field	Description
Account Ref-	Shows the unique NCB Participant Cash Account reference.
erence	It is unique per account servicer.
	Displayed format is: Max. 35 characters.
Account	Shows the name of the NCB Participant Cash Account.
Name	Displayed format is: Max. 255 characters.
Account Owner	Shows the Internal Identifier that uniquely identifies the Party in the ECMS to whom the account belongs to from the possible values:
	- NCB Participant
	Displayed format is: Max. 30 characters.
Account Owner RIAD	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs
Code	Required format is: Max. 30 characters.
Account	Shows the BIC Code of the Account Owner.
Owner BIC	Displayed format is: Max. 11 characters.
Account Servicer	Shows the RIAD Code that uniquely identifies the NCB or Cash Correspondent in the ECMS that is the servicer of the account from the possible values:
	– EUR -> Refinancing NCB
	- Non-EUR -> Cash Correspondent
	Displayed format is: Max. 30 characters.
Account Type	Shows the type of the NCB Participant Cash Account from the possible values:



	- CLM Main Cash Account					
	- CLM CB Account					
	- T2S Dedicated Cash Account					
	<ul> <li>Non-euro Cash Account</li> </ul>					
	- Contingency Account					
	Number of the Cash Account in CLM.					
Number <sub>I</sub>	Required: Max. 34 characters.					
	Shows the denomination currency of the account.					
rency	Displayed: Max. 3 characters (SWIFT-x).					
Activation Det	ails					
Is Active S	Shows whether the account is active or not. The possible values are:					
	- Yes					
	- No					
	Shows the reason informed when the NCB Participant Cash Account was (de)activated.					
Validity Start S	Shows the date from which the NCB Participant Cash Account is active.					
Date	Displayed format is: DD/MM/YYYY					
Validity End	Shows the date from which the Account is closed.					
Date	Displayed format is: DD/MM/YYYY.					
	The Validity End Date must be equal to or later than the Validity Start Date. If the Account is active, this field is empty.					
Validation Det	tails					
Action To S Validate	Shows if there is any action waiting for validation.					
	Shows if any action subject to validation has been rejected. The possible values are:					
	- Yes					
	- No					
Footprint						
Updater User S	Shows the identification of the user that made the last update.					
Update Date S	Shows the date and time of the Account last update.					
Г	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Creation S User Id	Shows the identification of the user that created the data.					
Creation S	Shows the date and time of the Account creation.					
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					

## 3.1.2.3 External Asset Account – Create Display

### 3.1.2.3.1 External Asset Account – Search Screen



Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for External Asset Accounts. The search results will be displayed in the External Asset Accounts – Li Screen.	st
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information subject:  Reference Data >> Reference Data >> Accounts Reference Data >> External Asse Account  For information subject to 4 eyes validation:	
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> External Asset Account	
Screenshot	Create / Dipplay: External Asset Account  Account Reference **	* A

<b>External Asse</b>	t Accounts – Search Screen					
Field	Description					
Account Ser- vicer	Enter/Select the RIAD Code that uniquely identifies the CSD in the ECMS that is the servicer of the account from the possible values:					
	- A CSD flagged as local for the Refinancing NCB					
	- An NCB acting as NCB Custodian					
	Required format is: Max. 30 characters.					
Account Owner	Enter the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to, and also the "Party Short Name" from the possible values:					
	- The Refinancing NCB					
	- A NCB Participant with Entity Type equal to Counterparty.					
	Required format is: Max. 70 characters.					
Account Ref-	Enter the External Asset Account reference.					
erence	It is unique per account servicer.					
	Required format is: Max. 35 characters.					
Account	Enter the name of the External Asset Account.					
Name	Required format is: Max. 255 characters.					
T2S Account	Enter the BIC code of the T2S account owner in T2S system.					
Owner BIC	Required format is: Max. 11 characters					
Account	Enter/Select the type of the External Asset Account from the possible values:					
Type	- ECMS Cross NCB Asset Account (mirror)					
	- T2S Securities Account for Regular Collateral					
	- T2S Securities Account for Triparty Collateral					



	- T2S Securities Account for Intraday Auto-Collateral
Asset Cat-	[Only for ECMS Cross NCB Asset Account (mirror)]
egory	Enter/Select the category of the assets held in the ECMS Cross NCB Asset Account (mirror) from the possible values:
	- Marketable Assets Account
	- Credit Claims Account
Is Active	Enter/Select whether the External Asset Account is active or not from the possible values:
	- Yes
	- No
Creation Date	Enter the reference date of the creation of the Account. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Validity Start Date	Enter the reference date of the activation of the Account. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Validity End	Enter the reference date of the closing of the Account or use the calendar icon.
Date	Required format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Account. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new External Asset Account.
	Next screen:
	– External Asset Account – Create Screen.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the List Screen.
	Next screen:
	– External Asset Account – List Screen

## 3.1.2.3.1.1 External Asset Account – List Screen

Context of Usage	This screen contains the search results regarding the External Asset Accounts displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting).  After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:



	Reference Data >> Accounts Reference Data >> External Asset Accounts Create/ Display >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed)  For information subject to 4 eyes validation:  Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> External Asset Accounts >> Click on the <i>Search</i> button														
Screenshot	Search Result :	External Asset Ac	count				K < Page 1	of3 > >						Q 22 (	
	Account Reference	Account Name \$ 5	Account Owner # 7	Account Owner (P2	Account Servicer (	Account Servicer (		Account Type ©	Asset Category \$	Is Active ¢	Validity Start Date	Validity End Date ♥	Creation Date	Update Date • 😙	Updater User Id ♥▽
	ABV9001	ABVRT2SSAC001	ABVR001		Custodian AT	AT9900	AEVRATWW001	T2S Securities Acc		Yes	01/09/2021		31/08/2021 11:04:5	01/09/2021 14:20:4	STP
	ABVRT2SSAC002	ABVRT2SSAC002	ABVR002		Custodian AT	AT9900	ABVRATWW002	T2S Securities Acc		Yes	01/09/2021	30/12/9999	31/08/2021 11:08:2	20/09/2021 16:14:3	STP
	ABVRT2SSAC003	ABVRT2SSAC003	ABVR003		Custodian AT	AT9900	ABVRATWW003	T2S Securities Acc		Yes	01/09/2021		31/08/2021 11:09:5	01/09/2021 14:17:2	STP
	ABVRT2SSAC004	ABVRT2SSAC004	ABVR004		Custodian AT	AT9900	ABVRATWW004	T2S Securities Acc		Yes	01/09/2021		31/08/2021 11:11:4	01/09/2021 14:21:3	STP
	ABVRT2SSAC005	ABVRT2SSAC005	ABVR005		Custodian AT	AT9900	ABVRATWW005	T2S Securities Acc		Yes	01/09/2021		31/08/2021 11:12:3	01/09/2021 14:21:4	STP
	ABVRT2SSAC006	ABVRT2SSAC006	ABVR006		Custodian AT	AT9900	ABVRATWW006	T2S Securities Acc		Yes	01/09/2021		31/08/2021 11:30:2	01/09/2021 14:21:5	STP
	ABVRT2SSAC007	ABVRT2SSAC007	ABVR007		Custodian AT	AT9900	ABVRATWW007	T2S Securities Acc		Yes	01/09/2021		31/08/2021 11:32:1	01/09/2021 14:22:2	STP
	ABVRT2SSAC008	ABVRT2SSAC008	ABVROOS		Custodian AT	AT9900	ABVRATWW008	T2S Securities Acc		Yes	01/09/2021		31/08/2021 12:53:2	01/09/2021 14:22:3	STP
	ABVRT2SSAC009	ABVRT2SSAC009	ABVR009		Custodian AT	AT9900	ABVRATWW009	T2S Securities Acc		Yes	01/09/2021		31/08/2021 12:54.0	01/09/2021 14:22:4	STP
	ABVRT2SSAC010	ABVRT2SSAC010	ABVR010		Custodian AT	AT9900	ABVRATWW010	T2S Securities Acc		Yes	01/09/2021		31/08/2021 12:59:5	01/09/2021 14:23:0	STP
														History	Edit © View

<b>External Asse</b>	t Account – List Screen
Field	Description
Account Ref-	Shows the External Asset Account reference.
erence	Displayed format is: Max. 35 characters.
Account Ser-	Shows the RIAD Code that uniquely identifies the CSD in the ECMS that is the
vicer	servicer of the account.
	Displayed format is: Max. 30 characters.
Account Servicer (PSN)	Shows the Party Short Name of the Party in the ECMS to that is the servicer of the account.
	Displayed format is: Max. 35 characters.
Account Owner	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to, and also the "Party Short Name"
	Displayed format is: Max. 70 characters.
Account	Shows the Party Short Name of the Party in the ECMS to whom the account be-
Owner	longs to.
(PSN)	Displayed format is: Max. 35 characters.
Account	Shows the name of the External Asset Account.
Name	Displayed format is: Max. 255 characters.
T2S Account	Shows the BIC code of the T2S account owner in T2S system.
Owner BIC	Displayed format is: Max. 11 characters
Account	Shows the type of the External Asset Account from the possible values:
Type	- ECMS Cross NCB Asset Account (mirror)
	- T2S Securities Account for Regular Collateral
	- T2S Securities Account for Triparty Collateral
	- T2S Securities Account for Intraday Auto-Collateral
Asset Cat-	[Only for ECMS Cross NCB Asset Account (mirror)]
egory	Shows the category of the assets held in the ECMS Cross NCB Asset Account (mirror) from the possible values:
	- Marketable Assets Account
	- Credit Claims Account



Validity Start	Shows the date from which the External Asset Account is active.					
Date	Displayed format is: DD/MM/YYYY					
Validity End	Shows the date from which the External Asset Account is closed.					
Date	Displayed format is: DD/MM/YYYY.					
	The Validity End Date must be equal to or later than the Validity Start Date. If					
	the Account is active, this field is empty.					
Creation	Shows the date and time of the creation of the Account.					
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs					
Update Date	Shows the date and time of the last update of the Account.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs					
Updater	Shows the identification of the user that made the last update of the Account.					
User Id						
Is Active	Shows whether the External Asset Account is active or not from the possible values:					
	- Yes					
	- No					
After selectin	g a record, the following buttons are displayed					
Button	Function					
History	This function enables you to access to the historical information of an Account.					
	Next screen:					
	External Asset Account – History Screen.					
Edit	This function enables you to edit an Account.					
	Next screen:					
	External Asset Account – Edit Screen.					
View	This function enables you to access to the detailed information of an Account.					
	Next screen:					
	External Asset Account – Details Screen.					
If the screen i	s used in the 4 eyes validation context, the following buttons are also displayed d is selected					
Button	Function					
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.					
	Next screen:					
	External Asset Account – History Screen.					
View Draft	This function enables the user to view the draft record to be validated.					
	Next screen:					
	External Asset Account – Details Screen.					
Edit	This function allows the user to edit and modify the draft.					
	Next screen:					
	External Asset Account – Edit Screen.					
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system					
	,					



Reject	This function allows the user to reject the creation or update of the record. The	
	draft will be deleted.	

## 3.1.2.3.1.1.1 External Asset Account – History Screen

Context of Usage	This screen displays historical information on the selected External Asset Account. You can check the data and proceed further by clicking on the buttons below.							
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Accounts Reference Data &gt;&gt; External Asset Accounts Create/Display &gt;&gt; Click on the Search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select an External Asset Account&gt;&gt; Click on the History button</li> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Accounts Reference Data &gt;&gt; External Asset Accounts Create/Display &gt;&gt; Click on the Search button (after inputting</li> </ul>							
	the relevant Reference Data, if needed) >> Select an External Asset Account							
	>> Click on the View button (External Asset Account – Details Screen) >> Click on the History Button							
Screenshot	History: External Asset Account  Fests  Account Service  1.2 Account Service  1.3 Account Service  1.4 Account Manual  Account Helenore  Account Hame  Account Hame  Account Type  Valsing you to be  Account Type  Valsing you to be  Valsing yo			CONCIDENTAL COMPANY  CONCIDENT				

<b>External Asse</b>	External Asset Account – History Screen				
Field	Description				
Fields	Shows the fields whose information have been updated since the creation of the selected External Asset Account.				
Timestamp	Shows the date and time when the fields have been updated.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Button	Description				
Compare	Shows the differences between the selected records whose data have been updated.				
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected External Asset Account.				
Show All	Shows the information that existed before each modification of all the fields regarding the selected External Asset Account.				
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected External Asset Account.				
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected External Asset Account.				



## 3.1.2.3.1.2 External Asset Account – Details Screen

Context of Usage	This screen displays detailed information on the selected External Asset Accounts. You can check the data and proceed further by clicking on the buttons.				
Screen Access	tion or the information that sidered valid in the system	to show the valid informa- before they can be con-			
	For valid information:				
	Reference Data >> Reference Data >> Accounts Reference Data >> External Asset Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account >> Click on the View button				
	For information subject to 4 e	yes validation:			
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> External Asset Account >> Click on the search but ton (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account >> Click on the View button				
Screenshot	Search Result : External Asset Account   > View: External Asset Account		History: 🔼		
Screenshot	Account Enference ANNIXITSANA002  Account Service AT996C-montes AT  Validity Start Date 6599001	Account vision of AMTRITISACANG T25 Account Comer BIC ARTRATIVE BIO Validity End Date	Account Owner ARTHOL ACCOUNT Type T23 Securities Account for Regular Collaborat		
	Validation Debials  Action To Validate  Rejected  Ne	Activation Details  Is Active  You			
	Foot Print		<b>8</b>		
	Creation Date 05/10/2021 14:59:22:453	Creation User Id STP	Update Date: 05:00   0		
	Updated blant lid STP				

External Asset Account – Details Screen		
Button	Description	
History	This function enables you to access to the historical information of an External Asset Account.	
	Next screen:	
	– External Asset Account – History Screen.	
General Info	rmation	
Field	Description	
Account Ref-	Shows the unique External Asset Account reference.	
erence	Displayed format is: Max. 35 characters.	
Account	Shows the name of the External Asset Account.	
Name	Displayed format is: Max. 255 characters	
Account Servicer	Shows the RIAD Code that uniquely identifies the CSD in the ECMS that is the servicer of the account.	
	Displayed format is: Max. 30 characters.	
Account Owner	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to, and also the "Party Short Name".	
	Displayed format is: Max. 70 characters.	



T2S Account	Shows the BIC Code of the T2S Account Owner in T2S system.				
Owner BIC	Displayed format is: Max. 11 characters.				
Account	Shows the type of the External Asset Account from the possible values:				
Туре	- ECMS Cross NCB Asset Account (mirror)				
	- T2S Securities Account for Regular Collateral				
	- T2S Securities Account for Triparty Collateral				
	- T2S Securities Account for Intraday Auto-Collateral				
Asset Cat-	[Only for ECMS Cross NCB Asset Account (mirror)]				
egory	Shows the category of the assets held in the ECMS Cross NCB Asset Account (mirror) from the possible values:				
	- Marketable Assets Account				
	- Credit Claims Account				
Is Active	Shows whether the account is active or not. The possible values are:				
	- Yes				
	- No				
Validity Start	Shows the date from which the External Asset Account is active.				
Date	Displayed format is: DD/MM/YYYY				
Validity End	Shows the date from which the Account is closed.				
Date	Displayed format is: DD/MM/YYYY				
	The Validity End Date must be equal to or later than the Validity Start Date. If the Account is active, this field is empty.				
Validation D	etails				
Action To Validate	Shows if there is any action waiting for validation.				
Rejected	Shows if any action subject to validation has been rejected. The possible values are:				
	- Yes				
	- No				
Footprint					
Updater User Id	Shows the identification of the user that made the last update.				
Update Date	Shows the date and time of the Account last update.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs				
Creation User Id	Shows the identification of the user that created the data				
Creation	Shows the date and time of the Account creation.				
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs				



### 3.1.2.4 Asset - Cash Account Link

### 3.1.2.4.1 Internal Asset Account / External Cash Account Links

## 3.1.2.4.1.1 Internal Asset Account / External Cash Account Links Create / Display

## 3.1.2.4.1.1.1 Internal Asset Account / External Cash Account Link – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Internal Asset Account / External Cash Account Links.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Links or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:  Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Accounts Link >> Internal Asset Account / External Cash Account Link Create/ Display
	For information subject to 4 eyes validation:
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Validate7reject Asset-Cash Accounts Link/Internal Asset Account / External Cash Account Links
Screenshot	tense Acoset / Toesner Cann Account is 0  Creater / Display: Internal Account Account Link
	terenal Asset Account Ref    Validity tand Date   Validity ford Date   Validity ford Date   Validity ford Date

Internal Asset Account / External Cash Account Links – Search screen		
Field	Description	
Internal Asset Account Ref.	Enter / Select the Internal Asset Account reference that identifies the account to whom the link between Internal Asset Account and Cash Account applies.  Required format is: Max. 35 characters.	
NCB Parti- cipant	Enter / Select the RIAD Code that uniquely identifies the Party in the ECMS.	
Currency	Enter / Select the currency of the cash payment. The magnifier icon can also be used.  Required format is: Max. 3 characters (SWIFT-x).	
Creation Date	Enter the reference date of the creation of the Internal Asset Account / External Cash Account Link or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:µs	
Validity Start Date	Enter the date from which the Internal Asset Account / External Cash Account Link is valid. The calendar icon can also be used.  Required format is: DD/MM/YYYY.	



1

Validity	Enter the date from which the Internal Asset Account / External Cash Account	
End Date	Link is not valid anymore or use the calendar icon.	
	Required format is: DD/MM/YYYY.	
	The Validity End Date must be equal to or later than the Validity Start Date.	
Update Date	Enter the reference date of the last update of the Internal Asset Account / External Cash Account Link or use the calendar icon.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Button	Function	
Reset	This function enables you to clear the form.	
Create	This function enables you to create a new Internal Asset Account / External Cash Account Link.	
	Next screen:	
	– Internal Asset Account / External Cash Account Link – Create Screen.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	
	Next screen:	
	– Internal Asset Account / External Cash Account Link – List Screen	

#### 3.1.2.4.1.1.1. Internal Asset Account / External Cash Account Link – List Screen

Context of Usage	values	of the 'l		ate′ colı	ımn in d	escendir	ng ord	er (defaul	t setti	rted by the ng). After below.
Screen Access	of the	Depending how the screen is accessed, it can be used to list the valid information of the Links or the information that requires 4 eyes validation before they can be considered valid in the system.								
	For valid information:									
	Accounts Link >> Internal Asset Account / External Cash Account Link Create/ Display >> Click on the search button (after inputting the relevant Reference Data, if needed)  For information subject to 4 eyes validation:									
			,	4 eyes v	alidation	:				
	For inj	formation	subject to				/ Reied	ction >> V	alidat	te / Reiect
	For ing Refere Accou	formation ence Data ents Refe	subject to a >> Refer	ence Da a >> Va	nta >> Va lidate/rej	lidation ject Asse	t-Cash	Account	s Linl	k>>Internal
Screenshot	For ing Refere Accou Asset	formation ence Data ents Refe	subject to a >> Reference Dat :/Externa	ence Da a >> Va	nta >> Va lidate/rej Account	lidation ject Asse Links >>	t-Cash	Account	s Linl	k>>Internal outton
Screenshot	For ing Refere Accou Asset	formation ence Data ints Refe Account	a >> Reference Date // Externa	ence Da a >> Va l Cash A	nta >> Va lidate/rej Account	lidation ject Asse Links >>	t-Cash Click	n Account on the sea	s Linl arch b	k>>Internal putton
Screenshot	For ing Refere Accou Asset	formation ence Data ints Refe Account	a >> Reference Date // Externa	ence Da a >> Va	nta >> Va lidate/rej Account	lidation ject Asse Links >>	t-Cash	Account	s Linl	k>>Internal outton
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Internal Asset Account / External Cash Account Link – List Screen		
Field	Description	



Internal Asset Account Ref.	Shows the Internal Asset Account reference that identifies the account to whom the link between Internal Asset Account and Cash Account applies.
	Displayed format is: Max. 35 characters.
NCB Parti- cipant	Shows the RIAD Code that uniquely identifies the Party in the ECMS.
Currency	Shows the currency of the cash payment.
	Displayed format is: Max. 3 characters (SWIFT-x).
Source Cash	Shows the reference of the NCB Cash Account from where the payment is made.
Account	Displayed format is: Max. 35 characters
Destination Cash Account	Shows the reference of the NCB Participant Cash Account where the payment is made.
	Displayed format is: Max. 35 characters
Validity Start Date	Shows the date from which the Internal Asset Account -External Cash Account Link is valid.
	Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Internal Asset Account - External Cash Account Link is not valid anymore.
	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Shows the date and time of the last update of the Internal Asset Account - External Cash Account Link.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Internal Asset Account / External Cash Account Link.
After selecting	a record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of an Internal Asset Account / External Cash Account Link.
	Next screen:
	– Internal Asset Account / External Cash Account Link – History Screen.
Edit	This function enables you to edit an Internal Asset Account / External Cash Account Link.
	Next screen:
	– Internal Asset Account / External Cash Account Link – Edit Screen.
View	This function enables you to access to the detailed information of an Internal Asset Account / External Cash Account Link.
	Next screen:
	– Internal Asset Account / External Cash Account Link – Details Screen.
If the screen is when a record	used in the 4 eyes validation context, the following buttons are also displayed is selected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:



	- Internal Asset Account / External Cash Account Link - History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	– Internal Asset Account / External Cash Account Link – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	- Internal Asset Account / External Cash Account Link - Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The
Tiejeet	draft will be deleted.

## 3.1.2.4.1.1.1. Internal Asset Account / External Cash Account Link – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Internal Asset Account / External Cash Account Link. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Accounts Reference Data &gt;&gt; Asset –         Cash Accounts Link &gt;&gt; Internal Asset Account / External Cash Account Link         Create/Display &gt;&gt; Click on the search button (after inputting the relevant         Reference Data, if needed) &gt;&gt; Select an Internal Asset Account / External         Cash Account Link &gt;&gt; Click on the History button</li> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Accounts Reference Data &gt;&gt; Asset –         Cash Accounts Link &gt;&gt; Internal Asset Account / External Cash Account Link         Create/Display &gt;&gt; Click on the search button (after inputting the relevant         Reference Data, if needed) &gt;&gt; Select an Internal Asset Account / External         Cash Account Link &gt;&gt; Click on the View button (Internal Asset Account / External         Cash Account Link - Details Screen) &gt;&gt; Click on the History Button</li> </ul>
Screenshot	horizontalificatory/fiesult: Preferred Clerk Cash Account SLA History  Q

Internal Asset Account / External Cash Account Link – History Screen		
Field	Description	
Fields	Shows the fields whose information have been updated since the creation of the selected Internal Asset Account / External Cash Account Link.	
Timestamp	Shows the date and time when the fields have been updated.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Button	Description	
Compare	Shows the differences between the selected records whose data have been updated.	
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Internal Asset Account / External Cash Account Link.	



	Shows the information that existed before each modification of all the fields regarding the selected Internal Asset Account / External Cash Account Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Internal Asset Account / External Cash Account Link.
	Shows only the fields whose information have been updated since the creation of the selected Internal Asset Account / External Cash Account Link.

#### 3.1.2.4.1.1.1. Internal Asset Account / External Cash Account Link – Details Screen

2

Context of Usage		h Account Link. You ca	on the selected Internal A an check the data and pr			
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.					
	For validation information:					
	Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Account Link >> Internal Asset Account / External Cash Account Link Create/ Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an Internal Asset Account / External Cash Account Link >> Click on the <i>View</i> button					
	For information subject to 4 eyes validation:					
	Accounts Reference ternal Asset Account	Data >> Validate / Reje t / External Cash Accor	ation / Rejection >> Valid ect Asset – Cash Account unt Links >> Click on the external Cash Account Li	ts link >> In- e search but-		
Screenshot	Internal Asset Account / External Cash Account Link					
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	Results			<u>□</u>		
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	Source Cash Account	ARTX.		<b>a</b>		
	Source Cato Account  NABALTWEXXX  Validation Details	ASPAR Projects No	od Tod Date	5		

Internal As	sset Account / External Cash Account Link – Details Screen
Button	Description
History	This function enables you to access to the historical information of an Internal Asset Account / External Cash Account Link.
	Next screen:
	- Internal Asset Account / External Cash Account Links - History Screen.



Context					
Field	Description				
Internal Asset Account Ref.	Shows the Internal Asset Account reference that identifies the account to whom the link between Internal Asset Account and Cash Account applies.				
	Displayed format is: Max. 35 characters.				
NCB Parti- cipant	Shows the RIAD Code that uniquely identifies the Party in the ECMS.				
Currency	Shows the currency of the cash payment.				
	Displayed format is: Max. 3 characters (SWIFT-x).				
Result					
Source Cash Account	Shows the reference of the NCB Cash Account from where the payment is made. Displayed format is: Max. 35 characters				
Destination Cash Account	Shows the reference of the NCB Participant Cash Account where the payment is				
	Displayed format is: Max. 35 characters				
Validity Start Date	Shows the date from which the Internal Asset Account / External Cash Account Link is valid.				
	Displayed format is: DD/MM/YYYY.				
Validity End Date	Shows the date from which the Internal Asset Account / External Cash Account Link is not valid anymore.				
	Displayed format is: DD/MM/YYYY.				
	The Validity End Date must be equal to or later than the Validity Start Date.				
Validation de	tails				
Action To Validate	Shows if there is any action waiting for validation.				
Rejected	Shows if any action subject to validation has been rejected. The possible values are:  - Yes - No				
Foot Print					
Creation Date	Shows the date and time of the creation of the Internal Asset Account / External Cash Account Link.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Creation User Id	Shows the identification of the user that created the Internal Asset Account / External Cash Account Link.				
Update Date	Shows the date and time of the update of the Internal Asset Account / External Cash Account Link.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Updater User Id	Shows the identification of the user that made the last update of the Internal Asset Account / External Cash Account Link.				



### 3.1.2.4.2 External Asset Account / External Cash Account Links

## 3.1.2.4.2.1 External Asset Account / External Cash Account Links Create / Display

## 3.1.2.4.2.1.1 External Asset Account / External Cash Account Link – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the External Asset Account / External Cash Account Links.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Links or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:
	Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Accounts Link >> External Asset Account / External Cash Account Link Create/ Display
	For information subject to 4 eyes validation:  Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Validate / Reject Asset-Cash Accounts link >> External Asset Account / External Cash Account Link
Screenshot	Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Control of Cash Account (Info  Create / Display: External Asset Account Fidural Cash Account (Info  Control of Cash Cash Account (Info  Control of Cash Cash Cash (Info  Control of Cash Cash Cash (Info  Control of Cash (Info  Con

External Asset Account / External Cash Account Link – Search screen		
Field	Description	
External Asset Account Ref.	Enter / Select the External Asset Account reference that identifies the account to whom the link between Internal Asset Account and Cash Account applies.  Required format is: Max. 35 characters.	
Currency	Enter / Select the currency of the cash payment. The magnifier icon can also be used.	
	Required format is: Max. 3 characters (SWIFT-x).	
Destination Cash Account	Enter / Select the identifier of the NCB Cash Account where the payment is made.	
	Required format is: Max. 35 characters	
Creation Date	Enter the reference date of the creation of the External Asset Account / External Cash Account Link or use the calendar icon.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Validity Start Date	Enter the date from which the External Asset Account - External Cash Account Link is valid. The calendar icon can also be used.	
	Required format is: DD/MM/YYYY.	



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Validity End Date	Enter the date from which the External Asset Account - External Cash Account Link is not valid anymore or use the calendar icon.		
	Required format is: DD/MM/YYYY.		
	The Validity End Date must be equal to or later than the Validity Start Date.		
Update Date	Enter the reference date of the last update of the External Asset Account - External Cash Account Link or use the calendar icon.		
	Required format is: DD/MM/YYYY hh:mm:ss:µs		
Button	Function		
Reset	This function enables you to clear the form.		
Create	This function enables you to create a new External Asset Account / External Cash Account Link.		
	Next screen:		
	– External Asset Account / External Cash Account Link – Create Screen.		
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.		
	Next screen:		
	– External Asset Account / External Cash Account Link – List Screen.		

#### 3.1.2.4.2.1.1. External Asset Account / External Cash Account Link – List Screen

cess of constant of the consta	of the Lir considered for valid a Reference Account Display > Data, if n Cor inforn	nks or the ed valid ir information e Data >> : Link >> E: >> Click or eeded) mation subj	information in the system in: Reference Dixternal Assent the search	n that required that >> Accourage button (a	uires 4 eye ccounts Re nt / Externa after input!	s validati ference D al Cash A	ion before Data >> As ccount Lii	nk Create/
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•	Search Result : External Asset Account-External Cash Account links  11 * Q 10 () 01 * S							
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External Asset Account / External Cash Account Link – List Screen	
Field	Description



External Asset Account	Shows the External Asset Account reference that identifies the account to whom the link between External Asset Account and Cash Account applies.					
Ref.	Displayed format is: Max. 35 characters.					
Currency	Shows the currency of the cash payment.					
	Displayed format is: Max. 3 characters (SWIFT-x).					
Destination	Shows the identifier of the NCB Cash Account where the payment is made.					
Cash Account	Displayed format is: Max. 35 characters					
Validity Start Date	Shows the date from which the External Asset Account / External Cash Account Link is valid.					
	Displayed format is: DD/MM/YYYY.					
Validity End Date	Shows the date from which the External Asset Account / External Cash Account Link is not valid anymore.					
	Displayed format is: DD/MM/YYYY.					
	The Validity End Date must be equal to or later than the Validity Start Date.					
Update Date	Shows the date and time of the last update of the External Asset Account / ternal Cash Account Link.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Updater User Id	Shows the identification of the user that made the last update of the External Asset Account / External Cash Account Link.					
After selecting	; a record, the following buttons are displayed					
Button	Function					
History	This function enables you to access to the historical information of a External Asset Account/External Cash Account Link					
	Next screen:					
	– External Asset Account - External Cash Account Link – History Screen.					
Edit	This function enables you to edit an External Asset Account - External Cash Account Link.					
	Next screen:					
	– External Asset Account / External Cash Account Link – Edit Screen.					
View	This function enables you to access to the detailed information of an External Asset Account - External Cash Account Link.					
	Next screen:					
	– External Asset Account / External Cash Account Link – Details Screen.					
If the screen is when a record	used in the 4 eyes validation context, the following buttons are also displayed is selected					
Button	Function					
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.					
	Next screen:					
	– External Asset Account /-External Cash Account Link – History Screen.					
View Draft	This function enables the user to view the draft record to be validated.					
	Next screen:					
	– External Asset Account - External Cash Account Link – Details Screen.					



Edit	This function allows the user to edit and modify the draft.
	Next screen:
	- External Asset Account /-External Cash Account Link - Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

### 3.1.2.4.2.1.1.1. External Asset Account / External Cash Account Link – History Screen

		-
Context of Usage	ternal Assection	displays historical information that has changed on the selected Extaccount / External Cash Account Link. You can check the data, inuser who made the changes, and proceed further by clicking on the t are shown.
Screen Access	Cash A Create/ Referen Cash A - Referen Cash A Create/ Referen Cash A	ccounts Link >> External Asset Account / External Cash Account Link Display >> Click on the search button (after inputting the relevant cce Data, if needed) >> Select an External Asset Account / External ccount Link >> Click on the <i>History</i> button cce Data >> Reference Data >> Accounts Reference Data >> Asset — ccounts Link >> External Asset Account / External Cash Account Link Display >> Click on the search button (after inputting the relevant cce Data, if needed) >> Select an External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click on the View button (External Asset Account / External Ccount Link >> Click On the View button (External Asset Account / External Ccount / External Ccou
	ternai C	ash Account Link – Details Screen) >> Click on the History Button
Screenshot	norizontal-History/Result : Prefered h Pakea  Validay Start Date Rejected  Validay End Date Action To Validate Action To Validate Client Exercised Cash Account Currency  CSD/Cross NCID Destination Cash Account Booking Enternal Account fast Rejection Frazion Validation Status Crossion User di	Control Cash Account SLA History

External Asset Account / External Cash Account Link – History Screen			
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected External Asset Account / External Cash Account Link.		
Timestamp	Shows the date and time when the fields have been updated.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected External Asset Account / External Cash Account Link.		



Show All	Shows the information that existed before each modification of all the fields regarding the selected External Asset Account / External Cash Account Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected External Asset Account / External Cash Account Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected External Asset Account / External Cash Account Link.

#### 3.1.2.4.2.1.1. External Asset Account / External Cash Account Link – Details Screen

Context of	This career displays detailed information on the selected Euternal Asset As				
Usage	This screen displays detailed information on the selected External Asset Account / External Cash Account Link. You can check the data and proceed further by clicking on the buttons.				
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.				
	For valid information:				
	Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Account Link >> External Asset Account / External Cash Account Link Create/ Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account / External Cash Account Link >> Click on the View button				
	For information subject to 4 eyes validation:				
	Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Validate / Reject Asset – Cash Accounts link >> External Asset Account - External Cash Account Links >> Click on the search button >> Select an External Asset Account – External Cash Account Link >> Click on the View button				
Screenshot	6. Seemal Assert Account J Seemal Cash Account Link Creen/Originy O				
	Create / Display: External Asset Account-External Cash Account links  Search Result: External Asset Account-External Cash Account links > View: External Asset Account-External Cash Account links				
	Context  Commission Asset Account Ref  ATTSCORRS  EUR				
	result				
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	Validation Details				
	Action To Validate Reposed No				
	Foot Print				
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	577				
	.l				

External Asset Account / External Cash Account Link – Details Screen		
Field	Description	
History	This function enables you to access to the historical information of an External Asset Account / External Cash Account Link.	
	Next screen:	
	- External Asset Account / External Cash Account Links - History Screen.	
Context		



Object	Description	
External Asset Account Ref.	Shows the External Asset Account reference that identifies the account to whom the link between External Asset Account and Cash Account applies.	
	Displayed format is: Max. 35 characters.	
Currency	Shows the currency of the cash payment.	
	Displayed format is: Max. 3 characters (SWIFT-x).	
Result		
Destination Cash Account	Shows the identifier of the NCB Cash Account where the payment is made.	
	Displayed format is: Max. 35 characters	
Validity Start Date	Shows the date from which the External Asset Account / External Cash Account Link is valid.	
	Displayed format is: DD/MM/YYYY.	
Validity End Date	Shows the date from which the External Asset Account / External Cash Account Link is not valid anymore.	
	Displayed format is: DD/MM/YYYY.	
	The Validity End Date must be equal to or later than the Validity Start Date.	
Validation details		
Action To Validate	Shows if there is any action waiting for validation.	
Rejected	Shows if any action subject to validation has been rejected. The possible values are:	
	– Yes	
	- No	
Foot Print		
Creation Date	Shows the date and time of the creation of the External Asset Account / External Cash Account Link.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the External Asset Account / External Cash Account Link.	
Update Date	Shows the date and time of the update of the External Asset Account / External Cash Account Link.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the External Asset Account / External Cash Account Link.	
Destination Cash A	Account	
The existing Destin	ation Cash accounts are displayed	
Field	Description	
Destination Cash Account	Shows the identifier of the NCB Cash Account where the payment is made (in case of positive cash flow).	



## 3.1.3 Settlement Possibilities and Eligible CSD Links

The ECMS maintains a list of **Settlement Possibilities** that is used to determine the T2S Securities Accounts that receives the asset for the processing of a Marketable Asset Mobilisation Instruction received from a Counterparty. In case this account is opened in a CSD not belonging to the Counterparty's refinancing Central Bank community, the settlement possibility indicates also the Cross NCB Asset Account where the cross-border mobilisation is to be materialised.

#### 3.1.3.1 Settlement Possibilities

#### 3.1.3.1.1 Settlement Possibilities – Create / Display

#### 3.1.3.1.1.1 Settlement Possibilities – Search Screen

This screen contains a number of search fields. By inputting the relevant data, you can search for the settlement possibilities.				
The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.				
c- Depending how the screen is accessed, it can be used to search the valid information of the Settlement Possibilities or the information that requires 4 eyes valid tion before they can be considered valid in the system.				
For valid information:  Reference Data >> Settlement Possibilities and Eligible Links > Settlement Possibilities Reference Data Create/Display  For information subject to 4 eyes validation:				
Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Settlement Possibilities >> Settlement Possibilities				
Create / Display: Settlement Possibilities   S				

Settlement Possibilities – Search screen		
Field	Description	
Internal Asset Account	Enter / Select the Internal Asset Account reference on which the collateral is supposed to be mobilised.	
NCB Participant	Enter / Select the RIAD Code that identifies the NCB Participant in the ECMS to whom the settlement possibilities applies.	
NCB Participant Type	Enter / Select the type of NCB Participant to whom the settlement possibilities applies. The possible values are:	
	- Counterparties	
	- Cross NCB	
	– Non-euro area CB	
CSD	Enter / Select the RIAD Code of the CSD that applies for the Settlement Possibility.	
Creation Date	Enter the date and time of the creation of the Settlement Possibility.	



	Required format is: DD/MM/YYYY
Validity Start Date	Enter the date from which the Settlement Possibility is active. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Settlement Possibility is not valid anymore or use the calendar icon.
	Required format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Settlement Possibility. The calendar icon can also be used.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Settlement Possibility.
	Next screen:
	- Settlement Possibilities - Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	Next screen:

### 3.1.3.1.1.1 Settlement Possibilities – List Screen

Context of Usage	values of the '	ntains the search Update Date' colo ntry, you can prod	umn in descend	ling order (defau	lt setting). Åfter		
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Settlement Possibilities or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:						
	>> Settlement : button (after in For information Reference Data	a >> Reference Da Possibilities Refer inputting the relev a subject to 4 eyes v a >> Reference Da assibilities >> Settle	rence Data Crea vant Reference l validation: nta >> Validatio	nte/Display >> Cli Data, if needed) n / Rejection >> V	ck on the search		
Screenshot	Search Result : Settlement Possibilities	ssibilities >> Settle		iles >> Click off ti			
		Contest   Cont	C   Page   1 ats   N	Final	Quality         ()         <		

**Settlement Possibilities – List Screen** 



Field	Description			
Internal Asset Account	Shows the Internal Asset Account reference on which the collateral is supposed to be mobilised.			
NCB Participant	Shows the RIAD Code that identifies the NCB Participant in the ECMS to whom the settlement possibilities applies.			
NCB Participant Type	Shows the type of NCB Participant to whom the settlement possibilities applies. The possible values are:			
	- Counterparties			
	- Cross NCB			
	– Non-euro area CB			
CSD	Shows the RIAD Code of the receiving CSD that applies for the Settlement Possibility.			
Validity Start	Shows the date from which the Settlement Possibility is valid.			
Date	Displayed format is: DD/MM/YYYY.			
Validity End	Shows the date from which the Settlement Possibility is not valid anymore.			
Date	Displayed format is: DD/MM/YYYY.			
	The Validity End Date must be equal to or later than the Validity Start Date.			
Creation Date	Shows the date and time of the creation of the Settlement Possibility.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Update Date	Shows the date and time of the last update of the Settlement Possibility.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater User Id	Shows the identification of the user that made the last update of the Settlement Possibility.			
After selecting a 1	record, the following buttons are displayed			
Button	Function			
History	This function enables you to access to the historical information of a Settlement Possibility.			
	Next screen:			
	– Settlement Possibility – History Screen.			
Edit	This function enables you to edit a Settlement Possibility.			
	Next screen:			
	<ul> <li>Settlement Possibility – Edit Screen.</li> </ul>			
View	This function enables you to access to the detailed information of a Settlement Possibility.			
	Next screen:			
	- Settlement Possibilities - Details Screen.			
If the screen is us when a record is	ed in the 4 eyes validation context, the following buttons are also displayed selected			
Button	Function			
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.  Next screen:			
i				



	- Settlement Possibilities - History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	– Settlement Possibilities – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	– Settlement Possibilities – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record.  The draft will be deleted.

## 3.1.3.1.1.1. Settlement Possibilities – History Screen

Context of	This screen displays historical information on the selected Settlement Possibility.						
Usage	You can check the data and proceed further by clicking on the buttons below.						
Screen Access	<ul> <li>Reference Data &gt;&gt; Settlement Possibilities and Eligible         Links &gt;&gt; Settlement Possibilities Reference Data Create/Display &gt;&gt; Click on         the Search button (after inputting the relevant Reference Data, if needed) &gt;&gt;         Select a Settlement Possibility &gt;&gt; Click on the History button</li> </ul>						
	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Settlement Possibilities and Eligible         Links &gt;&gt; Settlement Possibilities Reference Data Create/Display &gt;&gt; Click on         the Search button (after inputting the relevant Reference Data, if needed) &gt;&gt;         Select a Settlement Possibility &gt;&gt; Click on the View button (Settlement Possibilities - Details Screen) &gt;&gt; Click on the History Button</li> </ul>						
C 1 1	History : Settlement Possibilitie	es ,			^		
Screenshot				Q 3 🛍 失 E	ispand All Compare		
	Fields	♥ 29999002 2021-09-20 16:09:51.764 3	▽ 29999002(2021 09 20 16:05:58:333(2	♥ 29999002 20021 09-20 13:22:16.018 1			
	Validity End Date	29/12/9999	30/12/9999	31/12/9999			
	Update Date	20/09/2021 16:09:51:764	20/09/2021 16:05:58:333	20/09/2021 13:22:16:018			
	Internal Asset Account	ABVR002IAA02	ABVR002JAA02	ABYR002IAA02			
	NCB Participant						
	NCB Participant Entity Type						
	CSD	55					
	USD	55	55	55			
	Validity Start Date	20/09/2021	55 20/09/2021	55 20/09/2021			
	2.50						

Settlement Po	Settlement Possibilities – History Screen				
Field	Description				
Fields	Shows the fields whose information have been updated since the creation of the selected Settlement Possibility.				
Timestamp	Shows the date and time when the fields have been updated.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Button	Description				
Compare	Shows the differences between the selected records whose data have been updated.				
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Settlement Possibility.				
Show All	Shows the information that existed before each modification of all the fields regarding the selected Settlement Possilibility.				



*	Collapse the expanded information of the fields that have been updated since the creation of the selected Settlement Possibility.
	Shows only the fields whose information have been updated since the creation of the selected Settlement Possibility.

## 3.1.3.1.1.1.2 Settlement Possibilities – Details Screen

Context of Usage	This screen displays detailed information on the selected Settlement Possibility. You can check the data and proceed further by clicking on the buttons.						
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system						
	For valid information:						
	sibilities Reference Data Cr	e Data >> Settlement Possibilities and Eligible Links >> Settlement Pos- Reference Data Create/Display >> Click on the Search button (after in- he relevant Reference Data, if needed) >> Select a Settlement Possibility on the View button					
	For information subject to 4 eyes validation:						
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject						
	Settlement Possibilities >> Settlement Possibilities >> Click on the search button						
	(after inputting the relevant Reference Data, if needed) >> Select a Settlement Possibilities >> Click on the View button						
Screenshot	Search Result: Settlement Possibilities > Edit: Settlement Possibilities contect	MCB Participant  Wildely Start State   Mark State   Mark State   Cresion State 16	Void Pursoper Entry Type  Voiding End Date 341239999  Voiding End Date 341239999				

Settlement Possibilities – Details Screen				
Button	Description			
History	This function enables you to access to the historical information of a Settlemer Possibility.			
	Next screen:			
	– Settlement Possibilities – History Screen.			
Context				
Field	Description			
Internal Asset Account	Shows the Internal Asset Account reference on which the collateral is supposed to be mobilised.			
NCB Parti- cipant	Shows the RIAD Code that identifies the NCB Participant in the ECMS to whom the settlement possibilities applies.			
NCB Parti- cipant Type	Shows the type of NCB Participant to whom the settlement possibilities applies. The possible values are:			



	- Counterparties
	- Cross NCB
	– Non-euro area CB
CSD	Shows the RIAD Code of the CSD that applies for the Settlement Possibility.
Result	one we the fact of the cost that applies for the settlement resistancy.
Validity Start	Shows the date from which the Settlement Possibility is active.
Date	Displayed format is: DD/MM/YYYY
Validity End	Shows the date from which the Settlement Possibility is not active.
Date	Displayed format is: DD/MM/YYYY
	If the Settlement Possibility is active, this field is empty
	The Validity End Date must be equal to or later than the Validity Start Date.
Validation Det	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Settlement Possibility last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the data
Creation Date	Shows the date and time of the Settlement Possibility creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
<b>External Asset</b>	Account
The existing Ex	ternal Asset Account is displayed (it should be only one Mandatory).
External Asset Account	Shows the External Asset Account linked.
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the link to the External Asset Account last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the link to the External Asset Account creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.



## 3.1.3.2 Eligible CSD Link

## 3.1.3.2.1 Eligible CSD Link – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Eligible CSD Links.				
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.				
Screen Access	Reference Data >>> Reference Data >>> Settlement Possibilities and Eligible Links >>> Eligible CSD Links Create/Display				
Screenshot	Create / Display : Eligible CSD link  Describer - Insure 555 - Insure				

Eligible CSD	Link – Search screen			
Field	Description			
Issuer SSS	Enter / Select the identifier of the issuer CSD, the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag "Is CSD".			
Investor SSS	Enter / Select the identifier of the investor CSD, the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag "Is CSD".			
Direct Link	Select whether the link between the issuer CSD and the investor CSD is direct or not (relayed) from the possible values:			
	– Direct Link			
	– Relayed Link			
Intermediary SSS	Select the identifier(s) of the intermediary(ies) CSD (for relayed link only).			
Is Active	Select whether the Eligible CSD Link is active or not from the possible values:			
	- Yes			
	- No			
Validity Start Date	Enter the date from which the Eligible CSD is active. The calendar icon can also be used.			
	Required format is: DD/MM/YYYY.			
Validity End Date	Enter the date from which the Eligible CSD Link is not valid anymore or use the calendar icon.			
	Required format is: DD/MM/YYYY.			
	The Validity End Date must be equal to or later than the Validity Start Date. If the Eligible CSD is active, this field is empty.			
Creation Date	Enter the reference date of the creation of the Eligible CSD Link. The calendar icon can also be used.			
	Required format is: DD/MM/YYYY hh:mm:ss:µs			
Update Date	Enter the reference date of the last update of the Eligible CSD Link. The calendar icon can also be used.			
	Required format is: DD/MM/YYYY.			
Button	Function			



Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Eligible CSD Link – List Screen.

## 3.1.3.2.1.1 Eligible CSD Links – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After										
			•				by click			0.	
Screen Ac-	Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links										
cess	>> Eligi	ible CSE	) Links	Create/	Displa	ay >> Cl	lick on th	ie searc	h butto	n (after	input-
	ting the	e relevar	nt Refe	rence Da	ata, if	needed	)				
Screenshot	Search Result : Eligib	le CSD link			1/ /	age 1 of2 > >					14 ひ日郎 ★ 分類
		Investor SSS #     NL002   UJCSD01     UJCSD01     UJCSD01     UJCSD03     UJCSD04     UJCSD04     UJCSD05     U	Direct Link © Direct Link	▽ Intermediary SSS 4	□ Operator      □	Ves Active © Yes No Active © Yes No Active © Yes No Yes Yes Yes No Yes No	▼ Validity Start Date ● 0-037/2022 26/1-02/2023 26/1-02/2023 21/037/2022 1-0/037/2022 1-0/037/2022 1-0/037/2023 1-0/07/2023 1-0/07/2023 1-0/07/2023	© Validity End Date ↓  08/02/2022  14/12/2023  08/02/2022  08/02/2022  08/02/2022  08/02/2022	© Update Date © 04/03/2022 08:25:55:138 08/03/2022 08:25:55:138 08/03/2022 11:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00 21:00:05:00:00 21:00:05:00	Creation Date 4 04/09/2022/06/25/55/138 04/09/2022/06/25/55/138 25/10/2021/16/56/48/212 25/10/2021/16/56/48/212 25/10/2021/16/56/48/212 10/05/2021/16/46/95/21 10/05/2021/16/46/95/21 10/05/2021/16/46/221 05/05/2021/16/56/25/56/2021 10/05/2021/16/66/25/25/56/2021/16/56/25/25/56/2021/16/56/25/25/56/2021/16/56/25/25/56/2021/16/56/25/56/2021/16/56/25/56/2021/16/56/25/56/2021/16/56/25/56/2021/16/56/25/56/2021/16/56/25/56/2021/16/56/25/56/2021/16/56/25/56/2021/16/56/25/56/25/56/2021/16/56/25/56/25/56/25/56/25/56/2021/16/56/25/56/56/25/56/25/56/25/56/25/56/25/	STP STP STP STP STP STP

Eligible CSD	Links – List Screen				
Field	Description				
Issuer SSS	Shows the identifier of the issuer CSD, the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag "Is CSD".				
Investor SSS	Shows the identifier of the investor CSD, the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag "Is CSD".				
Direct Link	Shows whether the link between the issuer CSD and the investor CSD is direct or not (relayed) from the possible values:				
	– Direct Link				
	– Relayed Link				
Intermediary	Shows the identifier(s) of the intermediary(ies) CSD (for relayed link only).				
SSS	Empty in case of Direct Link.				
Is Active	Shows whether the Eligible CSD Link is active or not from the possible values:				
	- Yes				
	- No				
Operator	Shows the name of the third party operating the account in the issuer SSS on behalf of the CSD of the investor SSS				
Validity Start	Shows the date from which the Eligible CSD Link is active.				
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Validity End	Shows the date from which the Eligible CSD Link is not valid anymore.				
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
	If the Eligible CSD link is active, this field is empty.				
	The Validity End Date must be equal to or later than the Validity Start Date.				



Creation Date	Shows the date and time of the Eligible CSD creation.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs			
Update Date	Shows the date and time of the last update of the Eligible CSD Link.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater User Id	Shows the identification of the user that made the last update of the Eligible CSD.			
After selecting	; a record, the following buttons are displayed			
Button	Function			
History	This function enables you to access to the historical information of an Eligible CSD Link.			
	Next screen:			
	– Eligible CSD Link – History Screen.			
View	This function enables you to access to the detailed information of an Eligible CSD Link.			
	Next screen:			
	– Eligible CSD Link – Details Screen.			

## 3.1.3.2.1.1.1 Eligible CSD Link – History Screen

Context of Usage	This screen displays historical information on the selected Eligible CSD Link. You can check the data and proceed further by clicking on the buttons below.					
Screen Access	<ul> <li>Reference Data &gt;&gt; Settlement Possibilities and Eligible         Links &gt;&gt; Eligible CSD Links Create/Display &gt;&gt; Click on the search button         (after inputting the relevant Reference Data, if needed) &gt;&gt; Select an Eligible         CSD Link &gt;&gt; Click on the History button     </li> </ul>					
	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Settlement Possibilities and Eligible Links &gt;&gt; Eligible CSD Links Create/Display &gt;&gt; Click on the search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select an Eligible CSD Link &gt;&gt; Click on the View button (Eligible CSD Link – Details Screen) &gt;&gt; Click on the History Button</li> </ul>					
Screenshot	horizontal-HistoryResult : Eligible CSL Fields  Istore 555 Investor 555 (Jopisto Dee (J) differenci(s) found.	Units History  9 3-50022 16 87 8 81 37 6992  99 25  27/16022 16 11 37 665	©   99.250022 18-67   N op 53.15 (4) 25 99 07/10/2022 18-09/53 105	Q © Company Espand Al Show Al 🖎 🔘 🕻		

Eligible CSD Links – History Screen				
Field	Description			
Fields	Shows the fields whose information have been updated since the creation of the selected Eligible CSD Link.			
Timestamp	Shows the date and time when the fields have been updated.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Description			
Compare	Shows the differences between the selected records whose data have been updated.			



Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Eligible CSD Link.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Eligible CSD Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Eligible CSD Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Eligible CSD Link.

# 3.1.3.2.1.2 Eligible CSD Links – Details Screen

Context of Usage	This screen displays detailed information on the selected CSD Link. You can check the data and proceed further by clicking on the buttons.			
Screen Access	Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Eligible CSD Links Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an Eligible CSD Link >> Click on the View button			
Screenshot	Search Result: Eligible CSD link > View : Eligible CSD Links Issues 555 Issues Issues Issues Insuranciary 555  Validation Details Action To Validate  Activation Details Is Active Ne Foot Phist Consort Date Insuranciary 1550  Foot Phist Unique Search Sear	Trivestor SSS NBIE  Operator  Validary Sear Dise 13497-2011  Oreation User 14  STP	Rejected No.	Direct Line  Violety find Date (MRE20022  Update Date (MRE20022 MEREE 1999)

Eligible CSD Links – Details Screen					
Button	Description				
History	This function enables you to access to the historical information of an Eligible CSD Link.				
	Next screen:				
	- Eligible CSD Link - History Screen.				
General Infor	mation				
Field	Description				
Issuer SSS	Shows the identifier of the issuer CSD, the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag "Is CSD".				
Investor SSS	Shows the identifier of the investor CSD , the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag "Is CSD".				
Direct Link	Shows whether the link between the issuer CSD and the investor CSD is direct or not (relayed) from the possible values:				
	- Direct Link				
	– Relayed Link				
Intermediary	Shows the identifier(s) of the intermediary(ies) CSD (for relayed link only).				
SSS	Empty in case of Direct Link.				



Operator	Shows the name of the third party operating the account in the issuer SSS on behalf of the CSD of the investor SSS					
Activation Details						
Is Active	Shows whether the Eligible CSD Link is active or not from the possible values:					
	- Yes					
	- No					
Validity Start	Shows the date from which the Eligible CSD Link is active.					
Date	Displayed format is: DD/MM/YYYY					
Validity End	Shows the date from which the Eligible CSD Link is closed.					
Date	Displayed format is: DD/MM/YYYY					
	If the Eligible CSD link is active, this field is empty.					
	The Validity End Date must be equal to or later than the Validity Start Date.					
Validation de	tails					
Action To	Shows if there is any action waiting for validation.					
Validate						
Rejected	Shows if any action subject to validation has been rejected. The possible values					
	are:					
	- Yes					
F (D)	- No					
Foot Print						
Updater User Id	Shows the identification of the user that made the last update.					
Update Date	Shows the date and time of the Eligible CSD Link last update.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs					
Creation User Id	Shows the identification of the user that created the data					
Creation Date	Shows the date and time of the Eligible CSD creation.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs					

# 3.1.4 Counterparties Specific Reference Data

## 3.1.4.1 Counterparty-ISIN Close Links

## 3.1.4.1.1 Counterparty-ISIN Close Links Display

### 3.1.4.1.1.1 Counterparty-ISIN Close Link – Search Screen

Context of	This screen contains a number of search fields. By inputting the relevant data,
Usage	you can search for the Counterparty-ISIN Close Links.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.



		$\neg$					
Screen Ac-	Depending how the screen is accessed, it can be used to search the valid informa	1-					
cess	tion of the Links or the information that requires 4 eyes validation before they can						
	be considered valid in the system.						
	For valid information:						
	Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Counterparty-ISIN Close Links Create/Display						
	For information subject to 4 eyes validation:						
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject						
	Counterparty Specific reference Data >> Counterparty-ISIN Close Links						
Screenshot	© Courseparty (5th Cost Links Challe						
	Create / Display : Counterparty- ISIN close link	^					
	SN ▼ Counteparty ▼ Close Link Type ▼ ▼	-					
	Source •• • • Validary Stant Date •• • • 🗎 Validary End Date •• • •	9					
	Coception flag ••• Update Date ••• •						
	O Caste Q Search						

Counterparty-l	ISIN Close Link – Search screen			
Field	Description			
ISIN	Enter / Select the ISIN that identifies the Marketable Asset.			
Counterparty	Enter / Select the RIAD Code that identifies the counterparty in the ECMS affected by the close link.			
	Required format is: Max. 30 characters.			
Close Link	Enter / Select the Type of Close Link from the possible values:			
Type	- Forbidden (i.e. the asset is not eligible for the given Counterparty)			
	<ul> <li>Authorised (i.e. if the asset is mobilised by the Counterparty it is considered as own-used)</li> </ul>			
Source	Enter / Select whether the close link was generated from the processing of the RIAD file or manually created by an NCB user from the possible values:			
	- RIAD			
	- NCB			
Exception flag	Enter / Select whether the Exception flag applies to the Counterparty-ISIN Close Link or not from the possible values:			
	- Yes			
	- No			
Validity Start Date	Enter the date from which the Counterparty-ISIN Close Link is valid. The calendar icon can also be used.			
	Required format is: DD/MM/YYYY.			
Validity End Date	Enter the date from which the Counterparty-ISIN Close Link is not valid anymore or use the calendar icon.			
	Required format is: DD/MM/YYYY.			
	The Validity End Date must be equal to or later than the Validity Start Date.			
Update Date	Enter the reference date of the last update of the Counterparty-ISIN Close Link or use the calendar icon.			
	Required format is: DD/MM/YYYY hh:mm:ss:µs			
Button	Function			



Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Counterparty-ISIN Close Links - List Screen

## 3.1.4.1.1.1 Counterparty-ISIN Close Link – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.								
Screen Access	Depending how the screen is accessed, it can be used to list the valid informatio of the Links or the information that requires 4 eyes validation before they can be considered valid in the system.								
	For valid information:  Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Counterparty-ISIN Close Links Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed)  For information subject to 4 eyes validation:  Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Counterparty Specific reference Data >> Counterparty-ISIN Close Links >> Click on the search button					utton / Reject			
Screenshot	Search Result : Counterpart	y ISIN Close Link							
	SIN 6   NI DIOCHEZE75   NI DIOCHEZE75   A TOOGGALXPINI   A TOOGGALXPINI   A TOOGGALA CAS   A TOOGGALA CAS   A TOOGGALA SAS   A TOOGGALA SAS	Counterparty  ABVR016 ABVR016 ABVR016 ABVR016 ABVR016 ABVR016	Close Link Type & Fisthidsen Authorized Forthidden Forthidden Authorized	♥ Source \$ RMD	Exception flag \$ No No No No No No No	▼ Validity Start Date ◆	▼ Validay End Date 6 09/05/2029	♥ Update Dute 6 10/05/2022 09 35/50/649 07/02/2022 09 43/24/697 06/09/2022 09 00 01 480 06/09/2022 11/34/7/973 06/09/2022 11/34/12/076	Opdation User id 6 IEMS OF NATION 1 STP STP STP STP

Counterparty-ISIN Close Link – List Screen					
Field	Description				
ISIN	Shows the ISIN that identifies the Marketable Asset.				
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS affected by the close link.				
	Displayed format is: Max. 30 characters.				
Close Link	Shows the Type of Close Link from the possible values:				
Туре	– Forbidden				
	- Authorised				
Source	Shows whether the close link was generated from the processing of the RIAD file or manually created by an NCB user from the possible values:				
	- RIAD				
	- NCB				
Exception flag	Shows whether the Exception flag applies to the Counterparty-ISIN Close Link or not from the possible values:				
	- Yes				
	- No				



Validity Start	Shows the date from which the Counterparty-ISIN Close Link is valid.		
Date	Displayed format is: DD/MM/YYYY.		
Validity End Date	Shows the date from which the Counterparty-ISIN Close Link is not valid anymore.		
	Displayed format is: DD/MM/YYYY.		
	The Validity End Date must be equal to or later than the Validity Start Date.		
Update Date	Shows the date and time of the last update of the Counterparty-ISIN Close Link.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id	Shows the identification of the user that made the last update of the Counterparty-ISIN Close Link.		
After selecting	a record, the following buttons are displayed		
Button	Function		
View	This function enables you to access to the detailed information of a Counterparty-ISIN Close Link.		
	Next screen:		
	- Counterparty-ISIN Close Link - Details Screen.		
History	This function enables you to access to the historical information of a Counterparty-ISIN Close Link.		
	Next screen:		
	– Counterparty-ISIN Close Link – History Screen.		
If the screen is when a record	used in the 4 eyes validation context, the following buttons are also displayed is selected		
Button	Function		
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.		
	Next screen:		
	- Counterparty-ISIN Close Link - History Screen.		
View Draft	This function enables the user to view the draft record to be validated.		
	Next screen:		
	– Counterparty-ISIN Close Link – Details Screen.		
Edit	This function allows the user to edit and modify the draft.		
	Next screen:		
	- Counterparty-ISIN Close Link - Edit Screen.		
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system		
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.		



### 3.1.4.1.1.1. Counterparty-ISIN Close Link – History Screen

Context of Usage	Counterpart	lisplays historical information that has changed on the selected y-ISIN Close Links. You can check the data, including the user who anges, and proceed further by clicking on the buttons that are		
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Counterparties Specific Reference Data &gt;&gt; Counterparty-ISIN Close Links Create/Display &gt;&gt; Click on the search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select a Counterparty-ISIN Close Link &gt;&gt; Click on the <i>History</i> button</li> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Counterparties Specific Reference Data &gt;&gt; Counterparty-ISIN Close Links Create/Display &gt;&gt; Click on the search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select a Counterparty-ISIN Close Links &gt;&gt; Click on the <i>View</i> button (<i>Counterparty-ISIN Close Links - Details</i> Screen) &gt;&gt; Click on the History Button</li> </ul>			
Screenshot	horizontalifictory/Result : Counterparty (  Tieta  Validary Start Date SSN  Validary Start Date SSN  Counterparty Chee Lim Type Exception flag Reported Action To Validate Validary Start Date Exception Start Date Exception Start Date Exception Start Date Exception Start Date Counter Date Cou	SIN Close Link History  Qui Qui Sui Sui Suin Orlanace 2019 Base Ad Company  ARMONISA CONTROL SON		

Counterparty-ISIN Close Link – History Screen			
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected Counterparty-ISIN Close Link.		
Timestamp	Shows the date and time when the fields have been updated.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Counterparty-ISIN Close Link.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected Counterparty-ISIN Close Link.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Counterparty-ISIN Close Link.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Counterparty-ISIN Close Link.		

## 3.1.4.1.1.1.2 Counterparty-ISIN Close Link – Details Screen

Context of	This screen displays detailed information on the selected Counterparty-ISIN
Usage	Close Links. You can check the data and proceed further by clicking on the but-
	tons.



Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.						
	For valid information:						
	Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Counterparty-ISIN Close Links Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Counterparty-ISIN Close Link >> Click on the <i>View</i> button						
	For information subject to 4 eyes validation:						
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Counterparty Specific reference Data >> Counterparty-ISIN Close Link >> Click on the search button >> Select a Counterpart y-ISIN Close Link >> Click on the View button						
Screenshot	Contracting SM Count side Count Clinicity Country Co						
	Crease / Display: Counterparty-ISIN close link						
	Search Result : Counterparty ISIN Close Link > View: Counterparty ISIN Close Link						
	CL Details			^			
	15IN N1.0009102275	Counterparty ANYR016	Close Link Type Farbiddes				
	Source RIAD	Validity Start Date 69/05/2022	Validity End Date 69/05/2029				
	Exception flag	Exception Start Date	Exception End Date				
	No No	69/05/2022	69.08/2028				
				_			
	Validation details			<u>~</u>			
	Action To Validate		Rejected No				
	Footbrint						
	The state of the s						

Counterparty-IS	IN Close Links – Details Screen
Button	Description
History	This function enables you to access to the historical information of a Counterparty-ISIN Close Link.
	Next screen:
	<ul> <li>Counterparty-ISIN Close Links – History Screen.</li> </ul>
CL Details	
Field	Description
ISIN	Shows the ISIN that identifies the Marketable Asset.
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS affected by the close link.
	Displayed format is: Max. 30 characters.
Close Link Type	Shows the Type of Close Link from the possible values:
	– Forbidden
	– Authorised
Source	Shows whether the close link was generated from the processing of the RIAD file or manually created by an NCB user from the possible values:
	- RIAD
	- NCB
Validity Start	Shows the date from which the Counterparty-ISIN Close Link is valid.
Date	Displayed format is: DD/MM/YYYY.



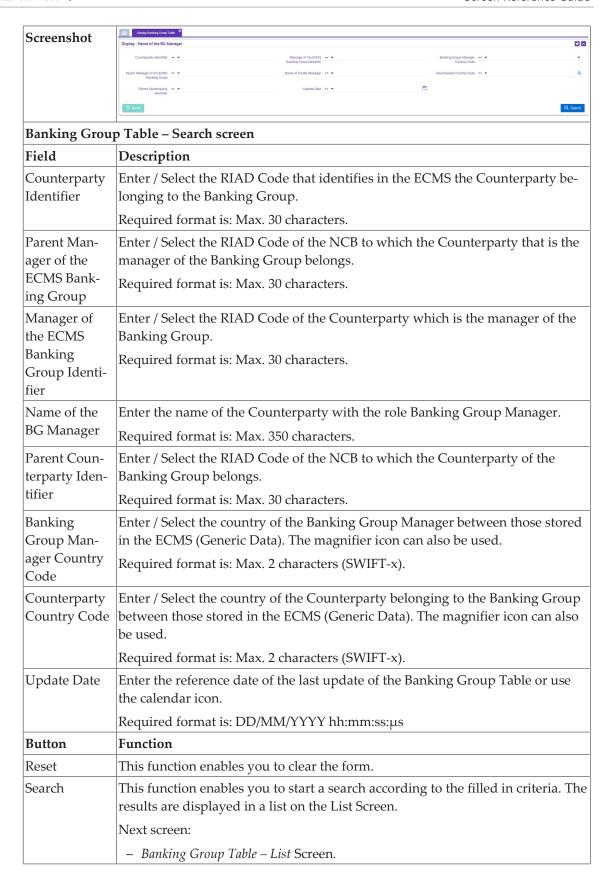
Validity End Date	Shows the date from which the Counterparty-ISIN Close Link is not valid anymore.
	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Exception flag	Shows whether the Exception flag applies to the Counterparty-ISIN Close Link or not from the possible values:
	- Yes
	- No
Exception start date	Shows the date from which the exception of the Counterparty-ISIN Close Link is valid.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Exception end date	Shows the date from which the exception of the Counterparty-ISIN Close Link is not valid anymore.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Validation detai	ls
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No
Foot Print	
Creation Date	Shows the date and time of the creation of the Counterparty-ISIN Close Link.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Counterparty-ISIN Close Link.
Update Date	Shows the date and time of the update of the Counterparty-ISIN Close Link.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Counterparty-ISIN Close Link.

# 3.1.4.2 Display Banking Group Table

# 3.1.4.2.1 Banking Group Table – Search Screen

	This screen contains a number of search fields. By inputting the relevant data, you can search for the Banking Groups.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Reference Data >> Counterparties Specific Reference Data >>
	Display Banking Group Table







# 3.1.4.2.1.1 Banking Group Table – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After			
	selecting an entry, you can proceed further by clicking on the buttons below.			
Screen Access	Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Display Banking Group Table >> Click on the search button (after inputting the relevant Reference Data, if needed)			
Screenshot	Search Result: Name of the 85 Manager  1. **    Continuent Hontifers   Name of the 15MS live lawny    Stanley Group Manager of			
Banking Group	p Table – List Screen			
Field	Description			
Counterparty Identifier	Shows the RIAD Code that identifies in the ECMS the Counterparty belonging to the Banking Group.			
	Displayed format is: Max. 30 characters.			
Parent Man- ager of the ECMS Bank-	Shows the RIAD Code of the NCB to which the Counterparty that is the manager of the Banking Group belongs.			
ing Group	Displayed format is: Max. 30 characters.			
Manager of the ECMS	Shows the RIAD Code of the Counterparty which is the manager of the Banking Group.			
Banking Group Identi- fier	Displayed format is: Max. 30 characters.			
Name of the	Shows the name of the Counterparty with the role Banking Group Manager.			
BG Manager	Displayed format is: Max. 350 characters.			
Parent Counterparty Iden-	Shows the RIAD Code of the NCB to which the Counterparty of the Banking Group belongs.			
tifier	Displayed format is: Max. 30 characters.			
Banking Group Man-	Shows the ISO-2 Code and the description of the country of the Banking Group Manager between those stored in the ECMS (Generic Data).			
ager Country Code	Displayed format is: Max. 255 characters.			
Counterparty Country Code	Shows the ISO-2 Code and the description of the country of the Counterparty belonging to the Banking Group between those stored in the ECMS (Generic Data).			
	Displayed format is: Max. 255 characters.			
Update Date	Shows the date and time of the last update of the Banking Group Manager.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs			
Updater User Id	Shows the identification of the user that made the last update of the Banking Group Manager			
After selecting	a record, the following buttons are displayed			
Button	Function			
View	This function enables you to access to the detailed information of a Banking Group.			
	Next screen:			



- Banking Group Table - Details Screen.

# 3.1.4.2.1.1.1 Banking Group Table – Details Screen

Context of Usage	This screen displays det can check the data and				up. You	
Screen Access						
Screenshot	Search Result : Name of the BG Manager > View : Name of the BG Manager Berking Group Manager Details  Manager of the COAS Bening Group Identifier FISHAR  Bening Group Manager Coverny Code FIX  Countryputy Details	Name of the 80 Manager NATIXIN		Parent Manager of the ECMS Standing Group 373,2004	2 A A C	
	Countraguery (secondar Essentia Essentia FoodPheat Updomer User of EXAN OPTEXATOR I Constitution User of EXAN OPTEXATOR I	Parent Counterparty Identifier  ISNOW	Update Date 1.5432-022 09.58-99.37 Crestoro Date 1.6432-022 09.58-99.37	Connecepting Country Code  28	<b>A</b>	
Banking Grou	p Table – Details Screen	l				
Banking Grou	p Table Details					
Field	Description					
Manager of the ECMS Banking Group Identi- fier	Shows the RIAD Code of the Counterparty which is the manager of the Banking Group.  Displayed format is: Max. 30 characters.					
Name of the BG Manager	Shows the name of the Counterparty with the role Banking Group Manager.  Displayed format is: Max. 350 characters.					
Parent Manager of the ECMS Banking Group	Shows the RIAD Code of the NCB to which the Counterparty that is the manager of the Banking Group belongs.  Displayed format is: Max. 30 characters.					
Banking Group Manager Country Code	Shows the ISO-2 Code and the description of the country of the Banking Group Manager between those stored in the ECMS (Generic Data).  Displayed format is: Max. 255 characters.					
Counterparty I	Details					
Counterparty Identifier				elonging		
D C	Displayed format is: Max. 30 characters.					
Parent Counterparty Identifier	Shows the RIAD Code of the NCB to which the Counterparty of the Banking Group belongs.					
	Displayed format is: Max. 30 characters.					
Counterparty Country Code	Shows the ISO-2 Code and the description of the country of the Counterparty belonging to the Banking Group between those stored in the ECMS (Generic Data).					
	Displayed format is: Ma	ax. 255 characte	ers.			



Foot Print	
Creation Date	Shows the date and time of the creation of the Banking Group Manager.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Banking Group Manager.
Update Date	Shows the date and time of the update of the Banking Group.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User	Shows the identification of the user that made the last update of the Banking
Id	Group.

## 3.1.5 Generic Data

The reference data for ECMS contains various generic code lists that are used internally by the System.

All these code lists are maintained by the ECMS Operator and can be accessed, for consultation only, by NCB and Counterparty Operators.

## 3.1.5.1 ECB Interest Rate Create / Display

#### 3.1.5.1.1 ECB Interest Rate – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the ECB Interest Rates.			
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.			
Screen Ac-	Reference Data >> Reference Data >> Generic Data >> ECB Interest Rate >> ECB			
cess	Interest Rate Create/Display			
Screenshot	Contract Mac Contr			

<b>ECB Interest</b>	ECB Interest Rate – Search screen				
Field	Description				
Rate Identi-	Enter / Select the ECB Interest Rate from the possible values:				
fier	- ECB Deposit facility				
	<ul> <li>ECB Main refinancing operations</li> </ul>				
	ECB Marginal lending facility				
Reference Rate Date	Enter the reference date of the ECB Interest Rate. The calendar icon can also be used.				
	Required format is: DD/MM/YYYY.				
	Enter the reference date of the last update of the ECB Interest Rate. The calendar icon can also be used.				
	Required format is: DD/MM/YYYY.				
Button	Function				



Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.  Next screen:
	– ECB Interest Rate – List Screen.

## 3.1.5.1.1.1 ECB Interest Rate – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.				ter			
Screen Ac-	Ref	erence Da	ta >> Referen	ce Data >>	Generic Dat	a >> ECB Intere	st Rate >> EO	СВ
cess	Interest Rate Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed)							
Screenshot		Result : ECB Interest Rate						
	10 🔻				1 of 2 > >		Q10 () 🗆	₩ * ♡
		Rate Identifier	Reference Rate Date 4	Provider 0	Rate •	□ Update Date Φ	□ Updater User Id Φ	♡
		Average MRO rate Average MRO rate	27/09/2021	ECB ECB		5 27/09/2021 17:47:11:493	ECMS OPERATOR 1	
	8	Average MRO rate	11/02/2022	ECB		10 14/02/2022 12:17:37:571	ECMS OPERATOR 1	
	10	Average MRO rate	14/02/2022	ECB		20 14/02/2022 12:25:14:912	ECMS OPERATOR 1	
	E .	ECB Deposit facility	15/07/2021	ECB		10 16/07/2021 16:26:38:600	ECMS OPERATOR 1	
	10	ECB Deposit facility	20/10/2021	ECB		50 20/10/2021 10:08:48:537	ECMS OPERATOR 1	
	E	ECB Deposit facility	21/10/2021	ECB		20 19/10/2021 20:32:53:919	ECMS OPERATOR 1	
	100	ECB Deposit facility	16/12/2021	ECB		13 16/12/2021 11:47:41:865	ECMS OPERATOR 1	
	E	ECB MarginalFacility	15/07/2021	ECB		10 16/07/2021 16:11:32:298	ECMS OPERATOR 1	
	E	ECB MarginalFacility	06/09/2021	ECB		20 07/09/2021 17:34:11:889	ECMS OPERATOR 1	
							History	<b>②</b> Viaw

<b>ECB Interest</b>	Rate – List Screen		
Field	Description		
Rate Identi-	Shows the ECB Interest Rate from the possible values:		
fier	- ECB Deposit facility		
	- ECB Main refinancing operations		
	ECB Marginal lending facility		
Reference	Shows the reference date of the ECB Interest Rate.		
Rate Date	Displayed format is: DD/MM/YYYY.		
Rate	Shows the ECB Interest Rate for the date and the reference.		
	Displayed format is: number with up to 5 decimal places (max. 99.99999)		
Provider	Shows the Provider from the possible values:		
	- ECB		
	– Manual		
Update Date	Shows the date and time of the last update of the ECB Interest Rate.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id	Shows the identification of the user that made the last update of the ECB Interest Rate.		
After selectir	ng a record, the following buttons are displayed		
Button	Function		
History	This function enables you to access to the historical information of an ECB Interest Rate.		
	Next screen:		
	– ECB Interest Rate – History Screen.		



View	This function enables you to access to the detailed information of an ECB Interest Rate.
	Next screen:
	– ECB Interest Rate – Details Screen.

# 3.1.5.1.1.1.1 ECB Interest Rate – History Screen

Context of Usage	Interest R	* *	tion that has changed on the selected ECB ncluding the user who made the changes, buttons that are shown.
Screen Access	ECB I ting ti Click Refer ECB I ting ti	Interest Rate Create/Display > he relevant Reference Data, if on the <i>History</i> button ence Data >> Reference Data > Interest Rate Create/Display > he relevant Reference Data, if	>> Generic Data >> ECB Interest Rate >> Click on the search button (after inputneeded) >> Select an ECB Interest Rate >> >> Generic Data >> ECB Interest Rate >> >> Click on the search button (after inputneeded) >> Select a ECB Interest Rate >> rest Rate - Details Screen) >> Click on the
Screenshot	horizontalHistoryResult : Re Fields  Rate Creation Date (2) difference(s) found.	© (CCRC) (MC/2001 17 42.31.7701) Newtongs (MIO see(CCC2) 69.200201 69.20 10.52.29.4402	© CENETAR/2021 17:42:31 77813Average MRD rend/2021 09 28 27:41:34 0921  10 22:09/2021 17:41:34 092

ECB Interest Rate – History Screen				
Field	Description			
Fields	Shows the fields whose information have been updated since the creation of the selected ECB Interest Rate.			
Timestamp	Shows the date and time when the fields have been updated.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Description			
Compare	Shows the differences between the selected records whose data have been updated.			
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected ECB Interest Rate.			
Show All	Shows the information that existed before each modification of all the fields regarding the selected ECB Interest Rate.			
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected ECB Interest Rate.			
Show Differ- ences Only	Shows only the fields whose information have been updated since the creation of the selected ECB Interest Rate.			

## 3.1.5.1.1.2 ECB Interest Rate – Details Screen

<b>Context of</b>	This screen displays detailed information on the selected ECB Interest Rate. You
Usage	can check the data and proceed further by clicking on the buttons.



Screen Access	Reference Data >> Reference Data >> Generic Data >> ECB Interest Rate >> ECB Interest Rate Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an ECB Interest Rate >> Click on the						
	View button						
Screenshot	Search Result : ECB Interest Rate > View: E Interest Rate Data Rate feorifier IX.B Depent Softler IX.B Depend Softler IX.B Dep	B Interest Rate	Provider  KGB  Falss  Projected Na	13000 (S			
	Foot Print  Creation Date 1867/2921 1626/35/699	Creation User Id  ECAS OPERATOR 1	Update Date 1807/2811 16:26:38:499	Updater User Id  ECMS OFFEATOR I			

<b>ECB Interest I</b>	Rate – Details Screen
Button	Description
History	This function enables you to access to the historical information of an ECB Interest Rate.
	Next screen:
	– ECB Interest Rate – History Screen.
Interest Rate l	Data
Field	Description
Rate Identi-	Shows the ECB Interest Rate from the possible values:
fier	– ECB Deposit facility
	- ECB Main refinancing operations
	– ECB Marginal lending facility
Reference Rate Date	Shows the reference date of the ECB Interest Rate. Displayed format is: DD/MM/YYYY.
Rate	Shows the ECB Interest Rate for the date and the reference.
	Displayed format is: number with up to 5 decimal places (max. 99.99999)
Provider	Shows the Provider from the possible values:
	- ECB
	– Manual
Validation De	etails
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No
Foot Print	
Creation Date	Shows the date and time of the creation of the ECB Interest Rate.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the ECB Interest Rate.



Update Date	Shows the date and time of the update of the ECB Interest Rate.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater User	Shows the identification of the user that made the last update of the ECB In-			
Id	terest Rate.			

## 3.1.6 Rates Reference Data

# 3.1.6.1 EURO/Currencies Exchange Rate Create / Display

## 3.1.6.1.1 EURO/Currencies Exchange Rate – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the EURO/Currencies Exchange Rate.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.				
Screen Access	Reference Data >> Reference Data >> Generic Data >> EURO/Currencies Exchange Rate >> EURO/Currencies Exchange Rate Create/Display				
Screenshot	© Toping EURO/Currencies Exchange Rate  Display : EURO/Currencies Exchange Rate  Price Date ■ ■ Price Date ■ ■ Price Date ■ ■ Price Date ■ ■ □ Price Date ■ ■ □ □ Price Date ■ ■ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □				

EURO/Currencies Exchange Rate – Search screen					
Field	Description				
Price Date	Enter / Select the Exchange Rate date.				
	Required format is: DD/MM/YYYY.				
Cross Parity	Enter the Cross Parity Identifier as Currency A – Currency B.				
	Required format is: Max. 7 chars.				
Provider	Shows the Provider from the possible values:				
	- ECB				
	– Manual				
Update Date	Enter the reference date of the last update of the EURO/Currencies Exchange				
	Rate. The calendar icon can also be used.				
	Required format is: DD/MM/YYYY.				
Button	Function				
Reset	This function enables you to clear the form.				
Search	This function enables you to start a search according to the filled in criteria. The				
	results are displayed in a list on the List Screen.				
	Next screen:				
	- EURO/Currencies Exchange Rate - List Screen.				



# 3.1.6.1.1.1 EURO/Currencies Exchange Rate – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.								
Screen Access								RO/Currenc	
	change Rate >> EURO/Currencies Exchange Rate Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed)								
Screenshot	Searc	h Result : EURO/Curren	cies Exchange Rate		K < Page 1	> >1			Q 32 () □ ∞ ★ ♡
001001101		Cross Parity #	▽ Price Date #	▽ Provider #		Action To Validate #	□ Rejected	□ Update Date \$	□ Uodater User Id ≎ □
	177	EURAUD	04/05/2022	ren	) Exchange have •	0.678	No.	04/05/2022 12:53:41:045	STP
		EUR-BGN	04/05/2022	ECB		1.9558	No	04/05/2022 12:53:41:053	STP
	8	EUR-BRL	04/05/2022	ECB		5.245	No	04/05/2022 12:53:41:055	STP
		EUR-CAD	04/05/2022	EC8		1.3584	No	04/05/2022 12:53:41:057	STP
		EUR-CHF	04/05/2022	ECB		1.0234	No	04/05/2022 12:53:41:059	STP
		EUR-CNY	04/05/2022	ECB		6.785	No	04/05/2022 12:53:41:062	STP
		EUR-CZK	04/05/2022	ECB		24.642	No	04/05/2022 12:53:41:064	STP
	10	EUR-OKK	04/05/2022	608		7.4395	No	04/05/2022 12:53:41:065	STP
		EUR-GBP EUR-HKD	04/05/2022	ECB ECB		0.8379 8.2768	No No	04/05/2022 12:53:41:067	STP
1		CONTROL							

EURO/Curren	icies Exchange Rate – List Screen			
Field	Description			
Price Date	Shows Exchange Rate date.			
	Displayed format is: DD/MM/YYYY.			
Cross Parity	Shows the Cross Parity Identifier as Currency A – Currency B.			
	Displayed format is: Max. 7 chars.			
Provider	Shows the Provider from the possible values:			
	- ECB			
	– Manual			
Exchange	Shows the Exchange Rate.			
Rate	Displayed format is: number with up to 5 decimal places (max. 99.99999)			
Update Date	Shows the date and time of the last update of the EURO/Currencies Exchange Rate.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater User Id	Shows the identification of the user that made the last update of the EURO/Currencies Exchange Rate.			
After selecting	; a record, the following buttons are displayed			
Button	Function			
History	This function enables you to access to the historical information of a EURO/Currencies Cross Parity.			
	Next screen:			
	- EURO/Currencies Exchange Rate - History Screen.			
View	This function enables you to access to the detailed information of a EURO/Currencies Exchange Rate.			
	Next screen:			
	- EURO/Currencies Exchange Rate - Details Screen.			



# 3.1.6.1.1.1.1 EURO/Currencies Exchange Rate – History Screen

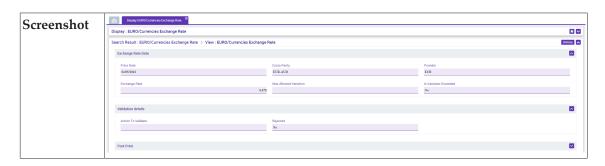
Context of Usage	This screen displays historical information that has changed on the selected EURO/Currencies Exchange Rate. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Generic Data &gt;&gt; EURO/Currencies Exchange Rate &gt;&gt; EURO/Currencies Cross Parity Create/Display &gt;&gt; Click on the search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select a EURO/Currencies Cross Parity &gt;&gt; Click on the <i>History</i> button</li> </ul>
	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Generic Data &gt;&gt; EURO/Currencies Exchange Rate Create/Display &gt;&gt; Click on the search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select a EURO/Currencies Exchange Rate &gt;&gt; Click on the View button (EURO/Currencies Exchange Rate - Details Screen) &gt;&gt; Click on the History Button</li> </ul>
Screenshot	

EURO/Curre	EURO/Currencies Exchange Rate – History Screen	
Object	Description	
Fields	Shows the fields whose information have been updated since the creation of the selected EURO/Currencies Exchange Rate.	
Timestamp	Shows the date and time when the fields have been updated.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Button	Description	
Compare	Shows the differences between the selected records whose data have been updated.	
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected EURO/Currencies Exchange Rate.	
Show All	Shows the information that existed before each modification of all the fields regarding the selected EURO/Currencies Exchange Rate.	
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected EURO/Currencies Exchange Rate.	
Show Dif- ferences Only	Shows only the fields whose information have been updated since the creation of the selected EURO/Currencies Exchange Rate.	

## 3.1.6.1.1.2 EURO/Currencies Exchange Rate – Details Screen

Context of	This screen displays detailed information on the selected EURO/Currencies Ex-
Usage	change Rate. You can check the data and proceed further by clicking on the but-
	tons.
Screen Ac-	Reference Data >> Reference Data >> Generic Data >> EURO/Currencies Ex-
cess	change Rate >> EURO/Currencies Cross Parity Create/Display >> Click on the
	search button (after inputting the relevant Reference Data, if needed) >> Select a
	EURO/Currencies Cross Parity >> Click on the <i>View</i> button





EURO/Currencies Exchange Rate – Details Screen		
Button	Description	
History	This function enables you to access to the historical information of a EURO/Currencies Exchange Rate.	
	Next screen:	
	- EURO/Currencies Exchange Rate - History Screen.	
Exchange Rate	e Data	
Field	Description	
Price Date	Shows the Exchange Rate date.	
	Displayed format is: DD/MM/YYYY.	
Cross Parity	Shows the Cross Parity Identifier as Currency A – Currency B.	
	Displayed format is: Max. 7 chars.	
Provider	Shows the Provider from the possible values:	
	- ECB	
	– Manual	
Exchange	Shows the Exchange Rate.	
Rate	Displayed format is: number with up to 5 decimal places (max. 99.99999)	
Max Allowed Variation	Shows the maximun allowed variation.	
Is Variation	Shows possible values from:	
Exceeded	- Yes	
	- No	
Validation De		
Action To Validate	Shows if there is any action waiting for validation.	
Rejected	Shows if any action subject to validation has been rejected. The possible values are:	
	- Yes	
	- No	
Foot Print		
Creation Date	Shows the date and time of the creation of the EURO/Currencies Exchange Rate.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the EURO/Currencies Exchange Rate.	



Update Date	Shows the date and time of the update of the EURO/Currencies Exchange Rate.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User	Shows the identification of the user that made the last update of the EURO/Cur-
Id	rencies Exchange Rate.

## 3.1.7 Access Rights Reference Data

#### 3.1.7.1 Users

The ECMS allows the NCB users and Counterparties to maintain the users in the system, as well as to assign to them the predefined roles and or groups.

Each user defined in the ECMS corresponds to a human user, i.e. an employee of a given ECMS Party using the ECMS U2A, or to an application, i.e. a software component of a given ECMS Party interacting with the ECMS in A2A mode. ECMS uses a unique reference to identify a user.

The authorization mechanism is based on user/group/role assignment.

#### 3.1.7.1.1 Users Create / Display

#### 3.1.7.1.1.1 Users – Create Screen

	This screen contains a number of fields regarding the Users. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.
<b>Business Case Re-</b>	Create a new User
lated	
Screen Access	Reference Data >> Reference Data >> Access Rights Reference Data >> Users
	>> Users Create/Display >> Click on the <i>Create</i> button
Screenshot	Edit User  ISMS System Liber Reference  Is Acros  Is Acros  Is Acros  Compa Security Rule  George Security Rul
	□ District

Users – Create screen			
ECMS System User	ECMS System User		
Field	Description		
ECMS System User	Enter the ECMS System User Reference of the user.		
Reference *	Required format is: Max. 35 characters.		
Name*	Enter the name of the user.		
	Required format is: Max. 127 characters.		
Is Active*	Select whether the User is active or not. The possible values are:		
	- Yes		
	- No		
Button	Function		
Groups	This function enables you to display the subsection "Groups".		
Role Statement	This function enables you to display the subsection "Role Statement".		



User Properties	This function enables you to display the subsection "User Properties".
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of a User.
Cancel	This function enables you to cancel the creation of a User and return to the previous screen.
Groups	
Button	Function
Create	This function enables you to assign a group to the user.
Field	Description
Security Group <sup>(*)</sup>	Enter / Select the Group you want to assign to the user.
	Required format is: Max. 35 characters.
Role Statement	
Button	Function
Create	This function enables you to assign a role to the user.
Field	Description
Security Role <sup>(*)</sup>	Enter / Select the Security Role you want to assign to the user. The possible values are:
	- NCB U2A Party Administrator (Execution and Read Only)
l	- NCB U2A General Reference Data (Execution and Read Only)
	- NCB U2A Triparty Reference Data (Execution and Read Only)
	- NCB U2A Pool Reference Data (Execution and Read Only)
	NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)
	- NCB U2A Cash Collateral (Execution and Read Only)
	- NCB U2A Externally Managed collateral (Execution and Read Only)
	NCB U2A Open Market Operations (Execution and Read Only)
	NCB U2A Standing Facilities (Execution and Read Only)
	NCB U2A Credit Freezing (Execution and Read Only)
	NCB U2A Credit Line (Execution and Read Only)
	NCB U2A Pool Position (Execution and Read Only)
	NCB U2A Blocking of a counterparty (Execution and Read Only)
	NCB U2A ELA Management (Execution and Read Only)
	NCB U2A Banking Group Management (Read Only)  NCB U2A Good in Claim Management (Formation and Bood Only)
l	- NCB U2A Credit Claim Management (Execution and Read Only)
	<ul> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> </ul>
	NCB U2A Statements (Execution and Read Only)
	- NCB U2A Billing Services(Execution and Read Only)
	- NCB U2A Accounting Services (Execution and Read Only)
	- NCB U2A Other Support functionalities (Execution and Read Only)
	- NCB 2-Eyes (Only applicable to Execution Variant )



- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending
- Counterparty A2A other messages
- Counterparty A2A Corporate Actions
- Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)

Counterparty A2A Reporting (admi.005) Required format is: Max. 35 characters.

TICOM	Dayous	auti aa
User	rrop	erties

Button	Function
Create	This function enables you to assign the default data scope of the user.
Field	Description



Property <sup>(*)</sup>	Select the Property you want to assign to the user from the possible values:
	- Data Scope
	- Distinguished Name
User Property Value <sup>(*)</sup>	Enter the data scope of the ECMS Entity or the DN under which the user is to be assigned.
	Required format:
	- DN: X.500 standard compliant naming convention
	- Data scope: relevant data scope linked to the ECMS System User

## 3.1.7.1.1.2 Users – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Users.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Depending how the screen is accessed, it can be used to search the valid information or the information that requires 4 eyes validation before they can be considered valid in the system
	For valid information:  Reference Data >> Reference Data >> Access Rights Reference Data >> Users >> Users Create/Display
	For information subject to 4 eyes validation:  Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject  Access Rights >> User
Screenshot	Create / Display: User  EXMS System Diser **  EXMS System Diser **  (Update Date ***  (Update Date ***

Users – Search screen	
Field	Description
ECMS System	Enter / Select the ECMS System User Reference of the user.
User Reference	Required format is: Max. 35 characters.
Name	Enter the name of the user.
	Required format is: Max. 127 characters.
Is Active	Select whether the User is active or not. The possible values are:
	- Yes
	- No
Update Date	Enter the reference date of the last update of the User or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new User.



	Next screen:
	- Users - Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Users - List Screen.

### 3.1.7.1.1.2.1 Users – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.					
Screen Access	Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system  For valid information:					
Reference Data >> Access Rights Reference Data >> Users >> Users Complay >> Click on the search button (after inputting the relevant Data,  For information subject to 4 eyes validation:				t Data, if needed)		
	Acce				tion / Rejection >> n button (after inp	utting the relevant
Screenshot	Search Result	N: User    Code: System User Inference 8   Code Orderior 1   Code Orderior 2   Code Orderior 3   Code	ESTAGORISTO ESTAGO	17 (Is Anthre 8 Ver	©   Modern Cours 8   2304/2012 to 84.78 (23   23   23   23   23   23   23   23	Updates born of 8  STP  admin  STP  STP  STP  STP  STP  STP  STP  ST

Users – List So	Users – List Screen			
Field	Description			
ECMS Sys- tem User Ref- erence	nows the ECMS System User Reference of the user. isplayed format is: Max. 35 characters.			
Name	Shows the name of the user.  Displayed format is: Free text			
Is Active	Shows whether the User is active or not. The possible values are:  - Yes  - No			
Update Date	Shows the date and time of the last update of the User.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater User Id	Shows the identification of the user that made the last update of the User.			
After selecting	a record, the following buttons are displayed			
Button	Function			



History	This function enables you to access to the historical information of a User.		
	Next screen:		
	- Users - History Screen.		
Edit	This function enables you to edit a User.		
	Next screen:		
	- Users - Edit Screen.		
View	This function enables you to access to the detailed information of a User.		
	Next screen:		
	- User - Details Screen.		
Delete	This function enables to delete a User.		

## 3.1.7.1.1.2.1. Users – Edit Screen

Context of Usage	This screen cont wards you can p		O		you can edit. After- ow.
Business Case Related	Edit an existing	User			
Screen Access		splay >> Click o	n the search but	ton (after inpu	e Data >> Users >> utting the relevant
Screenshot	Edit User  (CAS) System User Inference  Processor  Security Property  Social Princip    FootPaint	Name PPTGGGG-2Y Groups Groups EE	In Action Security Ride Security Ride [2]	User Multi Tenancy Lose Multi Tenancy []	© S Innur C Cook

Users – Edit Screen			
ECMS System User			
Field	Description		
ECMS System User Refer-	Shows the ECMS System User Reference of the user.  Displayed format is: Max. 35 characters.		
ence#			
Name*	Enter the name of the user.		
	Displayed format is: Max. 127 characters		
Is Active*	Select whether the User is active or not. The possible values are:		
	- Yes		
	- No		
FootPrint			
Updater User Id	Shows the identification of the user that made the last update.		
Update Date	Shows the date and time of the User last update.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the user that created the data.		
Creation Date	Shows the date and time of the User creation.		



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Button	Function				
Groups	This function enables you to display the subsection "Groups".				
Role Statement	This function enables you to display the subsection "Role Statement".				
User Proper-	This function enables you to display the subsection "User Properties".				
ties	_				
Save	This function enables you to save the modification of a User.				
Cancel	This function enables you to cancel the modification of a User.				
Groups					
The existing Gr	oups already assigned to the User are displayed				
Field	Description				
Security Group	Shows the Group assigned to the user.				
	Required format is: Max. 35 characters.				
Update Date	Shows the date and time of the last update of the Group.				
-	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Updater User	Shows the identification of the user that made the last update of the Group.				
Id					
After selecting a	a Group, the following buttons are displayed				
Button	Function				
Create	This function enables you to assign a group to the user.				
View	This function enables you to access to the detailed information of a Group.				
Delete	This function enables you to Delete the link between the user and the Group.				
To modify an ex	xisting group, select it and click on the Edit button.				
Field	Description				
Security	Shows the Group you want to assign to the user.				
Group <sup>(*)</sup>	Required format is: Max. 35 characters.				
FootPrint	<u> </u>				
Updater User	Shows the identification of the user that made the last update.				
Id	1				
Update Date	Shows the date and time of the group last update.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Creation User Id	Shows the identification of the user that created the data.				
Creation Date	Shows the date and time of the group creation.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Role Statement					
	le Staments already assigned to the User are displayed				
Field	Description				
Security Role	Select the role assigned to the user. The possible values are:				
	NCB U2A Party Administrator (Execution and Read Only)				
	- NCB U2A General Reference Data (Execution and Read Only)				
	– NCB U2A Triparty Reference Data (Execution and Read Only)				



- NCB U2A Pool Reference Data (Execution and Read Only)
- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)
- NCB U2A Cash Collateral (Execution and Read Only)
- NCB U2A Externally Managed collateral (Execution and Read Only)
- NCB U2A Open Market Operations (Execution and Read Only)
- NCB U2A Standing Facilities (Execution and Read Only)
- NCB U2A Credit Freezing (Execution and Read Only)
- NCB U2A Credit Line (Execution and Read Only)
- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)



I	
	<ul> <li>Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)</li> </ul>
	- Counterparty U2A Statements (Execution and Read Only)
	Counterparty U2A Other Support functionalities (Execution and Read Only)
	Counterparty 2-Eyes (Only for Execution variant)
	Counterparty 4-Eyes (Only applicable to Execution Variant)
	- Counterparty A2A credit freezing
	- Counterparty A2A marketable assets
	- Counterparty A2A credit claims
	- Counterparty A2A marginal lending
	- Counterparty A2A other messages
	Counterparty A2A Corporate Actions
	<ul> <li>Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)</li> </ul>
	- Counterparty A2A Reporting (admi.005)
	Displayed format is: Max. 35 characters.
Update Date	Shows the date and time of the last update of the Role.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Role.
After selecting a	a Role, the following buttons are displayed
Button	Function
Create	This function enables you to assign a role to the user.
View	This function enables you to access to the detailed information of a role.
Delete	This function enables you to Delete the link between the user and the Role.
To modify an ex	xisting role, select it and click on the Edit button.
Field	Description
Security Role <sup>(*)</sup>	Select the Security Role you want to assign to the user. The possible values are:
	- NCB U2A Party Administrator (Execution and Read Only)
	- NCB U2A General Reference Data (Execution and Read Only)
	- NCB U2A Triparty Reference Data (Execution and Read Only)
	- NCB U2A Pool Reference Data (Execution and Read Only)
	- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)
	- NCB U2A Cash Collateral (Execution and Read Only)
	- NCB U2A Externally Managed collateral (Execution and Read Only)
	- NCB U2A Open Market Operations (Execution and Read Only)
	- NCB U2A Standing Facilities (Execution and Read Only)
	NCB U2A Credit Freezing (Execution and Read Only)
	NCB U2A Credit Line (Execution and Read Only)
	- NCB U2A Pool Position (Execution and Read Only)
T	ı



- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending



T.		
	- Counterparty A2A other messages	
	- Counterparty A2A Corporate Actions	
	<ul> <li>Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)</li> </ul>	
	- Counterparty A2A Reporting (admi.005)	
	Displayed format is: Max. 35 characters.	
FootPrint		
Updater User Id	Shows the identification of the user that made the last update.	
Update Date	Shows the date and time of the role last update.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the data.	
Creation Date	Shows the date and time of the role creation.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
<b>User Propertie</b>	s	
The existing Us	ser Properties already assigned to the User are displayed	
Field	Description	
Property	Shows the User Properties assigned to the user.	
	Required format is: Max. 35 characters.	
User Property Value	Shows the data scope of the ECMS Entity or the DN under which the user is to be assigned.	
Update Date	Shows the date and time of the last update of the User Properties.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the User Properties.	
After selecting	a User Property, the following buttons are displayed	
Button	Function	
Create	This function enables you to assign a User Property to the user.	
Edit	This function enables you to edit a User Property . This button will not be available for DN User Properties.	
View	This function enables you to access to the detailed information of a User Property.	
Delete	This function enables you to Delete the link between the user and the User Properties.	
To modify an e	xisting User Property, select it and click on the Edit button.	
Field	Description	
Property <sup>(*)</sup>	Select the Property you want to assign to the user from the possible values:	
	- Data Scope	
	- Distinguished Name	
User Property Value <sup>(*)</sup>	Enter the data scope of the ECMS Entity or the DN under which the user is to be assigned.	
	Required format:	



	<ul><li>DN: X.500 standard compliant naming convention</li><li>Data scope: relevant data scope linked to the ECMS System User</li></ul>
FootPrint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Security Role last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Security Role creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

### **3.1.7.1.1.2.1.** Users – History Screen

2

Context of Usage	User. You ca	displays historical information that has changed on the selected in check the data, including the user who made the changes, and her by clicking on the buttons that are shown.	
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Access Rights Reference Data &gt;&gt; Users</li> <li>&gt;&gt; Users Create/Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a User &gt;&gt; &gt;&gt; Click on the <i>History</i> button</li> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Access Rights Reference Data &gt;&gt; Users</li> <li>&gt;&gt; Users Create/Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a User &gt;&gt; Click on the <i>View</i> button (Users - Details Screen) &gt;&gt; Click on the History Button</li> </ul>		
Screenshot	Norticontal-Mistory Result: User History  Factor  Security Property Projected  Across in Violence  Across in Violence  Oraque  Security Profes  Violence Stand  E.Cold System User Reference  Is Across  Is Across  Is Across  Is Across  Open Condition  In Across  Is Across  Open Condition  Open Condition	### PTCM003.2Y Compare ** Expend All ** State Otherwises Compare ** Expend All ** Expe	

Users – History Screen		
Field	Description	
Fields	Shows the fields whose information have been updated since the creation of the selected User.	
Timestamp	Shows the date and time when the fields have been updated.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Button	Description	
Compare	Shows the differences between the selected records whose data have been updated.	
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected User.	
Show All	Shows the information that existed before each modification of all the fields regarding the selected User.	



Collapse the expanded information of the fields that have been updated since the creation of the selected User.
Shows only the fields whose information have been updated since the creation of the selected User.

## 3.1.7.1.1.2.2 Users – Details Screen

Context of Usage	This screen displays detailed information on the selected User. You can check the data and proceed further by clicking on the buttons.							
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system  For valid information:							
	Reference Data >> Reference Data >> Access Rights Reference Data >> Users >> Users Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a User >> Click on the View button							
	For information subject to 4 eyes validation:  Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject  Access Rights >> User >> Click on the search button (after inputting the relevant  Data, if needed) >> Select a User >> Click on the View button							
Screenshot	Search Result : User	Name PYCEMIA 2V Grage Grage Figured No						
	FoodPrint Upparer User Id SEP Constitution Little Id SEP Constitution Little Id SEP	Update Care  330/0501 183-25449 Centrico Date  330/0501 183-25449						

Users – Details Screen							
Button	Description						
History	This function enables you to access to the historical information of a User.						
	Next screen:						
	- Users - History Screen.						
ECMS System	User						
Field	Description						
ECMS System	Shows the ECMS System User Reference of the user.						
User Reference	Displayed format is: Max. 35 characters.						
Name	Shows the name of the user.						
	Displayed format is: Max. 127 characters						
Is Active	Shows whether the User is active or not. The possible values are:						
	- Yes						
	- No						
Validation details							



Action To Validate	Shows if there is any action waiting for validation.							
Rejected	Shows if any action subject to validation has been rejected. The possible values are:							
	- Yes							
	- No							
Foot Print								
Creation Date	Shows the date and time of the creation of the User.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Creation User Id	Shows the identification of the user that created the User.							
Update Date	Shows the date and time of the update of the User.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Updater User Id	Shows the identification of the user that made the last update of the User.							
Button	Function							
Groups	This function enables you to display the subsection "Groups".							
Role Statement	This function enables you to display the subsection "Role Statement".							
User Properties	This function enables you to display the subsection "User Properties".							
Groups								
The existing Gr	oups already assigned to the User are displayed							
Field	Description							
Security Group	Shows the Group assigned to the user.							
	Required format is: Max. 35 characters.							
Update Date	Shows the date and time of the last update of the Group.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Updater User Id	Shows the identification of the user that made the last update of the Group.							
To view the det	ails of an existing Group, select it and click on the View button.							
Field	Description							
Security Group	Shows the Group you want to assign to the user.							
	Required format is: Max. 35 characters.							
Foot Print								
Updater User Id	Shows the identification of the user that made the last update.							
Update Date	Shows the date and time of the group last update.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Creation User Id	Shows the identification of the user that created the data.							
Creation Date	Shows the date and time of the group creation.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Role Statement								



Field	Description Description
Security Role	Shows the role assigned to the user. The possible values are:
J	NCB U2A Party Administrator (Execution and Read Only)
	NCB U2A General Reference Data (Execution and Read Only)
	NCB U2A Triparty Reference Data (Execution and Read Only)
	- NCB U2A Pool Reference Data (Execution and Read Only)
	- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)
	- NCB U2A Cash Collateral (Execution and Read Only)
	- NCB U2A Externally Managed collateral (Execution and Read Only)
	- NCB U2A Open Market Operations (Execution and Read Only)
	<ul> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> </ul>
	- NCB U2A Credit Freezing (Execution and Read Only)
	- NCB U2A Credit Line (Execution and Read Only)
	- NCB U2A Pool Position (Execution and Read Only)
	- NCB U2A Blocking of a counterparty (Execution and Read Only)
	- NCB U2A ELA Management (Execution and Read Only)
	- NCB U2A Banking Group Management (Read Only)
	- NCB U2A Credit Claim Management (Execution and Read Only)
	NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
	- NCB U2A Statements (Execution and Read Only)
	- NCB U2A Billing Services(Execution and Read Only)
	- NCB U2A Accounting Services (Execution and Read Only)
	- NCB U2A Other Support functionalities (Execution and Read Only)
	- NCB 2-Eyes (Only applicable to Execution Variant )
	- NCB 4-Eyes (Only applicable to Execution Variant)
	- NCB A2A Credit freezing per Pool Id
	- NCB A2A Other Messages
	- Counterparty U2A Party Administrator (Execution and Read Only)
	- Counterparty U2A General Reference Data (Read Only)
	- Counterparty U2A Triparty Reference Data (Read Only)
	- Counterparty U2A Pool Reference Data (Read Only)
	<ul> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> </ul>
	- Counterparty U2A Cash Collateral (Execution and Read Only)
	- Counterparty U2A Externally Managed collateral (Read Only)
	- Counterparty U2A Open Market Operations (Read Only)
	- Counterparty U2A Standing Facilities (Execution and Read Only)
	- Counterparty U2A Credit Freezing (Execution and Read Only)



1							
	- Counterparty U2A Credit Line (Execution and Read Only)						
	- Counterparty U2A Pool Position Management (Read Only)						
	- Counterparty U2A ELA Management (Read Only)						
	- Counterparty U2A Banking Group Management (Read Only)						
	- Counterparty U2A Credit Claim Management (Execution and Read Only)						
	<ul> <li>Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)</li> </ul>						
	- Counterparty U2A Statements (Execution and Read Only)						
	<ul> <li>Counterparty U2A Other Support functionalities (Execution and Read Only)</li> </ul>						
	- Counterparty 2-Eyes (Only for Execution variant)						
	Counterparty 4-Eyes (Only applicable to Execution Variant)						
	- Counterparty A2A credit freezing						
	- Counterparty A2A marketable assets						
	- Counterparty A2A credit claims						
	- Counterparty A2A marginal lending						
	- Counterparty A2A other messages						
	- Counterparty A2A Corporate Actions						
	<ul> <li>Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)</li> </ul>						
	Counterparty A2A Reporting (admi.005)Displayed format is: Max. 35 characters.						
Update Date	Shows the date and time of the last update of the Role.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id	Shows the identification of the user that made the last update of the Role.						
To view the de	tails of an existing Role, select it and click on the View button.						
Field	Description						
Security Role	Shows the Security Role you want to assign to the user. The possible values are:						
	- NCB U2A Party Administrator (Execution and Read Only)						
	- NCB U2A General Reference Data (Execution and Read Only)						
	- NCB U2A Triparty Reference Data (Execution and Read Only)						
	- NCB U2A Pool Reference Data (Execution and Read Only)						
	- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)						
	- NCB U2A Cash Collateral (Execution and Read Only)						
	- NCB U2A Externally Managed collateral (Execution and Read Only)						
	- NCB U2A Open Market Operations (Execution and Read Only)						
	- NCB U2A Standing Facilities (Execution and Read Only)						
	- NCB U2A Credit Freezing (Execution and Read Only)						
	- NCB U2A Credit Line (Execution and Read Only)						
1							



- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims



1							
	- Counterparty A2A marginal lending						
	- Counterparty A2A other messages						
	- Counterparty A2A Corporate Actions						
	<ul> <li>Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)</li> </ul>						
	- Counterparty A2A Reporting (admi.005)						
	Displayed format is: Max. 35 characters.						
Foot Print							
Updater User Id	Shows the identification of the user that made the last update.						
Update Date	Shows the date and time of the role last update.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation User Id	Shows the identification of the user that created the data.						
Creation Date	Shows the date and time of the role creation.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
User Propertie	s						
The existing Us	ser Properties already assigned to the User are displayed						
Field	Description						
Property	Shows the User Properties assigned to the user.						
	Required format is: Max. 35 characters.						
User Property Value	Shows the data scope of the ECMS Entity or the DN under which the user is to be assigned.						
Update Date	Shows the date and time of the last update of the User Properties.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id	Shows the identification of the user that made the last update of the User Proerties.						
To view the de	tails of an existing User Property, select it and click on the View button.						
Field	Description						
Property	Shows the Property you want to assign to the user from the possible values:						
	- Data Scope						
	- Distinguished Name						
User Property Value	Shows the data scope of the ECMS Entity or the DN under which the user is to						
varue	be assigned.  Required format:						
	Required format:						
	DN: X.500 standard compliant naming convention  Data assess relevant data assess linked to the ECMS System Hear.						
Foot Print	Data scope: relevant data scope linked to the ECMS System User						
Updater User	Shows the identification of the user that made the last update.						
Id	onows the ractiffication of the user that made the last update.						
Update Date	Shows the date and time of the Security Role last update.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						



Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Security Role creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

# 3.1.7.2 Groups Create / Display

## 3.1.7.2.1 Groups – Create Screen

Context of Usage	This screen contains a number of fields regarding the Groups. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Reference Data >> Access Rights Reference Data >> Groups >> Groups Create/Display >> Click on the <i>Create</i> button
Screenshot	Edit: Group II Comp Name C

Groups – Create so	reen					
Group						
Field	Description					
Group Id*	Enter the Internal unique identifier of the Group.					
Group Name*	Enter the name of the Group.					
	Required format is: Free text.					
Button	Function					
Group Properties	This function enables you to display the subsection "Group Properties".					
Assigned Roles	This function enables you to display the subsection "Assigned Roles".					
Assigned Users	This function enables you to display the subsection "Assigned Users".					
Reset	This function enables you to clear the form.					
Save	This function enables you to save the creation of a Group.					
Cancel	This function enables you to cancel the creation of a Group.					
<b>Group Properties</b>						
Button	Function					
Create	This function enables you to assign the default data scope of the Group.					
Field	Description					
Property <sup>(*)</sup>	Enter / Select the Property you want to assign to the group. Possible values:  - Data Scope					
	Required format is: Max. 35 characters.					
Group Property	Enter the data scope of the ECMS Entity which the group is to be assigned.					
Value <sup>(*)</sup>	Required format:					
	Data scope: relevant data scope linked to the Group					



Assigned Roles	
Button	Function
Create	This function enables you to assign a role to the group.
Field	Description
Assigned Roles <sup>(*)</sup>	<ul> <li>Enter / Select the Security Role you want to assign to the Group. The possible values are:</li> </ul>
	- NCB U2A Party Administrator (Execution and Read Only)
	- NCB U2A General Reference Data (Execution and Read Only)
	- NCB U2A Triparty Reference Data (Execution and Read Only)
	- NCB U2A Pool Reference Data (Execution and Read Only)
	<ul> <li>NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)</li> </ul>
	- NCB U2A Cash Collateral (Execution and Read Only)
	- NCB U2A Externally Managed collateral (Execution and Read Only)
	- NCB U2A Open Market Operations (Execution and Read Only)
	- NCB U2A Standing Facilities (Execution and Read Only)
	- NCB U2A Credit Freezing (Execution and Read Only)
	- NCB U2A Credit Line (Execution and Read Only)
	- NCB U2A Pool Position (Execution and Read Only)
	- NCB U2A Blocking of a counterparty (Execution and Read Only)
	- NCB U2A ELA Management (Execution and Read Only)
	- NCB U2A Banking Group Management (Read Only)
	- NCB U2A Credit Claim Management (Execution and Read Only)
	<ul> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> </ul>
	- NCB U2A Statements (Execution and Read Only)
	- NCB U2A Billing Services(Execution and Read Only)
	- NCB U2A Accounting Services (Execution and Read Only)
	- NCB U2A Other Support functionalities (Execution and Read Only)
	- NCB 2-Eyes (Only applicable to Execution Variant )
	- NCB 4-Eyes (Only applicable to Execution Variant)
	- NCB A2A Credit freezing per Pool Id
	- NCB A2A Other Messages
	- Counterparty U2A Party Administrator (Execution and Read Only)
	- Counterparty U2A General Reference Data (Read Only)
	- Counterparty U2A Triparty Reference Data (Read Only)
	- Counterparty U2A Pool Reference Data (Read Only)
	<ul> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> </ul>
	- Counterparty U2A Cash Collateral (Execution and Read Only)
	- Counterparty U2A Externally Managed collateral (Read Only)



-	Cour	ıterpar	ty	U2A	Ope	n M	arke	t Opei	ations	(Read	Only)	
	_				_		_					

- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending
- Counterparty A2A other messages
- Counterparty A2A Corporate Actions
- Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)
- Counterparty A2A Reporting (admi.005) Required format is: Max. 35 characters.

#### **Assigned Users**

rissigned Oseis				
Button	Function			
Create	is function enables you to assign Users to the Group.			
Field	Description			
ECMS System User Reference (*)	Enter the ECMS System User Reference of the user.			
Name#	Shows the name of the user.  - Required format is: Free text.			
Is Active#	Shows whether the User is active or not. The possible values are:  - Yes - No			

#### 3.1.7.2.2 Groups – Search Screen

Context of Us-	This screen contains a number of search fields. By inputting the relevant data,
age	you can search for the Groups.



	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Depending how the screen is accessed, it can be used to search the valid information or the information that requires 4 eyes validation before they can be considered valid in the system
	For valid information:
	Reference Data >> Reference Data >> Access Rights Reference Data >> Groups>> Groups Create/Display
	For information subject to 4 eyes validation:
	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Access Rights >> Group
Screenshot	Create / Display: Group
	Group Mr

Groups – Search screen		
Field	Description	
Group Id	Enter / Select the Group Identifier.	
	Required format is: Max. 35 characters.	
Group Name	Enter the name of the Group.	
	Required format is: Free text.	
Update Date	Enter the reference date of the last update of the Group or use the calendar icon.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Button	Function	
Reset	This function enables you to clear the form.	
Create	This function enables you to create a new Group.	
	Next screen:	
	- Group - Create Screen.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	
	Next screen:	
	- Group - List Screen.	

# 3.1.7.2.2.1 Groups – List Screen

Context of	This screen contains the search results displayed in a list, which is sorted by the
Usage	values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system
	For valid information:



		e/Display >> Cli		0	e Data >> Groups uputting the relev	
	Reference Dat Access Rights	>> Groups >> C	Data >> Validati	,	> Validate / Rejectinputting the rele	
	ant Data, if ne	eded)				
Screenshot	Search Result : Group  Toway M 9  Crowy M 9  AMPRICACION  AMPRICACION  AMPRICACION  BULLISTE  BULLISTE  GOUNTEST STUMPSTO  GOUNTEST  Text  Text  Text  Text	ATTOROUGH ATTOROUGH ATTOROUGH ATTOROUGH OFF, NCE, DOE FRONTESTORMANDO GROWNERS Group testing	▽ Action 10 Yalderin 8	\$\text{\text{\$\subseteq}\$ (a) \$\text{\text{\$\subseteq}\$ (a) \$\text{\text{\$\subseteq}\$ (a) \$\text{\text{\$\subseteq}\$ (a) \$\text{\text{\$\subseteq}\$ (a) \$\text{\text{\$\subseteq}\$ (a) \$\text{\text{\$\subseteq}}\$ (a) \$\text	Q * * * * * * * * * * * * * * * * * * *	े । () हैं ए

Groups – List	Screen
Field	Description
Group Id	Shows the Group Identifier.
	Required format is: Max. 35 characters.
Group Name	Shows the name of the Group.
	Required format is: Free text.
	Shows if there is any action waiting for validation.
idate	Displayed format is: Freetext.
Update Date	Shows the date and time of the last update of the Group.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Group.
After selecting	; a record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of a User.
	Next screen:
	- Groups - History Screen.
Edit	This function enables you to edit a User.
	Next screen:
	- Groups-Edit Screen.
View	This function enables you to access to the detailed information of a User.
	Next screen:
	- Groups- Details Screen.
Delete	This function enables you to Delete the Group.

# 3.1.7.2.2.1.1 Groups – Edit Screen

Context of	This screen contains a number of fields regarding Groups that you can edit. Af-			
Usage	terwards you can proceed further by clicking on the buttons below.			



Screen Access	Reference Data >> Reference Data >> Access Rights Reference Data >> Groups >> Groups Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a User >> Click on the <i>Edit</i> button		
Screenshot	Edit: Group Group II ISS-MOR.EXE Assigned Biblis Assigned Biblis Assigned Obers Assigned Obers Assigned Obers	Group Name 90F_MCR_EVE Group Properties Group Projection [1]	C) 10007 C) (
	Food Post Crustion Outs [1560/02227745313] Updated User III [2006 OPERATION]	CHISTOLISM M ECUS OPERATOR 1	\$\tilde{\

Groups – Edit S	Groups – Edit Screen		
ECMS Groups	ECMS Groups		
Field	Description		
Group Id#	Shows the Internal unique identifier of the Group.		
Group Name*	Enter the name of the Group.		
	Required format is: Free text.		
FootPrint			
Updater User Id	Shows the identification of the User that made the last update.		
Update Date	Shows the date and time of the Group last update.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the User that created the data.		
Creation Date	Shows the date and time of the Group creation.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Function		
Group Properties	This function enables you to display the subsection "Group Properties".		
Assigned Roles	This function enables you to display the subsection "Assigned Roles".		
Assigned Users	This function enables you to display the subsection "Assigned Users".		
Save	This function enables you to save the modification of a Group.		
Cancel	This function enables you to cancel the modification of a Group.		
Groups Proper	ties		
The existing Gr	oups already assigned to the Group are displayed		
Field	Description		
Property*	Enter / Select the Property you want to assign to the group. Possible values:		
	- Data Scope		
	Required format is: Max. 35 characters.		
Group Prop-	Enter the data scope of the ECMS Entity which the group is to be assigned.		
erty Value*	Required format:		
	Data scope: relevant data scope linked to the Group		



Hadat D.	Change the date and time of the last conductor of the C		
Update Date	Shows the date and time of the last update of the Group.		
** *	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id	Shows the identification of the user that made the last update of the Group.		
After selecting	a Property, the following buttons are displayed		
Button	Function		
Create	This function enables you to assign a Property to the Group.		
Edit	This function enables you to edit a Property assigned to a Group.		
View	This function enables you to access to the detailed information of a Property.		
Delete	This function enables you to Delete the link between the Grup and the Group Property.		
To modify an $\epsilon$	existing Property, select it and click on the Edit button.		
Field	Description		
Property*	Enter / Select the Property you want to assign to the group. Possible values:		
	- Data Scope		
	Required format is: Max. 35 characters.		
Group Prop-	Enter the data scope of the ECMS Entity which the group is to be assigned.		
erty Value*	Required format:		
	Data scope: relevant data scope linked to the Group		
FootPrint			
Updater User Id	Shows the identification of the user that made the last update.		
Update Date	Shows the date and time of the last update.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the user that created the data.		
Creation Date	Shows the date and time of the creation.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Assigned Role	es ·		
The existing Ro	ole Staments already assigned to the Group are displayed		
Field	Description		
Security Role	Shows the role assigned to the Group. The possible values are:		
	- NCB U2A Party Administrator (Execution and Read Only)		
	- NCB U2A General Reference Data (Execution and Read Only)		
	- NCB U2A Triparty Reference Data (Execution and Read Only)		
	- NCB U2A Pool Reference Data (Execution and Read Only)		
	- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)		
İ	- NCB U2A Cash Collateral (Execution and Read Only)		
	<ul> <li>NCB U2A Externally Managed collateral (Execution and Read Only)</li> </ul>		
	NCB U2A Open Market Operations (Execution and Read Only)		
	<ul> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> </ul>		
İ	<ul> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> </ul>		
	TVOD 0211 CICCIT I TCCZING (Execution and Read Only)		



- NCB U2A Credit Line (Execution and Read Only)
- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets



1	1		
	- Counterparty A2A credit claims		
	- Counterparty A2A marginal lending		
	- Counterparty A2A other messages		
	- Counterparty A2A Corporate Actions		
	<ul> <li>Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)</li> </ul>		
	- Counterparty A2A Reporting (admi.005)		
	Displayed format is: Max. 35 characters.		
Update Date	Shows the date and time of the last update of the Role.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id	Shows the identification of the user that made the last update of the Role.		
After selecting	a Role, the following buttons are displayed		
Button	Function		
Create	This function enables you to assign a role to the group.		
Edit	This function enables you to edit a role.		
View	This function enables you to access to the detailed information of a role.		
Delete	This function enables you to Delete the link between the Group and the Role.		
To modify an e	existing role, select it and click on the Edit button.		
Field	Description		
Security Role <sup>(*)</sup>	Shows the Security Role you want to assign to the group. The possible values are:		
	- NCB U2A Party Administrator (Execution and Read Only)		
	- NCB U2A General Reference Data (Execution and Read Only)		
	- NCB U2A Triparty Reference Data (Execution and Read Only)		
	- NCB U2A Pool Reference Data (Execution and Read Only)		
	- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)		
	- NCB U2A Cash Collateral (Execution and Read Only)		
	NCB U2A Externally Managed collateral (Execution and Read Only)		
	NCB U2A Open Market Operations (Execution and Read Only)		
	NCB U2A Standing Facilities (Execution and Read Only)		
	NCB U2A Credit Freezing (Execution and Read Only)		
	NCB U2A Credit Line (Execution and Read Only)		
	NCB U2A Pool Position (Execution and Read Only)		
	<ul> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> </ul>		
	<ul> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> </ul>		
	NCB U2A Banking Group Management (Read Only)  NCB U2A Greatit Claim Management (Evagution and Read Only)		
	- NCB U2A Credit Claim Management (Execution and Read Only)		
	NCB U2A Corporate Actions and Tax Management (Execution and Read Only)		
	Only)		



- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant )
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending
- Counterparty A2A other messages
- Counterparty A2A Corporate Actions
- Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)
- Counterparty A2A Reporting (admi.005)

Displayed format is: Max. 35 characters.

#### **FootPrint**



Updater User Id	Shows the identification of the user that made the last update.		
Update Date	Shows the date and time of the role last update.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User	Shows the identification of the user that created the data.		
Id	and the same and t		
Creation Date	Shows the date and time of the role creation.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Assigned Users	S		
The existing Us	sers already assigned to the group are displayed		
Field	Description		
ECMS System User Refer- ence*	Enter the ECMS System User Reference of the user.		
Name#	Shows the name of the user.		
	Required format is: Free text.		
Is Active#	Shows whether the User is active or not. The possible values are:		
	- Yes		
	- No		
Update Date	Shows the date and time of the last update of the assigned Users.		
opuate Date			
Undator Uson	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id	Shows the identification of the user that made the last update of the Assigned Users.		
	a User, the following buttons are displayed		
Button	Function		
Create	This function enables you to assign a User to the Group.		
Edit	This function enables you to edit a User.		
View	This function enables you to access to the detailed information of a User.		
Delete	This function enables you to Delete the link between the group and the user.		
To modify an e	xisting User, select it and click on the Edit button.		
Field	Description		
ECMS System User Refer- ence*	Enter the ECMS System User Reference of the user.		
Name#	Shows the name of the user.		
	Required format is: Free text.		
Is Active#	Shows whether the User is active or not. The possible values are:		
	- Yes		
	- No		
FootPrint			
Updater User Id	Shows the identification of the user that made the last update.		
Update Date	Shows the date and time of the Security Role last update.		



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the data.	
Creation Date	Shows the date and time of the Security Role creation.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	

# 3.1.7.2.2.1.2 Groups – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Group. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.	
Screen Access	<ul> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Access Rights Reference Data &gt;&gt; Group &gt;&gt; Group Create/Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Group r &gt;&gt; &gt;&gt; Click on the <i>History</i> button</li> <li>Reference Data &gt;&gt; Reference Data &gt;&gt; Access Rights Reference Data &gt;&gt; Group &gt;&gt; Users Create/Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Group &gt;&gt; Click on the <i>View</i> button (Group - Details Screen) &gt;&gt; Click on the History Button</li> </ul>	
Screenshot	horizontalHistoryResult: Group Histor Fields  Group Properties Rejected Action To Validate Group Rejection Resoon	Q
	Assigned Roles Validation Status Group 1d Group 1d Group Name Creation Date Creation User Id (0) difference(c) found.	867_MCB_DEE 867_MCB_DEE 1506/2022 7716-50.311 ECMS-00FBATOR1 1

Groups – History Screen		
Field	Description	
Fields	Shows the fields whose information have been updated since the creation of the selected Group.	
Timestamp	Shows the date and time when the fields have been updated.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Button	Description	
Compare	Shows the differences between the selected records whose data have been updated.	
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Group.	
Show All	Shows the information that existed before each modification of all the fields regarding the selected Group.	
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Group.	
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Group.	



# 3.1.7.2.2.2 Groups – Details Screen

Context of Usage	This screen displays detaile the data and proceed furthe		*
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system		
	For valid information:		
	Reference Data >> Reference Data >> Access Rights Reference Data >> Groups Create/Display >> Click on the search button (after inputting the red Data, if needed) >> Select a Group >> Click on the View button		
	For information subject to 4	4 eyes validation:	
	Reference Data >> Reference Data >> Validation / Rejection / Rejection >> Validation / Rejection /		n (after inputting the relev-
Screenshot	Search Result : Group > Edit : Group Group Id	Group Name	100007 CS
	Tion Not Exic Analyses finish Analyses finish [1] Analyses finish [1] English finish [1] Foot Finish	Bild PCR_EXEC Gloop Properties Gloop Properties ID	
	FOOT PRINT CHARGE DEEP IAMOUR TO THE THE THE THE THE THE THE THE THE THE	Caudion User 16 ECAIS OFERATOR 1	Update Date   1646-0302 1754-65033

Groups – Details Screen		
Button	Description	
History	This function enables you to access to the historical information of a Group.	
	Next screen:	
	- Groups - History Screen.	
ECMS Group		
Field	Description	
Group Id	Shows the Internal unique identifier of the Group.	
Group Name	Shows the name of the Group.	
	Required format is: Free text.	
Validation de	tails	
Action To Validate	Shows if there is any action waiting for validation.	
Rejected	Shows if any action subject to validation has been rejected. The possible values	
	are:	
	- Yes	
	- No	
Foot Print		
Creation Date	Shows the date and time of the creation of the Group.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	



Creation User Id	Shows the identification of the user that created the Group.	
Update Date	Shows the date and time of the update of the Group.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the Group.	
Button	Function	
Group Properties	This function enables you to display the subsection "Group Properties".	
Assigned Roles	This function enables you to display the subsection "Assigned Roles".	
Assigned Users	This function enables you to display the subsection "Assigned Users".	
<b>Groups Prope</b>	erties	
The existing P	roperties already assigned to the Group are displayed	
Field	Description	
Property	Shows the Property assigned to the group. Possible values:	
	- Data Scope	
	Required format is: Max. 35 characters.	
Group Prop-	Shows the data scope of the ECMS Entity assigned to the Group.	
erty Value	Required format:	
	Data scope: relevant data scope linked to the Group	
Update Date	Shows the date and time of the last update of the Group.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the Group.	
Groups Prope	erties - Details	
To view the de	etails of an existing Group Property, select it and click on the View button.	
Field	Description	
Property	Shows the Property assigned to the group. Possible values:	
	- Data Scope	
	Required format is: Max. 35 characters.	
Group Prop-	Shows the data scope of the ECMS Entity assigned to the Group.	
erty Value	Required format:	
	Data scope: relevant data scope linked to the Group	
Foot Print		
Updater User Id	Shows the identification of the user that made the last update.	
Update Date	Shows the date and time of the group property last update.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the data.	
Creation Date	Shows the date and time of the group property creation.	



Shows the role assigned to the Group. The possible values are:  NCB U2A Party Administrator (Execution and Read Only)  NCB U2A General Reference Data (Execution and Read Only)  NCB U2A Triparty Reference Data (Execution and Read Only)  NCB U2A Pool Reference Data (Execution and Read Only)  NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)  NCB U2A Cash Collateral (Execution and Read Only)  NCB U2A Externally Managed collateral (Execution and Read Only)  NCB U2A Cash Collateral (Execution and Read Only)  NCB U2A Standing Facilities (Execution and Read Only)  NCB U2A Credit Freezing (Execution and Read Only)  NCB U2A Credit Line (Execution and Read Only)  NCB U2A Pool Position (Execution and Read Only)  NCB U2A Blocking of a counterparty (Execution and Read Only)  NCB U2A Blocking of a counterparty (Execution and Read Only)  NCB U2A Banking Group Management (Read Only)  NCB U2A Banking Group Management (Read Only)  NCB U2A Credit Claim Management (Execution and Read Only)  NCB U2A Credit Claim Management (Execution and Read Only)  NCB U2A Statements (Execution and Read Only)  NCB U2A Statements (Execution and Read Only)  NCB U2A Statements (Execution and Read Only)  NCB U2A Statements (Execution and Read Only)  NCB U2A Gother Support functionalities (Execution and Read Only)  NCB U2A Other Support functionalities (Execution and Read Only)  NCB U2A Other Support functionalities (Execution and Read Only)  Counterparty U2A Party Administrator (Execution and Read Only)  Counterparty U2A Party Administrator (Execution and Read Only)  Counterparty U2A General Reference Data (Read Only)  Counterparty U2A General Reference Data (Read Only)  Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)  Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)  Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)		Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Security Role   Shows the role assigned to the Group. The possible values are:	Assigned Rol	es		
Shows the role assigned to the Group. The possible values are:  NCB U2A Party Administrator (Execution and Read Only)  NCB U2A General Reference Data (Execution and Read Only)  NCB U2A Triparty Reference Data (Execution and Read Only)  NCB U2A Pool Reference Data (Execution and Read Only)  NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)  NCB U2A Cash Collateral (Execution and Read Only)  NCB U2A Externally Managed collateral (Execution and Read Only)  NCB U2A Cash Collateral (Execution and Read Only)  NCB U2A Standing Facilities (Execution and Read Only)  NCB U2A Credit Freezing (Execution and Read Only)  NCB U2A Credit Freezing (Execution and Read Only)  NCB U2A Credit Line (Execution and Read Only)  NCB U2A Pool Position (Execution and Read Only)  NCB U2A Blocking of a counterparty (Execution and Read Only)  NCB U2A Blocking of a counterparty (Execution and Read Only)  NCB U2A Banking Group Management (Read Only)  NCB U2A Credit Claim Management (Execution and Read Only)  NCB U2A Credit Claim Management (Execution and Read Only)  NCB U2A Statements (Execution and Read Only)  NCB U2A Statements (Execution and Read Only)  NCB U2A Statements (Execution and Read Only)  NCB U2A Statements (Execution and Read Only)  NCB U2A Accounting Services (Execution and Read Only)  NCB U2A Other Support functionalities (Execution and Read Only)  NCB U2A Other Support functionalities (Execution and Read Only)  Counterparty U2A Party Administrator (Execution and Read Only)  Counterparty U2A Party Administrator (Execution and Read Only)  Counterparty U2A General Reference Data (Read Only)  Counterparty U2A General Reference Data (Read Only)  Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)  Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)  Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)	The existing R	Γhe existing Roles already assigned to the Group are displayed		
<ul> <li>NCB U2A Party Administrator (Execution and Read Only)</li> <li>NCB U2A General Reference Data (Execution and Read Only)</li> <li>NCB U2A Triparty Reference Data (Execution and Read Only)</li> <li>NCB U2A Pool Reference Data (Execution and Read Only)</li> <li>NCB U2A Pool Reference Data (Execution and Read Only)</li> <li>NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)</li> <li>NCB U2A Cash Collateral (Execution and Read Only)</li> <li>NCB U2A Externally Managed collateral (Execution and Read Only)</li> <li>NCB U2A Open Market Operations (Execution and Read Only)</li> <li>NCB U2A Open Market Operations (Execution and Read Only)</li> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A Banking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only</li></ul>	Field	Description		
<ul> <li>NCB U2A General Reference Data (Execution and Read Only)</li> <li>NCB U2A Triparty Reference Data (Execution and Read Only)</li> <li>NCB U2A Pool Reference Data (Execution and Read Only)</li> <li>NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)</li> <li>NCB U2A Cash Collateral (Execution and Read Only)</li> <li>NCB U2A Externally Managed collateral (Execution and Read Only)</li> <li>NCB U2A Cash Collateral (Execution and Read Only)</li> <li>NCB U2A Cash Gent Market Operations (Execution and Read Only)</li> <li>NCB U2A Open Market Operations (Execution and Read Only)</li> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> <li>NCB U2A Credit Eine (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A Banking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Exe</li></ul>	Security Role	Shows the role assigned to the Group. The possible values are:		
<ul> <li>NCB U2A Triparty Reference Data (Execution and Read Only)</li> <li>NCB U2A Pool Reference Data (Execution and Read Only)</li> <li>NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)</li> <li>NCB U2A Cash Collateral (Execution and Read Only)</li> <li>NCB U2A Externally Managed collateral (Execution and Read Only)</li> <li>NCB U2A Open Market Operations (Execution and Read Only)</li> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Farty Administrator (Execution and Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Party Administrator (Execution and Read Only)		
<ul> <li>NCB U2A Pool Reference Data (Execution and Read Only)</li> <li>NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)</li> <li>NCB U2A Cash Collateral (Execution and Read Only)</li> <li>NCB U2A Externally Managed collateral (Execution and Read Only)</li> <li>NCB U2A Open Market Operations (Execution and Read Only)</li> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Banking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A General Reference Data (Execution and Read Only)		
<ul> <li>NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)</li> <li>NCB U2A Cash Collateral (Execution and Read Only)</li> <li>NCB U2A Externally Managed collateral (Execution and Read Only)</li> <li>NCB U2A Open Market Operations (Execution and Read Only)</li> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Friparty Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Triparty Reference Data (Execution and Read Only)		
<ul> <li>NCB U2A Cash Collateral (Execution and Read Only)</li> <li>NCB U2A Externally Managed collateral (Execution and Read Only)</li> <li>NCB U2A Open Market Operations (Execution and Read Only)</li> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Friparty Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Pool Reference Data (Execution and Read Only)		
<ul> <li>NCB U2A Externally Managed collateral (Execution and Read Only)</li> <li>NCB U2A Open Market Operations (Execution and Read Only)</li> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Banking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)		
<ul> <li>NCB U2A Open Market Operations (Execution and Read Only)</li> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Eashking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Cash Collateral (Execution and Read Only)		
<ul> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Credit Claim Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Externally Managed collateral (Execution and Read Only)		
<ul> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Billing Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Open Market Operations (Execution and Read Only)		
<ul> <li>NCB U2A Credit Line (Execution and Read Only)</li> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Banking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Billing Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Standing Facilities (Execution and Read Only)		
<ul> <li>NCB U2A Pool Position (Execution and Read Only)</li> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Banking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Credit Freezing (Execution and Read Only)		
<ul> <li>NCB U2A Blocking of a counterparty (Execution and Read Only)</li> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Banking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A Friparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Credit Line (Execution and Read Only)		
<ul> <li>NCB U2A ELA Management (Execution and Read Only)</li> <li>NCB U2A Banking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Pool Position (Execution and Read Only)		
<ul> <li>NCB U2A Banking Group Management (Read Only)</li> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Blocking of a counterparty (Execution and Read Only)		
<ul> <li>NCB U2A Credit Claim Management (Execution and Read Only)</li> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A ELA Management (Execution and Read Only)		
<ul> <li>NCB U2A Corporate Actions and Tax Management (Execution and Read Only)</li> <li>NCB U2A Statements (Execution and Read Only)</li> <li>NCB U2A Billing Services(Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Banking Group Management (Read Only)		
Only)  NCB U2A Statements (Execution and Read Only)  NCB U2A Billing Services(Execution and Read Only)  NCB U2A Accounting Services (Execution and Read Only)  NCB U2A Other Support functionalities (Execution and Read Only)  NCB 2-Eyes (Only applicable to Execution Variant)  NCB 4-Eyes (Only applicable to Execution Variant)  NCB A2A Credit freezing per Pool Id  NCB A2A Other Messages  Counterparty U2A Party Administrator (Execution and Read Only)  Counterparty U2A General Reference Data (Read Only)  Counterparty U2A Triparty Reference Data (Read Only)  Counterparty U2A Pool Reference Data (Read Only)  Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)  Counterparty U2A Cash Collateral (Execution and Read Only)  Counterparty U2A Externally Managed collateral (Read Only)		- NCB U2A Credit Claim Management (Execution and Read Only)		
<ul> <li>NCB U2A Billing Services (Execution and Read Only)</li> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>				
<ul> <li>NCB U2A Accounting Services (Execution and Read Only)</li> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Statements (Execution and Read Only)		
<ul> <li>NCB U2A Other Support functionalities (Execution and Read Only)</li> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Billing Services(Execution and Read Only)		
<ul> <li>NCB 2-Eyes (Only applicable to Execution Variant)</li> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Accounting Services (Execution and Read Only)		
<ul> <li>NCB 4-Eyes (Only applicable to Execution Variant)</li> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB U2A Other Support functionalities (Execution and Read Only)		
<ul> <li>NCB A2A Credit freezing per Pool Id</li> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB 2-Eyes (Only applicable to Execution Variant)		
<ul> <li>NCB A2A Other Messages</li> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB 4-Eyes (Only applicable to Execution Variant)		
<ul> <li>Counterparty U2A Party Administrator (Execution and Read Only)</li> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB A2A Credit freezing per Pool Id		
<ul> <li>Counterparty U2A General Reference Data (Read Only)</li> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- NCB A2A Other Messages		
<ul> <li>Counterparty U2A Triparty Reference Data (Read Only)</li> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- Counterparty U2A Party Administrator (Execution and Read Only)		
<ul> <li>Counterparty U2A Pool Reference Data (Read Only)</li> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- Counterparty U2A General Reference Data (Read Only)		
<ul> <li>Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)</li> <li>Counterparty U2A Cash Collateral (Execution and Read Only)</li> <li>Counterparty U2A Externally Managed collateral (Read Only)</li> </ul>		- Counterparty U2A Triparty Reference Data (Read Only)		
Only)  - Counterparty U2A Cash Collateral (Execution and Read Only)  - Counterparty U2A Externally Managed collateral (Read Only)		- Counterparty U2A Pool Reference Data (Read Only)		
- Counterparty U2A Externally Managed collateral (Read Only)				
		Counterparty U2A Cash Collateral (Execution and Read Only)		
Counterparty 2211 Open market Openations (Read Only)		Counterparty U2A Open Market Operations (Read Only)		



	Country of LIOA Clouding Facilities (Facestics and David Only)
	- Counterparty U2A Standing Facilities (Execution and Read Only)
	Counterparty U2A Credit Freezing (Execution and Read Only)
	<ul> <li>Counterparty U2A Credit Line (Execution and Read Only)</li> </ul>
	- Counterparty U2A Pool Position Management (Read Only)
	- Counterparty U2A ELA Management (Read Only)
	- Counterparty U2A Banking Group Management (Read Only)
	<ul> <li>Counterparty U2A Credit Claim Management (Execution and Read Only)</li> </ul>
	<ul> <li>Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)</li> </ul>
	<ul> <li>Counterparty U2A Statements (Execution and Read Only)</li> </ul>
	- Counterparty U2A Other Support functionalities (Execution and Read Only)
	<ul> <li>Counterparty 2-Eyes (Only for Execution variant)</li> </ul>
	Counterparty 4-Eyes (Only applicable to Execution Variant)
	- Counterparty A2A credit freezing
	- Counterparty A2A marketable assets
	- Counterparty A2A credit claims
	- Counterparty A2A marginal lending
	- Counterparty A2A other messages
	- Counterparty A2A Corporate Actions
	<ul> <li>Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)</li> </ul>
	Counterparty A2A Reporting (admi.005)Displayed format is: Max. 35 characters.
Update Date	Shows the date and time of the last update of the Role.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Role.
To view the de	etails of an existing Role, select it and click on the View button.
Field	Description
Security Role	Shows the Security Role you want to assign to the user. The possible values are:
	- NCB U2A Party Administrator (Execution and Read Only)
	<ul> <li>NCB U2A General Reference Data (Execution and Read Only)</li> </ul>
	- NCB U2A Triparty Reference Data (Execution and Read Only)
	<ul> <li>NCB U2A Pool Reference Data (Execution and Read Only)</li> </ul>
	- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)
	- NCB U2A Cash Collateral (Execution and Read Only)
	- NCB U2A Externally Managed collateral (Execution and Read Only)
	- NCB U2A Open Market Operations (Execution and Read Only)
	<ul> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> </ul>
	<ul> <li>NCB U2A Credit Freezing (Execution and Read Only)</li> </ul>
	<ul> <li>NCB U2A Credit Line (Execution and Read Only)</li> </ul>
	<ul> <li>NCB U2A Pool Position (Execution and Read Only)</li> </ul>
T	



- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending



I	
	- Counterparty A2A other messages
	- Counterparty A2A Corporate Actions
	Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)
	- Counterparty A2A Reporting (admi.005)
	Displayed format is: Max. 35 characters.
Foot Print	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the role last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the role creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Assigned Use	rs
The existing U	Ser already assigned to the group are displayed
Field	Description
ECMS System User Refer- ence	Shows the ECMS System User Reference of the user.
Name	Shows the name of the user.
	Required format is: Free text.
Is Active	Shows whether the User is active or not. The possible values are:
	- Yes
	- No
Update Date	Shows the date and time of the last update of the assigned User.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the assigned User.
To view the de	etails of an existing assigned User, select it and click on the View button.
Field	Description
ECMS System User Refer- ence	Shows the ECMS System User Reference of the user.
Name	Shows the name of the user.
	Required format is: Free text.
Is Active	Shows whether the User is active or not. The possible values are:
	- Yes
	- No
Foot Print	
Updater User Id	Shows the identification of the user that made the last update.



Update Date	Shows the date and time of the Security Role last update.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User	Shows the identification of the user that created the data.	
Id		
Creation Date	Shows the date and time of the Security Role creation.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	

### 3.1.8 Interfaces

The ECMS allows the user to consult the provision of reference data as result of the interaction with other systems as the ones you can see below.

#### 3.1.8.1 T2S Data Provision

The ECMS sends data to T2S on a daily basis information on Eligible Assets.

#### 3.1.8.1.1 T2S Eligible Marketable Assets

ECMS will send to T2S the list of Eligible Marketable Assets that complies defined conditions. The details of this communication can be consulted in these screens.

## 3.1.8.1.1.1 T2S Eligible Marketable Assets – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the T2S Eligible Maketable Assets information.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Interfaces >> T2S Data Provision >> T2S Eligible Marketable Assets
Screenshot	Search Criteria : Eligible Tradable Asset Extraction Result  Distinct Day → ▼  Distinct Day → ▼  O Coord

T2S Eligible Marketable Assets – Search screen			
Field	Description		
Business Day	Enter the Business Day.		
	Required format is: DD/MM/YYYY.		
Button	Function		
Reset	This function enables you to clear the form.		
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.  Next screen:		
	T2S Eligible Marketable Assets - List Screen.		



## 3.1.8.1.1.2 T2S Eligible Marketable Assets – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.				
Screen Access	Reference Data >> Interfaces >> T2S Data Provision >> T2S Eligible Marketable Assets >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)				
Screenshot	Search Result : Eligible Tradable Asset Extraction Result				
Sciecisiot	10 ▼				Q 199 * # □ (5 🗟
	<b>=</b>	Business Day ©		App Reference \$	9
		28/07/2022		ELGTA00000004385	
		26/07/2022		ELGTA00000004368	
		20/07/2022		ELGTA00000004351	
		19/07/2022		ELGTA0000004334	
		18/07/2022		ELGTA00000004317	
		15/07/2022		ELGTA00000004300	
		14/07/2022		ELGTA00000004283	
		14/07/2022		ELGTA00000004266	
		12/07/2022		ELGTA00000004249	
		12/07/2022		ELGTA00000004232	

T2S Eligible Marketable Assets – List screen		
Field	Description	
Business Day	Shows the Business Day.	
	Displayed format is: DD/MM/YYYY.	
App Reference	Shows the Internal Reference to identify the information exchange.	
	Displayed format is: Max. 30 characters.	
Button	Function	
View	This function enables you to access to the detailed information of a T2s Eligible Marketable Assets.	
	Next screen:	
	T2S Eligible Marketable Assets - Details Screen.	

## 3.1.8.1.1.3 T2S Eligible Marketable Assets – Details Screen

Context of Usage	This screen displays detailed information on the selected T2S Eligible Marketale Assets. You can check the data and proceed further by clicking on the buttons.		
Screen Access	Reference Data >> Interfaces >> T2S Data Provision >> T2S Eligible Marketable Assets >> Click on the Search button >> Select a T2S Eligible Marketable Assets >> Click on the View button		
Screenshot		App Informore  ELGTLANCOCOMENT  Maddink Jases  CAL Digith Translank Jases  CAL Digith Translank Jases  Out Signite Maddink Jases  Update Date  SHACHES LIGHT-MARTS  CALIFORNIA DIRECT  JANG SEES 11 JANA SEES	20 6

T2S Eligible Marketable Assets – Details screen



Field	Description			
Business Day	Shows the Business Day.			
J	Displayed format is: DD/MM/YYYY.			
App Reference	Shows the Internal Reference to identify the information exchange.			
rr	Displayed format is: Max. 30 characters.			
Footprint	Displayed formation mast on characters.			
Creation Date	Shows the date and time of the creation.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Creation User Id	Shows the identification of the user that does the creation.			
Update Date	Shows the date and time of the last update			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater User Id	Shows the identification of the user that made the last update.			
Button	Function			
T2S New Eligible Assets	This function enables you to access to the detailed information of the group of T2S New Eligible Assets.			
	Next screen:			
	T2S New Eligible Assets – List Screen.			
Eligible Assets	This function enables you to access to the detailed information of the group of the Eligible Assets.			
	Next screen:			
	Eligible Assets – List Screen.			
T2S Deleted Eli- gible Assets	This function enables you to access to the detailed information of the group of T2S Deleted Eligible Assets.			
	Next screen:			
	T2S Deleted Eligible Assets – List Screen.			
T2S New Eligible	Assets			
The T2S New Eligi	ble Assets are displayed			
To view the detail button.	s of an existing T2S New Eligible Asset, select it and click on the View			
Object	Description			
ISIN	Shows the ISIN that identifies the Marketable Asset.			
Currency	Shows the currency stored.			
NCB BIC Code	Shows the BIC of the NCB.			
Message Reference	Shows the unique Reference.			
Status	Shows the current status.			
Footprint				
Updater User Id	Shows the identification of the user that made the last update.			
Update Date	Shows the date and time of the last update.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Creation User Id	Shows the identification of the user that created the data.			
Creation Date	Shows the date and time of the creation.			



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Eligible Assets	
The Eligible Assets	s are displayed
To view the detail	s of an existing Eligible Asset, select it and click on the View button.
Object	Description
ISIN	Shows the ISIN that identifies the Marketable Asset.
Currency	Shows the currency stored.
NCB BIC Code	Shows the BIC of the NCB.
Message Reference	Shows the unique Reference.
Status	Shows the current status.
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
T2S Deleted Eligi	ble Assets
The T2S Deleted E	ligible Assets are displayed
To view the detail button.	s of an existing T2S Deleted Eligible Asset, select it and click on the View
Object	Description
ISIN	Shows the ISIN that identifies the Marketable Asset.
Currency	Shows the currency stored.
NCB BIC Code	Shows the BIC of the NCB.
Message Reference	Shows the unique Reference.
Status	Shows the current status.
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

# 3.1.9 Statement & Messages

A message subscription is always done for a given message type in a given process.

When necessary, the subscription will happen at the level of the message function if the same message can have different functions.



The messages are encompassed in business areas as Securities Management, the ones described below includes the Statement of Holdings, Statement of Transactions and Statement of Pending Transactions ("semt" messages).

## 3.1.9.1 Statement of Holdings

This section is related to the Statement of Holdings area.

#### 3.1.9.1.1 Monitor Notifications Generated – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for your own Notifications Generated from Statement of Holdings.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Statement & Messages >> Statement of Holdings >> Monitor Notifications Generated
CCSS	
Screenshot	Search Criterial: Statement Of Moldings Process  FROCESSED FOR  PROCess Status ** NCS Puricipant ** Statement Asset ** Q  Account
	STATEMENTS PAGAMETERS  Statement Date Valorized Va

Monitor Notifications Generated – Search screen			
Field	Description		
PROCESSED	PROCESSED FOR		
Internal Asset	Enter / Select the unique Internal Asset Account Reference.		
Account	Required format is: Max. 35 characters.		
NCB Parti-	Enter / Select the RIAD Code that uniquely identifies the NCB Participant.		
cipant	Required format is: Max. 30 characters.		
NCB Parti-	Enter / Select the ECMS Entity Type from the possible values:		
cipant Type	- Counterparties		
	– Non-euro Area CB		
Statement Id	Enter the Statement Identifier		
	Required format is: free text.		
STATEMENT	'S PARAMETERS		
Statement	Enter / Select the Statement Date.		
Date	The calendar icon can also be used.		
	Required format is: DD/MM/YYYY		
Button	Function		
Reset	This function enables you to clear the form.		



Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Monitor Notifications Generated - List Screen.

### 3.1.9.1.1.1 Monitor Notifications Generated – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.												
Screen Access	Noti	Reference Data >> Statement & Messages >> Statement of Holdings >> Monitor Notifications Generated >> Click on the search button (after inputting the relevant Data, if needed)											
Screenshot	Search Result  10 ▼ Process ID e  HF151000  HF1510029  HF1510027  HF1510005  HF1510005  HF1510005  HF1510005  HF1510005	: Statement Of Holdin	Igs Process  Teernal Asset Account Animosessa Accou	NOS Participant Type	ST Statement Date 9 04/10/2022 04/10/2022 04/10/2022 04/10/2022 05/10/2022 05/10/2022 05/10/2022 05/10/2022 05/10/2022 05/10/2022 05/10/2022	K Page Statement Basis © SETT SETT SETT SETT SETT SETT SETT SET	1 of 61   1   1   1   1   1   1   1   1   1	No No No No No No No No No No No No No N	▽ Croor Message 8	▽ Faibre Reason #	1	Lodare Date \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	Common Co

<b>Monitor Notif</b>	ications Generated – List screen							
Field	Description							
Statement Id	Shows the Statement Identifier							
	Displayed format is: free text.							
Internal Asset	Shows the unique Internal Asset Account Reference.							
Account	Displayed format is: Max. 35 characters.							
NCB Parti-	Shows the RIAD Code that uniquely identifies the NCB Participant.							
cipant	Displayed format is: Max. 30 characters.							
NCB Parti-	Shows the ECMS Entity Type from the possible values:							
cipant Type	- Counterparties							
	- Non-euro Area CB							
Statement	Shows the Statement Date.							
Date	Displayed format is: DD/MM/YYYY							
Report Fre-	Shows Report Frequency with possible values:							
quency	– Ad-Hoc							
	- Daily							
	- Monthly							
	– Weekly							
	– Yearly							
Status	Shows the status of the generated notification.							
Failure	Shows the failure reason (if it has been an error).							
Reason								
Updater User Id	Shows the identification of the user that made the last update.							
144								



Update Date	Shows the date and time of the Statement of Holdings Record last update.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs	

## 3.1.9.2 Statement of Pending Transactions

This section is related to the Statement of Pending Transactions area.

### 3.1.9.2.1 Monitor Generated Notifications – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for your own Notifications Generated from Statement of Pending Transactions.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.	
Screen Access	Reference Data >> Statement & Messages >> Statement of Pending Transactions >> Monitor generated Notifications	
Screenshot		

Monitor Gene	erated Notifications – Search screen								
Field	Description								
PROCESSED	FOR								
Internal Asset	Enter / Select the unique Internal Asset Account Reference.								
Account	Required format is: Max. 35 characters.								
NCB Parti-	Enter / Select the RIAD Code that uniquely identifies the NCB Participant.								
cipant	Required format is: Max. 30 characters.								
NCB Parti-	Enter / Select the ECMS Entity Type from the possible values:								
cipant Type	- Counterparties								
	– Non-euro Area CB								
Statement Id	Enter the Statement Identifier								
	Required format is: free text.								
STATEMENT	'S PARAMETERS								
Statement	Enter / Select the Statement Date.								
Date	The calendar icon can also be used.								
	Required format is: DD/MM/YYYY								
Button	Function								
Reset	This function enables you to clear the form.								
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.								



Next screen:
– Monitor Generated Notifications– List Screen.

### 3.1.9.2.1.1 Monitor Generated Notifications – List Screen

Context of	This screen contains the search results displayed in a list, which is sorted by the											
Usage	values of the 'Update Date' column in descending order (default setting). After											
	selecti	ng an (	entry, y	ou can	proce	eed furt	her by	clickin	g on th	ne butto	ons belo	w.
Screen Ac-	Reference Data >> Statement & Messages >> Statement of Pending Transactions											
cess	>> Mo	nitor C	Generate	ed Not	ificati	ons >> (	Click o	n the se	earch b	utton (	after in	put-
	ting th	e relev	ant Da	ta, if ne	eeded	)				·		•
Screenshot	Search Result : Star	tement Of Pending T	ransaction Process									^
Screenshot	10 ▼										Q	373 () 🖾 ★ 🖓
	Internal Asset Account 9	NCB Participant 4	NCB Participant Type 4	Processed For Code \$ 5	Z Level \$	Report Frequency \$	Statement Date \$	Statement Basis \$	Process Status •	Failure Reason	□ Update Date      □    □	Updater User Id # 9
	IAA03PTCPTY001			IAA03PTCPTY001	clientSecAccount	Weekly	14/10/2022	SETT	Done		14/10/2022 17:17:12:205	ECMSOperational DaySch
	IAA01PTCPTY001			IAA01PTCPTY001	clientSecAccount	Weekly	14/10/2022	SETT	Done			ECMSOperational DaySch
	MAD2PTCPTY001			MADIPTOROSSES	clientSecAccount clientSecAccount	Weekly	14/10/2022	SETT	Done		14/10/2022 17:17:05:726	
	IAA01GB9000			IAA01GB9000	clientSecAccount	Weekly	14/10/2022	SETT	Done		14/10/2022 17:17:02:059	ECMSOperational DaySch
	IAA01CPTY001			IAA01CPTY001	clientSecAccount	Weekly	14/10/2022	SETT	Done		14/10/2022 17:16:59:301	ECMSOperational DaySch
	ASSENTPT14000000001			ASSENTPT14000000001	clientSecAccount	Weekly	14/10/2022	SETT	Done		14/10/2022 17:16:56:528	ECMSOperational DaySch
	ASSENTPT12800000001			ASSENTPT12800000001	clientSecAccount	Weekly	14/10/2022	SETT	Done		14/10/202217:16:53:137	ECMSOperational DaySch
	ASSENTPT10000000001			ASSEINTPT100000000001	clientSecAccount	Weekly	14/10/2022	SETT	Done		14/10/2022 17:16:50:223	ECMSOperational DaySch
	ASSENT00PT140000001			ASSENT00PT140000001	clientSecAccount	Weekly	14/10/2022	SETT	Done		14/10/2022 17:16:48:476	ECMSOperationalDaySch
												View

Monitor Gene	erated Notifications – List screen									
Field	Description									
Statement Id	Shows the Statement Identifier									
	Displayed format is: free text.									
Internal Asset	Shows the unique Internal Asset Account Reference.									
Account	Displayed format is: Max. 35 characters.									
NCB Parti-	Shows the RIAD Code that uniquely identifies the NCB Participant.									
cipant	Displayed format is: Max. 30 characters.									
NCB Parti-	Shows the ECMS Entity Type from the possible values:									
cipant Type	– Counterparties									
	– Non-euro Area CB									
Report Fre-	Shows Report Frequency with possible values:									
quency	- Ad-Hoc									
	– Daily									
	- Monthly									
	– Weekly									
	- Yearly									
Statement	Shows the Statement Date.									
Date	Displayed format is: DD/MM/YYYY									
Status	Shows the status of the generated notification.									
Failure	Shows the failure reason (if it has been an error).									
Reason										
Updater User Id	Shows the identification of the user that made the last update.									
Update Date	Shows the date and time of the Statement of Pending Transactions Record last update.									



Displayed format is: DD/MM/YYYY hh:mm:ss:µs

## 3.1.9.3 Statement of Transactions

This section is related to the Statement of Transactions area.

#### 3.1.9.3.1 Monitor Generated Notifications – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for your own Notifications Generated from Statement of Transactions.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Ac-	Reference Data >> Statement & Messages >> Statement of Transactions >> Mon-
cess	itor generated Notifications
Screenshot	Search Criteria : Statement Of Transaction Process  FROCESSED FOR  Process States ** * * * * * * * * * * * * * * * * *
	STATEMENTS PARAMETERS  Sustained Date • • Statement Date • • Statement Date • • Common

<b>Monitor Gene</b>	erated Notifications – Search screen									
Field	Description									
PROCESSED FOR										
Internal Asset	Enter / Select the unique Internal Asset Account Reference.									
Account	Required format is: Max. 35 characters.									
NCB Parti-	Enter / Select the RIAD Code that uniquely identifies the NCB Participant.									
cipant	Required format is: Max. 30 characters.									
NCB Parti-	Enter / Select the ECMS Entity Type from the possible values:									
cipant Type	- Counterparties									
	- Non-euro Area CB									
Statement Id	Enter the Statement Identifier from possible values									
	Required format is: free text.									
STATEMENT	'S PARAMETERS									
Statement	Enter / Select the Statement Date.									
Date	The calendar icon can also be used.									
	Required format is: DD/MM/YYYY									
Button	Function									
Reset	This function enables you to clear the form.									
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.									
	Next screen:									



- Monitor Generated Notifications-List Screen.

## 3.1.9.3.1.1 Monitor Generated Notifications – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.												
	selecting an entry, you can proceed further by clicking on the buttons below.												
Screen Ac-	Reference Data >> Statement & Messages >> Statement of Transactions >> Mon-												
cess	itor Generated Notifications >> Click on the search button (after inputting the												
	relev	ant Da	ta, if n	eeded	)								
Screenshot	Search Result :	Statement Of Transa	ction Process										_
Screenshot	10 🕶					K <	Page 1 of 43 >	K					Q 424 () 🕮 🖓
	NCB Participant Typ	internal Asset Accor	NCB Participant ©	Processed For Code		Start Date 4	▽ End Date ¢	Statement Basis   Statement Basis	Statement Date \$	Process Status	Failure Reason \$	□ Update Date      □    □    □    □    □    □    □	Updater User Id 🗢 😽
				IAA02PTCPTY001 IAA01PTCPTY001	clientSecAccount	12/07/2022	12/07/2022	SETT	12/07/2022	Done Done			ECMSOperationalDayS ECMSOperationalDayS
				IAA02FTCPTY001	clientSecAccount	11/07/2022	11/07/2022	SEIT	11/07/2022	Done		17/10/2022 17:15:57:1.	ECMSOperationalDayS
				IAA01PTCPTY001	clientSecAccount	05/07/2022	05/07/2022	SETT	05/07/2022	Done		17/10/2022 17:16:00 9	
				IAA03PTCPTY001	clientSecAccount	10/10/2022	14/10/2022	SETT	14/10/2022	Done		14/10/2022 17:16:22:0	ECMSOperationalDayS
				IAA02PTCPTY001	clientSecAccount	08/07/2022	08/07/2022	SETT	08/07/2022	Done		14/10/2022 17:16:19:2	ECMSOperationalDayS
				IAA01PTCPTY001	clientSecAccount	04/07/2022	04/07/2022	SETT	04/07/2022	Done		14/10/2022 17:16:16:5	ECMSOperationalDayS
				IAA02PTCPTY001	clientSecAccount	07/07/2022	07/07/2022	SETT	07/07/2022	Done		13/10/2022 17:15:58:7	ECMSOperationalDayS
				IAA01PTCPTY001	clientSecAccount	01/07/2022	01/07/2022	SETT	01/07/2022	Done		13/10/2022 17:15:56:0	. ECMSOperationalDayS
				IAA02PTCPTY001	clientSecAccount	06/07/2022	06/07/2022	SETT	06/07/2022	Done		11/10/2022 17:15:58.8	ECMSOperationalDayS
													● View

<b>Monitor Gene</b>	erated Notifications – List screen
Field	Description
Statement Id	Shows the Statement Identifier
	Displayed format is: free text.
Internal Asset	Shows the unique Internal Asset Account Reference.
Account	Displayed format is: Max. 35 characters.
NCB Parti-	Shows the RIAD Code that uniquely identifies the NCB Participant.
cipant	Displayed format is: Max. 30 characters.
NCB Parti-	Shows the ECMS Entity Type from the possible values:
cipant Type	- Counterparties
	– Non-euro Area CB
Statement	Shows the Statement Date.
Date	Displayed format is: DD/MM/YYYY
Status	Shows the status of the generated notification.
Failure	Shows the failure reason (if it has been an error).
Reason Report Fre-	Shows Report Frequency with possible values:
quency	- Ad-Hoc
	- Daily
	- Monthly
	- Weekly
TT 1 ( TT	- Yearly
Id Updater User	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Statement of Transactions Record last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs



## 3.1.10 Reports Reference Data

### 3.1.10.1 User Access Rights Report

This report shows the users and roles granted and it can be requested by NCBs and also by Counterparties in U2A mode:

- In case it is requested by an NCB, this report informs on the roles granted to its community and the roles granted to its own users.
- In case it is requested by a Counterparty, it informs on the roles granted to its own users.

#### 3.1.10.1.1 User Access Rights Report – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the User Access Rights Report.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.				
Screen Access	Reference Data >> Reports >> User Access Rights Report				
Screenshot	Search Criteria : Access Rights Report Criteria : Access Rights Report Deleas Rates • • • Nature • • • • • • • • • • • • • • • • • • •	Convex Radios •• ▼  Deletero Date •• ▼  New Groups •• ▼	Ö	Dekered Groups •• ▼  Eoma Party D •• ▼  New Rates •• ▼	© Count Q South

User Access Right	ts Report – Search screen
Field	Description
Current Groups	Enter a possible group.
	Required format: Max. 35 chars
Current Roles	Enter the Security Role from possible values:
	- NCB U2A Party Administrator (Execution and Read Only)
	- NCB U2A General Reference Data (Execution and Read Only)
	- NCB U2A Triparty Reference Data (Execution and Read Only)
	- NCB U2A Pool Reference Data (Execution and Read Only)
	<ul> <li>NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)</li> </ul>
	- NCB U2A Cash Collateral (Execution and Read Only)
	- NCB U2A Externally Managed collateral (Execution and Read Only)
	- NCB U2A Open Market Operations (Execution and Read Only)
	<ul> <li>NCB U2A Standing Facilities (Execution and Read Only)</li> </ul>
	- NCB U2A Credit Freezing (Execution and Read Only)
	- NCB U2A Credit Line (Execution and Read Only)
	- NCB U2A Pool Position (Execution and Read Only)
	- NCB U2A Blocking of a counterparty (Execution and Read Only)
	- NCB U2A ELA Management (Execution and Read Only)



- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending
- Counterparty A2A other messages



	- Counterparty A2A Corporate Actions
	<ul> <li>Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)</li> </ul>
	- Counterparty A2A Reporting (admi.005)
Deleted Groups	Enter a Deleted Group
	Required format: Max. 35 chars.
Deleted Roles	Enter a Deleted Rol (see possible values in above field Current Roles).
Deletion Date	Enter the date of Deletion.
	Required format is: DD/MM/YYYY.
Ecms Party ID	Enter a Party identificator (Party Internal Id_Party RIAD Code).
	Required format: Max.71 chars
Ncb Id	Enter the Party RIAD Code of the NCB.
	Required format: Max. 35 chars.
New Groups	Enter a New Group
	Required format: Max. 35 chars.
New Roles	Enter a New Rol (see possible values in above field Current Roles).
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	User Access Rights Report-List Screen.

# 3.1.10.1.2 User Access Rights Report – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below												
Screen Ac-	Refere	ence Da	ata >>	Repor	ts >> L	Jser A	ccess	Rights	Report	:>> Cli	ick on	the s	earch
cess	butto	n (after	input	tting tl	ne rele	vant D	ata, i	f neede	ed)				
Screenshot	Search Result : A	ccess Rights Report											_
Screenshot	10 🕶											Q	™ ★ 蝌 □ () ♡
	Current Groups	Ourrent Roles 🗢 🔻	Deleted Groups \$	Deleted Roles #	Deletion Date \$	Ecms Party ID 0	Ncb ld #	New Groups \$	New Roles # 9	Report Date \$	Creation Date \$	User Update ¢	♡ User ID \$
		Super Validator-NCB								28/06/2022 12:05:32:2	28/05/2022 12:05:32:2.	DEW	DNBA2A-CF
													DUPDN-002
		ECMS OPERATOR - U2								28/06/2022 11:36:57:0	28/00/2022 11:30:57:0.	Den	
		NCB - U2A GENERAL R								27/06/2022 11:09:21:8	27/05/2022 11:09:21:8.	new	DUPDN-001
		NCB - UZA GENERAL R NCB - UZA US TAXATIO				4_DE00999	DE00999			27/06/2022 11:09:21:8 27/06/2022 10:33:22:9	27/05/2022 11:09:21:8. 27/05/2022 10:33:23:0.	new	DUPDN-001 USTAXUser2
		NCB - UZA GENERAL R NCB - UZA US TAXATIO NCB - UZA GENERAL R				4,0006999	DE00999		-NCB - UZA CREDIT CL	27/06/2022 11:09:21:8 27/06/2022 10:33:22:9 27/06/2022 09:52:42:0	27/06/2022 11:09:21:8. 27/06/2022 10:33:23:0. 27/06/2022 09:52:42:1.	new new	DUPDN-001 USTAXUser2 DNBRFD
		NCB - U2A GENERAL R  NCB - U2A US TAXATIO.  NCB - U2A GENERAL R admin-Super Validator					DE01/999		-NCB - UZA CREDIT CL	27/06/2022 11:09:21:8 27/06/2022 10:33:22:9 27/06/2022 09:52:42:0 24/06/2022 10:21:52:1	27/05/2022 11:09:21:8. 27/05/2022 10:33:23:0. 27/05/2022 09:52:42:1. 24/05/2022 10:21:52:2.	Dew Dew	DUPDN-001 USTAXUser2 DNBRFD FRCB-U2A-02
		NCB - U2A GENERAL R NCB - U2A US TAXATTO. NCB - U2A GENERAL R admin-Super Validator Super Validator-admin			1100 (001) (0.173)	1_ECMSOPERATOR	DE006999		-NCB - UZA CREDIT CL	27/06/2022 11:09:21:8 27/06/2022 10:33:22:9 27/06/2022 10:33:22:9 24/06/2022 10:21:52:1 23/06/2022 19:19:42:8	27/05/2022 11:09:21:8. 27/05/2022 10:33:23:0 27/05/2022 10:33:23:0 24/05/2022 10:21:52:2 23/05/2022 19:19:42:8.	DEW DEW	DUPDN-001  USTAXUSEZ  ONBRPD  FRCB-UZA-02  FR-0P-01
		NCB - U2A GENERAL R  NCB - U2A US TAXATIO.  NCB - U2A GENERAL R admin-Super Validator	-ROF NCB EXE		23/08/2022 19:17:30:8.	1_ECMSOPERATOR	DE00/999		-NCB - UZA CREDIT CL	27/06/2022 11:09:21:8. 27/06/2022 10:33:22:9. 27/06/2022 09:52:42:0. 24/06/2022 10:21:52:1. 23/06/2022 19:19:42:8. 23/06/2022 19:17:30:8.	27/05/2022 11:09:21:8. 27/05/2022 10:33:23:0. 27/05/2022 09:52:42:1. 24/05/2022 10:21:52:2.	DEW DEW	DUPDN-001 USTAXUser2 DNBRFD FRCB-U2A-02

User Access Rights – List screen			
Field	Description		
Current Groups	Shows current group.		
	Displayed format: Max. 35 chars		
Current Roles	Shows current Role from possible values:		
	- NCB U2A Party Administrator (Execution and Read Only)		



- NCB U2A General Reference Data (Execution and Read Only)
- NCB U2A Triparty Reference Data (Execution and Read Only)
- NCB U2A Pool Reference Data (Execution and Read Only)
- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)
- NCB U2A Cash Collateral (Execution and Read Only)
- NCB U2A Externally Managed collateral (Execution and Read Only)
- NCB U2A Open Market Operations (Execution and Read Only)
- NCB U2A Standing Facilities (Execution and Read Only)
- NCB U2A Credit Freezing (Execution and Read Only)
- NCB U2A Credit Line (Execution and Read Only)
- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant )
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)



	- Counterparty U2A Banking Group Management (Read Only)
	- Counterparty U2A Credit Claim Management (Execution and Read
	Only)
	<ul> <li>Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)</li> </ul>
	- Counterparty U2A Statements (Execution and Read Only)
	<ul> <li>Counterparty U2A Other Support functionalities (Execution and Read Only)</li> </ul>
	<ul> <li>Counterparty 2-Eyes (Only for Execution variant)</li> </ul>
	- Counterparty 4-Eyes (Only applicable to Execution Variant)
	<ul> <li>Counterparty A2A credit freezing</li> </ul>
	- Counterparty A2A marketable assets
	- Counterparty A2A credit claims
	- Counterparty A2A marginal lending
	- Counterparty A2A other messages
	- Counterparty A2A Corporate Actions
	<ul> <li>Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)</li> </ul>
	Counterparty A2A Reporting (admi.005)
Deleted Groups	Shows Deleted Group
_	Displayed format: Max. 35 chars.
Deleted Roles	Shows a Deleted Rol (see possible values in above field Current Roles).
Deletion Date	Shows the date of Deletion.
	Displayed format is: DD/MM/YYYY.
Ecms Party ID	Shows Party identificator (Party Internal Id_Party RIAD Code).
	Displayed format: Max.71 chars
Ncb Id	Shows the Party RIAD Code of the NCB.
	Displayed format: Max. 35 chars.
New Groups	Shows New Group
	Displayed format: Max. 35 chars.
New Roles	Shows New Rol (see possible values in above field Current Roles).
Report Date	Shows the date and time of the report
	Displayed format is: DD/MM/YYYY hh:mm:ss:μs.
Creation Date	Shows the Creation Date
	Displayed format is: DD/MM/YYYY hh:mm:ss:μs.
User ID	Shows the identification of the User.
	Displayed format: Max. 35 chars.
User Update	Possible values:
	- New
	- Deleted
Button	Function



View	This function enables you to access to the detailed information of a User Access Rights Report.
	Next screen:
	User Access Rights Report – Details Screen.
Export Report.Csv	This function enables you to export the data showed in this list screen to a CSV file.
Export Report Excel	This function enables you to export the data showed in this list screen to an Excel file.
Export Report.Xml	This function enables you to export the data showed in this list screen to a XML file.

## 3.1.10.1.2.1 User Access Rights Report – Details Screen

Context of Usage	This screen displays detailed information on the selected User Access Rights Report. You can check the data and proceed further by clicking on the buttons.				
Screen Access	button (after inpu		Data, if needed	Report >> Click on t d) >> Select a User A	
Screenshot	Search Result : Access Rights Report > View : Access I Currient Groups  Divises Roles  No. Id Dissesses	Current folios  NER -LTALE EAASTON EXECUT  Delinion Dies  New Groups	TION - 686-Super Validatore	Oriented Groups  Econs Party O  1,0600099  New Polits	⊠ <u>6</u>
	Report Date 274-6-2023 MASS-2-903 FootPrint Updates there of DECEMBE Constitution that of DECEMBE DECEMBE DECEMBE	Oser D CHTAXCard	Update Date	User Update Servi	8

User Access Right	ts – Details screen
Field	Description
Current Groups	Shows current group.
	Displayed format: Max. 35 chars
Current Roles	Shows current Role from possible values:
	- NCB U2A Party Administrator (Execution and Read Only)
	- NCB U2A General Reference Data (Execution and Read Only)
	- NCB U2A Triparty Reference Data (Execution and Read Only)
	- NCB U2A Pool Reference Data (Execution and Read Only)
	<ul> <li>NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)</li> </ul>
	- NCB U2A Cash Collateral (Execution and Read Only)
	- NCB U2A Externally Managed collateral (Execution and Read Only)
	- NCB U2A Open Market Operations (Execution and Read Only)
	- NCB U2A Standing Facilities (Execution and Read Only)
	- NCB U2A Credit Freezing (Execution and Read Only)
	- NCB U2A Credit Line (Execution and Read Only)



- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets



	- Counterparty A2A credit claims
	- Counterparty A2A marginal lending
	- Counterparty A2A other messages
	- Counterparty A2A Corporate Actions
	- Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)
	Counterparty A2A Reporting (admi.005)
Deleted Groups	Shows Deleted Group
	Displayed format: Max. 35 chars.
Deleted Roles	Shows a Deleted Rol (see possible values in above field Current Roles).
Deletion Date	Shows the date of Deletion.
	Displayed format is: DD/MM/YYYY.
Ecms Party ID	Shows Party identificator (Party Internal Id_Party RIAD Code).
	Displayed format: Max.71 chars
Ncb Id	Shows the Party RIAD Code of the NCB.
	Displayed format: Max. 35 chars.
New Groups	Shows New Group
	Displayed format: Max. 35 chars.
New Roles	Shows New Rol (see possible values in above field Current Roles).
Report Date	Shows the date and time of the report
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
User ID	Shows the identification of the User.
	Displayed format: Max. 35 chars.
User Update	Possible values:
	- New
	- Deleted
Footprint	
Creation Date	Shows the identification of the user that made the last update.
Creation User Id	Shows the identification of the user that created the data.
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.

# 3.1.11 Setup

# 3.1.11.1 Message Subscription

## 3.1.11.1.1 Message Subscription Setup – Search Screen

(	Context of	This screen contains a number of search fields. By inputting the relevant data,
Į	Usage	you can search for the Message Subscription Setup.



	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.		
Screen Access	Reference Data >> Setup >> Message Subscription Setup		
Screenshot	Message Subscription Setup    Massage Subscription Setup   Massage Subscri		

Message Subscri	ption Setup – Search screen			
Field	Description			
NCB Participant	Enter / Select the RIAD Code that identifies the counterparty in the ECMS.			
	Required format is: Max. 30 characters.			
Internal Asset	Enter the unique Internal Asset Account reference.			
Account	Required format is: Max. 35 characters.			
Party Type	Enter / Select the type of the NCB Participant from possible values:			
	- Counterparties			
	- Cross NCBs			
	– Non-euro Area CBs			
Notify	Enter / Select if the flag Notify is activated, from possible values:			
	- Yes			
	- No			
Button	Function			
Reset	This function enables you to clear the form.			
Create	This function enables you to create a new Message Subscription Setup.			
	Next screen:			
	- Message Subscription Setup - Create Screen.			
Search	This function enables you to start a search according to the filled in criteria.			
	The results are displayed in a list on the List Screen.			
	Next screen:			
	- Message Subscription Setup - List Screen.			

## 3.1.11.1.1 Message Subscription Setup – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.					
Screen Access	Reference Data >> Setup >> Message Subscription Setup >> Click on the search button (after inputting the relevant Data, if needed)					
Screenshot	Search : Message Subscription Set	up  V noti Periopent \$	▽ Pary Type t	Towns of the second state of the second seco	Ver Ver Ver Ver Ver Ver Ver Ver Ver Ver	Q () □ □ ★ ♥

Message Subscription Setup – List Screen



counterparty in the ECMS.				
1 3				
Displayed format is: Max. 30 characters.				
Shows the unique Internal Asset Account reference.				
Displayed format is: Max. 35 characters.				
Shows the type of the NCB Participant from possible values:				
- Counterparties				
- Cross NCBs				
oossible values:				
- Semt.002				
- Semt.017				
- Semt.018				
n possible values:				
– Yes				
yed				
Function				
e historical information of a Message				
Screen.				
age Subscription Setup.				
Next screen:				
en.				
e detailed information of a Message				
creen.				

#### 3.1.11.1.1. Message Subscription Setup – History Screen

Context of
Usage

This screen displays historical information that has changed on the selected Message SubscriptionSetup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.

- Reference Data >> Setup >> Message Subscription Setup >> Click on the search button (after inputting the relevant Data, if needed) >> Select a record >> Click on the History button

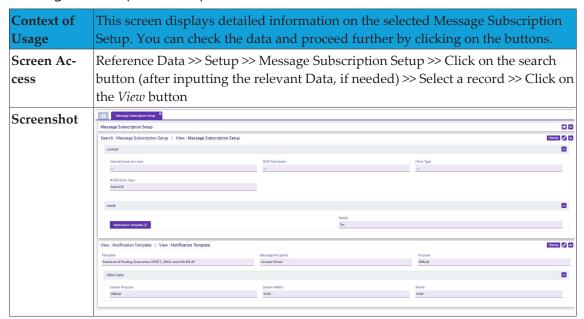
- Reference Data >> Setup >> Message Subscription Setup >> Click on the search button (after inputting the relevant Data, if needed) >> Select a record >> Click on the View button (Message Subscription Setup - Details Screen) >> Click on the History Button





Message Subscription Setup – History Screen			
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected Message Subscription Setup.		
Timestamp	Shows the date and time when the fields have been updated		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected record.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.		

#### 3.1.11.1.2 Message Subscription Setup – Details Screen



Message Subscription Setup – Details Screen



Button	Description				
History	This function enables you to access to the historical information of a Message				
THStOLY	Subscription Setup.				
	Next screen:				
	Message Subscription Setup – History Screen.				
Context					
Field	Description				
NCB Parti-	Shows the RIAD Code that identifies the counterparty in the ECMS.				
cipant	Displayed format is: Max. 30 characters.				
Internal As-	Shows the unique Internal Asset Account reference.				
set Account	Displayed format is: Max. 35 characters.				
Party Type	Shows the type of the NCB Participant from possible values:				
1	– Counterparties				
1	- Cross NCBs				
1	– Non-euro Area CBs				
Notification	Shows the type of the notification from possible values:				
Type*	- Semt.002				
	- Semt.017				
	- Semt.018				
Result					
Notifiy	Shows if the flag Notify is activated, from possible values:				
1	- Yes				
1	- No				
Button	Function				
Notification Template	This function enables you to access the subsection Notification Template.				
Notification	Template				
_	additional Notification Template are displayed, if you select one record and click ton following fields are displayed.				
Template	Shows the template to be used.				
	Displayed format: Freetext				
Message Re-	Shows Message Recipient from possible values:				
cipient	- Account Owner				
	- Refinancing NCB				
Purpose	Shows Purpose from possible values:				
	- Securities Management				
Other Data					
Sender Pur- pose	Shows the purpose of the Sender.				
Sender Me-	Shows the Media of the Sender from Possible values:				
dia	- Swift				
	- SIA Colt				



Media	Shows the Media from Possible values:
	– Swift
	- SIA Colt

## 3.2 Marketable Asset Instructions and Positions

#### 3.2.1 Marketable Asset Instruction

#### 3.2.1.1 Counterparty Marketable Asset Instructions

Counterparty Marketable Asset Instructions represent the instruction received by the ECMS from the counterparty to mobilise or demobilize a Marketable Asset in or from one of its NCB Participant Asset Accounts.

A Refinancing NCB can access to the details of the Counterparty Marketable Asset Instructions originated by the Counterparties of its community through the different screens described in this section.

The "Client Side" of Counterparty Marketable Asset Instructions corresponds to an ECMS Counterparty whereas the "Market Side" corresponds either to a domestic T2S CSD (for domestic (de)mobilization) or to another NCB (for non-domestic (de)mobilization)

#### 3.2.1.1.1 Counterparty Marketable Asset Instruction – Input Screen

Context of Usage	This screen is used to input manually a Counterparty Marketable Asset Instruction.			
Business Case re- lated	Input a Counterparty I	Marketable Ass	set (de)Mobi	lisation Instruction
Screen Access	Instructions and Positions >> Instructions and Positions >> MA Instruction >> Input Instruction >> Marketable Asset Instruction			
Screenshot	Speci Continguity MA  General Association  1949 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1 STREAM STREAM  1950 1	mert Gete	CONTRINGS  DATE FAMILY	The Periodic Council C
	O trace			✓ to— See in Cost

Counterparty Marketable Asset Instructions –Input screen		
Field	Description	
General information		



Party's In-	Enter the Reference of the instruction given by the Instructing Party.							
struction Ref- erence*	Required format is: Max. 35 characters.							
Instruction	To select the Instruction Type from the drop-down menu. The possible values							
Type*	are:							
	- Marketable Asset Mobilisation (RECE)							
	Marketable Asset Demobilisation (DELI)							
Securities Transaction	Enter the securities transaction type expressed as an ISO 20022 code from possible values:							
Туре	- BSBK							
3 1	- COLI							
	- COLO							
	- MKDW							
	- MKUP							
	- NETT							
	- NSYN							
	- PAIR							
	- PLAC							
	- PORT							
	- REAL							
	- REDM							
	- REPU							
	- RODE							
	- RVPO							
	- SECB							
	- SECL							
	- SUBS							
	- SYND							
	- TBAC							
	- TRAD							
	- TRPO							
	- TRVO							
	- TURN							
	- BYIY							
	- CNCB							
	- OWNE							
	- FCTA							
	- OWNI							
	- RELE							
	- SBRE							
	- CORP							



ſ	
	- CLAI
	- AUTO
	- SWIF
	- SWIT
	- CONV
	- ETFT
	- ISSU
	- SLRE
	– INSP
	- SBBK
	- REDI
Counter-	Enter or to Select the RIAD code of the Counterparty from a drop down menu.
party*	Note that if the user capturing the instruction is a counterparty user, the fields will be
	pre-filled with the RIAD code of that counterparty.
NCB Parti-	Enter or to Select the Reference of NCB Participant Asset Account on which the
cipant Asset	asset is (de)mobilized from a drop-down menu.
Account*	
ISIN*	Enter or to search the ISIN of the Marketable Asset (de)mobilized.
ISIN Descrip- tion <sup>#</sup>	Shows the Name of the Marketable Asset (de)mobilized.
Quantity in FAMT*	Enter the Quantity instructed expressed in FAMT
Denomina- tion Cur- rency#	Shows the currency of the instruction (by default euro)
Trade Date*	Enter or Select the Trade Date of the Instruction
	Required format is: DD/MM/YYYY.
Intended Set-	Enter or Select the Intended settlement date of the instruction.
tlement Date*	Required format is: DD/MM/YYYY.
Additional In	formations
Common	Common Reference of the instruction
Reference	
<b>Custody Chai</b>	n
CSD of the	Enter or search the RIAD code of the CSD where the asset is received (Receiving
Account for	CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Regular Col-	ing CSD for a demobilisation histraction).
lateral*	
External As-	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross
set Account#	NCB Asset Account depending if the instruction is to be settled in a domestic
External As-	CSD or not where the Marketable Asset is deposited.  Shows the BIC Code of the owner of the External Asset Account.
set Account	Shows the DIC Code of the owner of the External Asset Account.
owner#	



Counterparty	Details
Party CSD*	Enter or to select the RIAD codeof the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Party BIC*	Enter the BIC Code of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Party Account	Enter the account Reference of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Party 2 Detail	s
BIC	Enter the Party 2 BIC
Account ID	Enter the Party 2 Account ID
Issuer	Enter the Party 2 Issuer
Proprietary Identification	Enter the Party 2 Proprietary Identification
Scheme Name	Enter the Party 2 Scheme Name
Button	Function
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of Counterparty Marketable Asset Instruction.
Save as Draft	To save under status "Draft" the Counterparty Marketable Asset Instruction.

# 3.2.1.1.2 Counterparty Marketable Asset Instruction – Search Screen

Context of Usage	This screen is used to search Counterparty Marketable Asset Instructions. It contains the fields that can be used as search criteria.
	The user captures the fields that correspond to its criteria for searching Counterparty Marketable Asset Instructions.
	If a field is left blank, the field is not taken into account as a search criteria.
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be Showed.
Business Case related	Search/List/View Counterparty Marketable Asset (de)Mobilisation Instructions
Screen Access	Depending how the screen is accessed, it can be used to search either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system.
	For valid instructions in any Status:
	Instructions and Positions >> Instructions and Positions >> MA Instruction >> Monitor >> Counterparty Instructions
	For instructions subject to 4 eyes validation:
	Instructions and Positions >> Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject MA Instructions





Counterpart	y Marketable Asset Instructions – Search screen
Field	Description
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Instruction Id.	Enter the unique identifier of the instruction given by the ECMS.
Instruction Type	Enter or Select the Instruction Type from the drop-down menu The possible values are:
	Marketable Asset Mobilisation (RECE)
	- Marketable Asset Demobilisation (DELI)
Counter- party	Enter or select the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
NCB Parti- cipant Asset Account	Enter or Select the Reference of the NCB Participant Asset Account on which the asset is (de)mobilized.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Trade Date	Enter the Trade Date of the Instruction or use the calendar icon.
	Required format is: DD/MM/YYYY.
Intended	Enter the intended settlement date of the instruction or use the calendar icon.
Settlement Date	Required format is: DD/MM/YYYY.
Effective	Enter the effective settlement date of the instruction or use the calendar icon.
Settlement Date	Required format is: DD/MM/YYYY.
	Enter or Select the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
CSD of the	Enter or search the RIAD codeof the CSD where the asset is received (Receiving
	CSD in a mobilisation instruction) or from where the asset is delivered (Deliver-
	ing CSD for a demobilisation instruction).
for Regular Collateral	
Party CSD	Enter or search the RIAD codeof the CSD of the Delivering party (for mobilisa-
Turty Cod	tion) or Receiving party (for demobilisation).
Business	Enter or select the business status of the instruction from possible values:
Status	- Cancelled
	- Sent for Settlement



	- Validated
	- Matched
	- Queued
	- Rejected
	- Confirmed
Business	Enter or select the business substatus from possible values:
Sub Status	- Waiting for Global Collateral Position Update
	- Waiting for Settlement Date
	- Waiting User Validation
	- Waiting Deliver Leg Confirmation
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:
	– Counterparty Marketable Asset Instruction – List Screen

## 3.2.1.1.2.1 Counterparty Marketable Asset Instruction – List Screen

Context of	This screen contains a list of Counterparty Marketable Asset Instructions.
Usage	The instructions listed correspond to the search criteria captured in the <i>Counterparty Marketable Asset Instructions – Search</i> screen.
Business	Search/List/View Counterparty Marketable Asset (de)Mobilisation Instructions
Case re- lated	
Screen Access	Depending how the screen is accessed, it can be used to list either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system.
	The list Showed can be sorted and filter by the field Showed.
	The list can be exported.
	By clicking on the "View" button or double clicking on the selected line to Shows the <i>Counterparty Marketable Asset Instructions—Details screen</i> with the details of the selected instruction.
	For valid instructions in any Status:
	Instructions and Positions >> Instructions and Positions >> MA Instruction >> Monitor >> Counterparty Instructions >> Search Counterparty Marketable Asset Instructions
	For instructions subject to 4 eyes validation:



	Insti idati <i>Mari</i>	on/	Reje	ction	>> V	<sup>7</sup> alid	ate /											> Val- y
Screenshot	List: Counter	rparty MAI						1/ /		N							0	間回の気
		Instruction Id ®	Instruction TV	Business Stat		Counterparty \$	NCB Participa	K (Pi	Quantity in FA		1	Effective Settl®	Party CSD	CSD of the TZ	Being Cancell®	Creation Date 0		
	Party's Instru		Marketable Ass	Business Statu	Business Sub 😌	ABVROOS	ARVR011IAA01	ES0000011991		03/07/2022	24/05/2022	Effective Settly		NRREINERE	No.	26/06/2022 13:	-	26/06/2022 13:
	BUSVAL4714A	MAI202206203	Marketable Ass	Rejected		ABVR005	ABVR005IAA01	AT0000A1FQ74		20/06/2022	20/05/2022		AT9900 Custod	AT9900(Custod	No	20/06/2022 15		20/05/2022 15
	AUTOTC005MP	MAI202205231	Marketable Ass	Rejected				AT0000384128	1	23/05/2022	23/05/2022		AT9900)Custod	AT9900(Custod	No	23/05/2022 09	STP	23/05/2022.09
	AUTOTC005MP	MAI202205231_	Marketable Ass	Rejected				AT0000384128	1	23/05/2022	23/05/2022		AT9900lCustod	AT9900lCustod	No	23/05/2022 09:	STP	23/05/2022 09:
	XSD0000000000	MAI202111228_	Marketable Ass_	Cancelled		ABVR005	ABVR005IAA01	AT0000384128	10,000,000	22/11/2021	22/11/2021		AT9900lCustod	AT9900lCustod	No	22/11/2021 10:	STP	20/04/2022 13:
	XSDTTTTT0001	MAI202111228	Marketable Ass	Cancelled		ABVR005	ABVR005IAA01	AT0000384128	5,000,000	22/11/2021	22/11/2021		AT9900)Custod	AT9900(Custod	No	22/11/2021 10:	STP	20/04/2022 13:
	ATOTHERSIC01	MAI202111228_	Marketable Ass		Waiting for Glo	ABVR005	ABVR005IAA01	AT0000384128		22/11/2021	22/11/2021		AT9900)Custod	AT9900(Custod		22/11/2021 10:		20/04/2022 13:
	AT0000000000	MAI202111228_	Marketable Ass		Waiting for Glo	ABVR005	ABVR005IAA01	AT0000384128		22/11/2021	22/11/2021		AT9900)Custod	AT9900(Custod		22/11/2021 10:		20/04/2022 13
	SP96TEST	MAI202212298_	Marketable Ass Marketable Ass			ABVR011	ABVR011IAA01 ABVR011IAA01	AT0000A1CEU4		29/12/2021	29/12/2021	18/03/2022	AT9900lCustod	AT9900lCustod		29/12/2021 12:		19/04/2022 13:

<b>Counterparty Ma</b>	rketable Asset Instructions – List screen
Column	Description
Party's Instruc-	Shows the Reference of the instruction given by the Instructing Party.
tion Reference	
Instruction Id.	[Only for valid instructions]
	Shows the Unique identifier of the instruction given by the ECMS.
Instruction Type	Shows the Type of Instruction. The possible values are:
	- Marketable Asset Mobilisation (RECE)
	- Marketable Asset Demobilisation (DELI)
Business Status	[Only for valid instructions]
	Shows the Business status of the instruction from possible values:
	- Cancelled
	- Sent for Settlement
	- Waiting for NCB manual intervention
	- Validated
	- Matched
	- Queued
	- Rejected
	- Confirmed
Business Sub	Shows the Business sub Status of the instruction from possible values:
Status	- Waiting for Global Collateral Position Update
	- Waiting for Settlement Date
	- Waiting User Validation
	- Waiting Deliver Leg Confirmation
NCB Participant Asset Account	Shows the reference of the NCB Participant Asset Account on which the asset is (de)mobilized.
Counterparty	Shows the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
ISIN	Shows the ISIN of the Marketable Asset (de)mobilized.
Quantity in FAMT	Shows the quantity instructed expressed in FAMT
Trade Date	Shows the trade date of the instruction
	Displayed format is: DD/MM/YYYY.



Intended Settle-	Shows the Intended Settlement Date of the instruction.
ment Date	Displayed format is: DD/MM/YYYY.
Effective Settle-	Shows the Effective Settlement Date of the instruction.
ment Date	Displayed format is: DD/MM/YYYY.
CSD of the T2S Securities Ac- count for Regular Collateral	Shows the RIAD code of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
CSD of the T2S Securities Ac- count for Regular Collateral (PSN)	Shows the Party Short Name of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Party CSD	Shows the RIAD code of the CSD of the Delivering Party (for mobilisation) or Receiving party (for demobilisation).
Party CSD (PSN)	Shows the Party Short Name of the CSD of the Delivering Party (for mobilisation) or Receiving party (for demobilisation).
Being Cancelled	Shows the indicator stating that the cancellation of the instruction has been requested. The possible values are:  - Yes
	- No
Creation Date	Date and time when the instruction was created in the ECMS.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
View	This function enables you to view the detail of the selected Counterparty Marketable Asset instruction.
	Next screen:
	- Counterparty Marketable Asset Instructions - Details Screen
	The Details screen is Showed replacing the current screen.
History	This function enables you to view the History of the selected Counterparty Marketable Asset instruction.
	Next screen:
	- Counterparty Marketable Asset Instructions - History Screen.
If the screen is use record is selected	ed in the 4 eyes validation context, the following buttons are Showed when a
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	– Counterparty Marketable Asset Instruction – History Screen.
View Draft	This function enables the user to view the draft record to be validated.



	Next screen:
	– Counterparty Marketable Asset Instruction – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	– Counterparty Marketable Asset Instruction – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

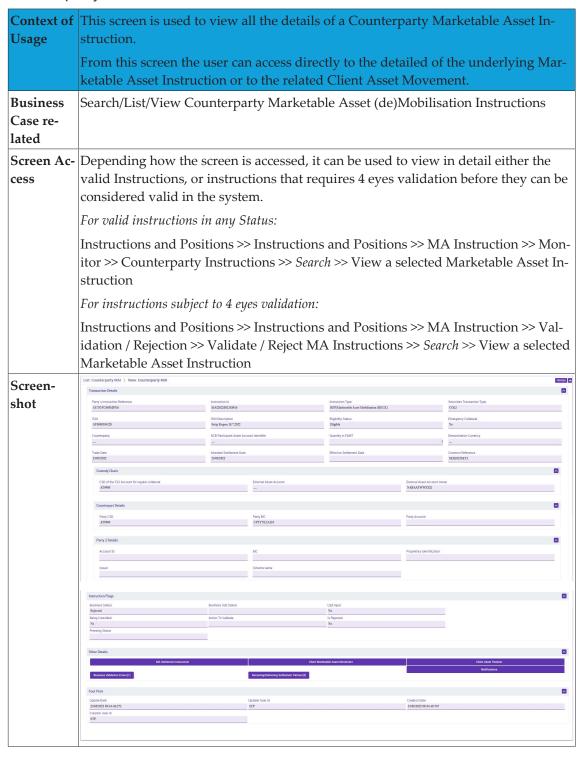
### 3.2.1.1.2.2 Counterparty Marketable Asset Instruction – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Counterparty Marketable Asset Instruction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.									
Screen Access	struc search recor	<ul> <li>Instructions and Positions &gt;&gt; Instructions and Positions &gt;&gt; MA Instruction &gt;&gt; Monitor &gt;&gt; Counterparty Instructions &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the History button</li> <li>Instructions and Positions &gt;&gt; Instructions and Positions &gt;&gt; MA Instruction &gt;&gt; Monitor &gt;&gt; Counterparty Instructions &gt;&gt; Click on the</li> </ul>								
	I	search button (after inputting the relevant Data, if needed) >> Select a								
		•			Marketable Asset In-					
			creen) >> Click o	. , ,						
Screenshot	horizontalHistoryResult : Simp		· · · · · · · · · · · · · · · · · · ·							
	Fields	MAI2022052318916[2022-05-23-09-54-46-27	1  4    MAI/2022052318016)2022-05-23.09:54-44.083	ID ✓ MAU2022052218916;0022-05-23 00 54-4	Q V XI September 1 Compare 2: 556;2 V MAX2022052318916;2022-05-23-02-54-49.76-[1]					
	Status	RejectClearingProcess	RejectClearingProcess	Iscomplete 22/05/2022	Doubt					
	Bill Date Business Status Business Sub Status	23/05/2022 REJECTED	23/05/2022 REJECTED	22/05/2022 INCOMPLETE	WAITING WALIDATION					
	Client Original Amount Funding Method	0 NoFunding	0 NoFunding	0 NeFunding						
	Generate Market Instruction Is Auto Committed	Yes Yes	Yes Yes	Yes Yes	No No					
	Last Notification Out Net Amt In C Acc Cur	2429990006 0	2429990006 0	0						
	Ot C Traded Ownership Date	true 23/05/2022	true 23/05/2022	true 23/05/2022						
	Partial Settlement Indicator Price	0	0	0	faire					
	Refeased Quantity Securities Transaction Type	1 COLI	1	1						
	Intended Settlement date Creation Date	23/05/2022 23/05/2022 09:54:46:271	23/05/2022 23/05/2022 09:54:44:980	23/05/2022 23/05/2022 09:54 42:536	23/05/2022 09:54:40:767					
	(18) difference(s) found.		***							
Counterparty Mai	1		n – History Scre	een						
Field	Descript	ion								
Fields	I	ne fields whos ected Counte		ave been upda	ted since the creatior					
Timestamp	Shows th	ne date and tin	me when the fiel	lds have been ı	ıpdated.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.									
Button	Descript	ion								
Compare	Shows thupdated.		between the sel	ected records v	vhose data have beer					
Expand All		_	nformation of the		information have					



Show All	Shows the information that existed before each modification of all the	
	fields regarding the selected Counterparty MAI.	
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Counterparty MAI.	
Show Differences	Shows only the fields whose information have been updated since the	
Only	creation of the selected Counterparty MAI	

#### 3.2.1.1.2.3 Counterparty Marketable Asset Instruction – Details Screen





	Marketable Asset Instructions – Details Screen
Button	Description
History	This function enables you to view the History of the selected Counterparty Marketable Asset instruction.
	Next screen:
	- Counterparty Marketable Asset Instructions - History Screen.
Field	Description
Transaction De	etails etails
Party's In- struction Ref- erence	Shows the Reference of the instruction given by the Instructing Party.
Instruction Id.	Shows the Unique identifier of the instruction given by the ECMS.
Instruction	Shows the Type of instruction. The possible values are:
Type	- Marketable Asset Mobilisation (RECE)
	Marketable Asset Demobilisation (DELI)
Securities Transaction	Shows the Securities transaction type expressed as an ISO 20022 code from possible values:
Type	- BSBK
	- COLI
	- COLO
	- MKDW
	- MKUP
	- NETT
	- NSYN
	- PAIR
	- PLAC
	- PORT
	- REAL
	- REDM
	- REPU
	- RODE
	- RVPO
	- SECB
	- SECL
	- SUBS
	- SYND
	- TBAC
	- TRAD
	- TRPO
	- TRVO



- BYIY
- CNCB
- OWNE
- FCTA
- OWNI
- RELE
- SBRE
- CORP
- CLAI
- AUTO
- SWIF
- SWIT
- CONV
- ETFT
- ISSU
- SLRE
- INSP
- SBBK
- REDI
Shows the Reference of the NCB Participant Asset Account on which the asset
is (de)mobilized.
Shows the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
Shows the ISIN of the Marketable Asset (de)mobilized.
Shows the Name of the Marketable Asset (de)mobilized.
Shows the Quantity instructed expressed in FAMT
Shows the Currency of the instruction
Shows the Eligibility status of the Marketable Asset In C2D/EA
Shows whether the marketable Asset can be only used in case of activation of
the ECMS emergency flag from possible values:
- Yes
- No
Shows the Trade date of the instruction
Displayed format is: DD/MM/YYYY.
Shows the Intended settlement date of the instruction.
Displayed format is: DD/MM/YYYY.
Shows the Effective settlement date of the instruction.



	Displayed format is: DD/MM/YYYY.		
Additional Informations			
Common Reference	Shows the Common Reference of the instruction		
<b>Custody Chain</b>			
CSD of the T2S Securities Ac- count for Reg- ular Collateral	Shows the RIAD codeof the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).		
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.		
External Asset Account owner	Shows the BIC code of the owner of the External Asset Account.		
Counterparty I	Details		
Party CSD	Shows the RIAD codeof the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).		
Party BIC	Shows the BIC Code of the Delivering party (for mobilisation) or Receiving party (for demobilization).		
Party Account	Shows the Account Reference of the Delivering party (for mobilisation) or Receiving party (for demobilization).		
Party 2 Details			
BIC	Shows the Party 2 BIC		
Account ID	Shows the Party 2 Account ID		
Issuer	Shows the Party 2 Issuer		
Proprietary Identification	Shows the Party 2 Proprietary Identification		
Scheme Name	Shows the Party 2 Scheme Name		
Instruction Fla	gs		
Business	Shows the business status of the instruction from possible values:		
Status	- Cancelled		
	- Sent for Settlement		
	- Waiting for NCB manual intervention		
	- Validated		
	- Matched		
	- Queued		
	- Rejected		
	- Confirmed		
Business Sub	Shows the business substatus from possible values:		
Status	- Waiting for Global Collateral Position Update		
	- Waiting for Settlement Date		
	- Waiting User Validation		
	- Waiting Deliver Leg Confirmation		



TIO A T	
U2A Input	Shows whether the instruction was captured in U2A mode or not from Possible values:
	- Yes
	- No
Being can- celled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are:
	- Yes
	- No
Action to Validate	Shows if there is any action waiting for validation in the 4 eyes context.
Is Rejected	Shows Boolean value "Yes" or "No"
Other details	
MA Settlement Instruction	This button allows you to acces to Marketable Asset Settlement Instruction with the details of the underlying settlement instruction
	Next Screen:
	- Marketable Asset Settlement Instructions - List Screen
Client Market- able Asset Movements	This button allows you to acces to Client Marketable Asset Movement with the details of the underlying underlying Marketable Asset Movement on the Counterparty Asset Account
	Next Screen:
	– Client Marketable Asset Movement – List Screen
Client Asset Position	This button allows you to acces to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.
	Next Screen:
	– Client MA Settled Position – List Screen.
Business Validation Errors	Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
Receiving/De- livering Settle- ment Parties [n]	Section to view the details of the Settlement Parties. [n] corresponds to the number of Settlement Parties recorded.
Notifications [n]	Section to view the details of the related notifications sent and received. [n] corresponds to the number of Notification.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS.
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
•	Shows format is: DD/MM/YYYY hh:mm:ss:µs.



Field	Description		
BR Name	Name of the Business Rules infringed		
Blocking	Indicator stating that if the business error is blocking or not.		
Button	Function		
View	Button to view the details of the Business Error		
Business Validat	tion Errors – View Screen		
BR Name	Name of the Business Rules infringed		
Blocking	Indicator stating that if the business error is blocking or not.		
Footprint			
Creation Date	Shows the Date and time of creation.		
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id.	Identifier of the user responsible for the creation.		
Update Date	Date and time when it was last updated in the ECMS.		
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id.	Identifier of the user responsible for the last update.		
Receiving/Del	ivering Settlement Parties [n]		
Once you click played:	on Receiving/Delivering Settlement Parties button following fields are dis-		
r - J			
Field	Description		
	Description  Type of identifier (BIC / proprietary code) for the settlement Party		
Field Default Sett Party Id	1 -		
Field Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party		
Field Default Sett Party Id Set Party Type	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party		
Field Default Sett Party Id Set Party Type BIC	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party		
Field Default Sett Party Id Set Party Type BIC Account	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party		
Field Default Sett Party Id Set Party Type BIC Account Narrative Narrative	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party  Narrative identifier of the Settlement Party		
Field Default Sett Party Id Set Party Type BIC Account Narrative Narrative Type	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party  Narrative identifier of the Settlement Party  Type of Narrative identifier of the Settlement Party		
Field Default Sett Party Id Set Party Type BIC Account Narrative Narrative Type Button View	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party  Narrative identifier of the Settlement Party  Type of Narrative identifier of the Settlement Party  Function		
Field Default Sett Party Id Set Party Type BIC Account Narrative Narrative Type Button View	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party  Narrative identifier of the Settlement Party  Type of Narrative identifier of the Settlement Party  Function  Button to view the details of the Settlement Party		
Field Default Sett Party Id Set Party Type BIC Account Narrative Narrative Type Button View Receiving/Delive Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party  Narrative identifier of the Settlement Party  Type of Narrative identifier of the Settlement Party  Function  Button to view the details of the Settlement Party  ering Settlement Parties - View Screen		
Field Default Sett Party Id Set Party Type BIC Account Narrative Narrative Type Button View Receiving/Delive Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party  Narrative identifier of the Settlement Party  Type of Narrative identifier of the Settlement Party  Function  Button to view the details of the Settlement Party  ering Settlement Parties - View Screen  Type of identifier (BIC / proprietary code) for the settlement Party		
Field Default Sett Party Id Set Party Type BIC Account Narrative Narrative Type Button View Receiving/Delive Party Id Set Party Id Set Party Type	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party  Narrative identifier of the Settlement Party  Type of Narrative identifier of the Settlement Party  Function  Button to view the details of the Settlement Party  ering Settlement Parties - View Screen  Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party		
Field Default Sett Party Id Set Party Type BIC Account Narrative Narrative Type Button View Receiving/Delive Party Id Set Party Type BIC	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party  Narrative identifier of the Settlement Party  Type of Narrative identifier of the Settlement Party  Function  Button to view the details of the Settlement Party  ering Settlement Parties - View Screen  Type of identifier (BIC / proprietary code) for the settlement Party  BIC of the Settlement Party  BIC of the Settlement Party		
Field Default Sett Party Id Set Party Type BIC Account Narrative Narrative Type Button View Receiving/Delive Default Sett Party Id Set Party Type BIC Account	Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party  Narrative identifier of the Settlement Party  Type of Narrative identifier of the Settlement Party  Function  Button to view the details of the Settlement Party  ering Settlement Parties - View Screen  Type of identifier (BIC / proprietary code) for the settlement Party  Type of Settlement Party  BIC of the Settlement Party  Account number of the Settlement Party		



Creation User Id.	Identifier of the user responsible for the creation.		
Update Date	Date and time when it was last updated in the ECMS.		
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id.	Identifier of the user responsible for the last update.		
Notifications [1	n]		
Once you click	on Notifications button following fields are displayed:		
Field	Description		
Notification Name	Name of the notification sent or received		
Message Iden- tifier	Reference of the notification message		
Notification	Shows the status of the Notification from the possible values:		
Status	- Impacted		
	– Impacted Failed		
	- Rejected		
	- On Hold		
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:		
	– In		
	- Out		
Error Descrip- tion	Shows the error description (if it has happened).		
Creation Date	Date and time when the notification was created in the ECMS.		
Update Date	Date and time when the notification was last updated in the ECMS		
Button	Function		
Download	Button to download the Notification Message		

Shows format is: DD/MM/YYYY hh:mm:ss:µs.

# 3.2.1.2 Counterparty MA Cancellation Instructions

### 3.2.1.2.1 Counterparty MA Cancellation Instructions – Search Screen

Context of	This screen is used to search Counterparty Marketable Asset Instructions in or-
Usage	der to be cancelled. It contains the fields that can be used as search criteria.
	The user captures the fields that correspond to its criteria for searching Counterparty Marketable Asset Instructions.
	If a field is left blank, the field is not taken into account as a search criteria.
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be Showed.



Screen Access	Depending how the screen is accessed, it can be used to search either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system.					
	For valid instructi	ons:				
			actions and Position A Cancellation Inst			n >> In-
	For instructions si	ıbject to 4 eyes valid	lation:			
			actions and Position Reject - MA Cancel			
	ing User Validati	on	•			
Screenshot	Counterparty MA Concellation Instruction					* *
	Instruction Id •	Party's Instruction • Reference	External Asset ▼ Account	•	Instruction Type •	•
	Counterparty •	NCB Participant  Asset Account Identifier	Q ISIN ▼	Q	CSD of the T2S ▼ Account for regular collateral	-
	Party CSD ▼	▼ Trade Date ▼	☐ Intended Settlement ▼ Oate	0	Effective Settlement → ▼ Date	
	Business Status ▼	▼ Business Sub Status ▼	▼ Creation Date ▼	0	Update Date → ▼	
	** Reset					Q Search

Counterpart	y Marketable Asset Cancellation Instructions – Search screen
Field	Description
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Instruction Id.	Enter the unique identifier of the instruction given by the ECMS.
Instruction Type	Enter or Select the Instruction Type from the drop-down menu The possible values are:
	Marketable Asset Mobilisation (RECE)
	Marketable Asset Demobilisation (DELI)
Counter- party	Enter or select the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
NCB Participant Asset Account	Enter or Select the Reference of the NCB Participant Asset Account on which the asset is (de)mobilized.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Trade Date	Enter the Trade Date of the Instruction or use the calendar icon.  Required format is: DD/MM/YYYY.
Intended Settlement Date	Enter the intended settlement date of the instruction or use the calendar icon.  Required format is: DD/MM/YYYY.
Effective Settlement Date	Enter the effective settlement date of the instruction or use the calendar icon.  Required format is: DD/MM/YYYY.
	Enter or Select the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.



CSD of the T2S Securit- ies Account for Regular Collateral	Enter or search the RIAD codeof the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Party CSD	Enter or search the RIAD codeof the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Business	Enter or select the business status of the instruction from possible values:
Status	- Cancelled
	- Sent for Settlement
	- Waiting for NCB manual intervention
	- Validated
	- Matched
	- Queued
	- Rejected
	- Confirmed
Business	Enter or select the business substatus from possible values:
Sub Status	- Waiting for Global Collateral Position Update
	- Waiting for Settlement Date
	- Waiting User Validation
	- Waiting Deliver Leg Confirmation
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen.
	Next screen:
	– Counterparty Marketable Asset Cancellation Instruction – List Screen

# 3.2.1.2.1.1 Counterparty MA Cancellation Instructions – List Screen

Context of Usage	This screen contains a list of Counterparty Marketable Asset Instructions.  The instructions listed correspond to the search criteria captured in the <i>Counterparty Marketable Asset Cancellation Instructions – Search</i> screen.
Screen Access	Depending how the screen is accessed, it can be used to list either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system.
	The list Showed can be sorted and filter by the field Showed.  The list can be exported.



By clicking on the "View" button or double clicking on the selected line to Shows the Counterparty Marketable Asset Cancellation Instructions— Details screen with the details of the selected instruction.

For valid instructions:

Instructions and Positions >> Instructions and Positions >> MA Instruction >> Input Instruction >> Counterparty MA Cancellation Instruction >> Search Counterparty Marketable Asset Cancellation Instructions

For instructions subject to 4 eyes validation:

Instructions and Positions >> Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject - MA Cancellation Instructions Waiting User Validation >> Search Counterparty Marketable Asset Cancellation Instructions

Screenshot

Use Ma Cancellation Instructions Waiting User Validation >> Search Counterparty Marketable Asset Cancellation Instructions

Screenshot

Counterparty Ma	rketable Asset Cancellation Instructions – List screen
Column	Description
Party's Instruc-	Shows the Reference of the instruction given by the Instructing Party.
tion Reference	
Instruction Id.	Shows the Unique identifier of the instruction given by the ECMS.
Instruction Type	Shows the Type of Instruction. The possible values are:
	- Marketable Asset Mobilisation (RECE)
	- Marketable Asset Demobilisation (DELI)
Business Status	Shows the Business status of the instruction from possible values:
	- Cancelled
	- Sent for Settlement
	- Waiting for NCB manual intervention
	- Validated
	- Matched
	- Queued
	- Rejected
	- Confirmed
Business Sub	Shows the Business sub Status of the instruction from possible values:
Status	- Waiting for Global Collateral Position Update
	- Waiting for Settlement Date
	- Waiting User Validation
	- Waiting Deliver Leg Confirmation
NCB Participant Asset Account	Reference of the NCB Participant Asset Account on which the asset is (de)mobilized.



Counterparty	ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).					
ISIN	ISIN of the Marketable Asset (de)mobilized.					
Quantity in FAMT	Quantity instructed expressed in FAMT					
Trade Date	Trade date of the instruction					
Intended Settle- ment Date	Intended Settlement Date of the instruction.					
Effective Settle- ment Date	Effective Settlement Date of the instruction.					
CSD of the T2S Securities Ac- count for Regular Collateral	IAD codeof the CSD where the asset is received (Receiving CSD in a mobilation instruction) or from where the asset is delivered (Delivering CSD for demobilisation instruction).					
Party CSD	RIAD codeof the CSD of the Delivering Party (for mobilisation) or Receiving party (for demobilisation).					
Being Cancelled	Shows the indicator stating that the cancellation of the instruction has been requested. The possible values are:  - Yes					
	- No					
Updater User Id.	Identifier of the user responsible for the last update of the instruction.					
Update Date	Date and time when the instruction was last updated in the ECMS.					
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.					
Button	Function					
View	This function enables you to view the detail of the selected Counterparty Marketable Asset instruction.					
	Next screen:					
	– Counterparty Marketable Asset Cancellation Instructions – Details Screen					
History	This function enables you to view the History of the selected Counterparty Marketable Asset instruction.					
	Next screen:					
	Counterparty Marketable Asset Cancellation Instructions – History Screen.					
Cancel	This function enables the user to cancel the selected Counterparty Marketable Asset Instruction.					
If the screen is use record is selected	ed in the 4 eyes validation context, the following buttons are Showed when a					
Button	Function					
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.					
	Next screen:					
	– Counterparty Marketable Asset Cancellation Instruction – History Screen.					
View Draft	This function enables the user to view the draft record to be validated.					
	Next screen:					



	– Counterparty Marketable Asset Cancellation Instruction – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	– Counterparty Marketable Asset Instruction – Edit Screen.
Validate	This function allows the user to validate the cancellation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the cancellation or update of the record. The draft will be deleted.

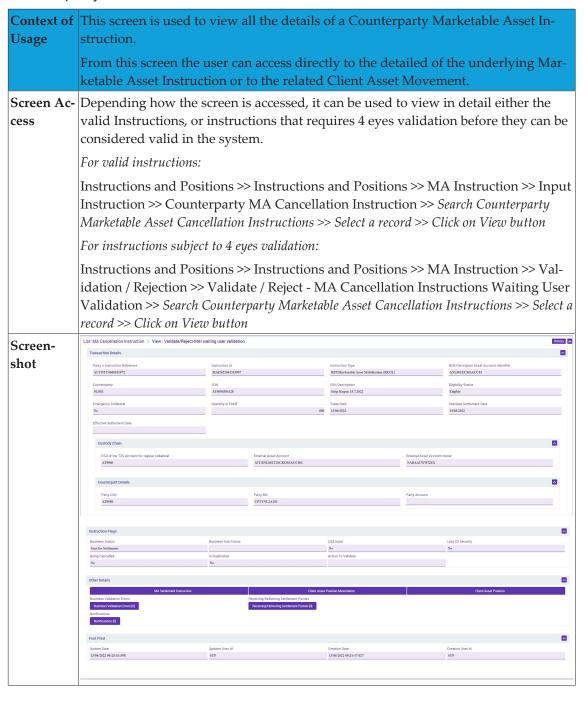
## 3.2.1.2.1.2 Counterparty MA Cancellation Instructions – History Screen

Context of Usage Screen Access	This screeted Counincluding	terparty the use:	Marker who is that a	table A made th re shov	sset In ne chan vn.	struction Iges, an	on. You d proce	can ch	eck the ther by	data, click-
Screen Access	struci struci Data, - Instruci struci struci Data, terpar	tion >> Intions >> Intions >> Intions >> Intions >> Intions and intion >> Intions >> Int	nput In Click o ed) >> S nd Pos nput In Click o ed) >> S	struction the select a sitions > struction the select a	on >> C arch burecord > Instron >> C arch burecord	ounter atton (a >> Clic uctions ounter atton (a >> Clic	party M fter inp k on th and Po party M fter inp k on th	MA Car outting e <i>Histo</i> ositions MA Car outting e <i>View</i>	the released the released to the released the released to the	on In- evant on A In- on In- evant (Coun-
Screenshot	Counterparty MA Cancellation  horizontal History Result : Simp	n Instruction O								
	Fields	▽ MAI2022061333987(20	22.▽ MAJ2022061333987/2	022.77 MA12022061333987(20	22 MAI/20220613359887/20	022.▽ MAI2022061 533987 2	022.77 MAN 2022/0613339187/20	22 MAI2022061 333987 2	Q 10 <b>X</b>   ← s	now All Expend All Company
	Status Being Cancelled	WaitingNotification No	WaitingNotification No	WaitingNotification No	WaitingNotification Yes	WaitingNorification Yes	WaitingNotification No	WaitingNotification No	WatingNetHeation No	Instructed No
	Bill Date Business Status	13/06/2022 COMWITTED	13/05/2022 COMMITTED	13/06/2022 COMMITTED	13/05/2022 COMMITTED	13/06/2022 COMMITTED	13/96/2022 COMMITTED	13/06/2022 COMMITTED	13/06/2022 INSTRUCTED	13/06/2022 INSTRUCTED
	Business Sub Status Cc BM Type	CCBM CCB	CCBM CCB	CCBM CCB	OCBM CCB	CCBM CCB	ссам сса	CCBM CCB	CCBM CCB	CCBM CCB
	Client Original Amount Commit Date	0	0	0	0	13/06/2022	13/06/2022	13/06/2022	0	0
	Commit Time	55	55	55	55	00:00:00:000	00:00:00:000	00:00:00:000	55	15
	Funding Method	NoFunding	NoFunding	NoFunding	NoFunding	NoFunding	NoFunding	NoFunding	NoFunding	NoFunding
	Generate Market Instruction Is Auto Committed	No No	Yes No	No No	No No	Yes No	Yes No	Yes No	Yes Yes	Yes
	ts Committed  Last Notification In	Yes 2679999051	Yes 2679999051	Yes 2679999051	Yes 2679999051	Yes 2679999051	Yes 2679999047	Yes 2679999047	No 2679999047	No 2679999047
	Last Notification Out Settlement Instruction Id	2679999060 MIAT000000031264	2679999052 MIAT000000031264	2679999052 MIAT000000031264	2679999052 MIAT000000031264	2679999052 MIAT000000031264	2679999048 MIAT000000031264	2679999048 MIAT000000031264	2579999048 MIAT000000031264	2679999048
	Market Instruction Net Amt In C Acc Our	MIAT000000031263	MIAT000000031263	MIAT000000031263	MIAT000000031263	MIAT000000031263	MIAT000000031263	MIAT000000031263	MIAT000000031263	
	External Asset Account	(55)(ATCBNL001T2SCRO	SSACC (SS)IATCBNL001T2SCRI	ISSACC (55)(ATCBNL001T2SCRO	SACC (SS)IATCBNL001T2SCRC	ISSACC (95)(ATCBNL001T2SCRC	SSACC (55)(ATCBNL001T2SCR0	SSACC (55)(ATCBNL001T2SCR)	DSSACC (55)(ATCBNL001T2SCRO	SSACC (\$5)(ATCBNL001T2SCRC
	Ot C Traded Ownership Date	13/06/2022	13/05/2022	13/06/2022	13/05/2022	13/06/2022	13/06/2022	13/06/2022	13/06/2022	13/06/2022
	Released Quantity	600	600	600	660	600	600	600	600	600
	Sec Mvt Created Sec Mvt Reference	Yes 00035009CST	Ves 00039069CST	Ves 00039089CST	Yes 00039069CST	Yes 00039069CST	Yes 00039069CST	Ves 00039069CST	Yes 00039099CST	No
	Securities Transaction Type Intended Settlement date	COLI 13/06/2022	COLI 13/06/2022	13/06/2022	13/06/2022	COLI 13/06/2022	COLI 13/06/2022	13/06/2022	13/05/2022	13/06/2022
	Creation Date (29) difference(s) found.	13/06/2022 09:25:51:998	13/06/2022 09:25:48:919	13/06/2022 09:25:96:729	13/06/2022 09:25:35:135	13/06/2022 09:25:17:814	13/06/2022 09:24:57:601	13/06/2022 09:24 56:47	19/05/2022 09/24 52:332	13/06/2022 09:24:49:445
Counterparty Mar	 ketable Ass	et Cance	ellation	Instru	ctions	– Histo	orv Scr	een		
Field	Descripti						<u> </u>			
Fields		Shows the fields whose information have been updated since the creation of the selected Counterparty MAI.								
Timestamp	Shows th	e date ar	nd time	when	the fiel	ds hav	e been	update	d.	
	Displaye	d format	is: DD	/MM/Y	YYY h	h:mm:s	s:µs.			
Button	Descripti	ion								
Compare	Shows th updated.		Shows the differences between the selected records whose data have been							



Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Counterparty MA Cancellation Instruction.			
Show All Shows the information that existed before each modification of fields regarding the selected Counterparty MA Cancellation I				
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Counterparty MA Cancellation Instruction.			
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Counterparty MA Cancellation Instruction			

#### 3.2.1.2.1.3 Counterparty MA Cancellation Instructions – Details Screen





Counterparty N	Marketable Asset Cancellation Instructions – Details Screen
Button	Description
History	This function enables you to view the History of the selected Counterparty Marketable Asset instruction.
	Next screen:
	- Counterparty Marketable Asset Cancellation Instructions - History Screen.
Field	Description
Transaction De	
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Instruction Id.	Shows the Unique identifier of the instruction given by the ECMS.
Instruction	Shows the Type of instruction. The possible values are:
Type	- Marketable Asset Mobilisation (RECE)
	- Marketable Asset Demobilisation (DELI)
NCB Participant Asset Account	Shows the Reference of the NCB Participant Asset Account on which the asset is (de)mobilized.
Counterparty	Shows the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
ISIN	Shows the ISIN of the Marketable Asset (de)mobilized.
ISIN Description	Shows the Name of the Marketable Asset (de)mobilized.
Quantity in FAMT	Shows the Quantity instructed expressed in FAMT
Denomination Currency	Shows the Currency of the instruction
Eligibility Status	Shows the Eligibility status of the Marketable Asset In C2D/EA
Emergency Collateral	Shows the stating that the marketable Asset can be only used in case of activation of the ECMs emergency flag from possible values:  - Yes - No
Trade Date	Shows the Trade date of the instruction
Intended Set- tlement Date	Shows the Intended settlement date of the instruction.
Effective Set- tlement Date	Shows the Effective settlement date of the instruction.
<b>Custody Chain</b>	
CSD of the T2S Securities Ac- count for Reg- ular Collateral	Shows the RIAD codeof the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).



External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
External Asset Account owner	Shows the BIC code of the owner of the External Asset Account.
Counterparty I	<b>Details</b>
Party CSD	Shows the RIAD codeof the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Party BIC	Shows the BIC Code of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Party Account	Shows the Account Reference of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Instruction Fla	gs
Business Status	Shows the business status of the instruction from possible values: - Cancelled
	- Sent for Settlement
	- Waiting for NCB manual intervention
	- Validated
	- Matched
	- Queued
	- Rejected
	- Confirmed
Business Sub	Shows the business substatus from possible values:
Status	- Waiting for Global Collateral Position Update
	- Waiting for Settlement Date
	- Waiting User Validation
	- Waiting Deliver Leg Confirmation
U2A Input	Shows whether the instruction was captured in U2A mode or not from Possible values:
	- Yes
	- No
Being can- celled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are:
	- Yes
	- No
Lack of Secur-	Shows Lack of Security from possible values:
ity	- Yes
	- No
Is Duplicated	Shows if it is duplicated from possible values:
	- Yes
	- No
Action to Validate	Shows if there is any action waiting for validation in the 4 eyes context.



Other details  MA Settlement T Instruction W  Client Market- able Asset d Movements te  N  Client Asset T Position W  Client Asset T Position W  Client Asset T Position W  Client Asset T	Chis button allows you to acces to Marketable Asset Settlement Instruction with the details of the underlying settlement instruction  Next Screen:  - Marketable Asset Settlement Instructions - List Screen  This button allows you to acces to Client Marketable Asset Movement with the details of the underlying underlying Marketable Asset Movement on the Councerparty Asset Account  Next Screen:  - Client Marketable Asset Movement - List Screen  This button allows you to acces to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.  Next Screen:  - Client MA Settled Position - List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors  Section to view the details of the Settlement Parties. [n] corresponds to the number of Settlement Parties recorded.
MA Settlement T Instruction w  Client Market- able Asset d Movements te  Movements T  Client Asset T Position w  Client Asset T  Receiving Settle- ment Parties [n]	With the details of the underlying settlement instruction  Next Screen:  - Marketable Asset Settlement Instructions - List Screen  This button allows you to acces to Client Marketable Asset Movement with the details of the underlying underlying Marketable Asset Movement on the Councerparty Asset Account  Next Screen:  - Client Marketable Asset Movement - List Screen  This button allows you to acces to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.  Next Screen:  - Client MA Settled Position - List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
Client Marketable Asset Movements to Movements Table Asset Position Movements Table Asset Table Asset Table Asset Table Asset Table Asset Table Asset Table Asset Table Asset Table Asset Table Table Asset Table	With the details of the underlying settlement instruction  Next Screen:  - Marketable Asset Settlement Instructions - List Screen  This button allows you to acces to Client Marketable Asset Movement with the details of the underlying underlying Marketable Asset Movement on the Councerparty Asset Account  Next Screen:  - Client Marketable Asset Movement - List Screen  This button allows you to acces to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.  Next Screen:  - Client MA Settled Position - List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
Client Market- able Asset Movements  Client Asset Position  Business Validation Errors [n]  Receiving/De- livering Settle- ment Parties [n]	- Marketable Asset Settlement Instructions - List Screen  This button allows you to acces to Client Marketable Asset Movement with the details of the underlying underlying Marketable Asset Movement on the Councerparty Asset Account  Next Screen:  - Client Marketable Asset Movement - List Screen  This button allows you to acces to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.  Next Screen:  - Client MA Settled Position - List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
Client Marketable Asset de Movements te Movements de Movements de Movements de Movements de Movement Asset de Movement Asset de Movement Parties [n] Receiving/Delivering Settlement Parties [n]	This button allows you to acces to Client Marketable Asset Movement with the details of the underlying underlying Marketable Asset Movement on the Counerparty Asset Account  Next Screen:  - Client Marketable Asset Movement – List Screen  This button allows you to acces to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.  Next Screen:  - Client MA Settled Position – List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
able Asset  Movements  Client Asset Position  Business Validation Errors  [n]  Receiving/De-livering Settlement Parties  [n]	details of the underlying underlying Marketable Asset Movement on the Counerparty Asset Account  Next Screen:  - Client Marketable Asset Movement – List Screen  This button allows you to acces to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.  Next Screen:  - Client MA Settled Position – List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors  Section to view the details of the Settlement Parties. [n] corresponds to the
Client Asset T Position W C N Business Validation Errors [n] Receiving/Delivering Settlement Parties [n]	- Client Marketable Asset Movement – List Screen  This button allows you to acces to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.  Next Screen:  - Client MA Settled Position – List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors  Section to view the details of the Settlement Parties. [n] corresponds to the
Client Asset Position  Business Validation Errors [n] Receiving/Delivering Settlement Parties [n]	This button allows you to acces to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.  Next Screen:  - Client MA Settled Position – List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors  Section to view the details of the Settlement Parties. [n] corresponds to the
Position WCC N Business Validation Errors [n] Receiving/Delivering Settlement Parties [n]	with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.  Next Screen:  - Client MA Settled Position – List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors  Section to view the details of the Settlement Parties. [n] corresponds to the
Business Validation Errors the [n]  Receiving/Delivering Settlement Parties [n]	- Client MA Settled Position – List Screen.  Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors  Section to view the details of the Settlement Parties. [n] corresponds to the
Business Validation Errors the idation Errors [n]  Receiving/Delivering Settlement Parties [n]	Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors  Section to view the details of the Settlement Parties. [n] corresponds to the
idation Errors [n]  Receiving/De-livering Settlement Parties [n]	he number of Business Validation Errors  Section to view the details of the Settlement Parties. [n] corresponds to the
livering Settle- ment Parties [n]	<b>.</b>
Notifications C	
Notifications   S	Section to view the details of the related notifications sent and received. [n] cor-
[n] re	responds to the number of Notification.
Foot Print	
Creation Date D	Date and time when the instruction was created in the ECMS.
S	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	dentifier of the user responsible for the creation of the instruction.
Update Date D	Date and time when the instruction was last updated in the ECMS.
S	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	dentifier of the user responsible for the last update of the instruction.
<b>Business Validat</b>	tion Errors [n]
Once you click or	n Business Validation Errors following fields are displayed:
Field D	Description
BR Name	Name of the Business Rules infringed
Blocking Ir	ndicator stating that if the business error is blocking or not.
Button F	Function
View B	Button to view the details of the Business Error
Business Validation	n Errors – View Screen
BR Name	Name of the Business Rules infringed
Blocking Ir	ndicator stating that if the business error is blocking or not.



Footprint							
Creation Date	Shows the Date and time of creation.						
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation User Id.	Identifier of the user responsible for the creation.						
Update Date	Date and time when it was last updated in the ECMS.						
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id.	Identifier of the user responsible for the last update.						
Receiving/Del	ivering Settlement Parties [n]						
Once you click played:	on Receiving/Delivering Settlement Parties button following fields are dis-						
Field	Description						
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party						
Set Party Type	Type of Settlement Party						
BIC	BIC of the Settlement Party						
Account	Account number of the Settlement Party						
Narrative	Narrative identifier of the Settlement Party						
Narrative Type	Type of Narrative identifier of the Settlement Party						
Button	Function						
View	Button to view the details of the Settlement Party						
Receiving/Delive	ering Settlement Parties - View Screen						
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party						
Set Party Type	Type of Settlement Party						
Bic	BIC of the Settlement Party						
Account	Account number of the Settlement Party						
Narrative	Narrative identifier of the Settlement Party						
Narrative Type	Type of Narrative identifier of the Settlement Party						
Footprint							
Creation Date	Shows the Date and time of creation.						
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation User Id.	Identifier of the user responsible for the creation.						
Update Date	Date and time when it was last updated in the ECMS.						
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id.	Identifier of the user responsible for the last update.						
Notifications [	n]						
Once you click	on Notifications button following fields are displayed:						
Field	Description						



Notification Name	Name of the notification sent or received
Message Iden- tifier	Reference of the notification message
Notification	Shows the status of the Notification from the possible values:
Status	- Impacted
	- Impacted Failed
	- Rejected
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:
	– In
	- Out
Error Description	Shows the error description (if it has happened).
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Button	Function
Download	Button to download the Notification Message

#### 3.2.2 Marketable Asset Settlement Instructions

Marketable Asset Settlement Instructions represent the instructions generated by the ECMS for the settlement of Marketable Asset Instructions.

Through the different screens described in this section, an NCB can access to the details of the Marketable Asset Settlement Instructions

- Sent to T2S for the settlement on a domestic CSD of Counterparty Marketable Asset Instructions originated by the Counterparties of its community,
- Transfer internally to another NCB for the settlement on a non-domestic T2S CSD of Counterparty Marketable Asset Instructions originated by the Counterparties of its community,
- Sent to T2S for the settlement on a domestic CSD of NCB Marketable Asset Instructions transferred from a refinancing NCB.

The "Client Side" of Counterparty Marketable Asset Instructions corresponds either to an domestic ECMS Counterparty (case i. and ii.) or to a Refinancing NCB (case iii.) whereas the "Market Side" corresponds either to a domestic T2S CSD (case i. and iii.) or to an another NCB (case ii.).

#### 3.2.2.1 Marketable Asset Settlement Instruction – Search Screen

Co	ontext of Us-	This screen is used to search Marketable Asset Settlement Instructions. It con-
ag		tains the fields that can be used as search criteria.
		The user captures the fields that correspond to its criteria for searching Marketable Asset Settlement Instructions.
		If a field is left blank, the field is not taken into account as a search criteria.
		Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.



Business Case related	Search/List/View Marketable Asset Settlement Instructions							
Screen Access	Instructions and Positions >> Instructions and Positions >> MA Settlement Instruction >> Marketable Asset Settlement Instructions							
Screenshot	Search Martestale Asset Settlement Institution  Search Martestale Asset Settlement Institution  Institution Tipe  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE  COUNTRY TIPE	ctions	Settlement Settlement Instruction Id ISIN Settlement ISIN Settlement ISIN Settlement ISIN Settlement ISIN Settlement ISIN Settlement ISIN Settlement ISIN Settlement ISIN Settlement ISIN Settlement ISIN Settlement ISIN Set		Trade Oute → ▼  Business Sub Status → ▼	Refinencing to the way transfer for the second Sentencer to the second Sentenc	© Search	

Marketable As	set Settlement Instructions – Search screen						
Field	Description						
Settlement Instruction Id.	Enter the identifier of the Settlement Instruction given by the ECMS.						
T2S Reference	Reference of the T2S Instruction as given by T2S						
Instruction	Select the Instruction Type from the drop-down menu.						
Type	Marketable Asset Mobilisation (RECE)						
	Marketable Asset Demobilisation (DELI)						
ISIN	Enter or select the ISIN of the Marketable Asset.						
CSD of the T2S Securities Ac- count for Reg- ular Collateral	Enter or select the RIAD code of the CSD where the asset is received (Receiving CSD for reception instruction) or from where the asset is delivered (Delivering CSD for delivery instruction).						
External Asset Account	Enter the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account						
Party CSD	Enter or select the RIAD code of the CSD of the Delivering party (for reception) or Receiving party (for delivery).						
Instruction Id.	Enter the unique identifier of the instruction given by the ECMS.						
Trade Date	Enter or select the date at which the trade was made.						
	Required format is: DD/MM/YYYY.						
Intended Set-	Enter or select the intended settlement date of the instruction.						
tlement Date	Required format is: DD/MM/YYYY.						
Effective Set-	Enter or select the effective settlement date of the instruction.						
tlement Date	Required format is: DD/MM/YYYY.						
Business Status	Enter or Select the business status of the instruction from possible values:						
	- Sent for Settlement						
	- Confirmed						
	- Matched						
	- Cancelled						
	- Rejected						
	- Queued						



Business Sub-	Enter or Select the business substatus from possible values:
Status	- Waiting User Validation
	- Waiting Deliver Leg Confirmation
Update Date	Enter or select the date and time when the instruction was last updated in the ECMS.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the screen List Screen.
	Next screen:
	Marketable Asset Settlement Instruction – List Screen

## 3.2.2.1.1 Marketable Asset Settlement Instruction – List Screen

Context of Usage	This screen contains a list of Marketable Asset Settlement Instructions.
	The instructions listed correspond to the search criteria captured in the <i>Marketable Asset Settlement Instructions – Search</i> screen.
	The list displayed can be sorted and filter by the field displayed.
	The list can be exported.
	By clicking on the "View" button or double clicking on the selected line to display the <i>Marketable Asset Settlement Instructions—Details screen</i> with the details of the selected instruction.
Business Case re- lated	Search/List/View Marketable Asset Settlement Instructions
Screen Access	Instructions and Positions >> Instructions and Positions >> MA Settlement Instruction >> Marketable Asset Settlement Instructions >> Click on Search
Screenshot	List Marketable Asset Settlement Instructions         Image: Control of the co
	4400000000 16580000001 16580000001 16580000001 16580000001 1658000000000000000000000000000000000000

Marketable Asset Settlement Instructions – List screen					
Column	Description				
Settlement Instruction Id.	Shows the Identifier of the Settlement Instruction given by the ECMS.				
T2S Reference	Shows the reference of the T2S Instruction as given by T2S				
Instruction Type	Instruction Type:  • Marketable Asset Mobilisation (RECE)  • Marketable Asset Demobilisation (DELI)				



Business	Shows the business status of the instruction from possible values:
Status	- Sent for Settlement
	- Confirmed
	- Matched
	- Cancelled
	- Rejected
	- Queued
Business Sub-	Shows the business substatus from possible values:
Status	- Waiting User Validation
	- Waiting Deliver Leg Confirmation
ISIN	Shows the ISIN of the Marketable Asset settled.
Quantity in	Shows the quantity instructed expressed in FAMT
FAMT	Shows the quantity histracted expressed hirizing.
Trade Date	Shows the trade date of the instruction
	Displayed format is: DD/MM/YYYY.
Intended Set-	Shows the Intended Settlement Date of the instruction.
tlement Date	Displayed format is: DD/MM/YYYY.
Effective Set-	Shows the Effective Settlement Date of the instruction.
tlement Date	Displayed format is: DD/MM/YYYY.
Party CSD	RIAD code of the CSD of the Delivering Party (for reception) or Receiving party
,	(for delivery).
Party CSD (PSN)	Party Short Name of the CSD of the Delivering Party (for reception) or Receiving party (for delivery).
Instruction Id.	Enter the unique identifier of the instruction given by the ECMS.
CSD of the T2S Securities Account for Regular Col- lateral	Shows the RIAD code of the CSD where the asset is received (Receiving CSD for reception instruction) or from where the asset is delivered (Delivering CSD for delivery instruction).
CSD of the	Shows the Party Short Name of the CSD where the asset is received (Receiving CSD for reception instruction) or from where the asset is delivered (Delivering CSD for delivery instruction).
Being Can- celled	Indicator stating that the cancellation of the instruction has been requested. The possible values are:  - Yes
Cmaalia	- No
Creation Date	Shows the date and time when the instruction was created in the ECMS.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
1	1



Button	Function
View	This function enables you to view the detailed of the selected instruction.
	Next screen:
	- Marketable Asset Settlement Instructions - Details Screen.
History	This function enables you to view the history of the selected instruction.
	Next screen:
	– Marketable Asset Settlement Instructions – History Screen.

## 3.2.2.1.2 Marketable Asset Settlement Instruction – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Marketable Asset Settlement Instruction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.										
Screen Access	ment on the	<ul> <li>Instructions and Positions &gt;&gt; Instructions and Positions &gt;&gt; MA Settlement Instruction &gt;&gt; Marketable Asset Settlement Instructions &gt;&gt; Click on the <i>search</i> button (after inputting the relevant Data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the <i>History</i> button</li> </ul>									
	<ul> <li>Instructions and Positions &gt;&gt; Instructions and Positions &gt;&gt; MA Settle ment Instruction &gt;&gt; Marketable Asset Settlement Instructions &gt;&gt; Clic on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the View button (Marketable Asset Settlement Instruction –Details Screen) &gt;&gt; Click on the History Button</li> </ul>						> Click d) >>				
Screenshot	horizontalHistoryResult : Market	Instruction History							Q → XI ← se	w All Expand All Compare	
	Fields	▼ MIAT00000038343(200	2© MIATCO1001038343(20)	22 MIAT03000038343;20	2© MAT000000038343[202	2 MIAT00000038343;202	2 MIATO(0000(38343)202	MIATEO2001038343(202	2 MIAT00000038343/202	MAT00000038345[2022]	
	Status	Cancelled	waitingNotification	waitingNotification	waitingNotification	waitingNotification	wattingNotification	waitingNotification	InstructedReceive	Draft	
	Being Cancelled Business Status	No CANCELLED	No COMMITTED	No COMMITTED	Yes	Yes	No COMMITTED	No INSTRUCTED	No INSTRUCTED	No	
	Counterparty Commit Date	19	19	19	19 23/06/2022	19 23/06/2022	19 23/06/2022	19	19		
	Commit Time Instructing Nostro Sec Acc	(55) JATCBNL001T2SCR0S	SACC (SS)/ATCBNL001T2SCR05	SACC (55)(ATCBNL001T25CR05	00:00:00:000 SACC (SS)IATCBNL001TZSCR0S:	00:00:00:000 SACC (55)(ATCBNL001T25CR05)	00:00:00:000 SACC (55)(ATCBNL001T2SCR0S)	ACC (SS)(ATCBNL001T2SCR0S)	SACC (55)(ATCBNL001T2SCROSS	320	
	Instructing Party Instructing Sec Account	ANLO01ECMSACCO1	19 ANL001ECMSACC01	19 ANL001ECMSACC01	19 ANL001ECMSACC01	19 ANL001ECMSACC01	19 ANL001ECMSACC01	19 ANL001ECMSACC01	19 ANL001ECMSACC01		
	Is Committed Is Sent To Market	Yes Yes	Yes Yes	Yes	Yes Yes	Yes Yes	Yes	No No	No No	No No	
	Last Notification In Last Notification Out	2829999220 2829999181	2829999216 2829999181	2829999209 2829999181	2829999181						
	T2S Reference Market Original Amount	SESE024EX1CAN0000000	00100	0	0	0	0	0	0		
	Miti Reference Ownership Dane	SESE024EX1CAN0000000	20100	23/06/2022	73/04/2077	23/06/2022	22/04/2002	23106/2023	27/06/2022		
	Price	0	0	0	0	0	0	0	0		
	Sec Mirt Created Sec Mirt Reference	90047251MST	Ws 00047251MST	995 00047251MST	Ws 00047251MST	985 00047251MST	Yes 00047251MST	Visi 00047251MST	No 00047249CST	No 00047249CST	
	Creation Date (21) difference(s) found.	23/06/2022 17:33:58:297	23/06/2022 17:33:36:485	23/06/2022 17:33:19:675	23/06/2022 17:32:10:366	23/06/2022 17:32:02:289	23/06/2022 17:31:01:373	23/06/2022 17:30:54:060	23/06/2022 17:90:52:927	23/06/2022 17:30:52:415	
Marketable Asset	Settlement 1	nstructi	on – H	istory	Screen						
Field	Descripti	on									
Fields	I	Shows the fields whose information have been updated since the creation of the selected MA Settlement Instruction.									
Timestamp	Shows the	e date ar	nd time	when	the field	ds have	been u	ıpdated	ł.		
	Displayed	d format	is: DD	/MM/Y	YYY hl	n:mm:s	s:µs.				
Button	Descripti	on									
Compare	Shows the differences between the selected records whose data have been updated.										
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected MA Settlement Instruction.										
Show All	Shows the information that existed before each modification of all the fields regarding the selected MA Settlement Instruction.										



_	Collapse the expanded information of the fields that have been updated since the creation of the selected MA Settlement Instruction.
Show Differences	Shows only the fields whose information have been updated since the
Only	creation of the selected MA Settlement Instruction

### 3.2.2.1.3 Marketable Asset Settlement Instruction – Details Screen

Context of Usage	This screen is used to view all the details of a Marketable Asset Settlement Instruction.							
	From this screen the user can access directly to the related Market Asset Movement.							
<b>Business Case re-</b>	Search/List/View Marketable Asset Settlement Instructions							
lated								
Screen Access	Instructions and Positions >> Instructions and Positions >> MA Settlement Instruction >> Marketable Asset Settlement Instructions >> Search >> View a selected Marketable Asset Settlement Instruction							
C 1 1	List: Marketable Asset Settlement Instructions > View: Marketa	able Asset Settlement	Instruction				History 🔥	
Screenshot	Transaction Details							
	Settlement Currency EUR	MIAT000000038344	ld .	Instruction Type  Marketable Asset Demobilisation (DELI)		CSD of the T2S Account for regular collateral AT9900		
	ISIN	ISIN Description				T2S Reference		
	AT0000384128	Strip Kupon 15.7.2022				SESE024EX1CAN00000000103264		
	Quantity in FAMT	Denomination Currency	r			Intended Settlement Date 23/06/2022		
	Effective Settlement Date	External Asset Accoun				Party CSD		
	CITCOTO OCUPANIA DELO	AT9900,ATCBNL001T2SCR08SACC001		External Asset Account owner		AT9900		
	Party BIC	Party Account		Partial Settlement Indicator false				
	Continon Reference SESSERG3							
	Instruction Flags						_	
	T2S Relocation Instruction Id		Business Status		Business Sub Status			
	MAI2022062342181		Cancelled					
	Being Cancelled No		T2S Central Bank Cash Account Id					
	Asset Position Debatis							
	Market Mar Receiving/Delivering Settlement Parties Receiving/Delivering Settlement Parties [4]	kesible Asset Movement		Notifications Notifications [N	Market Ma	arketablic Asset Position		
	Foot Print							
	Creation Date 23/06/2022 17:39:52:415	Creation User Id	Update Date 2496/092217-03-58-297			Updater User Id STP		
	A COMMON TAN	<i>,</i> ,,,		2019 2014 1/201294291 SIF				

Marketable As	set Settlement Instructions – Details Screen
Button	Description
History	This function enables you to view the history of the selected instruction.
	Next screen:
	– Marketable Asset Settlement Instructions – History Screen.
Field	Description
Transaction De	etails
	Identifier of the Settlement Instruction given by the ECMS.
struction Id	
T2S Reference	Reference of the T2S Instruction as given by T2S
Instruction	Shows the Type of instruction. The possible values are:
Туре	- Marketable Asset Mobilisation (RECE)
	- Marketable Asset Demobilisation (DELI)
ISIN	Shows the ISIN of the Marketable Asset (de)mobilized.



ISIN Description	Shows the Name of the Marketable Asset (de)mobilized.
Quantity in FAMT	Shows the Quantity instructed expressed in FAMT
Denomination Currency	Shows the Currency of the instruction
Trade Date	Shows the Trade date of the instruction
	Displayed format is: DD/MM/YYYY.
Intended Set-	Shows the Intended settlement date of the instruction.
tlement Date	Displayed format is: DD/MM/YYYY.
Effective Set-	Shows the Effective settlement date of the instruction.
tlement Date	Displayed format is: DD/MM/YYYY.
CSD of the T2S Securities Ac- count for Reg- ular Collateral	Shows the RIAD codeof the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
Party CSD	Shows the RIAD codeof the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Instruction Fla	gs
Instruction Id.	Internal Identifier of the instruction
Business	Shows the business status of the instruction from possible values:
Status	- Sent for Settlement
	- Confirmed
	- Matched
	- Cancelled
	- Rejected
	- Queued
Business Sub	Shows the business substatus from possible values:
Status	- Waiting User Validation
	- Waiting Deliver Leg Confirmation
U2A Input	Shows whether the instruction was captured in U2A mode or not from Possible values:
	- Yes
	- No
Being can- celled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are:
	- Yes
	- No
Partial Settle- ment Indicator	Fixed value not stored in BBDD only for informative purpose in screen
Additional Info	ormations



Common Ref-	Shows the Common Reference of the instruction						
erence							
<b>Asset Position</b>	Asset Position details						
Market Asset Positions Movements	This button allows you to acces to Market Marketable Asset Movement with the details of the underlying settlement instruction						
iviov ciricitis	Next Screen:						
	– Market Marketable Asset Movement - List Screen						
Market Asset Position	This button allows you to acces to Market Marketable Asset Position by Position Type with the details of the underlying Marketable Asset Settlement Instruction						
	Next Screen:						
	– Market Marketable Asset Position by Position Type – List Screen						
T2S Instruction Status	Section to view the details of T2S Instruction Status.						
Receiving/De- livering Settle- ment Parties [n]	Section to view the details of the Settlement Parties. [n] corresponds to the number of Settlement Parties recorded.						
Notifications [n]	Section to view the details of the related notifications sent and received. [n] corresponds to the number of Notification.						
Foot Print							
Creation Date	Date and time when the instruction was created in the ECMS.						
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation User Id.	Identifier of the user responsible for the creation of the instruction.						
Update Date	Date and time when the instruction was last updated in the ECMS.						
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id.	Identifier of the user responsible for the last update of the instruction.						
Receiving/Deli	vering Settlement Parties [n]						
Once you click played:	on Receiving/Delivering Settlement Parties button following fields are dis-						
Field	Description						
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party						
Set Party Type	Type of Settlement Party						
BIC	BIC of the Settlement Party						
Account	Account number of the Settlement Party						
Narrative	Narrative identifier of the Settlement Party						
Narrative Type	Type of Narrative identifier of the Settlement Party						
Button	Function						
View	Button to view the details of the Settlement Party						
Receiving/Delive	ring Settlement Parties - View Screen						



Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative	Type of Narrative identifier of the Settlement Party
Туре	
Footprint	
Creation Date	Shows the Date and time of creation.
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation.
Update Date	Date and time when it was last updated in the ECMS.
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update.
Notifications [	n]
Once you click	on Notifications button following fields are displayed:
Field	Description
Notification Name	Name of the notification sent or received
Message Iden- tifier	Reference of the notification message
Notification	Shows the status of the Notification from the possible values:
Status	- Impacted
	- Impacted Failed
	- Rejected
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:
	– In
	- Out
Error Description	Shows the error description (if it has happened).
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Button	Function
Download	Button to download the Notification Message
T2S Instruction	n Status
Once you click on T2S Instruction Status button following fields are displayed:	
T2S Code	Shows the code of the status reasons generated or received from T2S by ECMS
T2S Status Description	Shows the description of the status reasons generated or received from T2S by ECMS



#### 3.2.3 Marketable Asset Positions and Movements

#### 3.2.3.1 Client Marketable Asset Position

#### 3.2.3.1.1 Client Marketable Asset Position by Position Type

A Client Marketable Asset Position by Position Type represents the quantity of a given marketable asset held on a given Client Account at a given point in time depending on the context.

Through the different screens described in this section, an NCB can access to the details of the Client Marketable Asset Position

- On a ECMS Counterparty Asset Account opened in its books by a counterparty of its communities,
- On a Cross NCB Asset Account opened in its books by a refinancing NCB.

The ECMS considers 3 different types of position depending on the context:

- An <u>actual position</u> reflects the quantity of asset effectively held on the account at a given point in time taking into account all movements effectively settled.
- A <u>provisional position</u> reflects the forecasted quantity of asset on an account at a given
  point in time taking into account all movements effectively settled and all pending movements (i.e. movements instructed but not yet settled, rejected or cancelled).
- A <u>conservative position</u> reflects the quantity of asset that can be counted as collateral on an account at a given point in time taking into account all movements effectively settled and all pending negative movements (i.e. demobilisations for which the asset has been reserved and for which the underlying settlement instruction is not yet settled, rejected or cancelled).

### 3.2.3.1.1.1 Client Marketable Asset Position by Position Type – Search Screen

Context of Usage	This screen is used to search Client Marketable Asset Position by Position Type. It contains the fields that can be used as search criteria.
	The user captures the fields that correspond to its criteria for searching Client Marketable Asset Position by Position Type.
	If a field is left blank, the field is not taken into account as a search criteria.
	Once search criteria have been filled, by clicking on the search button, the list of positions fulfilling the criteria will be displayed.
Business Case related	Search/List/View Client Marketable Asset Positions per Position Type
Screen Access	Instructions and Positions >> Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Position by Position Type
Screenshot	Commitmentation Assert Position by Position Type  Account Owner  Enemal Assert Account  Internal Assert Account  APPRODUMAN  Position Date  Q 0 (now2022
	O front

Client Marketable Asset Position by Position Type – Search screen		
Field	Description	
Internal Asset	Enter or select the Reference of the ECMS Counterparty Asset Account or a	
Account*	Cross NCB Asset Account on which the positions are held.	



ISIN	Enter or search the ISIN of the Marketable Asset in position.
External Asset	Enter the reference of T2S Account for Regular Collateral or of the ECMS
Account	Cross NCB Asset Account where the asset is deposited
Validity Date	Enter or select the Validity End Date of the position
	Required format is: DD/MM/YYYY.
Position Date*	Enter or select date when the position is valid
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered.
	The results are displayed in a list on a screen below.
	Next screen:
	<ul> <li>Client Marketable Asset Position by Position Type – List Screen.</li> </ul>

## 3.2.3.1.1.1.1 Client Marketable Asset Position by Position Type – List Screen

Context of Usage	This screen contains a list of Client Marketable Asset Position by Position Type.
	The positions listed correspond to the search criteria captured in the <i>Client Marketable Asset Position by Position Type – Search</i> screen.
	The list displayed can be sorted and filter by the field displayed.
	The list can be exported.
	By clicking on the "View" button or double clicking on the selected line to display the <i>Client Marketable Asset Position by Position Type – Details screen</i> with the details of the selected position.
Business Case re- lated	Search/List/View Client Marketable Asset Positions per Position Type
Screen Access	Instructions and Positions >> Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Position by Position Type >> Search Client Marketable Asset Positions
Screenshot	List Client Marketable Asset Position Type    Marketable Asset Position Type   Marketable Asset Position Type   Marketable Asset Position type   Marketable Asset Position Type   Marketable Asset Position type   Marketable Asset Position Type   Marketable Asset Position type   Marketable Asset Position Type   Marketable Asset Position type   Marketable Asset Position type   Marketable Asset Position Type   Marketable Type   Marketab

Client Marketable Asset Position by Position Type – List screen		
Field	Description	
Internal Asset Account	Shows the Reference of the ECMS Counterparty Asset Account or the Cross NCB Asset Account on which the position is held.	
Account owner	Shows the RIAD code of the counterparty owning the Internal Asset account	
ISIN	Shows the ISIN of the Marketable Asset settled.	
Denomination Currency	Shows the Currency of denomination of the asset in the position	
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited	
Actual Position	Shows the Quantity of asset effectively held	



Provisional Position	Shows the forecasted quantity of asset held
Conservative Position	Shows the Quantity of asset that can be counted as collateral
Validity Date	Shows the Validity End Date of the position
	Displayed format is: DD/MM/YYYY.
Position Date	Shows the date when the position is valid
	Displayed format is: DD/MM/YYYY.
Creation Date	Shows the date and time when the instruction was created in the ECMS
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time when the instruction was last updated in the ECMS
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
View	This function enables you to view the detailed of the selected position.
	Next screen:
	– Client Marketable Asset Position by Position Type – Details Screen.

# 3.2.3.1.1.1.2 Client Marketable Asset Position by Position Type – Details Screen

Context of Usage	This screen is used to view all the details of a Client Marketable Asset Position by Position Type.						
Business Case re- lated	Search/List/View Client Marketable Asset Positions per Position Type						
Screen Access	Instructions and Position able Asset Position >> Cli Search Client Marketable A able Asset Position	ent Marketable Asset Pos	sition by Position Type >>				
Screenshot	List: Client Marketable Asset Position by Position Type > View. Client Marketable Asset Course AMANYETSALCHS  ISSEL ANYETSALCHS  ISSEL ANYOMALARIA Provision Protein  2.384,999  Fool Freet Creation Dire  Creation Dire  Libration	Net Position by Position Type Account Interes  Account Come  Account Come  Common Come  Common Commo	APPORTED Account APPORTED ACCOUNT PROTEIN APPORTED ACCOUNT PROTEIN APPORTED ACCOUNTS				

Client Marketable Asset Position by Position Type – Details Screen					
Field	Description				
Internal Asset Account	Shows the Reference of the ECMS Counterparty Marketable or the Cross NCB Asset Account which the position is held.				
Account owner	Shows the RIAD code and short name of the counterparty owning the Internal Asset account				
ISIN	Shows the ISIN of the Marketable Asset settled.				
Denomination Currency	Shows the Currency of Denomination of the asset in position.				



External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited
Actual Position	Shows the Quantity of asset effectively held
Provisional Position	Shows the forecasted quantity of asset held
Conservative Position	Shows the Quantity of asset that can be counted as collateral
Validity Date	Shows the Validity End Date of the position
	Displayed format is: DD/MM/YYYY.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.

#### 3.2.3.1.2 Client Marketable Asset Movement

A Client Marketable Asset Movement represents a movement of Marketable Asset impacting a Client Marketable Asset Positions. Those movements can be originated by Marketable Asset (de)Mobilisation Instructions, by Corporate Actions that includes securities movement, by T2S relocation, or by a Manual intervention on the marketable asset positions.

Through the different screens described in this section, an NCB can access to the details of the Client Marketable Asset Movements

- On a ECMS Counterparty Asset Account opened in its books by a counterparty of its communities,
- On a Cross NCB Asset Account opened in its books by a refinancing NCB.

#### 3.2.3.1.2.1 Client Marketable Asset Movement – Search Screen

This screen is used to search Client Marketable Asset Movements. It contains the fields that can be used as search criteria.									
The user captures the fields that correspond to its criteria for searching Client Marketable Asset Movement.									
If a field is left blank, the field is not taken into account as a search criteria.									
Once search criteria have been filled, by clicking on the search button, the list of positions fulfilling the criteria will be displayed.									
Instructions and Positions >> Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Movement Details									
g) Cent Marketable Asset Movement Scale  Secrot: Client Marketable Asset Movement									
Party i Internal Assert Account: •• ▼ Position Date •• • □ Internal Assert Account: •• ▼ Q. Bulleance									
Enternal Asset Account • • • Movement status () • • ISM • • • Q.  Effective Settlement data • • Issued • • Issued • • Issued • • •									
Account Owner •• • Videley and thee •• • C									



Client Marketa	ible Asset Movement – Search screen							
Field	Description							
Party's Instruction Reference	Enter the reference given by the counterparty of the instruction that generates the movement.							
Instruction Id.	Enter the Internal Identifier of the instruction that generates the movement.							
Internal Asset Account	Enter or Select the Reference of the Internal Asset Account on which the asset is (de)mobilized							
External Asset Account	Enter the reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited							
Account Owner	Enter the RIAD code of the NCB Participant owning the Internal Asset account							
ISIN	Enter or search the ISIN of the Marketable Asset concerned by the movement.							
Movement	Select the status of the movement							
status	Possible values are							
	– Instructed							
	- Matched							
	– Impacted							
	– Cancelled							
	- Rejected							
Validity End	Enter the Date and Time when the movement is no longer valid							
Date	Required format is: DD/MM/YYYY.							
Intended Set-	Enter the Intended Settlement Date of the movement.							
tlement Date	Required format is: DD/MM/YYYY							
Effective Set-	Enter the Effective Settlement Date of the movement.							
tlement Date	Required format is: DD/MM/YYYY							
Button	Function							
Reset	To clear the search criteria and close the result windows if any							
Search	To start a search according to the criteria entered. The results are displayed in a list on a screen below.							
	Next screen:							
	– Client Marketable Asset Movement – List Screen							

# 3.2.3.1.2.1.1 Client Marketable Asset Movement – List Screen

Context of	This screen contains a list of Client Marketable Asset Movements.
Usage	The movements listed correspond to the search criteria captured in the <i>Client Marketable Asset Movement – Search</i> screen.
	The list displayed can be sorted and filter by the field displayed.
	The list can be exported.
	By clicking on the "View" button or double clicking on the selected line to display the <i>Client Marketable Asset Movement – Details screen</i> with the details of the selected movements.



Screen Ac- cess		ositic	n >>	Clie	ent N													ble As- <i>Market</i>
Screenshot	List: Client Mar	ketable Asset I	Movement															
Screenshot	10 🕶																	2 1071 () 🕮 🛨
	Party's Instrú.	Instruction Id #	Internal Asse.	External Asse.	ISIN # 9	Quantity in FS	Denominati	o  ☐ Trade Date   ☐	Intended Sett	Effective Sett	Being Cancell,	Impacted # 9	Movement st	Account Own	Creation Date	Update Date	Validity End	02
		RHDI0000001_	ABVR001IAA02	AT9900IABVR_	AT0000A1ASR_	30,000	EUR	13/10/2022	13/10/2022	13/10/2022	No	No	Instructed	ABVR001	13/10/202218.	13/10/2022 18	31/12/9999	
				AT9900JATOM.														
	E	RHD10000001	ABV RHDI0000	001287 DOJABVR.	AT0000A1ASR	860,000	EUR	13/10/2022	13/10/2022	13/10/2022	No	No	Instructed	ABVR005	13/10/202218	13/10/2022 18	. 31/12/9999	
	B	RHDI0000001	ABVR009(AA01	AT9900JATOM	AT0000A1ASR	500	EUR	13/10/2022	13/10/2022	13/10/2022	No	No	Instructed	ABVR009	13/10/2022 18.	13/10/202218	. 31/12/9999	
	B	RHDI0000001_	ABVR001IAA01	AT9900JABVR	AT0000A1ASR	150,000	EUR	13/10/2022	13/10/2022	13/10/2022	No	No	Instructed	ABVR001	13/10/2022 18	13/10/202218	. 31/12/9999	
	E .	RHD10000001	ABVR001IAA03	AT9900(ABVR	AT0000A1ASR	1,000	EUR	13/10/2022	13/10/2022	13/10/2022	No	No	Instructed	ABVR001	13/10/2022.18.	13/10/2022.18	31/12/9999	
	100																	
	B	RHD10000001_	ABVR004(AA01	AT9900JABVR_	ATCOCCATASR_	10,000	EUR	13/10/2022	13/10/2022	13/10/2022	No	No	Instructed	ABVR004	13/10/2022.18	13/10/202218	31/12/9999	
	B	RHDI0000001	ABVR005IAA0	AT9900JABVR	ATCOCCATASR	1,000,000,000	EUR	13/10/2022	19/10/2022	13/10/2022	No	No	Instructed	ABVR005	13/10/2022.18	13/10/2022 18	31/12/9999	
	SP915202210.	MAI20221013	AT309375COU	AT9900(AT309.	AT0000384136	100,000	EUR	12/10/2022	12/10/2022	12/10/2022	No	No	Instructed	AT000000030	13/10/2022 09	13/10/2022 09	. 31/12/9999	

Client Marketab	ele Asset Movement – List screen
Field	Description
Party's Instruction Reference	Shows the Reference given by the counterparty of the instruction that generates the movement.
Instruction Id.	Shows the internal Identifier of the instruction that generates the movement.
Internal Asset Account	Shows the Reference of the Internal Asset Account concerned by the movement.
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited
Account Owner	Shows the RIAD code of the NCB Participant owning the Internal Asset account
ISIN	Shows the ISIN of the Marketable Asset concerned by the movement.
Denomination Currency	Shows the Denomination Currency
Quantity in FAMT	Shows the Quantity instructed expressed in FAMT
Movement	Shows the status of the movement. Possible values are
status	– Instructed
	- Matched
	- Impacted
	- Cancelled
	- Rejected
Trade Date	Shows the Trade Date of the movement
	Displayed format is: DD/MM/YYYY.
Intended Settle-	Shows the Intended Settlement Date of the movement.
ment Date	Displayed format is: DD/MM/YYYY.
Effective Settle-	Shows the Effective Settlement Date of the movement.
ment Date	Displayed format is: DD/MM/YYYY.
Being Cancelled	Indicator stating that the cancellation of the movement has been requested.
	Possible values are
	- Yes
	- No
Impacted	Indicator stating that the position has been updated.



	Possible values are
	- Yes
	- No
Validity End	Shows the Date and Time when the movement is no longer valid
Date	Displayed format is: DD/MM/YYYY.
Creation Date	Shows the Date and Time when the movement has been created
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the Date and Time when the movement has been last updated
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
View	This function enables you to view the detailed of the selected movement.
	Next screen:
	Client Marketable Asset Movement – Details Screen.
	The <i>Details screen</i> is displayed replacing the current screen.

#### 3.2.3.1.2.1.2 Client Marketable Asset Movement – Details Screen

Context of	This screen is used to view all the details of a Client Marketable Asset Move-						
Usage	ment.						
Screen Ac-	Instructions a	Instructions and Positions >> Instructions and Positions >> Client Marketable					
cess	Asset Position >> Client Marketable Asset Movement Details >> Search Client  Marketable Asset Movements >> View						
Carromalant	List: Client Marketable Asset Movement >	View: Client Marketable Asset Movement					_
Screenshot	Movement status Instructed	Internal Asset Account ABVR603IAA61	External Asset Account AT9906/ABVRT2SSAC003		Account Owner ABVR003		
	ISIN AT000BALASR4	Being Cancelled No	Denomination Currency EUR		Trade Date 13/10/2022		
	Impacted No	Party's Instruction Refer	ence	Effective Settlement date 18/10/2022		Quantity in FAMT	1,000
	Instruction Id RHDI0900091287	Intended Settlement date 13/19/2922		Validity End Date 31/12/9999		Movement Origin MEGACOR	
	Foot Print						
	Creation Date 13/10/2022 18:09:09:945		Creation User id ATCBJobScheduler		Updater User id ATCBJobScheduler		
	Update Date 13/10/2022 18:09:09:845						

Client Marketab	Client Marketable Asset Movement – Details Screen						
Field	Description						
Party's Instruction Reference	Shows the Reference given by the counterparty of the instruction that generates the movement.						
Instruction Id.	Shows the internal Identifier of the instruction that generates the movement.						
Movement Origin	Shows the Origin of the movement. It indicates whether the movement is due to a Marketable Asset (de)mobilisation, a T2S Relocation, a Corporate Action or a Manual Intervention						
Internal Asset Account	Shows the Reference of the ECMS Counterparty Asset Account or a Cross NCB Asset Account concerned by the movement.						
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited						
Account Owner	Shows the RIAD code of the NCB Participant owning the Internal Asset account						



ISIN	Shows the ISIN of the Marketable Asset concerned by the movement.
Denomination Currency	Shows the Currency of the instruction
Quantity in FAMT	Shows the Quantity instructed expressed in FAMT
Trade Date	Shows the Trade Date of the movement
	Displayed format is: DD/MM/YYYY
Intended Settle-	Shows the Intended Settlement Date of the movement.
ment Date	Displayed format is: DD/MM/YYYY
Effective Settle-	Shows the Effective Settlement Date of the movement.
ment Date	Displayed format is: DD/MM/YYYY
Being Cancelled	Indicator stating that the cancellation of the movement has been requested.
	Possible values are
	- Yes
	- No
Impacted	Indicator stating that the position has been updated.
	Possible values are
	- Yes
	- No
Movement	Shows the status of the movement
status	Possible values are
	- Instructed
	– Matched
	– Impacted
	- Cancelled
	- Rejected
Foot Print	
Creation Date	Date and time when the movement was created in the ECMS.
Creation User Id.	Identifier of the user responsible for the creation of the movement.
Update Date	Date and time when the movement was last updated in the ECMS.
Updater User Id.	Identifier of the user responsible for the last update of the movement.

# 3.2.4 Messages Monitoring

Counterparty Marketable Asset Instructions represent the instruction received by the ECMS from the counterparty to mobilise or demobilize a Marketable Asset in or from one of its NCB Participant Asset Accounts.

A refinancing NCB can access to the details of the Counterparty Marketable Asset Instructions originated by the Counterparties of its community through the different screens described in this section.



The "Client Side" of Counterparty Marketable Asset Instructions corresponds to an ECMS Counterparty whereas the "Market Side" corresponds either to a domestic T2S CSD (for domestic (de)mobilization) or to another NCB (for non-domestic (de)mobilization)

#### 3.2.4.1 Instructions (sese.023)

#### 3.2.4.1.1 Instructions (sese.023) – Search Screen

Context of Usage	This screen contains a list of Instructions messages.  The allegements listed correspond to the search criteria capture in the search screen.  The list displayed can be sorted and filter using by the field displayed.	
	The list can be exported.	
Screen Access	Instructions and Positions >> Messages Monitoring >> Instructions	
Screenshot	Search criteria. Sestement notifications    Message Inference	* ^

Instructions (s	sese.023) – Search Screen
Field	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Client Sec Account Code	Enter the Account Number where the security is to be (de)mobilised.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Intended Set- tlement Date	Enter the intended settlement date of the instruction or use the calendar icon.  Required format is: DD/MM/YYYY.
Notification Status	Enter the status of the Notification from the possible values:  - Impacted - Impacted Failed - Rejected - Archived - WaitingValidation - Prepared - Processed - Processed with Failure



Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:
	– In
	- Out
Settlement	Select from possible values:
Nature	- Receive
	– Deliver
	- None
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Settlement	Select from possible values:
Mode	- FreeOfPayment
	- DeliverPayment
	- ReceivePayment
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:
	– Instructions (sese.023) – List Screen

#### 3.2.4.1.2 Instructions (sese.023) – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.										
Screen Access	Instruc		nd Pos	itions >>	Messa	iges Mo	onitorin	g >> Ins	structions	s >> Sear	ch In-
Screenshot	Search Result : Ins	truction									
Screenshot	10 ▼										무요움
	Message Reference #	Party's Instruction Refe	e⊋ Msg Function ¢	Settlement Nature \$	▽ ISIN ¢	▽ Trade Date \$	Intended Settlement	Date # Direction #	Notifications Status 0	Update Date \$	Updater User Id #
	MIAT000000043248	AU30062022151031	NEWM	Deliver	AT0000384128	30/06/2022	30/06/2022	Out	Processed	30/06/2022 15:12:39:858	STP
	MINL000000043246	AU30062022151031	NEWM	Deliver	AT0000384128	30/06/2022	30/06/2022	In	Impacted	30/06/2022 15:12:42:662	STP
	MIAT000000043244	AU30062022150638	NEWM	Receive	AT0000384128	30/05/2022	30/06/2022	Out	Processed	30/06/2022 15:08:45:310	STP
	MINL000000043242	AU30052022150638	NEWM	Receive	AT0000384128	30/06/2022	30/06/2022	In	Impacted	30/06/2022 15:08:48:072	STP
	MIAT000000043240	AU30062022150104	NEWM	Deliver	AT0000384128	30/06/2022	30/06/2022	Out	Processed	30/06/2022 15:03:24:177	STP
	MINL000000043238	AU30052022150104	NEWM	Deliver	AT0000384128	30/06/2022	30/06/2022	In	Impacted	30/06/2022 15:03:26:779	STP
	MIAT000000043236	AU30062022145809	NEWM	Receive	AT0000384128	30/06/2022	30/06/2022	Out	Processed	30/06/2022 15:00:54:052	STP
	MINL000000043234	AU30052022145809	NEWM	Receive	AT0000384128	30/06/2022	30/06/2022	In	Impacted	30/06/2022 15:00:57:675	STP
	MAI2022062751173	NONREF	NEWM	Receive	NL0000003523	27/06/2022	27/06/2022	Out	Processed	27/06/2022 14:48:00:786	STP
	AU25RE028103833	NONREF	NEWM	Receive	NL0000003523	27/05/2022	27/06/2022	In	Impacted	27/06/2022 14:48:03:954	STP
										Download editSear	chResultitem
										Download editSear	chResultliem

Instructions (sese.023) – List screen						
Column	Description					
Message Identi- fier	Reference of the notification message					
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.					
Msg Function	Shows the message function from possible values:					



	- NEWM - New Message
	- REPL - Replacement
	- RMDR - Reminder
Settlement	Shows from possible values:
Nature	- Receive
	- Deliver
ISIN	- None  ISIN of the Marketchia Accet (do) mobilized
Trade Date	ISIN of the Marketable Asset (de)mobilized.  Trade date of the instruction
Intended Settle-	Intended Settlement Date of the instruction.
ment Date	intended Settlement Date of the Instruction.
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:
	– In
	- Out
Notification	Shows the status of the Notification from the possible values:
Status	- Impacted
	- Impacted Failed
	- Rejected
	- Archived
	- WaitingValidation
	- Prepared
	- Processed
	- Processed with Failure
Error Descrip-	Shows the error description (if there is any).
Updater User	Identifier of the user responsible for the last update of the instruction.
Id.	
Update Date	Date and time when the instruction was last updated in the ECMS.
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received]
	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).



# 3.2.4.2 Cancellation Instructions (sese.020)

### 3.2.4.2.1 Cancellation Instructions (sese.020) – Search Screen

Context of	This screen contains a list of Cancellation Instructions messages.
Usage	The allegements listed correspond to the search criteria capture in the search screen.
	The list displayed can be sorted and filter using by the field displayed.
	The list can be exported.
Screen Ac-	Instructions and Positions >> Messages Monitoring >> Cancellation Instructions
cess	
Screenshot	Search criteria: Settlement notifications
	Message Reference •• ▼ Pur'y Instruction •• ▼ Client Sec Account •• ▼ GIN •• ▼ Neference Code
	Intended Settlement •
	Update Date ** V

Cancellation Instructions (sese.020) – Search Screen						
Field	Description					
Message Identifier	Reference of the notification message					
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.					
Client Sec Account Code	Enter the Account Number where the security is to be (de)mobilised.					
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.					
Intended Set-	Enter the intended settlement date of the instruction or use the calendar icon.					
tlement Date	Required format is: DD/MM/YYYY.					
Notification	Enter the status of the Notification from the possible values:					
Status	- Impacted					
	– Impacted Failed					
	- Rejected					
	- Archived					
	- WaitingValidation					
	- Prepared					
	- Processed					
	- Processed with Failure					
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:					
	– In					
	- Out					



Settlement Nature  Select from possible values:  - Receive - Deliver - None  Creation Date  Enter the date and time when the instruction was created in the ECMS or use th calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:µs.  Update Date  Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:µs.  Settlement  Mode  Select from possible values:  - FreeOfPayment - DeliverPayment - ReceivePayment
- Receive - Deliver - None  Creation Enter the date and time when the instruction was created in the ECMS or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:μs.  Update Date Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:μs.  Settlement Select from possible values: - FreeOfPayment - DeliverPayment - ReceivePayment - ReceivePayment
- None  Creation Date Enter the date and time when the instruction was created in the ECMS or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:μs.  Update Date Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:μs.  Settlement Mode FreeOfPayment DeliverPayment ReceivePayment ReceivePayment
Creation Date  Enter the date and time when the instruction was created in the ECMS or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:µs.  Update Date  Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:µs.  Settlement  Mode  Select from possible values:  - FreeOfPayment  - DeliverPayment  - ReceivePayment
Date calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.  Update Date Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.  Settlement Select from possible values:  — FreeOfPayment — DeliverPayment — ReceivePayment
Update Date  Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:μs.  Settlement  Mode  FreeOfPayment  DeliverPayment  ReceivePayment
use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:ss:µs.  Settlement Select from possible values:  - FreeOfPayment - DeliverPayment - ReceivePayment
Settlement Select from possible values:  Mode - FreeOfPayment  DeliverPayment  ReceivePayment
Mode - FreeOfPayment - DeliverPayment - ReceivePayment
- FreeOfPayment - DeliverPayment - ReceivePayment
- ReceivePayment
Button Function
Reset To clear the search criteria and close the result windows if any
Search This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:
- Cancellation Instructions (sese.020) - List Screen

#### 3.2.4.2.2 Cancellation Instructions (sese.020) – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.										
Screen Access				itions >> Instruct		ges Mo	nitoring	>> Caı	ncellatior	Instru	ctions
Screenshot	Search Result : Inst	ruction			K C Pa	ge 1 of5 > >				Q 42	<u>^</u> ★ 鄭 □ □ () ▽
	Message Reference 8 CANC1976 CANC1976 CANC1964 CANC1964 CANC1964 CANC2254 CANC2255 CANC2255 CANC2256 CANC2256 CANC2256 CANC2256 CANC2256 CANC2256 CANC2126	Perry a testruction Refere AU30062022151031 AU30062022151031 AU3006202215038 AU3006202215038 AU31006200215038 AUTOTCO00103236 AUTOTCO000103236 AUTOTCO000103236 AUTOTCO000103231 AUTOTCO000103218 AUTOTCO000103118	Mag Function © CANC CANC CANC CANC CANC CANC CANC CAN	Sattlement Nature 6 Daiver Daiver Receive Receive Deliver Deliver Receive Receive Receive Receive Receive Receive Receive Receive	SIN 6 AT000384128 AT000384128 AT000384128 AT000384128 AT000384128 AT000384128 AT000384128 AT000384128 AT000384128 AT000384128	▼ Trade Date ● 30/06/2022 30/06/2022 30/06/2022 30/06/2022 23/06/2022 23/06/2022 23/06/2022 23/06/2022 23/06/2022 23/06/2022 23/06/2022	© steended Sertifumer Dad 3006/2022 3006/2022 3006/2022 3006/2022 2306/2022 2306/2022 2306/2022 2306/2022 2306/2022 2306/2022 2306/2022	Out In In Out In In Out In In Out In In In In In In In In In In In In In	Nonfinences Status 8 Processed Impacted Processed Impacted Processed Impacted Processed Impacted	▼ Update Date ● 30/06/2022 151 326.457 30/06/2022 151 329.956 30/06/2022 15 09:38 825 30/06/2022 15 09:38 825 20/06/2072 15 09:38 825 20/06/2072 17 32:33 047 23/06/2072 17 32:33 047 23/06/2072 17 32:33 047 23/06/2072 14 11:38 139 23/06/2072 14 11:41 141  Downfoot edition	STP STP STP STP STP STP STP STP STP STP

Cancellation Instructions (sese.020) – List screen							
Column	Description						
Message Identi- fier	Reference of the notification message						
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.						
Msg Function	Shows the message function from possible values:						
	- NEWM - New Message						
	- REPL - Replacement						
	- RMDR - Reminder						



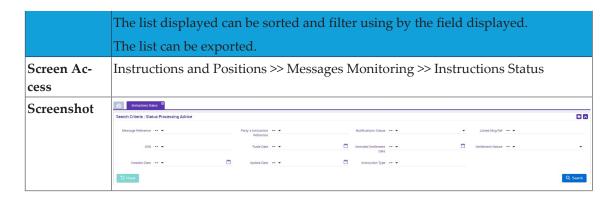
onowa nom possible values.					
Shows from possible values:					
- Received					
– Deliver					
- None					
ISIN of the Marketable Asset (de)mobilized.					
Trade date of the instruction					
Intended Settlement Date of the instruction.					
Indicator stating that the notification has been received or sent by the ECMS. Possible values:					
– In					
- Out					
Shows the status of the Notification from the possible values:					
- Impacted					
- Impacted Failed					
- Rejected					
- Archived					
- WaitingValidation					
- Prepared					
- Processed					
- Processed with Failure					
Shows the error description (if there is any).					
Identifier of the user responsible for the last update of the instruction.					
Date and time when the instruction was last updated in the ECMS.					
Shows format is: DD/MM/YYYY hh:mm:ss:µs.					
Function					
This function enables the user to download a copy of the message.					
[Only for notifications Received]					
This function enables the user to reprocess the selected message (only available for users with appropriate rights).					
[Only for notifications Received]					
This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).					

# 3.2.4.3 Instructions Status (sese.024)

### 3.2.4.3.1 Instructions Status (sese.024) – Search Screen

Context of	This screen contains a list of Instructions Status messages.
Usage	The allegements listed correspond to the search criteria capture in the search
	screen.





Instructions S	Status (sese.024) – Search Screen				
Field	Description				
Message Identifier	Reference of the notification message				
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.				
Notification	Enter the status of the Notification from the possible values:				
Status	– Impacted				
	– Impacted Failed				
	- Rejected				
	- Archived				
	- WaitingValidation				
	- Prepared				
	- Processed				
	- Processed with Failure				
Linked Msg Ref	Enter the Linked Message Reference.				
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.				
Trade Date	Enter the Trade Date of the Instruction or use the calendar icon.				
	Required format is: DD/MM/YYYY.				
	Enter the intended settlement date of the instruction or use the calendar icon.				
tlement Date	Required format is: DD/MM/YYYY.				
Settlement	Select from possible values:				
Nature	- Receive				
	– Deliver				
	- None				
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon.				
	Required format is: DD/MM/YYYY hh:mm:ss:µs.				
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.				
	Required format is: DD/MM/YYYY hh:mm:ss:µs.				



Instruction	Enter the Instruction Type from possible values:
Туре	- Marketable Asset Mobilisation (RECE)
	- Marketable Asset Demobilisation (DELI)
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:
	– Instructions Status (sese.024) – List Screen

#### 3.2.4.3.2 Instructions Status (sese.024) – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.					
Screen Access	Instructions and Positions >> Messages Monitoring >> Instructions Status >> Search Instructions Status					
Screenshot	View: Notifications				Q 704 * 韓 [] □ () ▽	
	Notification Name \$	Message Reference \$	♡ Direction ◆	∇ Notifications Status	□ Update Date ◆	▽ Creation Date Φ ▽
	Settlement Status and Processing Advice	STAT1981	Out	Processed	30/05/2022 15:14:19:058	30/06/2022 15:14:18:325
	SettlementStatusAndProcessingAdvice	100000000103932	in .	Impacted	30/06/2022 15:14:22:542	30/06/2022.15:14:16:376
	Settlement Status and Processing Advice	STAT1972	Our	Processed	30/06/2022 15:12:33:085	30/06/2022 15:12:32:254
1	Settlement Status and Processing Advice	STAT1969	Out	Processed	30/06/2022 15:10:30:103	30/06/2022 15:10:29:257
	SettlementStatusAndProcessingAdvice	100000000103926	ln .	Impacted	30/06/2022 15:10:33:791	30/06/2022 15:10:25:806
	Settlement Status and Processing Advice	STAT1960	Out	Processed	30/06/2022 15:08:38:465	30/06/2022 15:08:37:454
	Settlement Status and Processing Advice	STAT1956	Out	Processed	30/05/2022.15 03:49.128	30/06/2022.15:03.48:097
	SettlementStatusAndProcessingAdvice	100000000103916	in .	Impacted	30/06/2022 15:03:51:795	30/06/2022 15:03:45:825
	SettlementStatusAndProcessingAdvice	100000000103918	ån .	Impact Failed	30/06/2022 15:03:52:270	30/06/2022 15:03:52:270
	Settlement Status and Processing Advice	STAT1953	Out	Processed	30/05/2022 15:03:17:015	30/06/2022 15:03:16:272

Instructions Status (sese.024) – List screen					
Column	Description				
Message Identi- fier	Reference of the notification message				
Notification Name	Shows the Notification Name				
Direction	Indicator stating that the notification has been received or sent by the ECMS.  Possible values:  — In  — Out				
Notification Status	Shows the status of the Notification from the possible values:  - Impacted  - Impacted Failed  - Rejected  - Archived  - WaitingValidation  - Prepared  - Processed  - Processed				
Error Description	Shows the Error Description.				
Creation Date	Date and time when the instruction was created in the ECMS.				



	Shows format is: DD/MM/YYYY hh:mm:ss:µs.		
Update Date	Date and time when the instruction was last updated in the ECMS.		
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Function		
Download	This function enables the user to download a copy of the message.		
Reprocess	[Only for notifications Received]		
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).		
Reprocess All	[Only for notifications Received]		
	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).		

# 3.2.4.4 Cancellation Status (sese.027)

#### 3.2.4.4.1 Cancellation Status (sese.027) - Search Screen

Context of	This screen contains a list of Cancellation Status messages.					
Usage	The allegements listed correspond to the search criteria capture in the search screen.					
	The list displayed can be sorted and filter using by the field displayed.					
	The list can be exported.					
Screen Ac-	Instructions and Positions >> Messages Monitoring >> Cancellation Status					
cess						
Screenshot	Concedents Status Processing Advice					
	Message Reference • • Party a Instruction • • Nortifications Status • • Linked Mag Ref • • • Reference					
	Tool Dies . V Dies . V C Intended Serliement . V C Serliement Nature . V V					
	Crestion Date					
	Q South					

Cancellation 9	Cancellation Status (sese.027) – Search Screen				
Field	Description				
Message Identifier	Reference of the notification message				
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.				
Notification	Enter the status of the Notification from the possible values:				
Status	- Impacted				
	- Impacted Failed				
	- Rejected				
	- Archived				
	– WaitingValidation				
	- Prepared				
	- Processed				



	- Processed with Failure
Linked Msg Ref	Enter the Linked Message Reference.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Trade Date	Enter the Trade Date of the Instruction or use the calendar icon.
	Required format is: DD/MM/YYYY.
Intended Set-	Enter the intended settlement date of the instruction or use the calendar icon.
tlement Date	Required format is: DD/MM/YYYY.
Settlement	Select from possible values:
Nature	- Receive
	– Deliver
	- None
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Instruction	Enter the Instruction Type from possible values:
Type	- Marketable Asset Mobilisation (RECE)
	- Marketable Asset Demobilisation (DELI)
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:
	– Cancellation Status (sese.027) – List Screen

### 3.2.4.4.2 Cancellation Status (sese.027) - List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.					
Screen Access	Instructions and Positions >> Messages Monitoring >> Cancellation Status >> Search Cancellation Status					
Screenshot	View: Notifications					
	Notification Name	Message Reference	Direction ♥	∇ Notifications Status Φ	□ Update Date ♥	☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐
	Cancellation Status	STAT1979	Out	Processed	30/06/2022 15:14:08:456	30/06/2022 15:14:07:636
	Cancellation status	100000000103930	In	Impacted	30/05/2022 15:14:11:054	30/06/2022 15:14:05:826
	Cancellation status	100000000103928	In	Impacted	30/06/2022 15:13:59:701	30/06/2022 15:13:56:245
	Cancellation Status	STAT1975	Out	Processed	30/05/2022 15:13:23:054	30/06/2022 15:13:22:293
	Cancellation status	100000000103922	in .	Impacted	30/06/2022 15:10:11:113	30/06/2022 15:10.06:701
1	Cancellation status	100000000103924	In .	Impact Falled	30/06/2022.15.10.11:429	30/06/2022 15:10:11:429
	Cancellation Status	STAT1963	Out	Processed	30/06/2022 15:09:35:352	30/06/2022 15:09:34:641
	Cancellation Status	STAT2277	Out	Processed	23/06/2022 17:33:31:314	23/06/2022 17:33 29:764
	Cancellation status	100000000103260	ân	Impacted	23/06/2022 17:33:37:622	23/06/2022 17:33:24:326
	Cancellation status	100000000103258	ln .	Impacted	23/06/2022 17:33:21:949	23/06/2022 17:33 05:867
						<b> </b>

Cancellation Status (sese.027) – List screen		
Column	Description	
Message Identi-	Reference of the notification message	
fier		



NT CC C	CI II NI CC II NI					
Notification Name	Shows the Notification Name					
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:					
	- In					
	- Out					
Notification	Shows the status of the Notification from the possible values:					
Status	- Impacted					
	- Impacted Failed					
	- Rejected					
	- Archived					
	- WaitingValidation					
	- Prepared					
	- Processed					
	- Processed with Failure					
Error Description	Shows the Error Description.					
Creation Date	Date and time when the instruction was created in the ECMS.					
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.					
Update Date	Date and time when the instruction was last updated in the ECMS.					
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.					
Button	Function					
Download	This function enables the user to download a copy of the message.					
Reprocess	[Only for notifications Received]					
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).					
Reprocess All	[Only for notifications Received]					
	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).					

### 3.2.4.5 Instruction Confirmation (sese.025)

### 3.2.4.5.1 Instruction Confirmation (sese.025) – Search Screen

Context of Usage	This screen contains a list of Instruction Confirmation messages.  The allegements listed correspond to the search criteria capture in the search screen.  The list displayed can be sorted and filter using by the field displayed.  The list can be exported.
Screen Access	Instructions and Positions >> Messages Monitoring >> Instruction Confirmation





Instruction C	onfirmation (sese.025) – Search Screen
Field	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Client Sec Account Code	Enter the Account Number where the security is to be (de)mobilised.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
	Enter the intended settlement date of the instruction or use the calendar icon.
tlement Date	Required format is: DD/MM/YYYY.
Notification	Enter the status of the Notification from the possible values:
Status	- Impacted
	- Impacted Failed
	- Rejected
	- Archived
	- WaitingValidation
	- Prepared
	- Processed
	- Processed with Failure
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:
	– In
	- Out
Settlement	Select from possible values:
Nature	- Receive
	– Deliver
	- None
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.



Settlement	Select from possible values:
Mode	- FreeOfPayment
	- DeliverPayment
	- ReceivePayment
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:
	- Instruction Confirmation (sese.025) – List Screen

### 3.2.4.5.2 Instruction Confirmation (sese.025) – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.										
Screen Access				itions >> Confirma		ges Mo	nitoring	>> Ins	truction (	Confirn	nation
Screenshot	Search Result : Inst	ruction			V / e	1 of 22 > >				0.33	★韓□□○▽
	Message Reference 0	Party's Instruction Refere		Settlement Nature 0	SISIN 0	▼ Trade Date •	Intended Settlement Date	Direction 0	Notifications Status 0	▽ Update Date ♥	Updater User Id ©
	MAI2022063052947	AU30062022150104	NEWM	Deliver	AT0000384128	30/06/2022	30/06/2022	Out	Processed	30/06/2022 15:04:01:543	STP
	SESE025SET13920	NONREF	NEWM	Deliver	AT0000384128	30/06/2022	30/06/2022	In	Impacted	30/06/2022 15:04:08:237	STP
	SESE025SET13914	NONREF	NEWM	Receive	AT0000384128	30/06/2022	30/06/2022	In	Impact Failed	30/06/2022 15:01:33:070	STP
	MAI2022062342204	AUTOTC007103234	NEWM	Deliver	AT0000384128	23/06/2022	23/06/2022	Out	Processed	23/06/2022 17:34:08:592	STP
	SESE025SET13274	NONREF	NEWM	Deliver	AT0000384128	23/06/2022	23/06/2022	In	Impacted	23/06/2022 17:34:16:493	STP
	MAI2022062342201	AUTOTC007103233	NEWM	Deliver	AT0000384128	23/06/2022	23/06/2022	Out	Processed	23/06/2022 17:33:14:330	STP
	SESERZ5SET13255	NONREF	NEWM	Deliver	AT0000384128	23/06/2022	23/06/2022	In	Impacted	23/06/2022 17:33:25:986	STP
	SESE025SET13244	NONREF	NEWM	Deliver	AT0000384128	23/06/2022	23/06/2022	In	Impact Failed	23/06/2022 17:32:45:852	STP
	MAI2022062342164	AUTOTC005102922	NEWM	Receive	AT0000384128	23/06/2022	23/06/2022	Out	Processed	23/06/2022 17:26:25:711	STP
	MAI2022062342162	AUTOTC007102926	NEWM	Deliver	AT0000384128	23/06/2022	23/06/2022	Out	Processed	23/06/2022 17:26:14:843	STP
										Download editSear	chResultitem    View

Instruction Conf	firmation (sese.025) – List screen
Column	Description
Message Identi- fier	Reference of the notification message
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Msg Function	Shows the message function from possible values:
	– NEWM – New Message
	– REPL - Replacement
	- RMDR - Reminder
Settlement	Shows from possible values:
Nature	- Receive
	– Deliver
	- None
ISIN	ISIN of the Marketable Asset (de)mobilized.
Trade Date	Trade date of the instruction
Intended Settle- ment Date	Intended Settlement Date of the instruction.
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:
	– In



	- Out
Notification	Shows the status of the Notification from the possible values:
Status	- Impacted
	- Impacted Failed
	- Rejected
	- Archived
	- WaitingValidation
	- Prepared
	- Processed
	- Processed with Failure
Error Description	Shows the error description (if there is any).
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received]
	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

# 3.2.5 Setup

# 3.2.5.1 Message Subscription

# **3.2.5.1.1 Message Subscription Configuration**

# 3.2.5.1.1.1 Message Subscription Setup – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Message Subscription Setup.						
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.						
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Message Subscription Configuration						
Screenshot	Message Subscription Setup						



Message Subscri	ption Setup – Search screen
Field	Description
NCB Participant	Enter / Select the RIAD Code that identifies the counterparty in the ECMS.
	Required format is: Max. 30 characters.
ECMS Counter-	Enter the unique ECMS Counterparty Asset Account reference.
party Asset Account	Required format is: Max. 35 characters.
Counterparty	Enter / Select the type of the NCB Participant from possible values:
Type	- Counterparties
	- Cross NCBs
	– Non-euro Area CBs
Notification	Enter the type of the notification from possible values:
Type	- Sese.027 - Cancellation Status
	- Sese.025 - Instruction Confirmation
	- Sese.024 - Instruction Status
Creation Date	Enter the date and time of creation in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Message Subscription Setup.
	Next screen:
	– Message Subscription Setup – Create Screen.
Search	This function enables you to start a search according to the filled in criteria.
	The results are displayed in a list on the List Screen.
	Next screen:
	- Message Subscription Setup - List Screen.

# 3.2.5.1.1.1.1 Message Subscription Setup – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.							
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Message Subscription Configuration >> Click on the search button (after inputting the relevant data, if needed)							
Screenshot	Message Subscription Setup Search  18 *  Medication Type 6	Asset Acc  NGD Perinipant 8	Counterparty Type 6			▽ Notify • Yilliam Vies Yes	♥ Update Date © 27/01/2022 16 12:44:064 27/01/2022 16 12:43:916 27/01/2022 16 12:43:916 History ©	Q 3 * Ø

Message Subscription Setup – List Screen				
Field	Description			
Notification	Shows the type of the notification from possible values:			
Туре				



	- Sese.027 - Cancellation Status
	- Sese.025 - Instruction Confirmation
	- Sese.024 - Instruction Status
ECMS Coun-	Shows the unique ECMS Counterparty Asset Account reference.
terparty Asset Account	Displayed format is: Max. 35 characters.
NCB Parti-	Shows the RIAD Code that identifies the counterparty in the ECMS.
cipant	Displayed format is: Max. 30 characters.
Counterparty	Shows the type of the NCB Participant from possible values:
Туре	- Counterparties
	- Cross NCBs
	– Non-euro Area CBs
Instruction	To show the Instruction Type from possible values:
Type	- Marketable Asset Mobilisation (RECE)
	- Marketable Asset Demobilisation (DELI)
Notification Profile	Shows the notification profile.
Notify	Shows if the flag Notify is activated, from possible values:
	- Yes
	- No
Update Date	Date and time when the instruction was last updated in the ECMS.
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Identifier of the user responsible for the last update of the instruction.
After selecting	a record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of a Message Subscription Setup.
	Next screen:
	- Message Subscription Setup - History Screen.
Edit	This function enables you to edit a Message Subscription Setup.
	Next screen:
	- Message Subscription Setup - Edit Screen.
View	This function enables you to access to the detailed information of a Message Subscription Setup.
	Next screen:
	- Message Subscription Setup - Details Screen.
Delete	This button allows you to delete the selected record.



#### 3.2.5.1.1.1.1. Message Subscription Setup – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Message SubscriptionSetup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Instructions and Positions &gt;&gt; Setup &gt;&gt; Message Subscription &gt;&gt; Message Subscription Configuration &gt;&gt; Click on the search button (after inputting the relevant data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the <i>History</i> button</li> </ul>
	<ul> <li>Instructions and Positions &gt;&gt; Setup &gt;&gt; Message Subscription &gt;&gt; Message Subscription Configuration &gt;&gt; Click on the search button (after inputting the relevant data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the View button (Message Subscription Setup – Details Screen) &gt;&gt; Click on the History Button</li> </ul>
Screenshot	horizontal-listoryResult: Custody Client Repoting SLA History  Q 1

Message Subscription Setup – History Screen			
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected Message Subscription Setup.		
Timestamp	Shows the date and time when the fields have been updated		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected record.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.		

### 3.2.5.1.1.1.2 Message Subscription Setup – Details Screen

Context of Usage	This screen displays detailed information on the selected Message Subscription Setup. You can check the data and proceed further by clicking on the buttons.			
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Message Subscription Configuration >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button			
Screenshot	Message Subscription Setup Search > Create: Client repo CONITIXT  EXAM Commerpany Asset Account Instruction Type Instruction	Contrapary  Notification Type  see 283: Intervedia Confination  Medication	Orange	<b>111111</b>



Button   Description	Message Subsc	ription Setup – Details Screen		
Subscription Setup. Next screen: - Message Subscription Setup - History Screen.  Context  Field Description  Counterparty Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.  ECMS Counterparty Asset Account  Groupe Shows the unique ECMS Counterparty Asset Account reference. Displayed format is: Max. 35 characters.  Groupe Shows the Groupe. Instruction To show the Instruction Type from possible values: - Marketable Asset Mobilisation (RECE) - Marketable Asset Demobilisation (DELI)  Notification Type Sese.027 - Cancellation Status - Sese.025 - Instruction Confirmation - Sese.024 - Instruction Status  Result  Notifity Shows if the flag Notify is activated, from possible values: - Yes - No  Button Function  Notification Template The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used. Displayed format: Freetext  Message Recipient - Account Owner - Refinancing NCB  Purpose Shows Purpose from possible values:	Button	Description		
Context	History			
Context Field Description  Counterparty Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.  ECMS Counterparty Asset Account Groupe Shows the unique ECMS Counterparty Asset Account reference. Displayed format is: Max. 35 characters.  Groupe Shows the Groupe.  Instruction To show the Instruction Type from possible values:  - Marketable Asset Mobilisation (RECE)  - Marketable Asset Demobilisation (DELI)  Notification Type Sese.027 - Cancellation Status  - Sese.025 - Instruction Confirmation  - Sese.024 - Instruction Status  Result  Notifiy Shows if the flag Notify is activated, from possible values:  - Yes  - No  Button Function Notification Template The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used. Displayed format: Freetext  Message Recipient  - Account Owner  - Refinancing NCB  Purpose Shows Purpose from possible values:		Next screen:		
Field Description  Counterparty Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.  ECMS Counterparty Asset Account Groupe Shows the Groupe.  Instruction Type To show the Instruction Type from possible values:  - Marketable Asset Mobilisation (RECE)  - Marketable Asset Demobilisation (DELI)  Notification Shows the type of the notification from possible values:  - Sese.027 - Cancellation Status  Result  Notifiy Shows if the flag Notify is activated, from possible values:  - Yes  - No  Button Function  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used.  Displayed format is: Max. 30 characters.  Shows the Longue ECMS Counterparty Asset Account reference.  Displayed format is: Max. 35 characters.  Shows the Groupe.  Instruction Type from possible values:  - Sese.025 - Instruction (RECE)  - Marketable Asset Mobilisation (RECE)  - Shows the type of the notification from possible values:  - Yes  - No  Button Function  Template Shows the template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used.  Displayed format: Freetext  Message Recipient  - Account Owner  - Refinancing NCB  Shows Purpose from possible values:		- Message Subscription Setup - History Screen.		
Counterparty Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.  ECMS Counterparty Asset Account Groupe Instruction Type Shows the Groupe. Instruction Type Arketable Asset Mobilisation (RECE) - Marketable Asset Demobilisation (DELI)  Notification Type Sese.027 - Cancellation Status - Sese.024 - Instruction Status Sese.024 - Instruction Status  Result  Notifiy Shows if the flag Notify is activated, from possible values: - Yes - No  Button Time function Template  Notification Template The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Shows the template to be used. Displayed format: Freetext  Message Recipient - Account Owner - Refinancing NCB Purpose Shows Purpose from possible values:	Context			
Displayed format is: Max. 30 characters.  ECMS Counterparty Asset Account  Groupe Shows the Unique ECMS Counterparty Asset Account reference.  Displayed format is: Max. 35 characters.  Groupe Shows the Groupe.  Instruction To show the Instruction Type from possible values:  - Marketable Asset Mobilisation (RECE) - Marketable Asset Demobilisation (DELI)  Notification Shows the type of the notification from possible values: - Sese.027 - Cancellation Status - Sese.025 - Instruction Confirmation - Sese.024 - Instruction Status  Result  Notifiy Shows if the flag Notify is activated, from possible values: - Yes - No  Button Function  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used. Displayed format: Freetext  Message Re- cipient Account Owner - Refinancing NCB  Purpose Shows Purpose from possible values:	Field	Description		
ECMS Counterparty Asset Account Groupe Instruction Type Shows the Instruction Type from possible values: - Marketable Asset Demobilisation (RECE) - Marketable Asset Demobilisation (DELI) Notification Type Sese.027 - Cancellation Status - Sese.025 - Instruction Status - Sese.024 - Instruction Status  Result Notifiy Shows if the flag Notify is activated, from possible values: - Yes - No Button Function Notification Template Notification Template The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used. Displayed format: Freetext Message Re-cipient - Account Owner - Refinancing NCB Purpose Shows Purpose from possible values:	Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS.		
terparty Asset Account  Groupe Shows the Groupe.  Instruction Type Arketable Asset Mobilisation (RECE) - Marketable Asset Demobilisation (DELI)  Notification Type Shows the type of the notification from possible values: - Sese.027 - Cancellation Status - Sese.025 - Instruction Confirmation - Sese.024 - Instruction Status  Result  Notifiy Shows if the flag Notify is activated, from possible values: - Yes - No  Button Notification Template  Notification Template The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Displayed format: Freetext  Shows Message Recipient - Account Owner - Refinancing NCB  Purpose Shows Purpose from possible values:		Displayed format is: Max. 30 characters.		
Account Groupe Shows the Groupe.  Instruction Type Acrea Marketable Asset Mobilisation (RECE) — Marketable Asset Demobilisation (DELI)  Notification Type Shows the type of the notification from possible values: — Sese.027 — Cancellation Status — Sese.025 — Instruction Confirmation — Sese.024 — Instruction Status  Result  Notifiy Shows if the flag Notify is activated, from possible values: — Yes — No  Button Notification Template  Notification Template The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Displayed format: Freetext  Message Recipient — Account Owner — Refinancing NCB  Purpose Shows Purpose from possible values:		Shows the unique ECMS Counterparty Asset Account reference.		
Instruction Type  - Marketable Asset Mobilisation (RECE) - Marketable Asset Demobilisation (DELI)  Notification Type  - Sese.027 – Cancellation Status - Sese.025 – Instruction Confirmation - Sese.024 – Instruction Status  Result  Notifiy  Shows if the flag Notify is activated, from possible values: - Yes - No  Button Function  Notification Template  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template  Shows the template to be used. Displayed format: Freetext  Message Recipient - Account Owner - Refinancing NCB  Purpose  Shows Purpose from possible values:		Displayed format is: Max. 35 characters.		
Type — Marketable Asset Mobilisation (RECE) — Marketable Asset Demobilisation (DELI)  Notification Type — Sese.027 – Cancellation Status — Sese.025 – Instruction Confirmation — Sese.024 – Instruction Status  Result  Notifiy Shows if the flag Notify is activated, from possible values: — Yes — No  Button Function  Notification Template  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used. Displayed format: Freetext  Message Recipient — Account Owner — Refinancing NCB  Purpose Shows Purpose from possible values:	Groupe	Shows the Groupe.		
- Marketable Asset Demobilisation (DELI)  Notification Type - Sese.027 – Cancellation Status - Sese.025 – Instruction Confirmation - Sese.024 – Instruction Status  Sese.024 – Instruction Status - Sese.024 – Instruction Status  Result  Notifiy Shows if the flag Notify is activated, from possible values: - Yes - No  Button Function  Notification Template  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used. Displayed format: Freetext  Message Recipient - Account Owner - Refinancing NCB  Purpose Shows Purpose from possible values:	Instruction	To show the Instruction Type from possible values:		
Notification Type Shows the type of the notification from possible values: Sese.027 – Cancellation Status Sese.025 – Instruction Confirmation Sese.024 – Instruction Status  Result Notifiy Shows if the flag Notify is activated, from possible values: Yes No Function Notification Template Notification Template The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Shows the template to be used. Displayed format: Freetext  Message Recipient Account Owner Refinancing NCB  Purpose Shows Purpose from possible values:	Type	- Marketable Asset Mobilisation (RECE)		
Type		- Marketable Asset Demobilisation (DELI)		
- Sese.025 - Instruction Confirmation - Sese.024 - Instruction Status  Result  Notifiy  Shows if the flag Notify is activated, from possible values: - Yes - No  Button  Notification Template  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template  Shows the template to be used. Displayed format: Freetext  Message Recipient - Account Owner - Refinancing NCB  Purpose  Shows Purpose from possible values:	Notification	Shows the type of the notification from possible values:		
Result  Notifiy  Shows if the flag Notify is activated, from possible values:  - Yes  - No  Button  Notification Template  Notification Template  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template  Shows the template to be used.  Displayed format: Freetext  Message Recipient  - Account Owner  - Refinancing NCB  Purpose  Shows Purpose from possible values:	Туре	- Sese.027 - Cancellation Status		
Result  Notifiy  Shows if the flag Notify is activated, from possible values:  - Yes  - No  Button  Function  Notification Template  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template  Shows the template to be used.  Displayed format: Freetext  Message Recipient  - Account Owner  - Refinancing NCB  Purpose  Shows Purpose from possible values:		- Sese.025 - Instruction Confirmation		
Notifiy Shows if the flag Notify is activated, from possible values:  - Yes - No  Button Function Notification Template Notification Template The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used. Displayed format: Freetext  Message Recipient - Account Owner - Refinancing NCB  Purpose Shows Purpose from possible values:		- Sese.024 - Instruction Status		
- Yes - No  Button Function  Notification Template  Notification Template  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template  Shows the template to be used. Displayed format: Freetext  Message Recipient  - Account Owner - Refinancing NCB  Purpose  Shows Purpose from possible values:	Result			
Button Function  Notification This function enables you to access the subsection Notification Template.  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used.  Displayed format: Freetext  Message Recipient From possible values:  - Account Owner  - Refinancing NCB  Purpose Shows Purpose from possible values:	Notifiy	Shows if the flag Notify is activated, from possible values:		
Notification   This function enables you to access the subsection Notification Template.		- Yes		
Notification Template  Notification Template  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template  Shows the template to be used.  Displayed format: Freetext  Message Recipient  Account Owner  Refinancing NCB  Purpose  Shows Purpose from possible values:		- No		
Template  Notification Template  The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template  Shows the template to be used.  Displayed format: Freetext  Message Recipient  - Account Owner  - Refinancing NCB  Purpose  Shows Purpose from possible values:	Button	Function		
The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.  Template Shows the template to be used.  Displayed format: Freetext  Message Recipient from possible values:  - Account Owner  - Refinancing NCB  Purpose Shows Purpose from possible values:		This function enables you to access the subsection Notification Template.		
on View button following fields are displayed.  Template Shows the template to be used.  Displayed format: Freetext  Message Recipient from possible values:  - Account Owner - Refinancing NCB  Purpose Shows Purpose from possible values:	Notification Te	emplate		
Displayed format: Freetext  Message Recipient from possible values:  - Account Owner  - Refinancing NCB  Purpose Shows Purpose from possible values:	_			
Message Recipient from possible values:  - Account Owner  - Refinancing NCB  Purpose Shows Purpose from possible values:	Template	Shows the template to be used.		
cipient – Account Owner  - Refinancing NCB  Purpose Shows Purpose from possible values:		Displayed format: Freetext		
- Refinancing NCB Purpose Shows Purpose from possible values:	Message Re-	Shows Message Recipient from possible values:		
Purpose Shows Purpose from possible values:	cipient	- Account Owner		
		- Refinancing NCB		
	Purpose	Shows Purpose from possible values:		
- Securities Settlement		- Securities Settlement		



#### 3.2.5.1.2 Sese.024 Message Subscription Status Exclusion

### 3.2.5.1.2.1 Sese.024 Message Subscription Status Exclusion – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Sese.024 Message Subscription Status Exclusion.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.		
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Sese.024 Message Subscription Status Exclusion		
Screenshot	See 024 Message Subscription Status Exclusion  EMS Counterpany Asset **   Q NOB Participant **   Counterpany Type **   Counterpany T		

Sese.024 Message Subscription Status Exclusion – Search screen			
Field	Description		
NCB Participant	Enter / Select the RIAD Code that identifies the counterparty in the ECMS.		
	Required format is: Max. 30 characters.		
ECMS Counter-	Enter the unique ECMS Counterparty Asset Account reference.		
party Asset Account	Required format is: Max. 35 characters.		
Counterparty	Enter / Select the type of the NCB Participant from possible values:		
Туре	- Counterparties		
	- Cross NCBs		
	– Non-euro Area CBs		
Button	Function		
Reset	This function enables you to clear the form.		
Create	This function enables you to create a new Sese.024 Message Subscription Status Exclusion.		
	Next screen:		
	- Sese.024 Message Subscription Status Exclusion - Create Screen.		
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.		
	Next screen:		
	- Sese.024 Message Subscription Status Exclusion - List Screen.		

#### 3.2.5.1.2.1.1 Sese.024 Message Subscription Status Exclusion – List Screen

	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.	
Screen Ac-	Instructions and Positions >> Setup >> Message Subscription >> Sese.024 Mes-	
cess	sage Subscription Status Exclusion >> Click on the search button (after inputting	
	the relevant data, if needed)	





Sese.024 Messa	ge Subscription Status Exclusion – List Screen		
Field	Description		
NCB Parti-	Shows the RIAD Code that identifies the counterparty in the ECMS.		
cipant	Displayed format is: Max. 30 characters.		
ECMS Coun-	Shows the unique ECMS Counterparty Asset Account reference.		
terparty Asset Account	Displayed format is: Max. 35 characters.		
Counterparty	Shows the type of the NCB Participant from possible values:		
Type	– Counterparties		
	- Cross NCBs		
	- Non-euro Area CBs		
Sese.024 Status	To show the Sese.024 status from possible values:		
	- Validated		
	- Cancelled		
	- Cancellation Request		
	- Rejected		
Sese.024 De-	To show the sese.024 details from possible values:		
tails	- InstructionInRepair		
	- Matching_Cross_NCB		
	- Cancelled		
	- Rejected		
	– Validated		
	- Cancellation Status		
Do not Notify	Shows if the flag Notify is activated, from possible values:		
	- Yes		
	- No		
Update Date	Date and time when the instruction was last updated in the ECMS.		
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id	Identifier of the user responsible for the last update of the instruction.		
After selecting a	a record, the following buttons are displayed		
Button	Function		
History	This function enables you to access to the historical information of a Sese.024 Message Subscription Status Exclusion.		
	Next screen:		
	- Sese.024 Message Subscription Status Exclusion - History Screen.		



Edit	This function enables you to edit a Sese.024 Message Subscription Status Excision.			
	Next screen:			
	- Sese.024 Message Subscription Status Exclusion - Edit Screen.			
View	This function enables you to access to the detailed information of a Sese.024 Message Subscription Status Exclusion.			
	Next screen:			
	- Sese.024 Message Subscription Status Exclusion - Details Screen.			
Delete	This button allows you to delete the selected record.			

#### 3.2.5.1.2.1.1. Sese.024 Message Subscription Status Exclusion – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Message SubscriptionSetup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.			
Screen Access	<ul> <li>Instructions and Positions &gt;&gt; Setup &gt;&gt; Message Subscription &gt;&gt; Sese.024</li> <li>Message Subscription Status Exclusion &gt;&gt; Click on the search button (after inputting the relevant data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the Hit tory button</li> </ul>			
	<ul> <li>Instructions and Positions &gt;&gt; Setup &gt;&gt; Message Subscription &gt;&gt; Sese.024</li> <li>Message Subscription Status Exclusion &gt;&gt; Click on the search button (after inputting the relevant data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the View button (Sese.024 Message Subscription Status Exclusion – Details Screen) &gt;&gt; Click on the History Button</li> </ul>			
Screenshot	Pales Temporal SLA History  Pales Temporal SLA History  (I) Afferco(c) found.			

Sese.024 Message Subscription Status Exclusion – History Screen			
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected Sese.024 Message Subscription Status Exclusion.		
Timestamp	Shows the date and time when the fields have been updated		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected record.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.		



# 3.2.5.1.2.1.2 Sese.024 Message Subscription Status Exclusion – Details Screen

Context of Usage	This screen displays detailed information on the selected Sese.024 Message Subscription Status Exclusion. You can check the data and proceed further by clicking on the buttons.		
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Sese.024 Message Subscription Status Exclusion >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button		
Screenshot	Sees 024 Message Subscription Status Exclusion > View : MTS48Out Mag Details SL context  MCB Participans	A  EDMS Countergranty Asset Account.  —	Counterparty Type COUNTERPARTIES

Sese.024 Messa	ge Subscription Status Exclusion – Details Screen					
Button	Description					
History	This function enables you to access to the historical information of a Sese.024 Message Subscription Status Exclusion.					
	Next screen:					
	- Sese.024 Message Subscription Status Exclusion - History Screen.					
Context						
Field	Description					
NCB Parti-	Shows the RIAD Code that identifies the counterparty in the ECMS.					
cipant	Displayed format is: Max. 30 characters.					
ECMS Coun-	Shows the unique ECMS Counterparty Asset Account reference.					
terparty Asset Account	Displayed format is: Max. 35 characters.					
Counterparty	Shows the type of the NCB Participant from possible values:					
Type	- Counterparties					
	- Cross NCBs					
	- Non-euro Area CBs					
Sese.024 Status	To show the Sese.024 status from possible values:					
	– Validated					
	- Cancelled					
	- Cancellation Request					
	- Rejected					
Result						
Notifiy	Shows if the flag Notify is activated, from possible values:					
	- Yes					
	- No					



# 3.3 Collateral and Credit

# 3.3.1 Pool Setup Reference Data

#### 3.3.1.1 Pool General Reference Data

#### 3.3.1.1.1 Pool General Reference Data – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool General Reference Data.							
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.							
Business Case related	Administration of Pools							
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Pool General Reference Data or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:  Collateral and Credit >> Pool Structure >> Pool General Reference Data  For information subject to 4 eyes validation:  Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure							
Screenshot	Details >> Pool General Reference Data							
	Pool Type   CMMCA   COMMISS   COMMIS							

Pool General Reference Data – Search screen						
Field	Description					
Pool Identifier	Enter the Pool Identifier, automatically provided by the system					
Pool Reference	Enter the reference of the pool given by the refinancing NCB.					
	Required format is: Max. 255 characters.					
Counterparty	Enter the RIAD Code that identifies the counterparty in the ECMS to whom the					
	pool belongs to.					
	Required format is: Max. 30 characters.					
Pool Type	Enter / Select the Type of Pool that you are searching from the possible values:					
	- SCP: Single Collateral Pool					
	- ELA: Emergency Liquidity Assistance					
CLM MCA	Enter / Select the identifier of the Counterparty CLM Main Cash Account used					
	for the settlement of this type of operation.					
CLM CB Ac-	Enter / Select the identifier of the CLM CB Account used for the settlement of					
count	this type of operation.					



Contingency	Enter / Select the identifier of the Counterparty contingency Account to be used
Cash Account	
CB Contin-	Enter / Select the identifier of the Contingency CB Account to be used
gency Cash	
Account	
Validity End	Enter the date from which the Pool is closed. The calendar icon can also be
Date	used.
	Required format is: DD/MM/YYYY
	The Validity End Date must be equal to or later than the Validity Start Date.
Validity Start	Enter the reference date of the activation of the Pool. The calendar icon can also
Date	be used.
	Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The
	results are displayed in a list on the List Screen.
	Next screen:
	– Pool general Reference Data – List Screen.

#### 3.3.1.1.1.1 Pool General Reference Data – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.														
Business	Admir	nistrati	on o	f Poo	ls										
Case related															
Screen Access	of the l	Depending how the screen is accessed, it can be used to list the valid information of the Pool General Reference Data or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:													
	on the For info Collate Details	Collateral and Credit >> Pool Structure >> Pool General Reference Data >> Click on the search button (after inputting the relevant Data, if needed)  For information subject to a 4 eyes validation:  Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool General Reference Data >> Click on the search button (after input-													
	ting th		ant l	Data,	if ne	eded)									
Screenshot	10 🕶						K < Page								Q21 21 * 7
	Pool Type  SCP		TestValidateReject	ABVR008	CLM MCA e ABVRATWW008	NCB CLM Main C	Contingency Cas	CB Contingency C	Absolute Credit Li	Relative Credit Li	01/09/2021	31/12/9999	01/09/2021 12:15	Update Date © 29/05/2022 19:00:	Updater User Id 67 ECMSOperationalD
	SCP SCP SCP SCP SCP SCP SCP SCP SCP	POOL00000000003 POOL000000000003	ABVR011P00L01 ABVR012P00L01 ABVR013P00L01 ABVR014P00L01	ABVR009 ABVR010 ABVR011 ABVR012 ABVR013 ABVR013 ABVR014 ABVR015 ABVR003	ADVRATWW039 ABVRATWW011 ABVRATWW012 ABVRATWW013 ABVRATWW014 ABVRATWW014 ABVRATWW033 ABVRATWW003	NABATWWXXX NABATWWXXX NABATWWXXX NABATWWXXX NABATWWXXX NABATWXXX NABATWXXX NABATWXXX NABATWXXX NABATWXXX NABATWXXX NABATWXXX		======================================			01/09/2021 01/09/2021 01/09/2021 01/09/2021 01/09/2021 01/09/2021 01/09/2021 01/09/2021 01/09/2021	31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 01/09/9999 13/09/2021	01/09/2021 12.16 01/09/2021 12.17 01/09/2021 12.18 01/09/2021 12.20 01/09/2021 12.20 01/09/2021 12.21 01/09/2021 13.14 01/09/2021 13.14	29/05/2022 19:00:.	

Pool General Reference Data – List Screen				
Field	Description			



Pool Identi- fier	Pool Id, automatically provided by the system.						
Pool Refer-	Shows the reference of the pool given by the refinancing NCB.						
ence	Displayed format is: Max. 255 characters.						
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the pool belongs to.						
	Displayed format is: Max. 30 characters.						
Pool Type	Shows Type of Pool:						
	- SCP: Single Collateral Pool						
	- ELA: Emergency Liquidity Assistance						
CLM MCA	Shows the identifier of the Counterparty CLM Main Cash Account used for the settlement of this type of operation.						
CLM CB Account	Shows the identifier of the CLM CB Account used for the settlement of this type of operation.						
Contingency Cash Ac- count	Shows the identifier of the Counterparty contingency Account to be used						
CB Contin- gency Cash Account	Shows the identifier of the Contingency CB Account to be used						
Absolute	Shows the Absolute limit on the amount of credit authorized.						
Credit Limit	Displayed format is: Max. 14 digits.						
Relative	Shows the relative credit limit as proportion of the total collateral position.						
Credit Limit	Displayed format is: Percentage.						
Validity Start	Shows the date from which the pool is open.						
Date	Displayed format is: DD/MM/YYYY.						
Validity End	Shows the date from which the pool is closed.						
Date	Displayed format is: DD/MM/YYYY.						
	The Validity End Date must be equal to or later than the Validity Start Date. If the Pool is valid, this field is empty.						
Creation	Shows the date and time of the creation of the Pool general Reference Data.						
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Update Date	Shows the date and time of the last update of the Pool general Reference Data.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id	Shows the identification of the user that made the last update of the Pool general Reference Data.						
After selecting	g a record, the following buttons are displayed						
Button	Function						
History	This function enables you to access to the historical information of a Pool – general Reference Data.						
	Next screen:						
	– Pool general Reference Data – History Screen.						
Edit	This function enables you to edit a Pool general Reference Data.						



	Next screen:					
	– Pool general Reference Data – Edit Screen.					
View	This function enables you to access to the detailed information of a Pool general Reference Data.					
	Next screen:					
	– Pool general Reference Data – Details Screen.					
If the screen when a recor	is used in the 4 eyes validation context, the following buttons are also displayed					
Button	Function					
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.					
	Next screen:  Pool general Reference Data – History Screen.					
View Draft	This function enables the user to view the draft record to be validated.  Next screen:					
T. 11.	Pool general Reference Data – Details Screen.					
Edit	This function allows the user to edit and modify the draft.  Next screen:					
	Pool general Reference Data – Edit Screen.					
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system					
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.					

# 3.3.1.1.1.1 Pool General Reference Data – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool Structure &gt;&gt; Pool General Reference Data &gt;&gt;         Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Pool &gt;&gt; Click on the <i>History</i> button     </li> </ul>
	<ul> <li>Collateral and Credit &gt;&gt; Pool Structure &gt;&gt; Pool General Reference Data &gt;&gt;         Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Pool &gt;&gt; Click on the View button (Pool General Reference Data – Details Screen) &gt;&gt; Click on the History Button</li> </ul>
Screenshot	

Pool General Reference Data – History Screen					
Field	Description				
Fields	Shows the fields whose information have been updated since the creation of the selected Pool.				
Timestamp	Shows the date and time when the fields have been updated.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				



Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool.

#### 3.3.1.1.1.2 Pool General Reference Data- Details Screen

Context of Usage	This screen displays detail Data. You can check the da		cted Pool General Reference clicking on the buttons.					
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the Pool General Reference Data or the information that requires 4 eyes validation before they can be considered valid in the system.							
	For valid information:							
	Collateral and Credit >> Pool Structure >> Pool General Reference Data >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool >> Click on the <i>View</i> button							
	For information subject to a 4 eyes validation:							
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool St Details >> Pool General Reference Data >> Click on the <i>search</i> button (after ting the relevant Data, if needed) >> Select a Pool >> Click on the <i>View</i> but							
Screenshot	Pool General Reference Casa O							
	Search : Pool General Reference Data							
	List : Pool General Reference Data > Details: Pool General Reference Data Pool Type	Pool Identifier	Pool Reference					
	SCP	POOL60006000634	TentValidateReject2					
	Counterparty CLM Main Cash Account ABVRATWW008	NCB CLM Main Casin Account NABAATWWXXX	Contingency Cash Account					
	CB Contingency Cash Account	Counterparty ABYR009,ABYR009	Absolute Credit Limit					
	Relative Credit Limit	Validity Start Date 01/9/2021	Validity End Date 31/12/9999					
	Address Address							
	Foot Print							
	Creation Date 01.09/2021 12:15:00:305	Creation User Id STP	Update Date 29.05/2022 19:00:32:357					
	Updater User id ECMSOperationalDsyScheduler							

Pool General R	ool General Reference Data – Details Screen	
Button	Description	
History	This function enables you to access to the historical information of a Pool.	
	Next screen:	
	– Pool general Reference Data – History Screen.	
Address	This function enables you to display the subsection Address Details	
Pool General Details		
Field	Description	



Pool Identifier	Pool Id, automatically provided by the system.
Pool Reference	Shows the reference of the pool given by the refinancing NCB.
	Displayed format is: Max. 255 characters.
Pool Type	Shows the Type of Pool from the possible values:
	- SCP: Single Collateral Pool
	– ELA: Emergency Liquidity Assistance
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the pool belongs to.
	Displayed format is: Max. 30 characters.
CLM MCA	Shows the identifier of the Counterparty CLM Main Cash Account used for the settlement of this type of operation.
CLM CB Account	Shows the identifier of the CLM CB Account used for the settlement of this type of operation.
Contingency Cash Account	Shows the identifier of the Counterparty contingency Account to be used
CB Contin- gency Cash Account	Shows the identifier of the Contingency CB Account to be used
Absolute Credit Limit	Shows the Absolute limit on the amount of credit authorized.
Relative Credit Limit	Shows the relative credit limit as proportion of the total collateral position.
Is Active	Shows whether the Pool is active or not from the possible values:
	• Yes
	• No
Validity Start	Shows the date from which the pool is open.
Date	Displayed format is: DD/MM/YYYY.
Validity End	Shows the date from which the pool is closed.
Date	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Validation deta	ails
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No
Foot Print	
Creation Date	Shows the date and time of the creation of the Pool.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Pool.
Update Date	Shows the date and time of the update of the Pool.



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Updater User Id	Shows the identification of the user that made the last update of the Pool.				
Pool Address					
The existing addresses of the Pool are displayed.					
To view the details of an existing technical address, select it and click on the View button.					
Field	Description				
Purpose	Shows the Purpose of the Address from the possible values:				
	- Credit Freezing				
	- Collateral and Credit				
Network	Shows the network from the possible values:				
	- Swift				
	- SIA Colt				
Technical Ad-	Shows the technical address for the purpose and network selected.				
dress	Displayed format is: Max. 256 characters				
Footprint					
Creation Date	Shows the date and time of the creation of the record.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs				
Creation User Id	Shows the identification of the user that created the record.				
Update Date	Shows the date and time of the update of the record.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs				
Updater User Id	Shows the identification of the user that made the last update of the record.				

# 3.3.1.2 Pool – Counterparty Asset Account Links Create / Display

# 3.3.1.2.1 Pool – Counterparty Asset Account Links – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool – Counterparty Asset Account Link.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business	Administration of Pools
Case related	
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Counterparty Asset Account Link or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:
	Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Counterparty Asset Account Links Create / Display
	For information subject to 4 eyes validation:
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Counterparty Asset Account Links





Pool – Counterparty Asset Account Links – Search screen		
Field	Description	
	Enter / Select the identifier of the ECMS Counterparty Asset Account that holds the collateral positions.	
count Identifier	Required format is: Max. 35 characters	
Pool Identifier	Enter / Select the identifier of the Pool to which the ECMS Counterparty Asset Account/s are linked.	
	Required format is: Max. 30 characters.	
Pool Reference	Enter the reference of the pool given by the refinancing NCB.	
	Required format is: Max. 255 characters.	
Validity Start Date	Enter the date from which the Pool – Counterparty Asset Account Link is valid. The calendar icon can also be used.	
	Required format is: DD/MM/YYYY.	
Validity End Date	Enter the date from which the Pool – Counterparty Asset Account Link is not valid anymore. The calendar icon can also be used.	
	Required format is: DD/MM/YYYY.	
	The Validity End Date must be equal to or later than the Validity Start Date.	
Creation Date	Enter the reference date of the creation of the Pool Counterparty Asset Account Link. The calendar icon can also be used.	
	Required format is: DD/MM/YYYY	
Update Date	Enter the reference date of the last update of the Pool – Counterparty Asset Account Link or use the calendar icon.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Button	Function	
Reset	This function enables you to clear the form.	
Create	This function enables you to create a new Pool – Counterparty Asset Account Link.	
	Next screen:	
	- Pool - Counterparty Asset Account Link - Create Screen.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	
	Next screen:	
	- Pool - Counterparty Asset Account Link - List Screen.	

### 3.3.1.2.1.1 Pool – Counterparty Asset Account Links – List Screen

Context of	This screen contains the search results displayed in a list, which is sorted by the
Usage	values of the 'Update Date' column in descending order (default setting). After
	selecting an entry, you can proceed further by clicking on the buttons below.



Business	Adminis	tration of P	ools				
Case related							
Screen Ac-	Dependi	ng how the	screen is a	ccessed, it ca	an be used to	list the vali	id information
cess					the information the sy		quires 4 eyes
	For valid information:						
	party Ass	Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Counterparty Asset Account Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed)					
	For information subject to a 4 eyes validation:						
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure						
	Details >> Pool – Counterparty Asset Account Links >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)						
Screenshot	List : Pool - Counterparty As				<u> </u>		A
0 01 0 01101101	10 🔻			K < Page 1 of 2 > X			Q18 ★ 韓日() ▽
	ECMS Counterparty Asset Account ABVR002IAA03	Pool Identifier ©	Validity Start Date       24/09/2021	♥ Validity End Date ©	Creation Date 0 24/09/2021 16/38/49/446	Update Date      □     24/09/2021 16:38:49:446     □    □	♥ Updater User Id ● ♥  STP
	ABVR003IAA02	P00L00000000007	21/09/2021	31/12/9999	21/09/2021 12:24:04:474	21/09/2021 12:24:04:474	STP
	ABVR002UUA02	P00L00000000047	20/09/2021	31/08/9999	20/09/2021 17:06:21:239	20/09/2021 17:06:21:239	STP
	ABVR0031AA01	P00L00000000057	14/09/2021	31/12/9999	14/09/2021 08:27:39:525	14/09/2021 08:27:39:525	STP
	ABVR001IAA01	P00L00000000053	01/09/2021	31/08/9999	01/09/2021 13:27:14:121	01/09/2021 13:27:14:121	STP
	ABVR007(AA01	P00L00000000052	01/09/2021	31/08/9999	01/09/2021 13:21:11:672	01/09/2021 13:21:11:672	STP
	ABVR006IAA01 ABVR005IAA01	P00L00000000051 P00L000000000050	01/09/2021	31/08/9999	01/09/2021 13:20:53:381 01/09/2021 13:20:35:185	01/09/2021 13:20:53:381 01/09/2021 13:20:35:185	STP
	ABVR005IAA01 ABVR004IAA01	P00L000000000050 P00L000000000049	01/09/2021	31/08/9999	01/09/2021 13:20:35:185	01/09/2021 13:20:35:185	
				31100 3333	0110012021101101000	017037202110.13.32.000	
	ABVR002IAA01	POOL000000000047	01/09/2021	31/08/9999	01/09/2021 13:19:04:533	01/09/2021 13:19:04:533	STP

Pool - Count	erparty Asset Account Links – List Screen
Field	Description
ECMS Counterparty Asset Account	Shows the identifier of the ECMS Counterparty Asset Account that holds the collateral positions.  Displayed format is: Max. 35 characters
Pool Identi- fier	Shows the identifier of the Pool to which the ECMS Counterparty Asset Account/s are linked.  Displayed format is: Max. 30 characters.
Pool Reference	Enter the reference of the pool given by the refinancing NCB.  Displayed format is: Max. 255 characters.
Validity Start Date	Shows the date from which the Pool – Counterparty Asset Account Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool –Counterparty Asset Account Link is not valid anymore.
	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Shows the reference date of the creation of the Pool Counterparty Asset Account Link. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Update Date	Shows the date and time of the last update of the Pool – Counterparty Asset Account Link.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Counterparty Asset Account Link.



After selection	ng a record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of a Pool – Counterparty Asset Account Link.
	Next screen:
	– Pool – Counterparty Asset Account Link – History Screen.
Edit	This function enables you to edit a Pool – Counterparty Asset Account Link.
	Next screen:
	– Pool – Counterparty Asset Account Link – Edit Screen.
View	This function enables you to access to the detailed information of a Pool – Counterparty Asset Account Link.
	Next screen:
	- Pool - Counterparty Asset Account Link - Details Screen.
	is used in the 4 eyes validation context, the following buttons are also displayed rd is selected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	Pool – Counterparty Asset Account Links – History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	Pool – Counterparty Asset Account Links – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	Pool – Counterparty Asset Account Links – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

#### 3.3.1.2.1.1.1 Pool – Counterparty Asset Account Links – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool – Counterparty Asset Account Link. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool structure &gt;&gt; Collateral Positions &gt;&gt; Pool –</li> <li>Counterparty Asset Account Links Create / Display &gt;&gt; Click on the search</li> <li>button (after inputting the relevant Data, if needed) &gt;&gt; Select a Pool – Counterparty Asset Account Link &gt;&gt; Click on the History button</li> </ul>



	Countery button (a terparty	al and Credit >> Pool Structure >> Collateral Positions >> Pool – party Asset Account Links Create / Display >> Click on the search after inputting the relevant Data, if needed) >> Select a Pool – Coun- Asset Account Link >> Click on the View button (Pool – Counter- set Account Links – Details Screen) >> Click on the History Button
Screenshot	horizontalHistoryResult : Pool Account	Link History  Q 1   E  6   Sour Difference Day   Spant Al Compare
	Fields 💟	2999900002026519113242.7751
	Validity Start Date	19/05/2022
	Rejected	No.
	Validity End Date	20/12/9999
	Action To Validate	
	ECMS Counterparty Asset Account Identifier	ABY8564UA01
	Pool Identifier	P00L00000000217
	Rejection Reason Validation Status	
	Creation Date	19/05/2022 11:33:42:775
	Creation User Id	SIP
	(0) difference(s) found.	

Pool – Counterparty Asset Account Links – History Screen		
Field	Description	
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – Counterparty Asset Account Link.	
Timestamp	Shows the date and time when the fields have been updated.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Button	Description	
Compare	Shows the differences between the selected records whose data have been updated.	
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – Counterparty Asset Account Link.	
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – Counterparty Asset Account Link.	
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – Counterparty Asset Account Link.	
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – Counterparty Asset Account Link.	

#### 3.3.1.2.1.2 Pool – Counterparty Asset Account Links – Details Screen

Context of Usage	This screen displays detailed information on the selected Pool – Counterparty Asset Account Link. You can check the data and proceed further by clicking on the buttons.
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the Counterparty Asset Account Link or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:  Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Counterparty Asset Account Links Create / Display >> Click on the search button (after
	inputting the relevant Data, if needed) >> Select a Pool – Counterparty Asset Account Link >> Click on the <i>View</i> button
	For information subject to a 4 eyes validation:



Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure
Details >> Pool – Counterparty Asset Account Links >> Click on the search button
(after inputting the relevant Data, if needed) >> Select a Pool – Counterparty Asset Account Link >> Click on the View button

Screenshot

List : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Link

List : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Link

List : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Link

List : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Link

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List : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Links | View : Pool - Counterparty Asset Account Links | View

Pool - Counte	erparty Asset Account Links – Details Screen
Button	Description
History	This function enables you to access to the historical information of a Pool – Counterparty Asset Account Link.
	Next screen:
	– Pool – Counterparty Asset Account Links – History Screen.
Context	
Field	Description
ECMS Counterparty As-	Shows the identifier of the ECMS Counterparty Asset Account that holds the collateral positions.
set Account Identifier	Displayed format is: Max. 35 characters
Result	
Pool Identi- fier	Shows the identifier of the Pool to which the ECMS Counterparty Asset Account/s are linked.
	Displayed format is: Max. 30 characters.
Validity Start Date	Shows the date from which the Pool – Counterparty Asset Account Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Counterparty Asset Account Link is not valid anymore.
	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Validation de	tails
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No
Foot Print	



Creation Date	Shows the date and time of the creation of the Pool – Counterparty Asset Account Link.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Pool – Counterparty Asset Account Link.
Update Date	Shows the date and time of the update of the Pool – Counterparty Asset Account Link.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Counterparty Asset Account Link.

## 3.3.1.3 Pool – Cash Collateral Type Links Create / Display

#### 3.3.1.3.1 Pool – Cash Collateral Type Links – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool – Cash Collateral Type Links.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Cash Collateral Type Links or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:  Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Cash Collateral Type Links Create / Display
	For information subject to 4 eyes validation:  Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure  Details >> Pool – Cash Collateral Type Links
Screenshot	Search Criteria : Pool Ceath Colleged Type Link
	© Create Q Search

Pool – Cash Collateral Type Links – Search screen		
Field	Description	
Pool Identifier	Enter / Select the identifier of the Pool for which the use of cash Collateral is authorised.	
	Required format is: Max. 30 characters	
Pool Reference	Enter the reference of the pool given by the refinancing NCB.	
	Required format is: Max. 255 characters.	
Counterparty	Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.	



Cash Collat-	Enter / Select the Type of Cash as Collateral authorized for the pool. The pos-
eral Type	sible values are:
	- Only to cover margin call.
	– Anytime.
Validity Start Date	Enter the date from which the Pool – Cash Collateral Type Link is valid. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Pool – Cash Collateral Type Link is not valid anymore. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Enter the reference date of the creation of the Pool – Cash Collateral Type Link
	or use the calendar icon.
	Required format is: DD/MM/YYYY
Update Date	Enter the reference date of the last update of the Pool – Cash Collateral Type Link or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool – Cash Collateral Type Link.
	Next screen:
	– Pool – Cash Collateral Type Link – Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	– Pool – Cash Collateral Type Link – List Screen.

#### 3.3.1.3.1.1 Pool – Cash Collateral Type Links – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Cash Collateral Type Links or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:  Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Cash Collateral Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed)
	For information subject to a 4 eyes validation:
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Cash Collateral Type Links >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)



Screenshot								^
	10 ▼							Q10 ★ Φ □ () 🖓
V	/alidity Start Date \$	Pool Identifier \$	∀ Validity End Date	© Cash Collateral Type \$	♡ Creation Date ◆	♥ Update Date Φ	© Counterparty ¢	♥ Updater User Id ♥
2	18/06/2022	P00L00000000162	10/02/9999	Only Margin Call	28/06/2022 10:53:22:573	28/06/2022 10:53:22:573	A8VR001	STP
2	2/06/2022	P00L000000000228	31/12/9999	Only Margin Call	22/06/2022 08:13:29:907	22/06/2022 08:13:29:907	AT0000000674745	STP
2	1/06/2022	P00L000000000227	31/12/9999	Only Margin Call	21/06/2022 09:21:59:471	21/06/2022/09:21:59:471	AT0000000655363	STP
2	9/96/2022	P00L000000000226	31/12/9999	Any Time	20/06/2022 08:45:22:332	20/06/2022 12:22:19:529	AT0000000655363	STP
The state of the s								
1.	2/05/2022	P00L000000000075	31/12/9999	Only Margin Call	12/05/2022 16:25:52:098	12/05/2022 16:25 52:098	AT0000000309375	STP
1.	2/05/2022	P00L000000000211	31/12/9999	Any Time	12/05/2022 13:30:13:135	12/05/2022 13:30:13:135	ABVR019	STP
1	1/05/2022	P00L00000000123	31/12/9999	Any Time	11/05/2022 12:49:53:540	11/05/2022 12:49:53:540	AT0000000309375	STP
1	1/05/2022	P00L000000000166	31/12/9999	Any Time	11/05/2022 11:32:37:904	11/05/2022 11:32:37:904	ABVR003	STP
0	6/05/2022	P00L000000000210	31/12/9999	Only Margin Call	06/05/2022 10:55:59:187	06/05/2022 10:55:59:187	ABVR404	STP

Pool – Cash Co	ollateral Type Links – List Screen				
Field	Description				
Pool Identifier	Shows the identifier of the Pool for which the use of cash Collateral is authorised.				
	Displayed format is: Max. 30 characters.				
Pool Reference	Shows the reference of the pool given by the refinancing NCB.				
	Displayed format is: Max. 255 characters.				
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.				
Cash Collat- eral Type	Shows the Type of Cash as Collateral authorized for the pool. The possible values are:				
	- Only to cover margin call.				
	– Anytime.				
Validity Start	Shows the date from which the Pool – Cash Collateral Type Link is valid.				
Date	Displayed format is: DD/MM/YYYY.				
Validity End Date	Shows the date from which the Pool – Cash Collateral Type Link is not valid anymore.				
	Displayed format is: DD/MM/YYYY.				
	The Validity End Date must be equal to or later than the Validity Start Date.				
Creation Date	Shows the reference date of the creation of the Pool – Cash Collateral Type Link or use the calendar icon.				
	Displayed format is: DD/MM/YYYY				
Update Date	Shows the date and time of the last update of the Pool – Cash Collateral Type Link.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Updater User Id	Shows the identification of the user that made the last update of the Pool – Cash Collateral Type Link.				
After selecting	a record, the following buttons are displayed				
Button	Function				
History	This function enables you to access to the historical information of a Pool – Cash Collateral Type Link.				
	Next screen:				
	– Pool – Cash Collateral Type Link – History Screen.				
Edit	This function enables you to edit a Pool – Cash Collateral Type Link.				
	Next screen:				
	– Pool – Cash Collateral Type Link – Edit Screen.				



View	This function enables you to access to the detailed information of a Pool – Cash Collateral Type Link.
	Next screen:
	– Pool – Cash Collateral Type Link – Details Screen.
If the screen is when a record	used in the 4 eyes validation context, the following buttons are also displayed is selected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	Pool – Cash Collateral Type Links – History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	Pool – Cash Collateral Type Links – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	Pool – Cash Collateral Type Links – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so
	that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

## 3.3.1.3.1.1.1 Pool – Cash Collateral Type Links – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool – Cash Collateral Type Links. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.							
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool structure &gt;&gt; Collateral Positions &gt;&gt; Pool – Cash Collateral Type Links Create / Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Pool – Cash Collateral Type Link &gt;&gt; Click on the <i>History</i> button</li> <li>Collateral and Credit &gt;&gt; Pool Structure &gt;&gt; Collateral Positions &gt;&gt; Pool – Cash Collateral Type Links Create / Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Pool – Cash Collateral Type Link &gt;&gt; Click on the View button (Pool – Cash Collateral Type Links – Details Screen) &gt;&gt; Click on the History Button</li> </ul>							
Screenshot	Rocizonal Mistory Result : Pool Cash Coll  Feldo  Validay Start Date Registed  Validay Start Date Registed  Validay Start Date Action 1: Validate Cost Ordered Type  Collected Asset Id  Registed Results  Registed Results  Collected Results  C	### Type Link History    Compare   Expert All   Compare   Expert All   Compare   Expert All   Compare   Expert All						



Pool – Cash C	Pool – Cash Collateral Type Links – History Screen			
Field	Description			
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – Cash Collateral Type Link.			
Timestamp	Shows the date and time when the fields have been updated.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Description			
Compare	Shows the differences between the selected records whose data have been updated.			
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – Cash Collateral Type Link.			
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – Cash Collateral Type Link.			
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – Cash Collateral Type Link.			
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – Cash Collateral Type Link.			

#### 3.3.1.3.1.2 Pool – Cash Collateral Type Links – Details Screen

Context of Usage	This screen displays detailed information on the selected Pool – Cash Collateral Type Link. You can check the data and proceed further by clicking on the buttons.						
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the Cash Collateral Type Links or the information that requires 4 eyes validation before they can be considered valid in the system.  For valid information:						
	Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Cash Collateral Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Cash Collateral Type Link >> Click on the View button  For information subject to a 4 eyes validation:						
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Cash Collateral Type Links >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Pool – Cash Collateral Type Link >> Click on the View button						
Screenshot	Four-Coan College Type Link  Search Criteria : Pool Cesh Collet Type Link > View : Pool Cesh Collet Type Link  Search Result - Pool Cesh Collet Type Link > View : Pool Cesh Collet Type Link  content  And Young Fool Looses	vuldary Start Date    ILONOREI    Counting Start Id    337	Cultiment Asset to  Cultim				



Pool – Cash Co	ollateral Type Links – Details Screen				
Button	Description				
History	This function enables you to access to the historical information of a Pool – Cash Collateral Type Link.				
	Next screen:				
	– Pool – Cash Collateral Type Links – History Screen.				
Context					
Field	Description				
Pool Identifier	Shows the identifier of the Pool for which the use of cash Collateral is authorised and the Party RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.				
	Displayed format is: Max. 70 characters.				
Result					
Cash Collat- eral Type	Shows the Type of Cash as Collateral authorized for the pool. The possible values are:				
	- Only to cover margin call.				
	- Anytime.				
Cash Collat-	Shows the Asset Identifier for Cash Collateral to be Mobilised on the pool.				
eral Asset Identifier	Displayed format is: Max. 12 characters				
Validity Start	Shows the date from which the Pool – Cash Collateral Type Link is valid.				
Date	Displayed format is: DD/MM/YYYY.				
Validity End Date	Shows the date from which the Pool – Cash Collateral Type Link is not valid anymore.				
	Displayed format is: DD/MM/YYYY.				
	The Validity End Date must be equal to or later than the Validity Start Date.				
Validation det	ails				
Action To Validate	Shows if there is any action waiting for validation.				
Rejected	Shows if any action subject to validation has been rejected. The possible values are:				
	- Yes				
	- No				
Foot Print					
Creation Date	Shows the date and time of the creation of the Pool – Cash Collateral Type Link.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Creation User Id	Shows the identification of the user that created the Pool – Cash Collateral Type Link.				
Update Date	Shows the date and time of the update of the Pool – Cash Collateral Type Link.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Updater User Id	Shows the identification of the user that made the last update of the Pool – Cash Collateral Type Link.				



## 3.3.1.4 Credit Freezing Types Create / Display

#### 3.3.1.4.1 Credit Freezing Types – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Credit Freezing Types.						
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.						
Screen Access	Collateral and Cred	dit >> Pool	structure >> Cr	edit Freezi	ng Types Crea	nte / Display	
Screenshot	Pout—Cent Record Type Lake Cream / Douglay   Search Criteria : Pool Credit Freezing Link  Counteparty ** ▼  Valety Stant Size ** ▼  Cention Size ** ▼  Cention Size ** ▼		Pool Identifier •• ▼ Validity End Date •• ▼	-	Credit Friezing Type •• ▼ Nacroffer  Update Date •• ▼	COUNT O SOUCH	

<b>Credit Freezing</b>	g Types – Search screen
Field	Description
Credit Freez-	Enter the identifier of the Credit Freezing Type.
ing Type Iden- tifier	Required format is: Max. 35 characters.
Credit Freez-	Enter the name of the type of Credit Freezing
ing Type Name	Required format is: Max. 225 characters.
Credit Freez-	Enter / Select the purpose of the Credit Freezing Type from the possible values:
ing Type Pur-	- NCB
pose	- Counterparty
	- CLM Contingency
Validity Start Date	Enter the date from which the Credit Freezing Type is valid. The calendar icon can also be used.
	Required format is:DD/MM/YYYY.
Validity End Date	Enter the date from which the Credit Freezing Type is not valid anymore. The calendar icon can also be used.
	Required format is:DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Is Active	Select whether the Credit Freezing Type is active or not from the possible values:
	• Yes
	• No
Creation Date	Enter the reference date of the creation of the Credit Freezing Type or use the calendar icon.
	Required format is: DD/MM/YYYY
Update Date	Enter the reference date of the last update of the Credit Freezing Type or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs



Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Pool -Credit Freezing Type - List Screen.

#### 3.3.1.4.1.1 Credit Freezing Types – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.									
Screen Access		Collateral and Credit >> Pool structure >> Credit Freezing Types Create / Display >> Click on the search button (after inputting the relevant data, if needed)								
Screenshot	Search Result : Pool Credi  10  Pool Identifier 8 POOL00000000000000000000000000000000000	Search Result : Pool Credit Freezing Link								

Credit Freezi	ng Types – List Screen
Icon	Description
Field	Description
Credit Freez-	Shows the identifier of the Credit Freezing Type.
ing Type Identifier	Displayed format is: Max. 35 characters.
Credit Freez-	Shows the name of the type of Credit Freezing
ing Type Name	Displayed format is: Max. 255 characters.
Credit Freez-	Shows the purpose of the Credit Freezing Type from the possible values:
ing Type	- NCB
Purpose	- Counterparty
	- CLM Contingency
Validity Start	Shows the date from which the Credit Freezing Type is valid.
Date	Displayed format is:DD/MM/YYYY.
Validity End	Shows the date from which the Credit Freezing Type is not valid anymore.
Date	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Is Active	Shows whether the Credit Freezing Type is active or not from the possible values:
	• Yes
	• No
Creation	Shows the reference date of the creation of the Credit Freezing Type or use the
Date	calendar icon.
	Displayed format is: DD/MM/YYYY
Update Date	Shows the date and time of the last update of the Credit Freezing Type.



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User	Shows the identification of the user that made the last update of the Credit	
Id	Freezing Type.	
After selecting a record, the following buttons are displayed		
Button	Function	
History	This function enables you to access to the historical information of a Credit Freezing Type.	
	Next screen:	
	– Credit Freezing Type – History Screen.	
View	This function enables you to access to the detailed information of a Credit Freezing Type.	
	Next screen:	
	- Credit Freezing Type - Details Screen.	

# 3.3.1.4.1.1.1 Credit Freezing Types – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Credit Freezing Type. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool structure &gt;&gt; Credit Freezing Types Create / Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Credit Freezing Type &gt;&gt; Click on the <i>History</i> button</li> <li>Collateral and Credit &gt;&gt; Pool Structure &gt;&gt; Credit Freezing Types Create / Displayers</li> </ul>
	play >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Credit Freezing Type >> Click on the View button (Credit Freezing Types – Details Screen) >> Click on the History Button
Screenshot	

Credit Freezing Types – History Screen		
Field	Description	
Fields	Shows the fields whose information have been updated since the creation of the selected Credit Freezing Type.	
Timestamp	Shows the date and time when the fields have been updated.	
	Displayed format is: YYYY/MM/DD hh:mm:ss:µs.	
Button	Description	
Compare	Shows the differences between the selected records whose data have been updated.	
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Credit Freezing Type.	
Show All	Shows the information that existed before each modification of all the fields regarding the selected Credit Freezing Type.	
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Credit Freezing Type.	
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Credit Freezing Type.	



## 3.3.1.4.1.2 Credit Freezing Types – Details Screen

Context of Usage	This screen displays detailed information on the selected Credit Freezing Type. You can check the data and proceed further by clicking on the buttons.			
Screen Access	Collateral and Credit >> Pool >> Click on the search butto lect a Credit Freezing Type	n (after input	ting the relev	vant Data, if needed) >> Se-
Screenshot	Search Crief Freezing Spert Jane Crief Freezing Link  Search Priefrie - Pool Credit Freezing Link  Search Result - Pool Credit Freezing Link > Edit - Pool Credit Freezing Type Link  content  Control - Control Freezing Link > Edit - Pool Credit Freezing Type Link  content  Control - Control Freezing Link > Edit - Pool Credit Freezing Type Link  content  Control Freezing Type Link  Pool Credit Freezing Link > Edit - Pool Credit Freezing Type Link  control Freezing Link > Edit - Pool Credit Freezing Type Link  Freezing Link - Freezing Link > Edit - Pool Credit Freezing Type Link  Freezing Link - Freezing Link > Edit - Pool Credit Freezing Type Link  Control Freezing Link > Edit - Pool Credit Freezing Type Link  Freezing Link - Freezing Link > Edit - Pool Credit Freezing Type Link  Control Freezing Link > Edit - Pool Credit Freezing Type Link  Control Freezing Link > Edit - Pool Credit Freezing Type Link  Control Freezing Link > Edit - Pool Credit Freezing Type Link  Control Freezing Link > Edit - Pool Credit Freezing Type Link  Control Freezing Link > Edit - Pool Credit Freezing Type Link  Control Freezing Link > Edit - Pool Credit Freezing Type Link  Control Freezing Link > Edit - Pool Credit Freezing Type Link  Freezing Link - Freezing Link > Edit - Pool Credit Freezing Type Link  Control Freezing Link > Edit - Pool Credit Freezing Type Link  Freezing Link - Freezing Link > Edit - Pool Credit Freezing Type Link  Freezing Link - Freezing Link > Edit - Pool Credit Freezing Type Link  Freezing Link - Freezing Link > Edit - Pool Credit Freezing Type Link  Freezing Link - Freezing Link > Edit - Pool Credit Freezing Type Link  Freezing Link - Freezing Link > Edit - Pool Credit Freezing Link - Freezing Link > Edit - Pool Credit Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing Link - Freezing	Validity Start Date \$2500-0001  Creation User ld \$17	Credit Freedomy Types blendfee NCB	Validay End Dane 3.11.2009  Liptor Dane 2.348-3612 18-38-3-1-646

Credit Free	zing Types– Details Screen
Button	Description
History	This function enables you to access to the historical information of a Credit Freezing Type.  Next screen:  — Credit Freezing Types – History Screen.
Credit Free	zing Types – Details Screen
Field	Description
Credit	Shows the identifier of the Credit Freezing Type.
Freezing Type Identifier	Displayed format is: Max. 35 characters.
Credit	Shows the name of the type of Credit Freezing
Freezing Type Name	Displayed format is: Max. 255 characters.
Credit	Shows the purpose of the Credit Freezing Type from the possible values:
Freezing	- NCB
Type Pur- pose	- Counterparty
Pose	- CLM Contingency
Validity	Shows the date from which the Pool – Credit Freezing Type is valid.
Start Date	Displayed format is:DD/MM/YYYY.
Validity	Shows the date from which the Credit Freezing Type is not valid anymore.
End Date	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Is Active	Shows whether the Credit Freezing Type is active or not from the possible values:
	• Yes
	• No



Validation details		
Action To Validate	Shows if there is any action waiting for validation.	
Rejected	Shows if any action subject to validation has been rejected. The possible values are:  - Yes  - No	
Foot Print		
Creation Date	Shows the date and time of the creation of the Credit Freezing Type.  Displayed format is: YYYY/MM/DD hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the Credit Freezing Type.	
Update Date	Shows the date and time of the update of the Credit Freezing Type.  Displayed format is: YYYY/MM/DD hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the Credit Freezing Type.	

## 3.3.1.5 Pool – Credit Freezing Type Links Create / Display

#### 3.3.1.5.1 Pool – Credit Freezing Type Links – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool – Credit Freezing Type Links.			
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.			
Business Case related	Administration of Pools			
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Credit Freezing Type Links or the information that requires 4 eyes validation before they can be considered valid in the system.			
	For valid information:  Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit  Freezing Type Links Create / Display  For information subject to 4 types religible to the control of the con			
	For information subject to 4 eyes validation:  Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure  Details >> Pool – Credit Freezing Type Links			
Screenshot	Poul - Credit Record Type Links Comer Zooglay  Search Cirteria - Pool Credit Freezing Link  Search Cirteria - Pool Credit Freezing Link			
	Contributing the service of the serv			

Pool – Credit Freezing Type Links – Search screen		
Field	Description	
Pool Identi-	Enter / Select the identifier of the Pool where the credit freezing is authorised.	
fier	Required format is: Max. 30 characters	

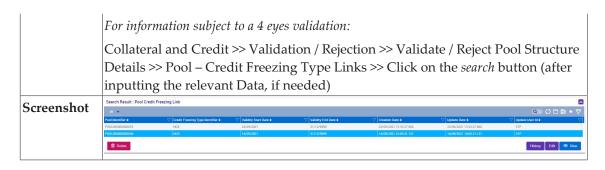


Pool Refer-	Enter the reference of the pool given by the refinancing NCB.
ence	Required format is: Max. 255 characters.
Credit Freezing Type Identi-	Enter / Select the identifier of the credit freezing type authorised in the ECMS Pool.  Required format is: Max. 255 characters
fier	
Counter- party	Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.
Validity Start Date	Enter the date from which the Pool – Credit Freezing Type Link is valid. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Pool – Credit Freezing Type Link is not valid anymore. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Enter the reference date of the creation of the Credit Freezing Type Link or use the calendar icon.
	Required format is: DD/MM/YYYY
Update Date	Enter the reference date of the last update of the Pool – Credit Freezing Type Link or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool –Credit Freezing Type Link.
	Next screen:
	– Pool – Credit Freezing Type Link– Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Pool - Credit Freezing Type Link-List Screen.

#### 3.3.1.5.1.1 Pool – Credit Freezing Type Links – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Credit Freezing Type Links or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:  Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit Freezing Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed)





Pool - Credit	Freezing Type Links – List Screen
Field	Description
Pool Identi-	Shows the identifier of the Pool where the credit freezing is authorised.
fier	Displayed format is: Max. 30 characters
Pool Refer-	Shows the reference of the pool given by the refinancing NCB.
ence	Displayed format is: Max. 255 characters.
Credit	Shows the identifier of the Credit Freezing Type.
Freezing Type Identifier	Displayed format is: Max. 255 characters.
Counter- party	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.
Validity	Shows the date from which the Pool – Credit Freezing Type Link is valid.
Start Date	Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Credit Freezing Type Link is not valid anymore.
	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Shows the reference date of the creation of the Credit Freezing Type Link or use the calendar icon.
	Displayed format is: DD/MM/YYYY
Update Date	Shows the date and time of the last update of the Pool – Credit Freezing Type Link.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Credit Freezing Type Link.
After selectin	g a record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of a Pool – Credit Freezing Type Link.
	Next screen:
	– Pool – Credit Freezing Type Link – History Screen.
Edit	This function enables you to edit a Pool – Credit Freezing Type Link.
	Next screen:
	<ul> <li>Pool – Credit Freezing Type Link – Edit Screen.</li> </ul>



View	This function enables you to access to the detailed information of a Pool – Credit Freezing Type Link.
	Next screen:
	- Pool - Credit Freezing Type Link - Details Screen.
	en is used in the 4 eyes validation context, the following buttons are also displayed
wnen a re	cord is selected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	Pool – Credit Freezing Type Links – History Screen.
View	This function enables the user to view the draft record to be validated.
Draft	Next screen:
	Pool – Credit Freezing Type Links – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	Pool – Credit Freezing Type Links – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

## 3.3.1.5.1.1.1 Pool – Credit Freezing Type Links – History Screen

Context of Usage	– Credit Free	displays historical information that has changed on the selected Pool ezing Type Links. You can check the data, including the user who anges, and proceed further by clicking on the buttons that are	
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool structure &gt;&gt; Other Credit Positions &gt;&gt; Pool – Credit Freezing Type Links Create / Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Pool – Credit Freezing Type Link &gt;&gt; Click on the <i>History</i> button</li> <li>Collateral and Credit &gt;&gt; Pool Structure &gt;&gt; Other Credit Positions &gt;&gt; Pool – Credit Freezing Type Links Create / Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Pool – Credit Freezing Type Link &gt;&gt; Click on the View button (Pool – Credit Freezing Type Links – Details Screen) &gt;&gt; Click on the History Button</li> </ul>		
Screenshot	horizontalHistoryResult : Pool Credit F	reezing Link History	
Selection	Validaty Start Date Progressed Validaty Start Date Progressed Validaty End Other Action To Validate Coccumpagnity Context Precising Type Internation Progression Theorem Progression Theorem Validations Startes Christian Dates Christian Dat	2027 6918 1305 31 1379  15/09/2021  15/09/	



Pool – Credit Freezing Type Links – History Screen			
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – Credit Freezing Type Link.		
Timestamp	Shows the date and time when the fields have been updated.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – Credit Freezing Type Link.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – Credit Freezing Type Link.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – Credit Freezing Type Link.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – Credit Freezing Type Link.		

#### 3.3.1.5.1.2 Pool – Credit Freezing Type Links – Details Screen

Context of Usage	This screen displays detailed information on the selected Pool – Credit Freezing Type Link. You can check the data and proceed further by clicking on the buttons.			
Screen Access	Depending how the screen tion of the Credit Freezing idation before they can be c	Гуре Links or the informa	tion that requires 4 eyes val-	
	For valid information:			
	Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit Freezing Type Links Create / Display >> Click on the search button (after input ting the relevant Data, if needed) >> Select a Pool – Credit Freezing Type Link Click on the <i>View</i> button			
	For information subject to a 4	eyes validation:		
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Struct Details >> Pool – Credit Freezing Type Links >> Click on the <i>search</i> button (aft inputting the relevant Data, if needed) >> Select a Pool – Credit Freezing Type Link >> Click on the <i>View</i> button			
Screenshot	Search Result : Pool Credit Freezing Link > Edit : Pool Credit Freezing Type Link		History A	
Contest				

Pool – Credit Freezing Type Links – Details Screen



Button	Description
History	This function enables you to access to the historical information of a Pool – Credit Freezing Type Link.
	Next screen:
	– Pool – Credit Freezing Type Links – History Screen.
Context	
Field	Description
Credit Freez-	Shows the identifier of the Credit Freezing Type.
ing Type Iden- tifier	Displayed format is: Max. 255 characters.
Counterparty	Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.
Result	
Pool Identifier	Shows the identifier of the Pool where the credit freezing is authorised.
	Displayed format is: Max. 30 characters
Validation det	ails
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No
<b>Activation Det</b>	ails
Validity Start	Shows the date from which the Pool – Credit Freezing Type Link is valid.
Date	Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Credit Freezing Type Link is not valid anymore.
	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Foot Print	
Creation Date	Shows the date and time of the creation of the Pool – Credit Freezing Type Link.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Pool – Credit Freezing Type Link.
Update Date	Shows the date and time of the update of the Pool – Credit Freezing Type Link.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Credit Freezing Type Link.



## 3.3.1.6 Pool – Credit Line Setup Create / Display

#### 3.3.1.6.1 Pool – Credit Line Setup – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool – Credit Line Setup.			
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.			
Business Case related	Administration of Pools			
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Credit Line Setup or the information that requires 4 eyes validation before they can be considered valid in the system.			
	For valid information:  Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit  Line Setup Create / Display			
	For information subject to 4 eyes validation:			
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Credit Line Setup			
Screenshot	Poul T-centit Law Classes Craugary   Capacity   Capac			
	<b>○</b> Druz			

Pool – Credi	t Line Setup – Search screen
Field	Description
Pool Identi- fier	Enter / Select the identifier of the Pool where maximum credit line value is authorised.
	Required format is: Max. 30 characters
Pool Refer-	Enter the reference of the pool given by the refinancing NCB.
ence	Required format is: Max. 255 characters.
Counter- party	Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.
Creation Date	Enter the reference date of the creation of the Pool – Credit Line Setup or use the calendar icon.
	Required format is: DD/MM/YYYY
Validity Start Date	Enter the date from which the Pool – Credit Line Setup is valid. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Pool – Credit Line Setup is not valid anymore. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Enter the reference date of the creation of the Pool – Credit Line Setup or use the calendar icon.



	Required format is: DD/MM/YYYY hh:mm:ss:µs
Update	Enter the reference date of the last update of the Pool – Credit Line Setup or use
Date	the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool – Credit Line Setup.
	Next screen:
	– Pool – Credit Line Setup – Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The
	results are displayed in a list on the List Screen.
	Next screen:
	– Pool – Credit Line Setup – List Screen.

## 3.3.1.6.1.1 Pool – Credit Line Setup – List Screen

Context of Usage	values of the	ontains the sea 'Update Date' ontry, you can	column ii	n descendir	ng order (d	efault setti	ng). After
Business Case related	Administration	on of Pools					
Screen Access	of the Credit	ow the screen Line Setup or onsidered vali	the inform	nation that			
	Line Setup Ca evant Data, if		>> Click o	on the searc			
	Collateral and Details >> Po	n subject to a 4 d Credit >> Va ol – Credit Lin Data, if needed	lidation / i	Rejection >		,	
Screenshot	Search Result : Credit Line Setup  19	Twen Condit Line Value 8 " Validity Start Date 9 18/00/2022 18/00/		or 2 > X    Commence   8   Approximate   8   App	(**) Considera New 8 1607/2022 1 66 64 221 2207/2022 2 66 64 22 81 2307/2022 2 66 64 22 88 1607/2022 1 66 64 22 88 1607/2022 1 15 6 15 10 1607/2022 1 15 6 15 10 1607/2022 1 15 6 15 10 2607/2022 1 15 6 15 10 2607/2022 1 15 6 15 10 2607/2022 1 15 6 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 15 10 2607/2022 1 15 15 15 15 10 2607/2022 1 15 15 15 15 10 2607/2022 1 15 15 15 15 10 2607/2022 1 15 15 15 15 10 2607/2022 1 15 15 15 15 10 2607/2022 1 15 15 15 15 10 2607/2022 1 15 15 15 15 10 2607/2022 1 15 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 10 2607/2022 1 15 15 10 2607/2022 1 15 15 10 2607/2022 1 15 15 10 2607/2022 1 15 15 10 2607/2022 1 15 15 15 10 2607/2022 1 15 15 10 26	(□   Lipotate Date 8   120	Color to the color Color

Pool – Credit Line Setup – List Screen		
Field	Description	
Pool	Shows the identifier of the Pool where maximum credit line value is authorised.	
Identifier	Displayed format is: Max. 30 characters.	
Pool Ref-	Shows the reference of the pool given by the refinancing NCB.	
erence	Displayed format is: Max. 255 characters.	



Counter-	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.			
party NCB	, , ,			
Max-	Shows the Maximum Credit line value authorised set by the NCB.			
imum	Displayed format is: Max. 14 digits			
Credit				
Line				
Value				
Validity	Shows the date from which the Pool – Credit Line Setup is valid.			
Start Date	Displayed format is: DD/MM/YYYY.			
Validity	Shows the date from which the Pool – Credit Line Setup is not valid anymore.			
End Date	Displayed format is: DD/MM/YYYY.			
	The Validity End Date must be equal to or later than the Validity Start Date.			
Creation Date	Shows the reference date of the creation of the Pool – Credit Line Setup or use the calendar icon.			
	Displayed format is: DD/MM/YYYY			
Update	Shows the date and time of the last update of the Pool – Credit Line Setup.			
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater	Shows the identification of the user that made the last update of the Pool – Credit			
User Id	Line Setup.			
After selec	ting a record, the following buttons are displayed			
Button	Function			
History	This function enables you to access to the historical information of a Pool – Credit Line Setup.			
	Next screen:			
	– Pool – Credit Line Setup – History Screen.			
Edit	This function enables you to edit a Pool – Credit Line Setup.			
	Next screen:			
	– Pool – Credit Line Setup – Edit Screen.			
View	This function enables you to access to the detailed information of a Pool – Credit Line Setup.			
	Next screen:			
	– Pool – Credit Line Setup – Details Screen.			
	en is used in the 4 eyes validation context, the following buttons are also displayed cord is selected			
Button	Function			
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.			
	Next screen:			
	Pool – Credit Line Setup – History Screen.			
View	This function enables the user to view the draft record to be validated.			
Draft	Next screen:			
	Pool – Credit Line Setup – Details Screen.			
	1 001 - Cream Line Jerup - Demis Jereen.			
Edit	This function allows the user to edit and modify the draft.			



	Next screen:
	Pool – Credit Line Setup – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

## 3.3.1.6.1.1.1 Pool – Credit Line Setup – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool – Credit Line Setup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.			
Screen Access	<ul> <li>Ac-</li> <li>Collateral and Credit &gt;&gt; Pool structure &gt;&gt; Other Credit Positions         Credit Line Setup Create / Display &gt;&gt; Click on the search button         ting the relevant Data, if needed) &gt;&gt; Select a Pool – Credit Line Se         on the History button</li> </ul>			
	<ul> <li>Collateral and Credit &gt;&gt; Pool Structure &gt;&gt; Other Credit Positions &gt;&gt; Pool – Credit Line Setup Create / Display &gt;&gt; Click on the search button (after input- ting the relevant Data, if needed) &gt;&gt; Select a Pool – Credit Line Setup &gt;&gt; Click on the View button (Pool – Credit Line Setup – Details Screen) &gt;&gt; Click on the History Button</li> </ul>			
C 1 1	horizontalHistor/Result: Ciredit Line Configuration SLA History			
Screenshot	Validity Start Date Is Rejected Validity Start Date Is Rejected Validity End Date Action To Validite Counterparty NGB Maximum Curett Line Value Pool Steenfer Rejection Reteron Validation Stans Creation Date Creation Date Creation Date Offender Use Id op offenescopy Stand.	Q D Compare 12 (2004 M) State (Street C		

Pool – Credit Line Setup – History Screen			
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – Credit Line Setup.		
Timestamp	Shows the date and time when the fields have been updated.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – Credit Line Setup.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – Credit Line Setup.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – Credit Line Setup.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – Credit Line Setup.		



## 3.3.1.6.1.2 Pool – Credit Line Setup – Details Screen

Context of Usage	This screen displays detaile Setup. You can check the d			s.	
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the Credit Line Setup or the information that requires 4 eyes validation before they can be considered valid in the system.				
	For valid information:				
	Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit Line Setup Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Credit Line Setup >> Click on the View button				
	For information subject to a 4 eyes validation:				
	Collateral and Credit >> Va Details >> Pool – Credit Lin the relevant Data, if needed View button	ne Setup >> Click on the	search button (after inputt	ing	
Screenshot	Pool - Credit Line Scoip Create / Osspiny  Search Criteria : Credit Line Setup			* •	
	Search Result : Credit Line Setup > View Pool - Credit Line Setup  contest  Counterpany Alt (Setup) AN (Set) (AN Year)			History 🔨	
	result	99,099,999,999			
	Volidation Details  Action To Volidates	is Pujictoid No		_	
	Foot Print				
	Creation Grade 3189/92811 140/3-14899  Update User fol STZ	Creation User Id STP	Opdare Date: 3399-3011 1423-34-889		

Pool – Credit Line Setup – Details Screen				
Button	Description			
History	This function enables you to access to the historical information of a Pool – Credit Line Setup.			
	Next screen:			
	- Pool - Credit Line Setup - History Screen.			
Context	Context			
Field	Description			
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.			
Result				
Pool Identifier	Shows the identifier of the Pool where maximum credit line value is authorised.			
	Displayed format is: Max. 30 characters.			



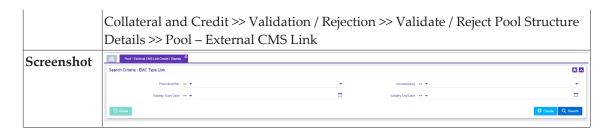
Shows the Maximum Credit line value authorised set by the NCB.			
Shows the date from which the Pool – Credit Line Setup is valid.			
Displayed format is: DD/MM/YYYY.			
Shows the date from which the Pool – Credit Line Setup is not valid anymore.			
Displayed format is: DD/MM/YYYY.			
The Validity End Date must be equal to or later than the Validity Start Date.			
ils			
Shows if there is any action waiting for validation.			
Shows if any action subject to validation has been rejected. The possible values			
are:			
- Yes			
- No			
Shows the date and time of the creation of the Pool – Credit Line Setup.			
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Shows the identification of the user that created the Pool – Credit Line Setup.			
Shows the date and time of the update of the Pool – Credit Line Setup.			
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Shows the identification of the user that made the last update of the Pool –			
Credit Line Setup.			

## 3.3.1.7 Pool – External CMS Link Create / Display

#### 3.3.1.7.1 Pool – External CMS Link – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, yo can search for the Pool – External CMS Link.		
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.		
Business	Administration of Pools		
Case related			
Screen Ac-	Depending how the screen is accessed, it can be used to search the valid informa-		
cess	tion of the External CMS Link or the information that requires 4 eyes validation before they can be considered valid in the system.		
	For valid information:		
	Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – External CMS Link Create / Display		
	For information subject to 4 eyes validation:		





Pool – External CMS	Link – Search screen	
Field	Description	
Pool Identifier	Enter / Select the identifier of the Pool to which the aggregated value of the collateral position held in the external system managed locally by an NCB is added.	
	Required format is: Max. 30 characters	
Pool Reference	Enter the reference of the pool given by the refinancing NCB.	
	Required format is: Max. 255 characters.	
External CMS Identi- fier	Enter / Select the identifier of the External CMS where the collateral position is held.	
	Required format is: Max. 35 characters.	
Creation Date	Enter the reference date of the creation of the Pool – External CMS Link or use the calendar icon.	
	Required format is: DD/MM/YYYY	
Validity Start Date	Enter the date from which the Pool – External CMS Link is valid. The calendar icon can also be used.	
	Required format is: DD/MM/YYYY.	
Validity End Date	Enter the date from which the Pool – External CMS Link is not valid anymore. The calendar icon can also be used.	
	Required format is: DD/MM/YYYY.	
	The Validity End Date must be equal to or later than the Validity Start Date.	
Update Date	Enter the reference date of the last update of the Pool – External CMS Link or use the calendar icon.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Button	Function	
Reset	This function enables you to clear the form.	
Create	This function enables you to create a new Pool – External CMS Link.	
	Next screen:	
	– Pool – External CMS Link – Create Screen.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	
	Next screen:	
	– Pool – External CMS Link – List Screen.	



#### 3.3.1.7.1.1 Pool – External CMS Link – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.					
Business Case related	Administration of Pools					
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the External CMS Link or the information that requires 4 eyes validation before they can be considered valid in the system.					
	For valid information:					
	Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – External CMS Link Create / Display >> Click on the search button (after inputting the relevant Data, if needed)					
	For information subject to a 4 eyes validation:					
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – External CMS Link >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)					
Screenshot	Search Result: BMC Type Link					

Pool – Extern	nal CMS Link – List Screen
Field	Description
Pool Identi- fier	Shows the identifier of the Pool to which the aggregated value of the collateral position held in the external system managed locally by an NCB is added.
	Displayed format is: Max. 30 characters
Pool Refer-	Shows the reference of the pool given by the refinancing NCB.
ence	Displayed format is: Max. 255 characters.
External	Shows the identifier of the External CMS where the collateral position is held.
CMS Identi- fier	Displayed format is: Max. 35 characters.
Creation	Shows the reference date of the creation of the Pool – External CMS Link or use
Date	the calendar icon.
	Displayed format is: DD/MM/YYYY
Validity	Shows the date from which the Pool – External CMS Link is valid.
Start Date	Displayed format is: DD/MM/YYYY.
Validity	Shows the date from which the Pool – External CMS Link is not valid anymore.
End Date	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the Validity Start Date.
Update	Shows the date and time of the last update of the Pool – External CMS Link.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – External CMS Link.
After selecting	ng a record, the following buttons are displayed



Button	Function			
History	This function enables you to access to the historical information of a Pool – External CMS Link.			
	Next screen:			
	– Pool – External CMS Link – History Screen.			
Edit	This function enables you to edit a Pool – External CMS Link.			
	Next screen:			
	- Pool - External CMS Link - Edit Screen.			
View	This function enables you to access to the detailed information of a Pool – External CMS Link.			
	Next screen:			
	– Pool – External CMS Link – Details Screen.			
	n is used in the 4 eyes validation context, the following buttons are also displayed ord is selected			
Button	Function			
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.			
	Next screen:			
	Pool – External CMS Link – History Screen.			
View	This function enables the user to view the draft record to be validated.			
Draft	Next screen:			
	Pool – External CMS Link – Details Screen.			
Edit	This function allows the user to edit and modify the draft.			
	Next screen:			
	Pool – External CMS Link – Edit Screen.			
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system			
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.			

#### 3.3.1.7.1.1.1 Pool – External CMS Link – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool – External CMS Link. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool structure &gt;&gt; Collateral Positions &gt;&gt; Pool – External CMS Link Create / Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Pool – External CMS Link &gt;&gt; Click on the <i>History</i> button</li> </ul>
	<ul> <li>Collateral and Credit &gt;&gt; Pool Structure &gt;&gt; Collateral Positions &gt;&gt; Pool – External CMS Link Create / Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Pool – External CMS Link &gt;&gt; Click on the View button (Pool – External CMS Link – Details Screen) &gt;&gt; Click on the History Button</li> </ul>



enshot	horizontalHistoryResult : EM	IC Type Link History	<u> </u>
21151101			Q 1 🕅 🦱 Show Differences Only Expand All Compare
	Fields	© 29999003[2022-03-08 13.08 15.380[1	
	Validity Start Date	08/03/2022	
	Is Rejected	No	
	Validity End Date	31/12/9999	
	Action To Validate		
	Counterparty	91	
	Em C Type	EFC	
	Pool Identifier	P00L0000000075	
	Rejection Reason		
	Validation Status		
	Creation Date	08/03/2022.13:08:15:386	
	Creation User Id	ATCB007	
(0) difference(s)	(0) difference(s) found.		

Pool – Extern	Pool – External CMS Link – History Screen		
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – External CMS Link.		
Timestamp	Shows the date and time when the fields have been updated.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – External CMS Link.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – External CMS Link.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – External CMS Link.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – External CMS Link.		

#### 3.3.1.7.1.2 Pool – External CMS Link – Details Screen

Context of Usage	This screen displays detailed information on the selected Pool – External CMS Link. You can check the data and proceed further by clicking on the buttons.
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the External CMS Link or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:
	Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – External CMS Link Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – External CMS Link >> Click on the View button
	For information subject to a 4 eyes validation:
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – External CMS Link >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Pool – External CMS Link >> Click on the View button





Pool – External CMS Link – Details Screen		
Button	Description	
History	This function enables you to access to the historical information of a Pool – External CMS Link.	
	Next screen:	
	– Pool – External CMS Link – History Screen.	
Context		
Field	Description	
Pool Identi- fier	Shows the identifier of the Pool to which the aggregated value of the collateral position held in the external system managed locally by an NCB is added.	
	Displayed format is: Max. 30 characters.	
Result		
External	Shows the identifier of the External CMS where the collateral position is held.	
CMS Identi- fier	Displayed format is: Max. 35 characters.	
	Shows the date from which the Pool – External CMS Link is valid.	
Date	Displayed format is: DD/MM/YYYY.	
Validity End	Shows the date from which the Pool – External CMS Link is not valid anymore.	
Date	Displayed format is: DD/MM/YYYY.	
	The Validity End Date must be equal to or later than the Validity Start Date.	
Validation de	etails	
Action To Validate	Shows if there is any action waiting for validation.	
Rejected	Shows if any action subject to validation has been rejected. The possible values are:	
	- Yes	
	- No	
Foot Print		
Creation	Shows the date and time of the creation of the Pool – External CMS Link.	
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the Pool – External CMS Link.	
Update Date	Shows the date and time of the update of the Pool – External CMS Link.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the Pool – External CMS Link.	



#### 3.3.2 Global Collateral Position Management

#### 3.3.2.1 Cash as Collateral

#### 3.3.2.1.1 Cash Collateral Instructions

Cash Collateral Instructions are instructions used to mobilise or demobilise Cash as Collateral on a pool of a Counterparty. These instructions can be sent by a Counterparty or an ECMS Party (including an NCB) acting on behalf of a Counterparty or alternatively generated internally by the ECMS itself.

The ECMS manages the following list of Cash Collateral Instructions:

- Cash Mobilisation Instruction
- Cash Demobilisation Instruction
- Automatic Cash Mobilisation
- Automatic Cash Demobilisation Instruction
- Conversion of Cash to Collateral Instruction

#### 3.3.2.1.1.1 Cash Collateral Instructions – Input Screen

Context of	This screen is used to inp	t manually a Cash Collateral Instruction.			
Usage	Mandatory fields are underlined in red.				
Business Case re- lated	Input a Cash Collateral (de)Mobilisation Instruction				
Screen Ac-	Collateral and Credit >> Collateral >> Cash Collateral >> Input Cash Collateral In-				
cess	struction				
Screenshot	Topic Cash Collateral Instruction  Input Cash Collateral Instruction  Counterparty Details  Proof Insurding  Abritions/Abritions/Proof,00000000000000000000000000000000000				<b>□ △</b>
	Instruction Details Caso Cultureal Instruction 1D 00005131444W7 Cultureal Asset Id	Party's Instruction Reference  Total Cash Collaboral	35,581.39	Instruction Type	<u>.</u>
	Amount	Intended Settlement Date. 28/66/2022			✓ Save

Cash Collateral Instructions – Input screen			
Counterparty De	Counterparty Details		
Field	Description		
Pool ID*	Enter / Select the identifier of the Pool where the Cash as Collateral is (de)mobilised.  Required format is: Max. 30 characters		
Instruction Details			
Cash Collateral Instruction ID#	Shows the unique reference identifying the Cash Collateral Instruction assigned by the ECMS.		
	Displayed format is: Max. 30 characters		



Party's Instruc-	Enter the Reference of the instruction given by the Instructing Party.
tion Reference*	Required format is: Max. 30 characters
Instruction Type*	Enter / Select the type of Cash Collateral from the possible values:
	- Mobilisation
	- Demobilisation
	- Conversion of cash to collateral
Cash Collateral	Enter the Cash Collateral Asset Id to be used in the Cash Collateral Instruc-
Asset Identifier	tion
Total Cash Collateral#	Shows the total Cash Collateral in the Pool.
Margin Call Value#	Shows the value of the Margin Call for the Pool
Amount*	Enter the amount in Euro of the cash to be (de)mobilised.
Intended Settle-	Shows the date on which the Cash Collateral Instruction is meant to be
ment Date#	settled (set to the current business date).
Button Function	
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of the Cash Collateral Instruction.

#### 3.3.2.1.1.2 Cash Collateral Instructions – Search Screen

Context of Usage	This screen is used to search Cash Collateral Instructions. It contains the fields that can be used as search criteria.				
	The user captures the fields that correspond to its criteria for searching Cash Collateral Instructions.				
	If a field is left blank, the field is not taken into account as a search criteria.				
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.				
Business Case related	Search/List/View Cash Collateral (de)Mobilisation Instructions				
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Cash Collateral Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.				
	For valid information:				
	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display				
	For information subject to 4 eyes validation:				
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Cash Collateral >> Cash Collateral Display				
Screenshot	Concolored Diology C				
	Cash Collered Instruction •• • Party's Instruction Reference •• • Intended Settlement Date •• •				
	Counterparty ** * Pool Meteroffer ** * Instruction Status ** *				
	Instruction Type • • • Update Date • • Update Date				
	Creation Date ** *				
	V 3000				



Cash Collateral Ir	nstructions – Search screen
Field	Description
Cash Collateral instruction ID	Enter the unique reference identifying the Cash Collateral Instruction assigned by the ECMS.
	Required format is: Max. 30 characters
Party's Instruc-	Enter the Reference of the instruction given by the Instructing Party.
tion Reference	Required format is: Max. 30 characters
Intended Settle- ment Date	Enter the reference date on which the Cash Collateral Instruction is meant to be settled or use the calendar icon.
	Required format is: DD/MM/YYYY
Pool ID	Enter / Select the identifier of the Pool where the Cash as Collateral is (de)mobilised. The magnifier icon can also be used.
	Required format is: Max. 30 characters
Counterparty	Enter / Select the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral.
	Required format is: Max. 30 characters
Instruction Status	Enter / Select the Status of the instruction from the possible values:
	- Cash Incomplete
	- Cash Rejected
	- Cash Settled
	- Cash Instructed
	- Waiting T2 Confirmation
U2A	Enter / Select whether the instruction was capture in U2A mode or not. The possible values are:
	- Yes
	- No
Instruction Type	Enter / Select the type of Cash Collateral from the possible values:
	- Mobilisation
	- Demobilisation
	- Automatic Mobilisitation
	- Automatic Demobilisitation
	Conversion of cash to collateral
Update Date	Enter the reference date of the last update of the Cash Collateral Instruction or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Creation Date	Enter the reference date of the creation of the Cash Collateral Instruction or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search This function enables you to start a search according to the filled in cr The results are displayed in a list on the Cash Collateral Instruction - Screen.	



	Next screen:	
	– Cash Collateral Instruction – List Screen.	

#### 3.3.2.1.1.2.1 Cash Collateral Instructions – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.			
Business Case related	Search/List/View Cash Collateral (de)Mobilisation Instructions			
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Cash Collateral Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.			
	For valid information:			
	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display >> Click on the search button (after inputting the relevant data, if needed)			
	For information subject to a 4 eyes validation:			
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Cash Collateral >> Cash Collateral Display >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)			
Screenshot	Cosh Collateral Instructions List    Cosh Collateral Instructions List   Cosh Cosh Collateral Instructions List   Cosh Cosh Collateral Instructions List   Cosh Cosh Cosh Cosh Cosh Cosh Cosh Cosh			
	Description   Description			

Cash Collateral Instructions – List screen			
Column	Description		
Cash Collateral instruction ID	Shows the unique reference identifying the Cash Collateral Instruction assigned by the ECMS.		
	Displayed format is: Max. 30 characters		
Party's Instruc-	Shows the Reference of the instruction given by the Instructing Party.		
tion Reference	Displayed format is: Max. 30 characters		
Intended Settle- ment Date	Shows the reference date on which the Cash Collateral Instruction is meant to be settled.		
	Displayed format is: DD/MM/YYYY		
Pool ID	Shows the identifier of the Pool where the Cash as Collateral is (de)mobilised.		
	Displayed format is: Max. 30 characters		
Counterparty	Shows the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral.		
	Displayed format is: Max. 30 characters		
Instruction Type	Shows the type of Cash Collateral from the possible values:		
	- Mobilisation		



	– Demobilisation	
	- Automatic Mobilisitation	
	- Automatic Demobilisitation	
	Conversion of cash to collateral	
U2A	Shows whether the instruction was capture in U2A mode or not. The pos-	
	sible values are:	
	- Yes	
	- No	
Instruction Status	Shows the Status of the instruction from the possible values:	
	- Cash Incomplete	
	- Cash Rejected	
	- Cash Settled	
	- Cash Instructed	
	– Waiting T2 Confirmation	
Amount	Shows the amount in Euro of the cash (de)mobilised.	
Action to validate	Present only when the screen is used in the context of 4 eyes validations,	
	Shows if the validation is required following a creation or an update of the	
	record.	
Creation Date	Shows the date and time of the creation of the Instruction.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the Instruction.	
Update Date	Shows the date and time of the last update of the Instruction.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the Instruction.	
After selecting a re	ecord, the following buttons are displayed	
Button	Function	
View	This function enables you to access to the detailed information of the selected Instruction.	
	Next screen:	
	- Cash Collateral Instructions - Details Screen.	
History	This function enables you to access to the historical information of the selected Instruction.	
	Next screen:	
	- Cash Collateral Instructions - History Screen.	
If the screen is use	ed in the 4 eyes validation context, the following buttons are also displayed	
when a record is se	1	
Button	Function	
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.	
	Next screen:	



View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	Cash Collateral Instructions – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	Cash Collateral Instructions – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

## 3.3.2.1.1.2.1. Cash Collateral Instructions – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Cash Collateral Instruction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.											
Screen Access	play > neede - Collat play > neede	>> Click on the sea ed) >> Select a Casl	rch button (after and head and	inputting the release action >> Click or sh Collateral >> C inputting the release	n the <i>History</i> button Cash Collateral Disevant data, if							
Screenshot	horizontalHistoryResult : Casl Fields  Instruction Status Business Status Last Notification Out Creation Dat 64 (difference(s) Stands	Collateral Movement History  © 00006913/M MY100020 0617 19:70:22.29664  Cash Rejected  CAMERLED 2279999988  11706-282219-382.2999	© 00000493744.MY170272 66-17 79-29 18 559(9)  Cash Represed CANCELLED  17:00 0202 19-29 19-509	Occode/Syna.Me/1)2022-06-17 18-20-18-86802  Cash Incorplete WATTMS VALIDATION  17:00-0222-18-29-18-800	Q. 2							

Cash Collate	ral Instructions – History Screen
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Cash Collateral Instruction.
Timestamp	Shows the date and time when the fields have been updated Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Cash Collateral Instruction.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Cash Collateral Instruction.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Cash Collateral Instruction.



Show Dif-	Shows only the fields whose information have been updated since the creation of
ferences	the selected Cash Collateral Instruction.
Only	

## 3.3.2.1.1.2.2 Cash Collateral Instructions – Details screen

Context of Usage	1 2			cted Cash Collateral Instruc- clicking on the buttons.						
Business Case related	Search/List/View C	ash Collateral (c	le)Mobilisation In	structions						
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the Cash Collateral Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.									
	For valid information	1:								
	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display >> Click on the search button (after inputting the relevant data, if needed) >> Click on the <i>View</i> button									
	For information subject to a 4 eyes validation:									
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Cash Collateral >> Cash Collateral Display >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Click on the <i>View</i> button									
Screenshot	Cash Collateral Instructions List > Cash Collateral Instruction View Instruction Details	v screen		teaury (A						
	Party Instruction Infestionce 800095736_ANY Proof Instructive Proof.268999999999  Convergency Cash Account ANYACTIVES	Cash Collateral Instruction D 66646573MLANYT  Commissionry  ABY R046,ABY R056  Intended Selferment Date 346667302		NOTIFICATION Type Assumed Cad Describitation Account TEAL NEEL CANADATION ACCOUNT TEAL NEEL CANADATION ACCOUNT ANABATION						
	Soutor Details Business Soutor CANCELLED  102A No	Not Enough Collasses No Action To Weldere		Force Margin Call No In Reported No						
	Notifications Notifications		Gusiness Validation Errors  Desirves Waldedon Errors [1]							
	FootPrint Update User 16 ECAISOperationalDeyScheduler	Update Date 1766/2021 19:29-23-195	Creation User ld ECMSOperationalDay Scheduler	Creation Date 1766/2021 16:29:17-412						

Cash Collateral	Instructions – Details Screen						
Button	Description						
History	This function enables you to access to the historical information of the selected Cash Collateral Instruction.						
	Next screen:						
	<ul> <li>Cash Collateral Instructions – History Screen.</li> </ul>						
Instruction Det	ails						
Field	Description						
Party's Instruc-	Shows the Reference of the instruction given by the Instructing Party.						
tion Reference	Displayed format is: Max. 30 characters						
	Shows the unique reference identifying the Cash Collateral Instruction assigned by the ECMS.						
	Displayed format is: Max. 30 characters						



Instruction	Shows the type of Cash Collateral from the possible values:								
Туре	- Mobilisation								
	- Demobilisation								
	- Automatic Mobilisitation								
	- Automatic Demobilisitation								
	- Conversion of cash to collateral								
Pool ID	Shows the identifier of the Pool where the Cash as Collateral is (de)mobilised.								
	Displayed format is: Max. 30 characters								
Counterparty	Shows the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral.								
	Displayed format is: Max. 30 characters								
Amount	Shows the amount in Euro of the cash (de)mobilised.								
Counterparty	Shows the Counterparty External Cash Account reference.								
Cash Account	Displayed format is: Max. 35 characters.								
Intended Set- tlement Date	Shows the reference date on which the Cash Collateral Instruction is meant to be settled.								
	Displayed format is: DD/MM/YYYY								
Payment con-	[For conversion of cash to collateral only]								
verted Id.	Shows the identifier of the Payment Instruction due to the counterparty to be cancelled because of to the conversion into cash collateral.								
NCB Cash Ac-	Shows the NCB External Cash Account reference.								
count	Displayed format is: Max. 35 characters.								
Status details									
Instruction	Shows the Status of the instruction from the possible values:								
Status	- Cash Incomplete								
	- Cash Rejected								
	- Cash Settled								
	- Cash Instructed								
	– Waiting T2 Confirmation								
Not Enough Collateral	Shows whether there is enough Collateral in the Pool or not. The possible values are:								
	- Yes								
	- No								
Force Margin	Shows whether a Margin Call was forced or not. The possible values are:								
Call	- Yes								
	- No								
U2A	Shows whether the instruction was capture in U2A mode or not. The possible								
	values are:								
	- Yes								
	- No								
Action To Validate	Shows if there is any action waiting for validation.								



Rejected	Shows if any action subject to validation has been rejected. The possible values are:
	- Yes
	- No
Foot Print	
Creation Date	Shows the date and time of the creation of the Cash Collateral Instruction.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Cash Collateral Instruction.
Update Date	Shows the date and time of the update of the Cash Collateral Instruction.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Cash Collateral Instruction.
Notifications	
The existing no	tifications of the Instruction are displayed.
Button Downlo	and allows the user to download a copy of the message received/sent.
To view the det	tails of an existing Notification, select it and click on the View button.
Field	Description
Message Iden- tifier	Shows the reference of the Message sent / received.
NSP Date	Shows the date of the message sent / received.
	Displayed format is: DD/MM/YYYY
NSP Time	Shows the time of the message sent / received.
	Displayed format is: hh:mm:ss:µs.
Sender Ad-	Shows the NSP address of the sender ECMS Entity.
dress	Displayed format is: Max. 11 characters
Receiver Ad-	Shows the NSP address of the receiver ECMS Entity.
dress	Displayed format is: Max. 11 characters
Notification	Shows the status of the Notification from the possible values:
Status	- Impacted
	- Impacted Failed
	- Rejected.
Error Descrip-	Shows the description of the error reported.
tion	Displayed format is: Max. 255 characters.
Instruction De	tails
Related Noti- fication Msg Ref	Shows the reference of the related notification message.
Status	Shows the status of the notification.
Foot Print	
Creation Date	Shows the date and time of the creation of the Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.



Creation User Id	Shows the identification of the user that created the Notification.							
Update Date	Shows the date and time of the update of the Notification.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Updater User Id	Shows the identification of the user that made the last update of the Notification.							
Business Valid	ation Errors							
The existing bu	siness validation errors of the Instruction are displayed.							
To view the det ton.	ails of an existing business validation error, select it and click on the View but-							
Field	Description							
Error Message	Shows the error message related to the business validation.							
	Displayed format is: Max. 255 characters.							
His Rule Id	Shows the identifier of the business validation.							
Status	Shows the status of the Business Validation.							
Foot Print								
Creation Date	Shows the date and time of the creation of the Notification.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Creation User Id	Shows the identification of the user that created the Notification.							
Update Date	Shows the date and time of the update of the Notification.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Updater User Id	Shows the identification of the user that made the last update of the Notification.							

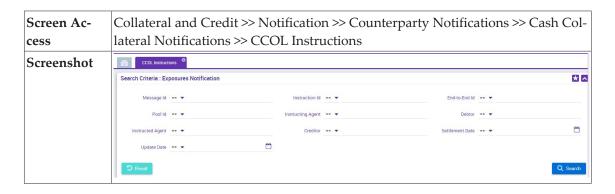
#### 3.3.2.1.1.3 Cash Collateral Notifications

The Cash Collateral Notifications functionality provides the users with notification information on the Cash Collateral Instructions that have been processed in the ECMS.

## 3.3.2.1.1.3.1 CCOL Instructions (pacs.010) – Search screen

Context of Usage	This screen is used to search Cash Collateral Instructions Notifications. It contains the fields that can be used as search criteria.  The user captures the fields that correspond to its criteria for searching Cash Collateral Instruction Notifications.  If a field is left blank, the field is not taken into account as a search criteria.  Once search criteria have been filled, by clicking on the search button, the list of records fulfilling the criteria will be displayed.
Business Case related	Search/List/View CCOL Instructions Notifications





<b>CCOL Instruction</b>	ıs (pacs.010) – Search screen
Field	Description
Message Id	Enter the identifier of the message.
	Required format is: Max. 30 characters
Instruction Id	Enter the identifier of the instruction.
	Required format is: Max. 30 characters
End-to-End Id	Enter the Unique identification, as assigned by the initiating party, to unambiguously identify the transaction. This identification is passed on, unchanged, throughout the entire end-to-end chain.
Pool ID	Enter the identifier of the Pool where the Cash as Collateral was (de)mobilised. The magnifier icon can also be used.
	Required format is: Max. 30 characters
Instructing Agent	Enter the agent that instructs the next party in the chain to carry out the (set of) instruction(s).
Debtor	Enter the Party that owes an amount of money to the (ultimate) creditor.
Instructed Agent	Enter the Agent that is instructed by the previous party in the chain to carry out the (set of) instruction(s).
Creditor	Enter the Party to which an amount of money is due.
Settlement Date	Enter the Settlement Date.
	Required format is: DD/MM/YYYY
Update Date	Enter the reference date of the last update of the Cash Collateral Notification or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the CCOL Instructions - List Screen.
	Next screen:
	- CCOL Instructions (pacs.010) - List Screen.

#### 3.3.2.1.1.3.1. CCOL Instructions (pacs.010) – List Screen

Context of
Usage

This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.



Business	Sear	ch/Li	ist/V	iew (	CCOI	L Ins	truct	ions	Notif	icati	ons					
Case related																
Screen Ac-	Coll	atera	l and	l Cre	dit >	> No	tifica	tion	>> Co	unte	erpa	rty Notific	cation	ıs >>	Casł	n Col-
cess	later	lateral Notifications >> CCOL Instructions >> Click on the search button (after								ter						
	inpu	ıtting	the	relev	ant c	data,	if ne	eded	l)							
C 1 (	Search Resul	lt : Exposures No	otification													_
Screenshot	10 🕶														Q	m 朝() マ
	Message Id © 🛇	End-to-End Id 🕏	Instruction Id 6	Debtor o S	Instructing Age	Creditor 0 S	Instructed Age S	Instruction Des	Pool td 0 💎	Amount 0 💮	Settlement Dar	Notification Na Error Description	Notifications St.	Update Date • 🖓	Updater User Id.	2 Currency • 🔗
	MSP912202207	ESP9122022072	ISP9122022072	NABAATWWXXX	VKBLAT2LXXX	VKBLAT2LXXX	NABAATWWXXX		CCOL/POOLOGO	2011001	23/06/2021	Credit Transfer N	Impacted		AT00000012764.	
	MSP912202207	ESP9122022072	ISP9122022072	NABAATWWXXX	VKBLATZLXXX	VKBLATZLXXX	NABAATWWXXX	CCOL	CCOL/POOL000.		23/05/2021	Credit Transfer N	Impacted	21/07/2022 10.5	AT00000012764.	
	GR2905022010 SP91120220615	GR2103J012	GR2103J010 J9112022061502	NABAATWWXXX ARVRATWWXXX	NABAATWWXXX	ABVRATWW104	ABVRATWW104 ABVRATWW005	Mobilisation	CCOL/POOLOGO.		29/05/2022 15/05/2022	Cash Debit Instr Credit Transfer N	Impacted	29/06/2022 16:2	ABVRS-AZACCOL	
	SP91120220615	E9112022001502	19112022061502	ARVRATWW005	NABAATWWXXX	NABAATWWXXX	ABVRATWW005	CCOL	CCOL/POOLOGO.		17/03/2022	Credit Transfer N	Impacted		ABVR5-AZACCOL	
	GR0505202201J	GR0606202202L	GR0606202202K	ABVRATWW104	NABAATWWXXX	NABAATWWXXX	ABVRATWW104	CCOL	CCOL/POOLOGO	200,000	06/05/2022	Credit Transfer N	Impacted	05/05/2022 17:4	ABVR104-A2A-C	EUR
	GR0606202203A	GR0606202202C	GR06062022028	ABVRATWW104	NABAATWWXXX	NABAATWWXXX	ABVRATWW104	CCOL	CCOL/POOL000	100,000	06/05/2022	Credit Transfer N	Impacted	05/05/2022 17:1	ABVR104-A2A-C	EUR
	GR0606202202A	GR0606202201C	GR0606202201B	ABVRATWW104	NABAATWWXXX	NABAATWWXXX	ABVRATWW104	CCOL	CCOL/POOLOGO	100,000	06/05/2022	Credit Transfer N	Impacted	06/06/2022 17:1	ABVR104-A2A-C	EUR
	GR0605202201A	GR0606202201C	GR0606202201B	ABVRATWW104	NABAATWWXXX	NABAATWWXXX	ABVRATWW104	CCOL	CCOL/POOL000	100,000	06/05/2022	Credit Transfer N	Impacted	05/05/2022 17:1	ABVR104-A2A-C	EUR
	GR.101	MNB04	XCV03	NABAATWWXXX		ABVRATWW104	ABVRATWW104	Mobilisation	CCCL/PDCL000 .		06/05/2022	Cash Debit Instr		05/05/2022 18:0		

CCOL Instructions (pacs.010) – List screen							
Column	Description						
Message Id	Shows the identifier of the message.						
	Displayed format is: Max. 30 characters						
End-to-End Id	Shows the Unique identification, as assigned by the initiating party, to unambiguously identify the transaction. This identification is passed on, unchanged, throughout the entire end-to-end chain.						
Instruction Id	Shows the identifier of the instruction.						
	Displayed format is: Max. 30 characters						
Debtor	Shows the Party that owes an amount of money to the (ultimate) creditor.						
Instructing Agent	Shows the agent that instructs the next party in the chain to carry out the (set of) instruction(s).						
Creditor	Shows the Party to which an amount of money is due.						
Instructed Agent	Shows the Agent that is instructed by the previous party in the chain to carry out the (set of) instruction(s).						
Instruction Description	Shows the description of the instruction.						
Pool ID	Shows the identifier of the Pool where the Cash as Collateral was (de)mobilised. The magnifier icon can also be used.						
	Displayed format is: Max. 30 characters						
Amount	Shows the amount of money.						
Currency	Shows the denomination currency of money's amount.						
Settlement Date	Shows the Settlement Date.						
	Displayed format is: DD/MM/YYYY						
Notification Name	Shows the name of the notification.						
Notification	Shows the status of the notification from possible values:						
Status	- Processed						
	- Processed With Failure						
	– Impacted						
	- Impact Failed						
	- Archived						



	- Rejected			
Update Date	Shows the reference date of the last update of the Cash Collateral Notification or use the calendar icon.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs			
Updater User Id	Shows the identification of the user that made the last update of the Notific-			
	ation.			
After selecting a record, the following buttons are displayed				
Button	Function			
Download	This function enables you to download the selected Notification.			

## 3.3.2.1.1.3.2 CCOL Instruction Status notifications (pacs.002) – Search screen

Context of Usage	This screen is used to search CCOL Instruction Status Notifications. It contains the fields that can be used as search criteria.							
	The user captures the fields that correspond to its criteria for searching CCOL Instruction Status Notifications.							
	If a field is left blank, the field is not taken into account as a search criteria.							
	Once search criteria have been filled, by clicking on the search button, the list of records fulfilling the criteria will be displayed.							
Business	Search/List/View CCOL Instructions Status Notifications							
Case related								
Screen Ac-	Collateral and Credit >> Notification >> Counterparty Notifications >> Cash Col-							
cess	lateral Notifications >> CCOL Instruction Status notifications							
Screenshot	© COOL behaviors times rentrations  Display Cash Colleteral Instruction Notification							
	Message Reference ▼▼ Cash Colherol Instruction F Party's Instruction Reference ▼▼  O  O							
	Instruction Type ▼   Countergraphy ▼   Notifications Status ▼							
	Q Sunt							

CCOL Instruction Status notifications (pacs.002) – Search screen				
Field	Description			
Cash Collateral instruction ID	Enter the unique reference identifying the Cash Collateral Instruction assigned by the ECMS.			
	Displayed format is: Max. 30 characters			
Party's Instruc-	Enter the Reference of the instruction given by the Instructing Party.			
tion Reference	Required format is: Max. 30 characters			
Counterparty	Enter / Select the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral.			
	Required format is: Max. 30 characters			
Original Instruction Type	Enter / Select the type of Cash Collateral Instruction from the possible values:  - Mobilisation  - Demobilisation			
Notification	Enter / Select the status of the notification from possible values:			
Status	- Processed			



	- Processed With Failure
	- Impacted
	- Impact Failed
	- Archived
	- Rejected
Update Date	Enter the reference date of the last update of the Cash Collateral Notification or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Creation Date	Enter the reference date of the creation of the Cash Collateral Notification or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria.
	The results are displayed in a list on the CCOL Instruction Status Notifica-
	tions - List Screen.
	Next screen:
	- CCOL Instruction Status notifications (pacs.002) – List Screen.

#### 3.3.2.1.1.3.2. CCOL Instruction Status notifications (pacs.002) – List Screen

This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

Business Case related

Screen Access

Collateral and Credit >> Notifications Status Notifications

Collateral Notifications >> Counterparty Notifications >> Cash Collateral Notifications >> CCOL Instruction Status notifications >> Click on the search button (after inputting the relevant data, if needed)

Screenshot

Cast Collateral Residence Status List

Cast Collateral Residence

CCOL Instruction Status notifications (pacs.002) – List screen				
Column	Description			
Cash Collateral instruction ID	Shows the unique reference identifying the Cash Collateral Instruction as signed by the ECMS.			
	Displayed format is: Max. 30 characters			
Party's Instruc-	Shows the Reference of the instruction given by the Instructing Party.			
tion Reference	Displayed format is: Max. 30 characters			



Counterparty	Shows the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral.					
	Displayed format is: Max. 30 characters					
Original Instruction Type	Shows the type of Cash Collateral Instruction from the possible values:  - Mobilisation  - Demobilisation					
Amount	Shows the amount in Euro of the cash (de)mobilised.					
Notification	Shows the status of the notification from possible values:					
Status	- Processed					
	- Processed With Failure					
	- Impacted					
	- Impact Failed					
	- Archived					
	- Rejected					
Creation Date	Shows the date and time of the creation of the Notification.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Creation User Id	Shows the identification of the user that created the Notification.					
Update Date	Shows the date and time of the last update of the Notification.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Updater User Id	Shows the identification of the user that made the last update of the Notification.					
After selecting a r	ecord, the following buttons are displayed					
Button	Function					
Download	This function enables you to download the selected Notification.					

#### 3.3.2.1.2 Cash Collateral Positions

A cash collateral position represents the quantity of cash mobilised on a given ECMS pool at a given point in time.

The ECMS registers the cash mobilised as collateral by Counterparties as Cash Collateral Positions on an ECMS Pool and distinguishes Cash Collateral Detailed Positions that consist in the sum of the quantity of cash per instruction status and per process (Cash Collateral Decrease, Cash Collateral Increase, Cash Collateral Interest Decrease, Cash Collateral Interest Increase) and the Cash Collateral Aggregated Positions that reflect the Cash Position in the Pool by position type (actual provisional, conservative)..

Through the different screens described in this section, an NCB can access to the details of the Cash Collateral Positions.

The ECMS keeps tracks of each cash collateral (de)mobilisation instruction and of the daily calculation of the accrued interest. The Cash Collateral Position is compounded of the amount of cash mobilised, the amount of cash demobilised and the daily accrued interest.



## 3.3.2.1.2.1 Cash Collateral Detailed Positions – Search Screen

Context of Usage	This screen is used to search Cash Collateral Detailed Positions. It contains the fields that can be used as search criteria.							
	The user captures the fields that correspond to its criteria for searching Cash Collateral Positions.							
	If a field is left blank, the field is not taken into account as a search criteria.							
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.							
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Cash Collateral Position Details							
Screenshot	Cath Collested Position Detail 5 search Screen							
	Proof identifier   Counterparty   Proof identifier   Counterparty   Count							
	Process Valuation Position Type Movement Position House -							
	Sering Cancelled V Currency V Q Position States V Update Size V Update Size V Q Search							

Cash Collateral D	etailed Positions – Search screen
Field	Description
Position Date	Enter the reference date of the Cash Collateral Position or use the calendar icon.
	Required format is: DD/MM/YYYY
Position Status	Enter / Select the status of the Cash Collateral Position from the possible values:
	- Instructed
	- Settled
Currency	Enter / Select the currency of the Cash Collateral Position. The magnifier icon can also be used.
	Required format is: Max. 3 characters.
Counterparty	Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs.
	Required format is: Max. 30 characters.
Position Nature	Enter / Select the nature of the Cash Collateral Position from the possible values:
	– Initiation
	– Return
Position Type	Enter / Select the Type of the Cash Collateral Position from the possible values:
	- Collateral
	– Interest
Movement Refer-	Enter the reference of the movement that affects the Cash Collateral Posi-
ence	tion.
	Required format is: Max. 30 characters.
Process Valuation	Enter the Type of valuation process of the Cash Collateral Position
Туре	Required format is: free text



Pool ID	Enter the identifier of the Pool.				
	Required format is: Max. 30 characters				
Being Cancelled	Enter / Select whether the Cash Collateral Position is cancelled or not from				
	the possible values:				
	- Yes				
	- No				
Update Date	Enter the reference date of the last update of the Cash Collateral Position or				
	use the calendar icon.				
	Required format is: DD/MM/YYYY hh:mm:ss:µs				
Creation Date	Enter the reference date of the creation of the Cash Collateral Position or use				
	the calendar icon.				
	Required format is: DD/MM/YYYY hh:mm:ss:µs				
Button	Function				
Reset	This function enables you to clear the form.				
Search	This function enables you to start a search according to the filled in criteria.				
	The results are displayed in a list on the Cash Collateral Position - List				
	Screen.				
	Next screen:				
	– Cash Collateral Detailed Position – List Screen.				

### 3.3.2.1.2.1.1 Cash Collateral Detalled Positions – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.											
Screen Access		and Credit >> Click on the s										
	Cash Collateral Position Detail		cui cii (		(iij ici	три	iiiig	THE T		, 1	, nec	<i> </i>
Screenshot	10 ▼			K K Page 1	of 22 > >						Q 214 * E	<b>□□()</b> ▽ <b>[</b>
Sercensitor	■ Monterent Refer.  - CO001355MAMPT E00001  - CO001455MAMPT E00001  - CO001455MAMPT E00001  - CO001755MAMPT E00001  - CO00175	To lease the Committee of the Committe	Correcty   Correcty	Process Valuation / Control College Inc. Cash College Inc. 1 Cash College Inc. 1 Cash College Inc. 1 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2 Cash College Inc. 2	19/04/2022 Irris 19/04/2022 Irris 19/05/2022 Irris 19/05/2022 Irris 19/05/2022 Irris 15/03/2022 Irris 19/05/2022 Irris 19/05/2022 Irris 19/05/2022 Irris	tructed Coll tructed Coll tructed Coll fructed Coll fructed Coll tructed Coll	lateral feel lateral feel lateral feel lateral feel lateral feel lateral feel lateral feel lateral feel lateral feel lateral feel lateral feel	Per   Per	28/03/2022 12.2 24/03/2022 16.4 25/03/2022 15.2 21/03/2022 17.2 18/03/2022 17.2 22/03/2022 19.2	STP STP STP STP ESCB011 ESCB011 ESCB011 ESCB011 STP	20/04/2022 12:2. 20/04/2022 12:1. 69/05/2022 09:3. 28/03/2022 12:2. 24/03/2022 16:4. 25/03/2022 16:2. 22/03/2022 09:0. 19/03/2022 10:2. 22/03/2022 10:2. 22/03/2022 10:2.	ESCE001-A2A008 ESCE001-A2A008 ESCE001-A2A008 ESCE001-A2A008 ESCE001 ESCE0011 ESCE0011 ESCE0011 ESCE0011 ESCE0011

Cash Collateral Detalled Positions – List screen				
Column	Description			
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs.  Displayed format is: Max. 30 characters.			
Pool ID	Shows the identifier of the Pool.  Displayed format is: Max. 30 characters			
Position Date	Shows the reference date of the Cash Collateral Position.  Displayed format is: DD/MM/YYYY			
Position Type	Shows the Type of the Cash Collateral Position from the possible values:			



	- Collateral
	- Interest
Position Status	Shows the status of the Cash Collateral Position from the possible values:
	- Instructed
	- Settled
Amount	Shows the sum of the cash collateral amount for the Process Valuation Type
	and the Position Status
Currency	Shows the currency of the amount that increases / decreases the Cash Collateral Position.
	Displayed format is: Max. 3 characters.
Process Valuation Type	Shows the Type of valuation process of the Cash Collateral Position from the possible values:
	- Cash Collateral Decrease
	- Cash Collateral Increase
	- Cash Collateral Interest Decrease
	- Cash Collateral Interest Increase
Position Nature	Shows the nature of the Cash Collateral Position from the possible values:
	– Initiation
	- Return
Movement Reference	Shows the reference of the movement that affects the Cash Collateral Position.
	Displayed format is: Max. 30 characters.
Being Cancelled	Shows whether the Cash Collateral Position is cancelled or not from the possible values:
	- Yes
	- No
Creation Date	Shows the date and time of the creation of the Cash Collateral Position.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Cash Collateral Position.
Update Date	Shows the date and time of the last update of the Cash Collateral Position.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Cash Collateral Position.
After selecting a re	ecord, the following buttons are displayed
Button	Function
View	This function enables you to access to the detailed information of the selected Cash Collateral Position.
	Next screen:
	– Cash Collateral Detailed Positions – Details Screen.



## 3.3.2.1.2.1.2 Cash Collateral Detailed Positions – Details screen

Context of Usage	This screen displays detailed information on the selected Cash Collateral Position. You can check the data and proceed further by clicking on the buttons.			
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Cash Collateral Position Details >> Click on the search button (after inputting the relevant data, if needed)>> Select a Cash Collateral Position >> Click on the View button			
Screenshot	Cesh Colleteral Position Details Passion Date 13460022 Being Cancelled No Passion Status Biomyrand Mannane Reference WWEZENKLANTY FOOPbat Update State is STP Countion User id STP Countion User id STCREERLYZAME	List Screen > Cash Colleteral Position Details View  Updare Date  Updare Date  1860-0223 88-2158-974  Custon Date  1860-0223 88-2158-974	Screen Coan Proston to Iministration/attenum/a	Amount  Proston Nature  Inimits  Curricy  XXX

Cash Collateral Detailed Positions – Details Screen		
Instruction Details		
Field	Description	
Cash Position Id.	Show the identifier of the cash collateral position.	
Pool ID	Shows the identifier of the Pool.	
	Displayed format is: Max. 30 characters	
Position Date	Shows the reference date of the Cash Collateral Position.	
	Displayed format is: DD/MM/YYYY	
Position Type	Shows the Type of the Cash Collateral Position from the possible values:	
	– Collateral	
	– Interest	
Position	Shows the status of the Cash Collateral Position from the possible values:	
Status	– Instructed	
	- Settled	
Position	Shows the nature of the Cash Collateral Position from the possible values:	
Nature	– Initiation	
	– Return	
Amount	Shows the sum of the cash collateral amount for the Process Valuation Type and the Position Status.	
Currency	Shows the currency of the amount that increases / decreases the Cash Collateral Position.	
	Displayed format is: Max. 3 characters.	
Process Valuation Type	Shows the Type of valuation process of the Cash Collateral Position from the possible values:	
	- Cash Collateral Decrease	
	- Cash Collateral Increase	
	- Cash Collateral Interest Decrease	



	- Cash Collateral Interest Increase
Movement	Shows the reference of the movement that affects the Cash Collateral Position.
Reference	Displayed format is: Max. 30 characters.
Being Can- celled	Shows whether the Cash Collateral Position is cancelled or not from the possible values:
	- Yes
	- No
Foot Print	
Creation	Shows the date and time of the creation of the Cash Collateral Position.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Cash Collateral Position.
Update Date	Shows the date and time of the update of the Cash Collateral Position.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Cash Collateral Position.

## 3.3.2.1.2.2 Cash Collateral Aggregated Positions - Search Screen

Context of Usage	This screen is used to search Cash Collateral Aggregated Positions. It contains the fields that can be used as search criteria.
	The user captures the fields that correspond to its criteria for searching Cash Collateral Positions.
	If a field is left blank, the field is not taken into account as a search criteria.
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.
Business Case re- lated	Search/List Cash Collateral Aggregated Positions
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Aggregated Positions >> Aggregated Cash Collateral Position
Screenshot	Agregated Casth Colleteral Position Search Screen

Cash Collateral Aggregated Positions – Search screen		
Object	Description	
Pool ID*	Enter the identifier of the Pool.	
	Required format is: Max. 30 characters	
Position Date*	Enter the reference date of the Cash Collateral Position or use the calendar icon.	
	Required format is: DD/MM/YYYY	
Button	Function	
Reset	This function enables you to clear the form.	



Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the Cash Collateral Aggregated Positions - List Screen.
	Next screen:
	- Cash Collateral Aggregated Position - List Screen.

## 3.3.2.1.2.2.1 Cash Collateral Aggregated Positions - List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case re- lated	Search/List Cash Collateral Aggregated Positions
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Aggregated Positions >> Aggregated Cash Collateral Position >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	View IM. Position Detailed    In the Conference of the Conference

Cash Collateral Aggregated Positions – List screen		
Column	Description	
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs.  Displayed format is: Max. 30 characters.	
Pool ID	Shows the identifier of the Pool.  Displayed format is: Max. 30 characters	
Actual Position	Shows the effective quantity of cash in Euro on the Pool taking into account all confirmed cash collateral payments and the accrued interests.	
Provisional Position	Shows the forecasted quantity of cash in Euro on the Pool taking into account all confirmed cash collateral payments and all the pending ones. and the accrued interests.	
Conservative Position	Shows the conservative quantity of cash in Euro on the Pool (i.e. quantity that is can be counted as collateral) taking into account all confirmed cash collateral payments and all the pending ones for cash reimbursement. and the accrued interests.	

#### 3.3.2.1.3 Cash Collateral Interest

A Cash Collateral Accrued Interests represents the daily calculation of the accrued interest for cash mobilised as collateral in a Pool.

The ECMS keeps tracks of each daily calculation of the accrued interest.

Through the different screens described in this section, an NCB user can access to the details of the Cash Collateral Accrued Interest.



## 3.3.2.1.3.1 Cash Collateral Accrued Interest - Search Screen

Context of Usage	This screen is used to search the calculated Cash Collateral Accrued Interests. It contains the fields that can be used as search criteria.
	The user captures the fields that correspond to its criteria for searching Cash Collateral Accrued Interests.
	If a field is left blank, the field is not taken into account as a search criteria.
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.
Screen Access	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Interest >> Monitor Cash Collateral Accrued Interests
Screenshot	Share Collision Account Interests   Cash Collisio

Cash Collateral Accrued Interest – Search screen		
Field	Description	
Pool ID	Enter the identifier of the Pool.	
	Required format is: Max. 30 characters	
Calculation Date	Enter the date of calculation of the accrued interest or use the calendar icon.	
	Required format is: DD/MM/YYYY	
Movement Reference	Enter the reference of the cash collateral movement generated by the calculation of the accrued interests.	
	Displayed format is: Max. 30 characters.	
Update Date	Enter the reference date of the last update of the Cash Collateral Position or use the calendar icon.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Creation Date	Enter the reference date of the creation of the Cash Collateral Position or use the calendar icon.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Button	Function	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the Cash Collateral Accrued Interest - List Screen.	
	Next screen:	
	– Cash Collateral Accrued Interest – List Screen.	

### 3.3.2.1.3.1.1 Cash Collateral Accrued Interest - List Screen

<b>Context of Usage</b>	This screen contains the search results displayed in a list, which is sorted by
	the values of the 'Update Date' column in descending order (default set-
	ting). After selecting an entry, you can proceed further by clicking on the
	buttons below.



Screen Access	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Interest >> Monitor Cash Collateral Accrued Interests >> Click on the search button (after inputting the relevant data, if needed)								
Screenshot	Cash Collateral Acc	Movement Type 4 💎 Counterpar 18 Cash Collaboral Interes AT0000000 14 Cash Collaboral Interes AT0000000	57870 POOL000000000257 96714 POOL000000000256		age 1 er 67 > >  10 17/10/2022 10 17/10/2022	Interest Type \$ \$ Currency \$ Accrued interest on ca. BUR Accrued interest on ca. BUR Accrued interest on ca. BUR	Creation User Id \$ \sqrt{Update Date}\$ ECMSOperationalDay	Update User Id © 9.23:10 ECMSOperational Day 9.23:07 ECMSOperational Day	. 14/10/2022 19:23:03
		Cash Collateral Interes	P00L60003000226 P00L60000000210 P00L600000000166 P00L600000000124 09375 P00L600000000123	25587 2,539.09 53.93 215.84 44.51 217.25 1,561.04	10 17710/2022 10 17710/2022 10 17710/2022 10 17710/2022 10 17710/2022 10 17710/2022 10 17710/2022 10 17710/2022	Actured interest on ca. CUR Actured interest on ca. CUR Actured interest on ca. CUR Actured interest on ca. CUR Actured interest on ca. CUR Actured interest on ca. CUR Actured interest on ca. CUR Actured interest on ca. CUR	ECMSOperationalDay 14/10/2002  ECMSOperationalDay 14/10/2002  ECMSOperationalDay 14/10/2002  ECMSOperationalDay 14/10/2002  ECMSOperationalDay 14/10/2002  ECMSOperationalDay 14/10/2002  ECMSOperationalDay 14/10/2002	922-51. ECMSOperational Day 9.22-43. ECMSOperational Day 9.22-37. ECMSOperational Day 9.22-32. ECMSOperational Day	14/10/2022 19:22-41 - 14/10/2022 19:22-38 - 14/10/2022 19:22-33 - 14/10/2022 19:22-25 - 14/10/2022 19:22-20 - 14/10/2022 19:22-20

Cash Collateral A	ccrued Interest – List screen			
Column	Description			
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs.			
	Displayed format is: Max. 30 characters.			
Pool ID	Shows the identifier of the Pool.			
	Displayed format is: Max. 30 characters			
Calculation Date	Shows the date of calculation of the accrued interest or use the calendar icon.			
	Displayed format is: DD/MM/YYYY			
Movement Reference	Shows the reference of the movement that affects the Cash Collateral Position.			
	Displayed format is: Max. 30 characters.			
Interest Type	Shows the type of movement generated by the Accrued Interests from the possible value:			
	- Cash Collateral Interest Decrease			
	- Cash Collateral Interest Increase			
Currency	Shows the currency of the Accrued Interest Amount.			
	Displayed format is: Max. 3 characters.			
Cash Interest	Shows the amount of the Accrued Interest calculated.			
Interest Rate	Shows the rate that was used for the calculation of the accrued interests.			
Creation Date	Shows the date and time of the creation of the Cash Collateral Position.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Creation User Id	Shows the identification of the user that created the Cash Collateral Position.			
Update Date	Shows the date and time of the last update of the Cash Collateral Position.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater User Id	Shows the identification of the user that made the last update of the Cash Collateral Position.			
After selecting a r	ecord, the following buttons are displayed			
Button	Function			
View	This function enables you to access to the detailed information of the selected Cash Collateral Position.			
	Next screen:			
	– Cash Collateral Positions – Details Screen.			



## 3.3.2.1.3.1.2 Cash Collateral Accrued Interest - Details Screen

Context of Usage	This screen displays detailed information on the selected Cash Collateral Accrued Interest. You can check the data and proceed further by clicking on the buttons.						
Screen Access	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Interest >> Monitor Cash Collateral Accrued Interests >> Click on the search button (after inputting the relevant data, if needed)>> Select a Cash Collateral Accrued Interest >> Click on the <i>View</i> button					search	
Screenshot	Cesh Collegeral Accrued Interest List > Cesh Colle MONOMANT DEFINES Monomore Inderence Monomore Inderence Monomore Inderence Listances L	Pool Sernifier  POOL3998666  Cash Incress  litterast Type	or on cosh collatered licensor  Oracidis User M  EXMSynational	256.87 256.br	Counterparty ATM/000085330  Currency  KITK  Inference Plate	Data and Time Lethods 19-22-0-089	200 C

Cash Collateral A	ccrued Interest – Details Screen				
Accrued Interest Details					
Field	Description				
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs.				
	Displayed format is: Max. 30 characters.				
Pool ID	Shows the identifier of the Pool.				
	Displayed format is: Max. 30 characters				
Calculation Date	Shows the date of calculation of the accrued interest or use the calendar icon.				
	Displayed format is: DD/MM/YYYY				
Movement Reference	Shows the reference of the movement that affects the Cash Collateral Position.				
	Displayed format is: Max. 30 characters.				
Interest Type	Shows the type of movement generated by the Accrued Interests from the possible value:				
	- Cash Collateral Interest Decrease				
	- Cash Collateral Interest Increase				
Currency	Shows the currency of the Accrued Interest Amount.				
	Displayed format is: Max. 3 characters.				
Cash Interest	Shows the amount of the Accrued Interest calculated.				
Interest Rate	Shows the rate that was used for the calculation of the accrued interests.				
Foot Print					
Creation Date	Shows the date and time of the creation of the Cash Collateral Position.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Creation User Id	Shows the identification of the user that created the Cash Collateral Position.				
Update Date	Shows the date and time of the update of the Cash Collateral Position.				



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Cash
	Collateral Position.

### 3.3.2.2 Externally Managed Collateral and impacts

This process describes how the global value of a collateral position, not managed in the ECMS, is recorded in the ECMS. This functionality can only be used by NCB users or NCB systems, not directly by the Counterparty.

The update of an Externally Managed Collateral position is related to:

Reception of an A2A instruction from an External Actor and the message has successfully passed the general business validation checks, or;

Capturing the instruction via U2A and the instruction is validated.

### 3.3.2.2.1 EMC - Display Screen

Context of Usage	This screen contains a number of search fields regarding the EMC of the Counterparty sent by the local CMS and shows the latest and stored searches. By inputting the relevant data, you can search for the EMC.  Depending how the screen is accessed, it can be used to search the valid information of the EMC or the information that requires 4 eyes validation before they can be considered valid in the system.					
	The search results will be displayed in a list. After selecting an entry, you can proceed futher by clicking on the buttons below.					
Screen Access	For valid information:					
	Collateral and Credit >> Collateral and Credit >> Collateral >> Externally Managed Collateral >> Display EMC Instruction					
	For information subject to 4 eyes validation:					
	Collateral and Credit >> Validation / Rejection >> Validate / Reject EMC >> EMC Display					
Screenshot	■ target   tous   Business Date 17/04/2022   ★ 🗸 👺 FROST   🐧 TRUNK, ECMS OPE -					
	Search Criteria: EMC Instruction   Opplay EMC Instruction   Search Criteria: EMC Ins					
	Transaction Reference = ▼					
	Pool Identifier V EMCType V Intended Settlement V Date					
	Update Mode == ▼					
	Instruction Status ▼					
	O Reset					

EMC – Search screen				
Field	Description			
Transaction Reference Number	Unique identifier of the instruction in the ECMS			
EMC Type	Type of EMC update requested by the NCB (CC, Individual ACC, Portfolio ACC, EFC, RMDB, ASTD, Other)			
Counterparty	Enter/Select the unique identifier of the counterparty in the ECMS			
Pool Identifier	Enter/Select the unique identifier of the pool in the ECMS			



Update Mode	Enter/Select of the update mode ("Delta" or "Cancel & Replace")		
Amount	Enter the amount		
U2A	Enter/Select "Yes" or "No" (if No = to A2A)		
Intended Settlement Date	Enter/Select the ISD (equal or posterior to the current ECMS instruction date)		
Creation Date	Enter the creation date of the concerend EMC instruction		
Update Date	Enter the update date of the concerend EMC instruction		
Instruction Status	Shows the Instruction Status of the EMC instruction. Possibble values are:  - "Received",  - "Validated",  - "Rejected",  - "Confirmed"		
Button	Function		
Reset	This function enables you to clear the form.		
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.		

### 3.3.2.2.2 EMC - List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.						
	Depending how the screen is accessed, it can be used to list the valid information of the EMC or the information that requires 4 eyes validation before they can be considered valid in the system.						
Screen Access	For valid information:  Collateral and Credit >> Collateral and Credit >> Collateral >> Externally Managed Collateral >> Display >> Click on the Search button (after inputting the relevant fields, if needed)  For information subject to a 4 eyes validation:  Collateral and Credit >> Validation / Rejection >> Validate / Reject EMC >> Cash Collateral Display >> Click on the search button (after inputting the relevant Reference Data, if needed)						
Screenshot	Search Result : EMC Instruction  10 ▼  10 Torreaction Inc.   Outly is instruct.   Pool describe 8.   Morting 2 €   Outly is instruct.   Pool describe 8.   Morting 2 €   Outly is instruct.   Pool describe 8.   Morting 2 €   Outly is instruct.   Pool describe 8.   Morting 2 €   Outly is instruct.   Pool describe 8.   Morting 2 €   Outly is instruct.   Pool describe 8.   Morting 2 €   Outly is instruct.   Pool describe 9.   Outly is instruct.						

EMC – List Screen



Field	Description				
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS				
Party's Instruction Reference	Shows the reference of the instruction given by the instructing Party				
Pool Identifier	Shows the unique identifier of the pool id in the ECMS				
Counterparty	Shows the unique identifier of the counterparty id in the ECMS				
Amount	Shows the amount				
Compulsory Event	Shows if the event is compulsory or not ("Yes" or "No")				
ЕМС Туре	Shows the type of EMC (CC, Individual ACC, Portfolio ACC, EFC, RMDB, ASTD, Other)				
Update mode	Shows the update mode ("Delta" or Cancel & replace")				
Instruction Status	Shows the internal ECMS Instruction Status				
Intended Settlement Date	Shows the ISD (equal or posterior to the current ECMS instruction date)				
Update Date	Shows the Date and Time				
	Format required is: YYYY/MM/DD hh:mm:ss:µs				
Creation Date	Shows the Date and Time				
	Format required is: YYYY/MM/DD hh:mm:ss:µs				
U2A	Shows "Yes" in case of U2A mode or "No" if A2A mode				
After selecting a reco	rd, the following buttons are displayed				
Button	Function				
History	This function enables you to access to the historical information of the EMC instruction.				
	Next screen:				
	– EMC – History Screen.				
View	This function enables you to access to the detailed information of a EMC instruction.				
	Next screen:				
	- EMC - Details Screen.				
If the screen is used in	n the 4 eyes validation context, the following buttons are also displayed				
when a record is sele	· · · · · · · · · · · · · · · · · · ·				
Button	Function				
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.				
	Next screen:				
	EMC – History Screen.				
View Draft	This function enables the user to view the draft record to be validated.				
	Next screen:				
	EMC – Details Screen.				
Edit	This function allows the user to edit and modify the draft.				
	Next screen:				
I	1				



	EMC – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

## 3.3.2.2.3 EMC – History Screen

Context of Usage	This screen displays historical information that has changed on the selected EMC instruction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.			
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Externally Managed Collateral &gt;&gt; Display &gt;&gt; Click on the Search button (after inputting the relevant fields, if needed) &gt;&gt; Select a Transaction Reference Number &gt;&gt; Click on the History button</li> <li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Externally Managed Collateral &gt;&gt; Display &gt;&gt; Click on the Search button (after inputting the relevant fields, if needed) &gt;&gt; Select a Transaction Reference Number &gt;&gt; Click on the View button - Details Screen &gt;&gt; Click on the History Button</li> </ul>			
C 1 1	horizontalHistoryResult : EMC Instruct		en on the History Button	
Screenshot	nonzontal History Result : EMC Instruct	ion History	Q. 2. Compare Expend All Show Differences Only 🛧 👹 🛚	
	Fielde	939999015 2022-06-15 15:38:24.861 2	939999015 2022-06-15 15:38:15.480 1	
	Lest Notification Out	3449999133		
	Pool Movement	EMC2022061500558		
	Instruction Status	Settled	WeitingValidation	
	Creation Date	15/06/2022.15:38:24:861	15/06/2022.15:38:15:480	
	Amount	50,000,000	50,000,000	
	Compuloory Event	No	No	
	Intended Settlement Date	16/06/2022	16/06/2022	
	lo Rejected	No	No	
	U2A	Yeo	Yes	
	Updete Mode	DELTA	DELTA	
	Action To Velidate			
	Lest Update Reason			
	Bill Date	EMC2022061 S00558	EMC2022061500558	
	Perty's Instruction Reference	BMC2022061500558 84	EMC2022061500558 84	
	Counterparty	BLR	84 ELR	
	EMC Type	CC CC	00	
	Instructing Party	-		
	Instruction Date			
	Lest Notification In			
	NCB Identifier	FR30001	FRS0001	
	Notification Origin			
	Object Code			
	Object Type			
	Pool Identifier	P00L00000000197	P00L00000000197	
	Rejection Resoon			
	Treno Feeo Amount			
	Velidetion Status			
	Transaction Reference Number	EMC2022061500558	EMC20222061500558	
	Main Reference			
	Creation Uper Id	ECMS OPERATOR 1	ECMS OPERATOR 1	
	(4) difference(s) found.			

EMC – History Screen			
Object	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected EMC instruction.		
Timestamp	Shows the date and time when the fields have been updated.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		



Compare	Shows the differences between the selected records whose data have been updated.	
*	Shows the expanded information of the fields whose information have been updated since the creation of the selected EMC.	
Show All	Shows the information that existed before each modification of all the fields regarding the selected EMC.	

### 3.3.2.2.4 EMC – Details Screen

Context of Usage	This screen displ	lays deta	iled inform	ation of the	selecte	ed EMC instruc-
	tion.					
Screen Access	Collateral and C Managed Collate button >> Select	eral >> D	isplay EMC	Instruction	>> Cli	
Screenshot	Coule BAC howards:  Search Criteria: EMC Instruction  Search Result: EMC Instruction > View: EMC Instruction  TRANSACTION DETAILS					215 3 (1111) 20
	Trensestion Referense Number EMC2022041900598		Perty's Instruction Reference ZMC282266350656		loerofier2 FR18129	
	Pool Identifier POOL0000000097		NCB Identifier FR3001		EMC Type CC	
	Amount	50,000,000	Trans Fees Amount		Currency ECR	
	Intended Settlement Date 16/06/2022		Update Mode DELTA			
	Lear Update Reason					
	STATUS DETAILS					<b>8</b>
	Instruction Status Sended		Event Department EMC Mobilization		Compulsory Event	_
	Calleterel Inaulfialency Ne		UQA Ves		Astion To Velidete	
	la Rejected No					
	OTHER DETAILS					
	Pool Movement EACCCCOAS 500655			Payment & Credit Line Instruction —		
	Business Validation Errors (C)			Nut/Europe [1]		
	FootPrint					
	Upcate User In ECMS OPERATOR I	Update Date 15/96/2022 15:38:24:561		Creation User is ECMS OFERATOR 1		Creation Date 15:06/3022 25:00:15:480

EMC – Details screen				
Button	Description			
History	This function enables you to access to the historical information of a EMC instructions.			
	Next screen:			
	- EMC – History Screen.			
General Information				
Field	Description			
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS			
Party's Instruction Reference	Shows the reference of the instruction given by the instructing Party			
Instructing Party	Shows the instructing party Id that initiated the instruction			
Pool Identifier	Shows the unique identifier of the pool id in the ECMS			
Counterparty Identifier	Shows the unique identifier of the counterparty id in the ECMS			
Counterparty name	Shows the short name of the Counterparty.			



NCB Amount	Shows the amount	
Compulsory Event	Shows if the event is compulsory or not ("Yes" or "No")	
EMC Type	Shows the type of EMC (CC, Individual ACC, Portfolio ACC, EFC, RMDB, ASTD, Other)	
Instruction Status	Shows the internal ECMS Instruction Status	
Intended Settlement Date	Shows the ISD (equal or posterior to the current ECMS instruction date)	
Action to Validate	Shows the action to validate	
Is Rejected	Shows Boolean value Yes or No	
U2A	Shows "Yes" in case of U2A mode or "No" if A2A mode	
Footprint		
Creation Date	Shows the date and time of the creation of the EMC.	
	Displayed format is: YYYY/MM/DD hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the EMC.	
Update Date	Shows the date and time of the update of the EMC.	
	Displayed format is: YYYY/MM/DD hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the EMC.	

# 3.3.3 Monetary Policy Operations

## 3.3.3.1 MPO Expected Payments Screens

## 3.3.3.1.1 MPO Expected Payments – search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Expected payments related to Monetary Policy Operations.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	
Screen Access	Collateral and Credit >> Reporting >> Credit Operations >> MPO Expected Payments
Screenshot	FTDPosition Describer  FTDPosition Describer  FTDPosition Describer  FTDPosition Describer  FTDFOSITION IN Position IN PO
MPO Expected pa	yments – Search screen
Field	Description
Counterparty RIAD code	Enter/select the counterparty RIAD code.
Pool identifier	Enter/select the pool identifier.



Operation Reference	Enter the operation reference.
Operation Type	Enter/select the operation type.
Principal or In-	Enter/select the principal or interest indicator.
terest Indicator	Possible values:
	– Principal
	– Interest
Intended Pay-	Enter/select the intended payment date.
ment Date	
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- MPO Expected payments - List Screen

## 3.3.3.1.2 MPO Expected Payments – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
<b>Business Case re-</b>	
lated	
Screen Access	Collateral and Credit >> Reporting >> Credit Operations >> MPO Expected Payments >> Click on the search button (after inputting the relevant Reference Data, if needed)
Screenshot	View: ML Position Detailed    In   In   In   In   In   In   In   I

MPO Expected payments – List Screen		
Field	Description	
Payment reference	Shows the reference of the payment	
Internal Reference ID	Shows the internal reference ID.	
Operation Reference	Shows the reference of the operation	
Operation Type	- Shows the operation type.	



Counterparty RIAD code	– Shows the counterparty RIAD code.		
Pool identifier	<ul> <li>Shows the identifier that uniquely identifies the Pool of the counterparty.</li> </ul>		
NBC identifier	Shows the identifier that uniquely identifies the NCB.		
Amount	Shows the outstanding amount of the expected payment.		
Currency	Shows the currency of the expected payment.		
Intended Pay- ment Date	Shows the date on which the cash payment will be made and the security will be debited.		
	Displayed format is: DD/MM/YYYY.		
Principal or in-	Shows the principal or interest indicator.		
terest indicator	Possible values:		
	– Principal		
	– Interest		
Netting indicator	Shows the netting indicator that distinguish the netted payment and the non netted payments.		
	Possible values:		
	- Yes		
	- No.		
Netting payment ID	Shows the netting payment identifier.		
Sign	Shows the sign.		
	Possible values:		
	– In		
	- Out		
Credit Account	Shows the credit account.		
Debitor Account	Shows the debitor account.		
<b>Event Description</b>	Shows the event description.		
Payment status	Shows the payment status.		
After selecting a re	ecord, the following buttons are displayed		
Button	Function		
View	This function enables you to access to the detailed information of an expected payment related to monetary policy operations.		
	Next screen:		
	– MPO Expected payments – Details Screen.		

## 3.3.3.1.3 MPO Expected Payments – Details Screen



Context of Usage	This screen displays detailed information on the selected expected payment related to monetary policy operations. You can check the data and proceed further by clicking on the buttons.		
<b>Business Case re-</b>			
lated			
Screen Access	Collateral and Credit >> Reporting >> Credit Operations >> MPO Expected Payments >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an expected payment >> Click on the <i>View</i> button		
Screenshot	View: ML Position Detailed   View: ML Position Detailed   Actual Pusition	Prof standard POCLOMMENTED Provisional Protein  6	Prostron Dates C. Service Prostron Conservative Prostron 0

MPO Expected	d payments – Details Screen
Field	Description
Payment ref- erence	- Shows the reference of the payment
Internal Ref- erence ID	Shows the internal reference ID.
Counterparty RIAD code	- Shows the counterparty RIAD code.
Pool identifier	- Shows the identifier that uniquely identifies the Pool of the counterparty.
NBC identi- fier	Shows the identifier that uniquely identifies the NCB.
Amount	Shows the outstanding amount of the expected payment.
Currency	Shows the currency of the expected payment.
Intended Pay- ment Date	Shows the date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Operation Reference	Shows the reference of the operation
Operation Type	Shows the operation type.
Principal or	Shows the principal or interest indicator.
interest indic-	Possible values:
ator	– Principal
	– Interest
Sign	Shows the sign.
	Possible values:
	– In
	- Out
Credit Ac- count	Shows the credit account.



Debitor Account	Shows the debitor account.
Netting indicator	Shows the netting indicator that distinguish the netted payment and the non netted payments.
	Possible values:
	- Yes
	- No.
Netting pay- ment ID	Shows the netting payment identifier.
Event De- scription	Shows the event description.
Payment status	Shows the payment status.
Foot Print	
Creation Date	Shows the date and time of the creation of the expected payment.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the expected payment.
Update Date	Shows the date and time of the update of the expected payment.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the expected payment.

## 3.3.3.2 Open Market Operations

The following sections describes the screens for the handling of Liquidity Providing Operations in EUR and in currency.

### 3.3.3.2.1 Open Market Operation – Screens

## 3.3.3.2.1.1 Open Market Operation – Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the list of operations meeting the entered criteria. The screen contains a number of search fields and shows the latest and stored performed searches. By inputting the relevant data you can search for all operations that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown  Depending how the screen is accessed, it can be used to search the valid information of the Open Market Operation or the information that re-
Screen Access	quires 4 eyes validation before they can be considered valid in the system.  For valid information:  Collateral and Credit >> Exposure >> Open Market Operations >> Operations >> Display OMO Instruction  For information subject to 4 eyes validation:





Operations – Searc	h screen			
General Information				
Field	Description			
OMORefNo	Enter the OMO reference number that uniquely identifies the operation in the ECMS.			
	Required Format: YYYYnnnn			
Internal Reference ID	Enter the technical reference number that uniquely identifies the operation in the ECMS			
Pool Identifier	Enter the pool Identifier			
Bidder ID	Enter the counterparty identifier/ Name or retrieve it with the magnifying glass			
	Enter the operation type you are looking for.			
Segregated Opera-	List of possible values:			
tion Name	- LTRO			
	- TLTRO-I			
	- TLTRO-II			
	- TLTRO-III			
	- TLTRO-IV			
FX Currency	Enter/select the currency of the operation			
Allotment Date	Enter a date or use the calendar icon to select one			
	Required format is: DD/MM/YYYY.			
Settlement Date	Enter a date or use the calendar icon to select one			
	Required format is: DD/MM/YYYY.			
Maturity Date	Enter a date or use the calendar icon to select one			
	Required format is: DD/MM/YYYY.			
Auction type	Enter/select the auction type from the drop-down menu one of the following values :			
	- "Fixed Rate Tender"			
	- "Variable Rate Tender"			
To be netted	Yes / No			
Netting Reference	Enter the application reference number that uniquely identifies the netting result in the ECMS.			
Allotment Status	Possible values:			
	- Cancelled			
	- Closed			



T.			
	- Waiting Manual Intervention		
	- Margin Release		
	- Margin Reservation		
	- Partially Reimbursed		
	- Pending Closure		
	- Pending Settlement		
	- Rejected		
	- Settled		
	- Validated		
	- Waiting Validation		
Is Amended	Enter Yes or No to display amended instructions		
U2A	Enter Yes or No to display U2A instruction		
Update date	Enter a date or use the calendar icon to select one		
	Required format is: DD/MM/YYYY.		
Button	Function		
Reset	This function enables you to clear the form.		
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.		
	* *		

# 3.3.3.2.1.1.1 Open Market Operation – List Screen

Context of Usage	This screen gives the list of operations resulting from the request entered in the display screen after clicking on the button Search. The screen Search is displayed below the query.  Depending how the screen is accessed, it can be used to search the valid information of the Open Market Operation or the information that re-					
Screen Access	quires 4 eyes validation before they can be considered valid in the system <i>For valid information:</i>					
	Collateral and Credit >> Exposure >> Open Market Operations >> Operations >> Display OMO Instruction >> Click on the Search button (after inputting the relevant fields, if needed)  For information subject to 4 eyes validation:  Collateral and Credit >> Exposure >> Open Market Operations >> Validate / Reject Waiting Validation >> Click on the Search button (after inputting the relevant fields, if needed)					
Screenshot						
	GADRING   Semant Refer.   Mr Operators, Supposed 0. Pool Semants   Pool Semants					
	ANN/ROTest UP002023614. MRO MRO P00L000000. FR00007 FR00001 14/06/2022 14/06/2022 15/06/2022 15/06/2022 15/06/2022					
	■ ANAROUNE L'POZZZOZELAL MINO         NINO         PROLIZOZOZE, FRIDOST         FRIDOST         1406/22322         1506/22322					
	ARRING LP002230614, MRC MRC - FR10007 14/06/2022 14/06/2022 16/06/2022 BLR					
	□ URITORNICAL   UPOZZZERIA 1, TUTO-0   TUTO-0   POZZZEROZA , FRZOZO   FRZOZO   1306/2022					
	update/freeze. UPG0202613. UTRO UTRO PO0L000000. FR00004					
	□ Update/ReverL. UP00222613. TUTRO1         TUTRO1         P00000000. FR00004          FR00000          13/06/2022         13/06/2022         15/06/2022         1,590         EJR           □ Test/Molenter. UP00222613. UTRO RECRES 10.170 or TUTRO1         P00000000. FR000001          FR000001          13/06/2022         15/					



Operations – List scr	reen	
General Information	1	
Field	Description	
Internal Reference ID	Shows the reference number that uniquely identifies the operation.	
OMORefNo	Shows the OMO reference number that uniquely identifies the operation in the ECMS.	
Party's Instruction Reference	Identifier of an allotment given by the user in case of manual input	
Bidder ID	Shows the identifier of the Counterparty	
Counterparty short name	Shows the short name of the counterparty	
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.	
Segregated Operation Name	This Field is fulfullied only when Operation Name is equal to Longer term Refinancing. Shows the Segregated Operation Name from the possible values :	
	- LTRO	
	- TLTRO-I	
	- TLTRO-II	
	- TLTRO-III	
	- TLTRO-IV	
Monetary Policy Op-	Shows the 'Monetary Policy operation type referenced on the allotment	
eration Type	from the possible values	
	- MRO	
	- FTRO	
	- LTRO	
	- SRO	
	– Non Euro LPO	
	- TLTRO-I	
	- TLTRO-II	
	- TLTRO-III	
	- TLTRO-IV	
Currency	EUR (currency used in the pool)	
Allotment Amout	Shows the amount of the OMO.	
FX Currency	Shows the Currency when the operation is in foreign currency	
FX Rate	Fx Rate used for the operation	
FX Amount	Amount allotted in Foreign currency	
Allottment date	Shows the date of the allotment	
Settlement Date	Shows the business date at which the operation was/ will be settled	
Maturity Date	Shows the business date at which the operation will mature.	
To be netted	Yes / No	



Netted payment ID	Link reference to the global payment resulting from the netted payments.			
	Technical field fed when the netting process (automatic or manual) is performed.			
	Empty if the netting indicator is set to NO.			
Next Interest Calculation Date	Shows the next interest calculation date			
Eligible to Early Repayment	Shows if the Operation is eligible to early repayment.			
Instruction Status	Status of the operation			
Netting Status	Shows the current status of the netting result.			
Interest Rate*	Enter the interest Rate to apply for the calculation of the daily accrued interest			
Action to validate	Present only when the screen is used in the context of 4 eyes validations,			
	Shows if the validation is required following a creation or an update of the record.			
Update User Id	Identifier of the last user updating the operation			
Update Date	Date of the last update			
After selecting a reco	rd, the following buttons are displayed (also available with click on right			
button)				
Button	Function			
History	This function enables you to access to the historical information of an operation.			
	Next screen displayed under the list screen:			
	Operation – History Screen.			
View	This function enables you to access to the detailed information of an operation.			
	Next screen displayed under the list screen:			
	Open Market Operation – detail Screen.			
If the screen is used i when a record is sele	n the 4 eyes validation context, the following buttons are also displayed cted			
Button	Function			
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.			
	Next screen:			
	- Open Market Operations - <i>History</i> Screen.			
View Draft	This function enables the user to view the draft record to be validated.			
	Next screen:			
	– Open Market Operations – <i>Details</i> Screen.			
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system			
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.			



# 3.3.3.2.1.1.2 Open Market Operation – History screen

- Collateral and Credit >> Exposure >> Open Market Operations >> Operation>> Display OMO instruction >> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> Click on the History Button  - Collateral and Credit >> Exposure >> Open Market Operations >> Operations >> Operations >> Display OMO Instruction >> clicking on Search button>> Select an instruction>> Click on the View History  Screenshot	Context of Usage	This screen displays historical information that has changed on the selected Instruction. You can check the data and proceed further by clicking on the buttons that are shown.								
Notice   Principal History	Screen Access	eration>> Display OMO instruction >> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> Click on the History Button  - Collateral and Credit >> Exposure >> Open Market Operations >> Operations >> Display OMO Instruction >> clicking on Search button>>								
		Select al	i iiisti u		CHCK OII	tile vie	WIIISTO	n y		
	Scroonshot	horizontalHistoryResult : Principal History	ory							Δ
MCA Secretical   Part	Screenshot								Compare Expend All Sho	tw Differences Only 🔸 🔀 📗
Personance promoter For Nation + Accounted interes		Fields	LP020220614000778(2022-0)	.P020220614000778;2022-0	LP020220614000778(2022-0.	UP020220614000778(2022-0.)	LP020220614000778(2022-0.)	P020220614000778(2022-0	☐ LP020220614000778(2022-0.	LP020220614000778(2022-0.,
Personance promoter For Nation + Accounted interes										
		NCB Identifier	7	7	7	7	7	7	7	
No		Remaining Amount To Return + Accrued Interv	0	15,000	15,000	15,000	15,000	15,000	15,000	
Non-Interest Calculation Description   1940-10222   194		Effective Realised Amount	15,000	15.000	15.000	0	0	0	0	0
Projection face   Processor		Is For Transfer	Yes	Yes	No	No	No	No	No	No
Processor description   642		Next Interest Calculation Date		15/06/2022	15/06/2022	15/06/2022	15/06/2022	15/05/2022	15/06/2022	
Procession Manage Jenson to BJFF   1,000 42   1,000 4		Pool Identifier	POOL000000000136	POOL000000000136	POOL000000000196	POOL000000000196	POOL00000000136	POOL000000000136	POOL00000000136	
Participation Code Procedure   Contracting Amounts to ERRO   See										
Contending Amount in LERO   9   \$1,000   \$1,00		Provisional Maturity Amount in EUR	15,000.42	15,000.42	15,000.42	15,000.42	15,000.42	15,000.42	15,000.42	
Recursory Uses			Yes	Yes	Yes	Yes	Yes	No	No	No
			۰	15,000	15,000					
Sections		*	0	0	0			15,000	15,000	
			Closed	PendingClosure	Activated	PendingActivation		Initiated	Preinitiated	ExposureWeitingValidation
Content Date							Weiting Payment Confirmation	Pending		
			Yes	Yes	Yes	Yes	Yes	Yes	Yes	No
Accordance strategis 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0					10/00/2022 17/00/00/700	1000/202217/45000000				
Com Modify   No No No No No No No No No No No No No			0	0	0	0	0	0	0	0
Pricy Interceptor Reference Antificient An			No	No	No	No	No	No	No	No
Coverage fixer 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0			AINMROtest	AINMROsest	AINWROtest	AINMROtest	AINWROtest	AINMROtest	AINMROtest	AINMROtest
Exposer Class Name Procept Pro			0	0	0		0	0	0	0
Centered Lost Schedule No No No No No No No No No No No No No			Principal	Principal	Principal	Principal	Principal	Principal	Principal	Principal
		Exposure Type	com vermeg collatera Managem	en comvermeg collateralManagem	en com vermeg collateralManagem	en com vermeg colleteralManageme	en com vermeg collateralManageme	en com vermeg collateralManagen	ven com vermeg collateral Managem	en com.vermeg.collateralManageme
		1								
Has Termination Daze No No No No No No No No No No		Generate Loan Schedule	No	No	No	No	No	No	No	No

OMO-Instruction – History Screen			
Field	Description		
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.		
Timestamp	Shows the date and time when the fields have been updated.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Party.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected Party.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected OMO-instruction.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected OMO instrution.		



# 3.3.3.2.1.1.3 Open Market Operation —Details screen

Context of Usage	This screen gives a view of selected Open Market Operations resulting from the request				
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Exposure &gt;&gt; Open Market Operations &gt;&gt; Operations &gt;&gt; Display OMO Instruction &gt;&gt; clicking on Search button &gt;&gt; Select an instruction &gt;&gt; Click on the View Button</li> </ul>				
Screenshot	Search result Allotment View - Allotment Operation Details Opposition Details ANNAIGNee ANNAIGNee Seprepated Operation Name Mail Annotes Type Visited Rate Table All Memory Rate Mail Memory Rate	Procedure  Statistic Table  Claid Operation Type  Layolde Previolag  Interest Rice  Alternation Date  1,460,0022  Donotion in Days  Finite  Bibliot id  Finite  Bibliot id  Finite  Statistic Id  Stat	Constant Name  Main Referencing Threscaled hype Review Fascandines  \$ 500 Public  \$ 1  Butterest Yolds Type  RAFE  Settlement Data 1446/903  Currency  ANN  ANY Constant Type  MICO  Prod Identifier  POOLEROROWOODLIA  Fr. Amount  14400  Preferency Cultimain Insufficency		

OMO-Operations- O	MO instruction – Details Screen
Button	Description
History	This function enables you to access to the historical information of an Early repayment instruction.
	Next screen:
	– OMO Instruction – History Screen.
Operation Details	
Field	Description
OMORefNo	Application reference number that uniquely identifies the operation in the ECMS.
Monetary Policy Operation Type	Shows the 'Monetary Policy operation type referenced on the allotment from the possible values
	- MRO
	- FTRO
	- LTRO
	- SRO
	– Non Euro LPO
	- TLTRO-I
	– TLTRO-II
	- TLTRO-III
	- TLTRO-IV
Segregated Operation Name	This Field is fulfullied only when Operation Name is equal to Longer term Refinancing. Shows the Segregated Operation Name from the possible values:
	- LTRO
	- TLTRO-I



	- TLTRO-II	
	- TLTRO-III	
	- TLTRO-IV	
Operation Type	Values:	
7	- Standard Tender	
	- Quick Tender	
Allotment Method	- Bilateral procedure	
Anothent Method	- Single Rate Auction	
On and Com Name	- Multiple Rates Auction	
Operation Name	List of values:	
	- Main Refinancing	
	- Longer-term Refinancing	
	- Fine-tuning	
	- Structural	
	- Other	
TransactionType	Transaction type, which can take one of the following values: "Reverse Transactions", "Fixed-Term Deposits" or "Repayment".	
Interest Rate	Current interest rate of the operation	
Spread	Spread to be applied to the interest rate	
Currency	EUR (currency used in the pool)	
FX Currency	Shows the Currency when the operation is in foreign currency	
Reference Entity	This field is free text in TOP. It defines the underlying interest rate, e.g. average MRO rate used for the computation of the applicable interest rate. This field requires the selection of 'Spread' in the field 'Quotation'.	
Settlement Date	Displayed format: DD/MM/YYYY	
Maturity Date	Displayed format: DD/MM/YYYY.	
Duration of Operation	Number of days between the Value Date and the Maturity Date.	
Allotment Details		
Internal Reference ID	Technical reference of the allotment given by the system	
Bidder ID	Shows the identifier of the Counterparty	
NCB Identifier	Counterparty's NCB.	
	The ISO-country code is the SenderReference in the TOP file.	
Instruction Status	Shows the status of the OMO instruction.	
Nominal	Shows the amount of the OMO instruction.	
Allotment Amout	Shows the amount of the OMO.	
FX Amount	Amount allotted in Foreign currency	
InitialMargin	Value of initial margin calculated by applying the initial margin rate (according to the Initial Margin Rate table) to the AllotmentAmount.	
	For non-euro operations lasting more than one week, the initial margin value is revalued every week after the revaluation of the outstanding amount with the value of the exchange rate provided by MID.	



Externally Covered Amount	Part of the allotment covered by an amount of collateral not in ECMS			
Eligible to Early Repayment	Yes or No			
Is fully Early repaid	Yes or No			
Preliminary Collateral Insufficiency	Shows that this Allotment instruction has created an insufficiency of collateral			
	Values:			
	Yes: There is not enough collateral to include this movement in CL calculation.			
	No: There is enough collateral to include this movement in CL calculation.			
<b>Operation Amounts</b>	in EUR			
Margin Amount	Shows the amount of the Initial Margin			
Accrued interest at Maturity	Calculated interest to be paid on maturity date			
Collateral Amount Needed	Nominal – Externally Covered Amount			
Provisional Maturity Amount	Amount of collateral needed on maturity date			
Remaining Amount To Return	Outstanding amount of the allotment to reimburse			
Reimbursed Amount	Amount reimbursed due to early repayment			
<b>Operation Amounts</b>	in original Currency			
Fx Currency	Shows the Currency when the operation is in foreign currency			
Fx Rate	Shows the currency Fx rate used for the operation			
Fx amount	Shows the amount allotted in foreign currency			
Interest				
Day Basis	Method used for the interest calculation (e.g. Actual On 360)			
Accrued Interest	Accrued interest amount.			
Externally Covered	Part of interest covered externally.			
Interest	This field is filled in if externally covered amount is different from 0.			
Last Interest Calcu-	Last time the process of interest calculation ran.			
lation Date	Displayed format: DD/MM/YYYY			
Next Interest Calcu-	Next time the process of interest calculation will run.			
lation Date	Displayed format: DD/MM/YYYY			
Footprint				
Update User Id	Shows the identification of the user that made the last update.			
Update Date	Shows the date and time of the Early Repayment last update.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Creation User Id	Shows the identification of the user that created the data.			
Creation Date	Shows the date and time of the Early Repayment creation.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			



Payments Details		
Button	Movement Details	
To be netted	Yes or No	
Netted payment ID	Link reference to the global payment resulting from the netted payments.	
	Technical field fed when the netting process (automatic or manual) is	
	performed.	
	Empty if the netting indicator is set to NO.	
Netting Status	Shows the current status of the netting result.	
<b>Display Movements</b>		
App reference	Shows the reference number that uniquely identifies the movement	
OMORefNo	Shows the OMO reference number that uniquely identifies the operation in the ECMS.	
Bidder ID	Shows the Identifier of the Counterparty	
Pool Reference	Shows the Identifier that uniquely identifies the Pool of the Counterparty.	
Amount	Shows the Movement Interest Amount	
Intended payment	Shows the Intended payment Date of Initiation movement	
date	Format: DD/MM/YYYY	
Modification reason	Shows the modification reason related to the interest movement	
Event description	Event type	
Collateral Insufficiency	Shows the indicator of collateral insufficiency	
Status	Shows the status of the movement	
Updator user Id	Shows the identification of the user that made the last update	
Update date	Shows the date and time of the last update.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Initiation & Return		
Button	Movements Details	
Display Movement		
App reference	Shows the reference number that uniquely identifies the movement	
OMORefNo	Shows the OMO reference number that uniquely identifies the operation in the ECMS.	
Bidder ID	Shows the Identifier of the Counterparty	
Pool Reference	Shows the Identifier that uniquely iodentifies the Pool of the Counterparty.	
Amount	Shows the Movement Amount	
Intended settlement	Shows the Intended settlement Date movement	
date	Format: DD/MM/YYYY	
Modification reason	Shows the modification reason related to the movement	
Event description	Event type	
Collateral Insufficiency check	Shows the indicator of collateral insufficiency	
Status	Shows the status of the movement	



Updator user Id	Shows the identification of the user that made the last update			
Update date	Shows the date and time of the last update.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Position Details			
Search Result : Cash	n Position			
Bidder ID	Shows the Identifier of the Counterparty to whom the cash collateral position belongs			
Process reference	Shows the reference number that uniquely identifies the operation			
Pool Identifier	Shows the Identifier that uniquely iodentifies the Pool of the Counterparty.			
Position Date	Shows the Date of the Cash Collateral Position			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs			
Position Status	Shows the status of the Cash Collateral Position from the possible values :  — Instructed			
	- Settled			
Book Amount	Shows the book amount of the Cash Collateral Position			
Margin Amount	Shows the amount of the initial margin			
Amount	Shows the amount of the Cash Collateral Position			
Currency	Shows the currency of the Cash Collateral Position			
Position Type	Shows the type of the Cash Collateral position from the possible value :			
	– Collateral			
	- Interrest			
Validity Date				
Update Date	Shows the reference date and time of the last update of the cash collateral position.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Update User Id	Shows the identification of the user that made the last update			
Creation Date	Shows the reference date and time of the creation of the Cash Collateral Position.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Creator User Id	Shows the identification of the user that made the creation			
Process Valuation Type	Shows the Type of valuation process of the Cash Collateral Position from the possible values:			
	- Cash Collateral Decrease			
	- Cash Collateral Increase			
	- Cash Collateral Interest Decrease			
	- Cash Collateral Interest Increase			
Position Nature	Shows the nature of the Cash Collateral Position from the possible values:			
	– Initiation			
	– Return			



Being cancelled	Shows whether the Cash Collateral Position is cancelled or not from the possible values:	
	- Yes	
	- No	
<b>Business Validation</b>	Error	
Error Message	Shows the error reason in case of incomplete instruction.	
His rule ID	Has Incomplete Status Identifier.	
Status	Shows the status of the early repayment instruction.	

### 3.3.3.2.2 Open Market Operation – Interest Rates screens

### 3.3.3.2.2.1 Open Market Operation – Interest Rate Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the list of interest rates input manually. The screen contains a number of searches fields and shows the latest and stored performed searches. By inputting the relevant data, you can search for all interest rates that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown
Screen Access	Collateral and Credit >> Exposure >> OMO Interest Rates >> Display
Screenshot	Search Criteria Operation Interest Balle  Operations are a search of the

Interest Rates – Search screen		
Search Criteria : Operation Interest Rate		
Field	Description	
Internal Reference ID	Enter the Transaction Reference Number that uniquely identify the allotment in ECMS. (This identifier is called "internal Reference ID" in the Open market Operation screens).	
Interest Rate	Enter the interest rate to be used to calculate the daily accrued interest for the selected operation.	
Effective Date	Enter the date from which the input interest rate is applicable regardless of the input date.	
Update Date	Shows the update date filld in automatically	
Button	Function	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	



# 3.3.3.2.2.2 Open Market Operation – Interest Rates List Screen

Context of Usage	This screen gives the list of interest rates input manually and resulting from the request entered in the display screen after clicking on the button Search. The screen Search is displayed below the query.					
Screen Access	Collateral and Credit >> Exposure >> OMO Interest Rates >> Display >> Click on the Search button (after inputting the relevant fields, if needed)					
0 1 1	Search Result : Operation Interes		`	1 0		•
Screenshot	18	Montfor2   4   1500007   15000007   15000007   15000007   15000007   15000007   15000007   15000007   15000007   15000007   15000007   15000007   15000007   150000007   1500000000000000000000000000000000000	Townsorthors 8	1   10   2   3   3   3   3   3   3   3   3   3	***   Desired Area 8  - 220000000 15 No 202104  - 220000000 15 No 202104  - 240000000 15 NO 202000  - 2400000000 15 NO 202000  - 24000000000000000000000000000000000000	Committee of the commit

Interest Rates – List screen		
Search Result : Operation Interest Rate		
Field	Description	
Internal Reference ID	Shows the Transaction Reference Number that uniquely identify the operation in ECMS.	
Interest Rate	Shows the interest rate to be used to calculate the daily accrued interest for a given operation.	
Effective Date	Shows the date from which the input interest rate is applicable regardless of the input date.	
Is Rejected	A Boolean showing whether the input interest rate is rejected or not: Yes or No	
Rejection Reason	Shows in case of rejection the reason why the input interest rate is rejected.	
Update Date	Shows the date and time of the last update of the operation interest rate.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Update User Id	Shows the identification of the user that made the last update of the operation interest rate.	
After selecting a recubutton)	ord, the following buttons are displayed (also available with click on right	
Button	Function	
History	This function enables you to access to the historical information of an operation.	
	Next screen displayed under the list screen:	
	Operation – History Screen.	
View	This function enables you to access to the detailed information of an operation interest rate.	
	Next screen displayed under the list screen:	
	Interest Rates – Details screen.	



#### 3.3.3.2.2.3 Open Market Operation – Interest Rate Details Screen

Context of Usage	This screen displays detailed information of the selected interest rate re-				
Context of Osage	lated to an operation.				
Screen Access	Collateral and Credit >> Exposure >> OMO Interest Rates >> Display >> Click on the Search button (after inputting the relevant fields, if needed) >> Select an Operation interest rate >> Click on the View button				
Screenshot	Search French Operation Interest Rate > Imped Operation Intere				
Interest Rate – Deta	ails screen				
View: Operation Ir	iterest Rate				
Field	Description				
Internal Reference ID	Shows the transaction reference number that uniquely identifies the operation in the ECMS.				
	Required format is: Max. 16 characters.				
Effective Date	Shows the date from which the recorded interest rate is applicable regardless of the input date.				
	Required/Displayed format is: DD/MM/YYYY.				
Is Rejected	Shows if any input/update waiting for validation was already rejected.  The possible values are:  - Yes  - No				
Action To Validate	Shows if there is any input or update waiting for validation				
Interest Rate	Shows the interest rate to be used to calculate the daily accrued interest for a given operation.				
Footprint					
Creation Date	Shows the date and time of the input of the operation interest rate.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Creation User Id	Shows the identification of the user that created the interest rate.				
Update Date	Shows the date and time of the last update of the operation interest rate.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Update User Id	Shows the identification of the user that made the last update of the operation interest rate.				

#### 3.3.3.2.3 Open Market Operation – Netting Result screens

### 3.3.3.2.3.1 Open Market Operation – Netting Result Search Screen



Context of Usage	This screen is used to enter criteria to retrieve the list of netting results stemming from the netting process execution. The screen contains number of searches fields and shows the latest and stored performed searches. By inputting the relevant data, you can search for all netting results rates that meet the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown	
Screen Access	Collateral and Credit >> Exposure >> LP Operations Netting >> Netting Result LPO	
Screenshot	Search Criteria : Netting Result  Transaction Polencic zz   Consequing zz   Netting Correcy  Netting Correct  Netting Correcy  Netting Correcy  Netting Correct	

Interest Rates – Search screen		
Search Criteria : Netting Result		
Field	Description	
App Reference	Enter the application reference number that uniquely identifies the netting result in the ECMS.	
Bidder ID	Enter the counterparty identifier or retrieve it with the magnifying glass	
Pool Identifier	Shows the identifier that uniquely identifies the Pool.	
Netting Date	Enter the date when the ECMS nets the operations and their corresponding payments into one single payment. The calendar icon can also be used.	
	Required format is: DD/MM/YYYY	
Netting Type	Enter/Select the type of netting result from the possible values:	
	- LPO (MLOR included)	
	- FTDASCOLLATERAL	
	- FTD	
Netting Currency	ISO-code of the currency	
	e.g.: JPY.	
Status	Enter/Select the status of the netting result	
Update Date	Select the date and time of the last update of the netting result. The calen-	
	dar icon can also be used	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Button	Function	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	

## 3.3.3.2.3.2 Open Market Operation – Netting Result List Screen



Context of Usage	This screen gives the list of netting results stemming from the netting process execution and resulting from the request entered in the display screen after clicking on the button Search, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Exposure >> LP Operations Netting >> Netting Result >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed)
Screenshot	Search Criteria : Netting Result

Netting Results – L	ist Screen
Field	Description
App Reference	Shows the application reference number that uniquely identifies the netting result in the ECMS.
	Displayed format is: Max. 30 characters.
POOL IDENTIFIER	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Bidder ID	Shows the identifier that uniquely identifies the Counterparty.
Counterparty short name	Shows the counterparty short name when the counterparty ID is filed in.
Netting Date	Shows the date when the ECMS nets the operations and their corresponding payments into one single payment. The calendar icon can also be used.
	Required format is: DD/MM/YYYY
Amount	Shows the netting amount.
Netting Currency	Shows the currency of the netting amount.
Status	Shows the current status of the netting result.
Is Foreign	Shows whether the netting result is belonging to OMO in EUR or in currency. Possible values are:
	- Yes
	- No
Fx Payment Received	Shows whether the FX Payment is received and confirmed or not. Possible values are:
	- Yes
	- No
Creation Date	Shows the date and time of the creation of the netting result.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that initiated the netting process and created the netting result.



Update Date	Shows the date and time of the last update of the netting result.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the net-
	ting result.
After selecting a ne	tting result record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of a Party.
	Next screen:
	- Parties - History Screen.
View	This function enables you to access to the detailed information of a Netting
	result.
	Next screen:
	- Netting Results - Details Screen.

## 3.3.3.2.3.3 Open Market Operation – Netting Result Detail Screen

Context of Usage	This screen disp	plays detailed information of the	selected Netting Result	lt.
Screen Access	Result >> Click	Credit >> Exposure >> LP Operat on the Search button (after inputed ect a Netting Result >> Click on the	ting the relevant fields,	
Screenshot	Search Result : Netting Result > View : Netting Re Transaction Indirect Number NETTOWNSHOR  Amount  Netting Control  Dis  Movements Seatin  Movements Seatin  Netting Details	Pool Somities POOL MONOMINA  Margin Amount  Naming Date 1766-0612  Bord Decorption Print of with observed NUTTHOWNS 64 Modes theses interest set yet calculated for the agent Andrews LPCOLCE(1666)11.  Network (POOLCE(1666)11.   Mergin Movement  Margin Movement  Mergin Movement	8 8	
	FootPrint			

Netting Result - D	etails screen
View: Netting Resu	ılt
Field	Description
Netting Reference	Shows the application reference number that uniquely identifies the netting result in the ECMS.
Pool identifier	Shows the identifier that uniquely identifies the Pool in the ECMS.
Amount	Shows the netting amount stemming from the netting process.
Is Rejected	Shows if any input/update waiting for validation was already rejected. The possible values are:  - Yes
	- No



Netting Currency	Shows the currency of the netting amount and corresponds to the currency of all operations netted together.
Netting Date	Shows the date when the ECMS nets the operations and their corresponding payments into one single payment. It corresponds to the SD or MD of operations that fits the current business date.
	Displayed format is: DD/MM/YYYY
Action To Validate	Shows if there is any input or update waiting for validation.
Error Description	Shows the error reason in case of failed Netting Result.
Status	Shows the current status of the Netting Result.
Netting Movement	Shows the application reference to uniquely identify the movement stemming from the netting of nominal for all OMOs involved in the netting result.
Footprint	
Creation Date	Shows the date and time of the Netting Result creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Netting Result.
Update Date	Shows the date and time of the last update of the Netting Result.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the Netting Result.
Button	Function
Maturing Early Repayments	This function enables you to consult the maturing early repayments involved in the netting result
Maturing Operations	This function enables you to consult the maturing operations involved in the netting result
Settling Operations	This function enables you to consult the settling operations involved in the netting result

### 3.3.3.2.3.4 Open Market Operation – LPO Manual Netting Screen

Context of Usage	This screen gives the list of expected payments that are subject to netting and whose settlement date is reached. These payments with payment date equal to the current business day are recovered according to the mandatory entry of search criteria. The screen contains number of mandatory searches fields and shows the latest and stored performed searches. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Exposure >> LPO Operations Netting >> Manual Netting >> Click on the Search button (after the mandatory entry of selection criteria) >> Select a set of expected payments that fit the entered search criteria >> Click on the GenerateNetting button





Netting- Manual	Netting
ManualNettingSe	earchInput: Pool Movement
Field	Description
Bidder ID	Enter the Identifier that uniquely identify the counterparty
Pool identifier	Enter the identifier that uniquely identify the Pool of the Counterparty in the ECMS. The input is mandatory before performing the search.
Movement Cur- rency	Enter the currency of the operations to be netted together manually.
Button	Function
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
Reset	This function enables you to clear the form.
Manual Netting S	Search: Pool Movement
Select all operation	ns to be netted together and click on GenerateNetting button.
Button	Description
History	This function enables you to access to the historical information of the expected payments.
	Next screen:
	Open Market Operation – History Screen.
GenerateNetting	This function enables you to generate netting only for the selected payments.
View	This function enables you to view details of selected payments.
Field	Description
Main Reference	Shows the technical reference number that uniquely identifies the OMO or the transaction reference that uniquely identifies the Marginal Lending in the ECMS.
App Reference	Shows the Application reference number that uniquely identifies the expected payment related to the operation in the ECMS.
Bidder ID	Shows the identifier of the Counterparty.
Pool identifiier	Shows the identifier that uniquely identify the Pool of the Counterparty in the ECMS and that fit the selection criteria.
Amount	Shows the outstanding amount of the operation to settle or to reimburse whose settlement date is reached (included payments stemming from early repayment)
Movement Cur- rency	Shows the currency of the expected payment that fit the selection criteria.
Business Status	Shows the current business status of the expected payment.
Update Date	Shows the date and time of the expected payment last update.



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update User Id	Shows the identification of the user that made the last update of the expec-
	ted payment.
Creation Date	Shows the date and time of the expected payment creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the expected payment.

#### 3.3.3.2.4 Open Market Operation –Fixed Term Deposit: FTD

### 3.3.3.2.4.1 Open Market Operation –Fixed Term Deposit -Search screen

Context of Usage	This screen is us ing the entered of		er criteria to re	trieve the li	ist of operatio	ns meet-
	Depending how information of the quires 4 eyes val	ne Open I	Market Operat	ion or the i	nformation th	at re-
Screen Access	For valid informa	tion:				
	Collateral and C Operation	redit >>C	ollateral >> Fix	ked Term D	eposit >> Dis	play FTD
	For information s	ubject to 4	eyes validation.	:		
	Collateral and C ject Fixed Term		ollateral >> Fix	ked Term D	eposit >> Val	idate / Re-
Screenshot	Search Criteria : Fixed Term Deposit					<b>₽ 8</b>
	Internal Reference ID == ▼		OMORefNo == ▼		FTD Identifier == ▼	
	Pool Identifier ■■ ▼		Bidder Id == ▼  Maturity Date == ▼		All Method == ▼	
	Used As Collateral == ▼		Netting Reference == ▼		To Be Netted == ▼	•
	Is Amended == ▼	•	U2A ▼	<b>~</b>	Update Date == ▼	0
	♡ Reset					Q Search

Fixed Term Deposi	t -Search
Search Criteria : Fix	ed Term Deposit
Field	Description
Internal Reference ID	Enter Identifier of an allotment given by the user or by the sender in case of A2A process.
	Max. XX characters.
OMORefNo	Enter the Application reference number that uniquely identifies the FTD operation in the ECMS.
	Required Format: YYYYnnnn
FTD Identifier	Enter the <u>technical</u> reference of FTD number that uniquely identifies the FTD instruction in the ECMS.
	Format:



	2 character ISO code of the country + 12 alphanumeric characters + 1 key number
	Max. 15 characters.
Pool identifier	Enter the Pool identifier.
Bidder ID	Enter the identifier of the Counterparty.
	Required format is:
	Max. XX characters.
Allotment Date	Enter the Allotment date of the instruction.
	Displayed format : DD/MM/YYYY
Settlement Date	Enter the Settlement date of the instruction.
	Displayed format : DD/MM/YYYY
Maturity Date	Enter the Maturity date of the instruction.
	Displayed format : DD/MM/YYYY
All Method	Enter the allotment method.
	Possible values:
	- Single Rate Auction
	- Multiple Rate Auction
Used as Collateral	Enter if used as collateral or not:
	– Yes: FTD is used as collateral
	- No: FTD is not used as collateral
Netting Reference	Enter the Netting Reference
To be Netted	Enter if the instruction has to be netted.
Allotment Status	Enter the status of the Fixed Term Deposit instruction.
Is Amended	Possible Values:
	- Yes
	- No
U2A	Enter if the instruction has been entered via U2A.
	Possible Values:
	- Yes
	- No
Update date	Enter the Date of the last update.
	Displayed format : DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.



## 3.3.3.2.4.1.1 Open Market Operation –Fixed Term Deposit -List screen

Context of Usage	This screen gives the list of operations resulting from the request entered in the display screen after clicking on the button Search. The screen Search is displayed below the query.
Screen Access	For valid information:
	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Display FTD Operation >> Click on the Search button (after inputting the relevant fields, if needed)
	For information subject to 4 eyes validation:
	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)
	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the
Screenshot	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)
Screenshot	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)
Screenshot	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)  Search Result: Fixed Term Deposit  Search Result
Screenshot	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)  Search Result: Fixed Term Deposit  Search Result
Screenshot	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)  Search Result: Fixed Term Deposit  Search Result
Screenshot	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)  Search Result: Fixed Term Deposit  Search Result
Screenshot	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)  Search Result: Fixed Term Deposit  Search Result
Screenshot	Collateral and Credit >> Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)  Search Result: Fixed Term Deposit  Search Result
Screenshot	Collateral and Credit >>Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)  Search Result: Fixed Term Deposit  Search Result:
Screenshot	Collateral and Credit >>Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)  Search Result: Fixed Term Deposit  Search Result:

Fixed Term Deposit – List screen		
Fixed Term Deposit		
Field	Description	
OMORefNo	Shows application reference number that uniquely identifies the FTD operation in the ECMS.	
Internal Reference ID	Enter Identifier of an FTD mobilization given by the user in case of manual mobilization, by the sender in case of A2A process.	
Bidder ID	Shows the identifier of the Counterparty.	
Pool identifier	Shows the pool identifier.	
Counterparty's MCA	Shows the Counterparty's MCA.	
NCB identifier	Shows the NCB identifier.	
CLM CB Account	Shows the CLM CB Account.	
Segregated Operation Type	Shows the Segregated Operation Type.	
Nominal	Shows FTD's instruction principal amount.	
Currency	Shows the Currency of the instruction.	
Allotment Date	Shows the Allotment date of the instruction.	
	Displayed format : DD/MM/YYYY	
Settlement Date	Shows the Settlement date of the instruction.	
	Displayed format : DD/MM/YYYY	
Maturity Date	Shows the Maturity date of the instruction.	
	Displayed format : DD/MM/YYYY	
Used as collateral	Shows Type of FTD: if used as collateral or not:	



	- Yes: FTD is used as collateral
	- No: FTD is not used as collateral
Interest Rate	Shows the Interest Rate.
Accrued Interest Rate	Shows the Accrued Interest Rate.
Last Interest Calcu-	Shows the Date of the last interest calculation date.
lation Date	Displayed format : DD/MM/YYYY
Next Interest Cal-	Shows the Date of the next interest calculation date.
culation Date	Displayed format : DD/MM/YYYY
To be Netted	Shows "Yes" if the instruction has to be netted, otherwise "No".
Netting Reference	Shows the Netting reference if the instruction is netted.
Netting Status	Shows the Netting Status.
Allotment Status	Shows the status of the allotment
Status Reason	Shows the Status Reason.
Action to validate	Present only when the screen is used in the context of 4 eyes validations,
	Shows if the validation is required following a creation or an update of the record.
Update Date	Shows the Date of the last update.
	Displayed format : DD/MM/YYYY hh:mm:ss:µs
Update User Id	Shows the Identifier of the last user updating the operation
After selecting a rec button)	cord, the following buttons are displayed (also available with click on right
Button	Function
History	This function enables you to access to the historical information of a FTD operation.
	Next screen displayed under the list screen:
	Fixed Term Deposit – History Screen.
View	
V ICVV	This function enables you to access to the detailed information of an operation.
V ICVV	
V ACVV	tion.
	tion.  Next screen displayed under the list screen:  Fixed Term Deposit – Details screen.  In the 4 eyes validation context, the following buttons are also displayed
If the screen is used	tion.  Next screen displayed under the list screen:  Fixed Term Deposit – Details screen.  In the 4 eyes validation context, the following buttons are also displayed
If the screen is used when a record is sel	tion.  Next screen displayed under the list screen:  Fixed Term Deposit – Details screen.  I in the 4 eyes validation context, the following buttons are also displayed lected  Function
If the screen is used when a record is sel <b>Button</b>	tion.  Next screen displayed under the list screen:  Fixed Term Deposit – Details screen.  I in the 4 eyes validation context, the following buttons are also displayed lected  Function  In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be valid-
If the screen is used when a record is sel <b>Button</b>	tion.  Next screen displayed under the list screen:  Fixed Term Deposit – Details screen.  In the 4 eyes validation context, the following buttons are also displayed lected  Function  In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
If the screen is used when a record is sel <b>Button</b>	tion.  Next screen displayed under the list screen:  Fixed Term Deposit – Details screen.  In the 4 eyes validation context, the following buttons are also displayed lected  Function  In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.  Next screen:
If the screen is used when a record is sel <b>Button</b> Compare Draft	Next screen displayed under the list screen:  Fixed Term Deposit – Details screen.  In the 4 eyes validation context, the following buttons are also displayed lected  Function  In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.  Next screen:  Fixed term Deposit – History Screen.



	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

### 3.3.3.2.4.1.2 Open Market Operation –Fixed Term Deposit –History screen

Context of Usage	ted Instruction	* *		changed on the selec- further by clicking on
Screen Access	Click on needed)>	the Search button ( >> Select an instruct	after inputting the retion>> Click on the His	story Button
	Click on	the Search button (	lateral >> Fixed Term after inputting the re Button>> Click on th	
Screenshot	horizontalHistoryResult : Fixed Term Deposit History			
	Fields	FTD2022061500261[2022-06-15 14:54:09.671]3	FTD2022061500261[2022-06-15 14:54:09.490]2	FTD2022061500261[2022-06-15 14:54/09:319]1
	Instruction Status	RejectedContract	Incomplete Contract	WaitingValidation
	Creation Date	15/06/2022 14:54:09:671	15/05/2022 14:54:09:490	15/06/2022 14:54:09:319
	Internal Reference ID	FTD2022061500261	FT02022061500261	FTD2022061500261
	Can Modify	No	No -	No
	Coverage Rate	0 FixedTermDenosit	0 FixedTermDenosit	0 FixedTermDenosit
	Exposure Class Name Exposure Type	com.vermeg.collateralManagementCons.FixedTermDeposit	com.vermeg.collateralManagementCons.FixedTermDeposit	com.vermeq.collateralManagementCons.FixedTermDeposit
	Generate Loan Schedule	No	No	No.
	Has Termination Date	No	No	No
	Is Partially Reimbursed	No	No	No
	Is Rejected	No	No	No
	Loan			
	Loan Currency Path	currency	currency	currency
	Margin Type	Amount	Amount	Amount
	Note Contract			
	Related Contracts			
	Settlement Date	15/06/2022	15/06/2022	15/06/2022
	Accounting Rate			
	Accrued Accounting Interest			
	Accrued Accounting Interest Currency			

Fixed Term Deposit – History Screen		
Field	Description	
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.	
Timestamp	Shows the date and time when the fields have been updated.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Accrued interest	Value of Accrued interest	
Nominal	Shows FTD's instruction principal amount.	
Contract Value to Return	Shows FTD value to be reimbursed.	
Next interest rate	Date of next interest calculation date.	
calculation Date	Displayed format : DD/MM/YYYY	
Pool identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.	
Accrued interest at maturity	Shows expected value of accrued interest at maturity date	



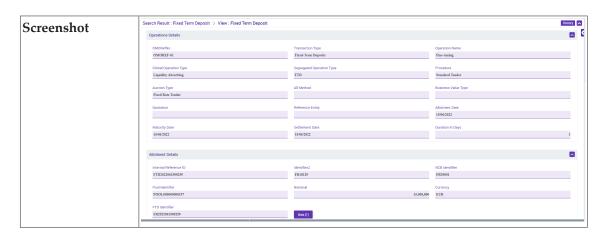
Provisional Maturity amount	Shows expected value of accrued interest at maturity date added to nominal amount to be reimbursed.
Status	Shows the status of the FTD instruction.
Used as collateral	Shows Type of FTD : if used as collateral or not :
	Yes: FTD is used as collateral
	No : FTD is not used as collateral
Update Date	Date of the last update.
	Displayed format : DD/MM/YYYY hh:mm:ss:µs
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Party.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Party.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected TFD-instruction.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected FTD instrution.

Fixed Term Deposit –History		
Field	Description	
Internal reference ID	Enter an Identifier for the FTD mobilization Required format is:	
	Max. XX characters.	
OMORefNo	Enter application reference number that uniquely identifies the FTD operation in the ECMS.	
	Required format is:	
	Max. XX characters.	

### 3.3.3.2.4.1.3 Open Market Operation –Fixed Term Deposit-Details screen

	This screen gives a view of selected Fixed Term Deposit instruction resulting from the request
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Fixed Term Deposit &gt;&gt; Display</li> <li>FTD Operation&gt;&gt; Click on the Search button (after inputting the relevant fields, if needed)&gt;&gt; Select an instruction&gt;&gt; Click on the View Button</li> </ul>





Fixed Term Deposit –Details			
OMO-Fixed Ter	OMO-Fixed Term Deposit– Details Screen		
Button	Description		
History	This function enables you to access to the historical information of a Fixed		
	term Deposit Operation.		
	Next screen:		
	- FTD - History Screen.		
Fixed Term Dep	posit		
Field	Description		
OMORefNo	Shows Application reference number that uniquely identifies the FTD operation in the ECMS.		
Internal Reference ID	Shows Identifier of an FTD mobilization given by the user in case of manual mobilization, by the sender in case of A2A process. Max 16. Format 'FT''DYYYYMMDDnnnnn"		
FTD Identifier	Shows the technical reference of FTD number that uniquely identifies the FTD instruction in the ECMS.		
	Format:		
	(2 character ISO code of the country + 12 alphanumeric characters + 1 key number		
	Max. 15 characters.		
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.		
Counterparty ID	Shows the identifier of the Counterparty		
Pool Operation Type	Shows the Pool Operation type: "FTD"		
Nominal	Shows FTD's instruction principal amount.		
Settlement Date	Settlement date of the instruction.		
	Displayed format : DD/MM/YYYY		
Maturity Date	Date of the Maturity date.		
	Displayed format : DD/MM/YYYY		
Interest Details			
Field	Description		



Interest rate	Shows FTD interest rate
Accrued in- terest	Value of Accrued interest
Last interest	Date of last interest calculation date.
Calculation	
Date	Displayed format : DD/MM/YYYY
Next interest	Date of next interest calculation date.
rate calculation Date	Displayed format : DD/MM/YYYY
Movements De	tails
Button	Description
Initiation	This function enables you to access to the initiation movement
	Next screen:
	View : Fixed Term Deposit Initiation
Return	This function enables you to access to the return movement
	Next screen:
	View : Fixed Term Deposit Return
Interest Initi-	This function enables you to access to the interest initiation movement
ation	Next screen:
	View: Fixed Term Deposit Interest Initiation
Interest Return	This function enables you to access to the interest return movement
	Next screen:
	View : Fixed Term Deposit Return
View : Fixed Te	rm Deposit Initiation
Field	Description
App Reference	Shows Application Reference of initiation movement
Currency	Shows the currency of initiation movement
Status	Shows status of initiation movement
View : Fixed Te	rm Deposit Initiation Foot print
Field	Description
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
View : Fixed Te	rm Deposit Initiation Movement
Field	Description
App Reference	Shows Application Reference of initiation movement
MainReference	Shows the technical reference of FTD number that uniquely identifies the FTD instruction in the ECMS.
	•



Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.		
Amount	Shows FTD's instruction initiation movement amount.		
Movement Cur- rency	Shows the currency of initiation movement		
Intended settle-	Intended settlement date of initiation movement		
ment date	Displayed format is: DD/MM/YYYY		
Status	Shows the status of the FTD instruction.		
Not Enough Collateral	Shows that this FTD movement has created in case of insufficiency of collateral:		
	Yes: There is not enough collateral to include this movement in CL calculation.		
	No: There is enough collateral to include this movement in CL calculation.		
View : Fixed Te	rm Deposit Return		
	red Term Deposit >> Display>> Click on the Search button (after inputting the f needed)>> Select an instruction>> Click on the View Button>> Click on Returns line>> View		
Field	Description		
App Reference	Shows Application Reference of return movement		
Theorical Settle- ment Date	Theorical Settlement Date of return movement		
Status	Shows status of initiation movement		
View : Fixed Te	rm Deposit return Foot print		
Field	Description		
Updater User Id	Shows the identification of the user that made the last update.		
Update Date	Shows the date and time of the movement last update.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the user that created the data.		
Creation Date	Shows the date and time of the movement.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Fixed Term Dep	posit Return Mvt		
Collateral >> Fix	red Term Deposit >> Display>> Click on the Search button (after inputting the		
	f needed)>> Select an instruction>> Click on the View Button>> Click on Returns		
button >> Select	line>> View>>Movement Select line>> View>>		
Field	Description		
App Reference	Shows Application Reference of return movement		
Main Reference	Shows the reference of FTD number that uniquely identifies the FTD instruction in the ECMS.		
Main Reference  Modification Reason			
Modification	tion in the ECMS.		
Modification Reason	tion in the ECMS. Shows the modification Reason on the Credit Line.		



Intended Settle- ment Date	Intended settlement date of return movement
	Displayed format is: DD/MM/YYYY
	Real settlement date of return movement
Date	Displayed format is: DD/MM/YYYY
Not enough collateral	Shows that this FTD movement has created in case of insufficiency of collateral:
	Yes: There is not enough collateral to include this movement in CL calculation.
	No: There is enough collateral to include this movement in CL calculation.
Status	Shows status of return movement
Fixed Term Dep	posit Interest Initiation
	ed Term Deposit >> Display>> Click on the Search button (after inputting the f needed)>> Select an instruction>> Click on the View Button>> Click on interest
Field	Description
App Reference	Shows Application Reference of interest initiation movement
Status	Shows status of interest initiation movement
Fixed Term Dep	posit Interest Initiation Mvt
	ed Term Deposit >>Display>> Click on the Search button (after inputting the f needed)>> Select an instruction>> Click on the View Button>> Click on interest >> Movement
Field	Description
App Reference	Shows Application Reference of interest initiation movement
Main Reference	Shows the reference of FTD number that uniquely identifies the FTD instruction in the ECMS.
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Amount	Value of last calculated interest
Movement cur-	Currency of the movement
rency	
	Intended settlement date of interest initiation movement
ment Date	Displayed format is: DD/MM/YYYY
Status	Shows status of interest initiation movement
Not enough collateral	Shows that this FTD movement has created in case of insufficiency of collateral:
	Yes: There is not enough collateral to include this movement in CL calculation.
	No: There is enough collateral to include this movement in CL calculation.
Fixed Term Der	posit Interest Return
Collateral >> Fix	ed Term Deposit >>Display>> Click on the Search button (after inputting the f needed)>> Select an instruction>> Click on the View Button>> Click on interest
Field	Description
App Reference	Shows Application Reference of interest return movement
The reference	onono reprienton reference of interest return movement



Status Shows status of interest return movement  Fixed Term Deposit Interest Return Mvt  Collateral >> Fixed Term Deposit >> Display>> Click on the Search but relevant fields, if needed)>> Select an instruction>> Click on the View Breturn button>> Movement  Field Description  App Reference Shows Application Reference of interest return movement Shows the reference of FTD number that uniquely idention in the ECMS.	utton>> Click on interest
Collateral >> Fixed Term Deposit >> Display>> Click on the Search but relevant fields, if needed)>> Select an instruction>> Click on the View Breturn button>> Movement  Field Description  App Reference Shows Application Reference of interest return movemant Reference Shows the reference of FTD number that uniquely id	utton>> Click on interest
relevant fields, if needed)>> Select an instruction>> Click on the View Breturn button>> Movement  Field Description  App Reference Shows Application Reference of interest return move Main Reference Shows the reference of FTD number that uniquely id	utton>> Click on interest
App Reference Shows Application Reference of interest return move Main Reference Shows the reference of FTD number that uniquely id	
Main Reference Shows the reference of FTD number that uniquely id	
	entifies the FTD instruc-
Pool Identifier Shows the identifier that uniquely identifies the Pool	of the Counterparty.
Amount Value of last calculated interest	
Movement currency of the movement rency	
Intended Settle- Intended settlement date of interest initiation movement	nent
ment Date Displayed format is: DD/MM/YYYY	
Status Shows status of interest initiation movement	
Not enough Shows that this FTD movement has created in case of collateral eral:	f insufficiency of collat-
Yes: There is not enough collateral to include this motion.	ovement in CL calcula-
No: There is enough collateral to include this movem	nent in CL calculation.
Business Validation Error	
Error Message Shows the error reason in case of incomplete instruct	tion.
HIS rule ID Has Incomplete Status Identifier.	
Status Shows the status of the TFD operation	

## 3.3.3.2.4.2 Open Market Operation –Fixed Term Deposit-Netting

Context of Usage	This screen is used to Settle instructions at settlement date in case of con-
	tingency situation.

Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Fixed Term Deposit &gt;&gt; Contingency&gt;&gt; Settle</li> </ul>
Screenshot	

Fixed Term Deposit –Settle	
Field	Description
Position Id	Enter application reference number that uniquely identifies the FTD instruction in the ECMS.
	Required format is:
	Max. XX characters.



Party's instruction Reference Required format is: Max. XX characters.  Bidder ID Enter the identifier of the Counterparty.  Status Status of the Fixed Term Deposit.  After clicking on Search button, you can Select an instruction and press on of available buttons  Fixed Term Deposit  Button Description  History This function enables you to access to the historical information of Early repayment instruction.  Next screen:  FID – History Screen.  SettleFixedTerm— Deposit  This function enables you to retrieve selected instruction.  SettleFixedTerm— Deposit  This function enables you to retrieve selected FTD instruction information field Description  Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction  Reference Required format is:  Max. XX characters.  Bidder ID Shows the identifier for the FTD mobilization  Required format is:  Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh.mm.ss:µs.  Update User Id Shows the identification of the user that made the last update.  Displayed format is: DD/MM/YYYY  Next Interest calculation date value:  Displayed format is: DD/MM/YYYY		
Max. XX characters.  Bidder ID	Party's instruction	Enter an Identifier for the FTD mobilization
Bidder ID Enter the identifier of the Counterparty.  Status Status of the Fixed Term Deposit.  After clicking on Search button, you can Select an instruction and press on of available buttons Fixed Term Deposit  Button Description  History This function enables you to access to the historical information of Early repayment instruction.  Next screen:  FTD - History Screen.  SettleFixedTerm—Deposit  This function enables you settle selected instruction.  SettleFixedTerm—Deposit  View This function enables you to retrieve selected FTD instruction information Field Description  Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction  Reference Required format is:  Max. XX characters.  Bidder ID Shows the identifier for the FTD mobilization  Required format is:  Max. XX characters.  Bidder ID Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral  No: FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hhmm:sss:µs.  Update User Id Shows the next interest calculation date value:	Reference	Required format is:
Status Status of the Fixed Term Deposit.  After clicking on Search button, you can Select an instruction and press on of available buttons Fixed Term Deposit  Button Description  History This function enables you to access to the historical information of Early repayment instruction.  Next screen:  FITD - History Screen.  SettleFixedTerm-Deposit This function enables you settle selected instruction.  SettleFixedTerm-Deposit This function enables you to retrieve selected FTD instruction information Field Description  Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction Required format is:  Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral No: FTD is used as collateral, possible values:  Yes: FTD is used as collateral No: FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the next interest calculation date value:  Shows the next interest calculation date value:		Max. XX characters.
After clicking on Search button, you can Select an instruction and press on of available buttons  Fixed Term Deposit  Button  Description  This function enables you to access to the historical information of Early repayment instruction.  Next screen:  FTD – History Screen.  SettleFixedTerm-Deposit  View  This function enables you settle selected instruction.  Position Id  Description  Position Id  Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction  Reference  Required format is:  Max. XX characters.  Bidder ID  Shows the identifier of the Counterparty.  Nominal  Shows the nominal amount of FTD  Status  Status of the FTD instruction  Used As Collateral  No: FTD is used as collateral  No: FTD is not used as collateral  No: FTD is not used as collateral  Settlement Date  Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date  Shows the identification of the user that made the last update.  Displayed format is: DD/MM/YYYY himm:ss: µs.  Update User Id  Next Interest calcu-  Item Atternation of the user that made the last update.  Shows the next interest calculation date value:	Bidder ID	Enter the identifier of the Counterparty.
Fixed Term Deposit  Button  Description  History  This function enables you to access to the historical information of Early repayment instruction.  Next screen:  FTD - History Screen.  SettleFixedTerm-Deposit  View  This function enables you settle selected instruction.  Position Id  Description  Position Id  Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction  Reference  Required format is:  Max. XX characters.  Bidder ID  Shows the identifier for the FTD mobilization  Required format is:  Max. XX characters.  Bidder ID  Shows the identifier of the Counterparty.  Nominal  Shows the nominal amount of FTD  Status  Status of the FTD instruction  Show is FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  Settlement Date  Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date  Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id  Next Interest calcu-  Shows the next interest calculation date value:	Status	Status of the Fixed Term Deposit.
Button  Description  This function enables you to access to the historical information of Early repayment instruction.  Next screen:  FTD - History Screen.  SettleFixedTerm- Deposit  This function enables you settle selected instruction.  SettleFixedTerm- Deposit  This function enables you to retrieve selected FTD instruction information  Field Description  Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction  Reference Required format is:  Max. XX characters.  Bidder ID Shows the identifier for the FTD mobilization  Required format is:  Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu-	After clicking on Se	arch button, you can Select an instruction and press on of available buttons.
History  This function enables you to access to the historical information of Early repayment instruction.  Next screen:  FTD – History Screen.  SettleFixedTerm-Deposit  View  This function enables you settle selected instruction.  Position Id  Description  Position Id  Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction  Reference  Required format is:  Max. XX characters.  Bidder ID  Shows the identifier of the Counterparty.  Nominal  Shows the nominal amount of FTD  Status  Status of the FTD instruction  Used As Collateral  No: FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  Settlement Date  Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date  Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date  Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id  Next Interest calcu-  Istordate Istordate Istory and the state of the last update is the state of the last update.  Shows the next interest calculation date value:	Fixed Term Deposit	
repayment instruction.  Next screen:  FTD – History Screen.  SettleFixedTerm- Deposit  View This function enables you to retrieve selected FTD instruction information  Field Description  Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction  Reference Required format is:  Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  No: FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh.mm:ss:µs.  Update User Id Shows the next interest calculation date value:	Button	Description
FTD – History Screen.  SettleFixedTerm-Deposit  View This function enables you to retrieve selected FTD instruction information  Field Description  Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction Reference Required format is:  Max. XX characters.  Bidder ID Shows the identifier for the FTD mobilization  Required format is:  Max. XX characters.  Bidder ID Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id  Next Interest calcu-	History	
SettleFixedTerm-Deposit  View This function enables you settle selected instruction.  Field Description  Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction Reference Insumber that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Bidder ID Shows the identifier for the FTD mobilization Required format is:  Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the next interest calculation date value:  Shows the next interest calculation date value:		Next screen:
Deposit  View This function enables you to retrieve selected FTD instruction information  Field Description  Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction Reference Required format is:  Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the next interest calculation date value:		FTD – History Screen.
Field Description  Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction Reference Required format is:  Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the next interest calculation date value:		This function enables you settle selected instruction.
Position Id Shows application reference number that uniquely identifies the FTD operation in the ECMS.  Max. XX characters.  Party's instruction Reference Required format is: Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction Used As Collateral No: FTD is used as collateral, possible values: Yes: FTD is used as collateral No: FTD is not used as collateral  No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the next interest calculation date value:	View	This function enables you to retrieve selected FTD instruction information.
eration in the ECMS. Max. XX characters.  Party's instruction Reference Required format is: Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction Used As Collateral No: FTD is used as collateral, possible values: Yes: FTD is used as collateral No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu-	Field	Description
Party's instruction Reference Required format is: Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD Status Status of the FTD instruction Used As Collateral Show is FTD is used as collateral, possible values: Yes: FTD is used as collateral No: FTD is not used as collateral Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu-	Position Id	
Reference Required format is: Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values: Yes: FTD is used as collateral No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu-		Max. XX characters.
Max. XX characters.  Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values:  Yes: FTD is used as collateral  No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction  Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction  Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu-		Enter an Identifier for the FTD mobilization
Bidder ID Shows the identifier of the Counterparty.  Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values: Yes: FTD is used as collateral No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu-	Reference	Required format is:
Nominal Shows the nominal amount of FTD  Status Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values: Yes: FTD is used as collateral No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calculation date value:		Max. XX characters.
Status of the FTD instruction  Used As Collateral Show is FTD is used as collateral, possible values: Yes: FTD is used as collateral No: FTD is not used as collateral Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calculation date value:	Bidder ID	Shows the identifier of the Counterparty.
Used As Collateral Show is FTD is used as collateral, possible values: Yes: FTD is used as collateral No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu- Shows the next interest calculation date value:	Nominal	Shows the nominal amount of FTD
Yes: FTD is used as collateral No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu- Shows the next interest calculation date value:	Status	Status of the FTD instruction
No: FTD is not used as collateral  Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu- Shows the next interest calculation date value:	Used As Collateral	Show is FTD is used as collateral, possible values:
Settlement Date Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu- Shows the next interest calculation date value:		Yes: FTD is used as collateral
Displayed format is: DD/MM/YYYY  Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu- Shows the next interest calculation date value:		No : FTD is not used as collateral
Maturity date Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu- Shows the next interest calculation date value:	Settlement Date	Shows Settlement Date of FTD instruction
Displayed format is: DD/MM/YYYY  Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu- Shows the next interest calculation date value:		Displayed format is: DD/MM/YYYY
Update Date Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs. Update User Id Shows the identification of the user that made the last update. Next Interest calcu- Shows the next interest calculation date value:	Maturity date	Shows Maturity Date of FTD instruction
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Update User Id Shows the identification of the user that made the last update.  Next Interest calcu-  Shows the next interest calculation date value:		Displayed format is: DD/MM/YYYY
Update User Id Shows the identification of the user that made the last update.  Next Interest calcu- Shows the next interest calculation date value:	Update Date	Shows the date and time of the last update.
Next Interest calcu- Shows the next interest calculation date value :		Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
lation data	Update User Id	Shows the identification of the user that made the last update.
lation date Displayed format is: DD/MM/YYYY		Shows the next interest calculation date value :
	lation date	Displayed format is: DD/MM/YYYY



## 3.3.3.2.4.2.1 Open Market Operation –Fixed Term Deposit-Netting-Result

Context of Usage	This screen is used to display netting result of FTD (to be discussed if LPO
	included) operations.

Screen Access	<ul><li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Fixed Term Deposit &gt;&gt; Net- ting&gt;&gt; Netting Result</li></ul>
Screenshot	

Search Criteria: Nett	ing Result	
Search Criteria : Netting Result		
Field	Description	
App Reference	Enter application reference number that uniquely identifies the Netting process in the ECMS.	
	Required format is:	
	Max. XX characters.	
Bidder ID	Enter the identifier of the Counterparty.	
Netting Date	Enter date of netting or select it from calendar	
	Required format is: DD/MM/YYYY	
Netting type	Select from the netting type from combo box	
Status	Status of the netting process.	
After clicking on Sear	rch button, you can Select an instruction and press on of available buttons.	
Search Result : Nettin	ng Result	
Button	Description	
View	This function enables you to retrieve selected FTD instruction information.	
Field	Description	
OMORefNo	Shows application reference number that uniquely identifies the FTD operation in the ECMS.	
	Max. XX characters.	
Pool Identifier	Shows the identifier that uniquely identifies the Pool.	
Netting Date	Shows the date of netting	
	Displayed format is: DD/MM/YYYY	
Amount	Shows the netting result value.	
Netting Currency	Shoes the netting currency	
Status	Status of the Netting.	
Fx Payment Received (if keep OMO netting result in this screen)	Shows if Payment is received in case of non-eur liquidity providing operation.	
Update Date	Shows the date and time of the last update.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Update User Id	Shows the identification of the user that made the last update.	



Creation Date	Shows the date and time of the additional code creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
View : Netting Resul	t
App Reference	Enter application reference number that uniquely identifies the Netting process in the ECMS.
	Required format is:
	Max. XX characters.
Pool Identifier	Shows the identifier that uniquely identifies the Pool.
Amount	Shows the netting result value.
Is Rejected	Shows if Netting is Rejected : Boolean value :
	Yes: Netting is Rejected
	No : Netting is not Accepted
Netting Currency	Shoes the netting currency
Netting Date	Shows the date of netting
	Displayed format is: DD/MM/YYYY
Error Description	Shows error Description in case the netting is Rejected
Status	Status of the netting process.
Netting Movement	The Movement reference on Credit Line
Currency	Shoes the netting currency result.
FootPrint	
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update.
Creation Date	Shows the date and time of the additional code creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
After 3 Sections relat the respective buttor	red to netting elements are available, you can have details by clicking on a:
Maturing Early Repa	nyment
Maturing Operation	
Settling Operation	

# 3.3.3.2.4.2.2 Open Market Operation –Fixed Term Deposit-Manual Netting

Context of Usage	This screen is used to display netting result of FTD (to be discussed if LPO
	included) operations.

Screen Access	<ul><li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Fixed Term Deposit &gt;&gt; Netting</li></ul>
	FTD >> Manual Netting FTD



Screenshot	

Search Criteria : Ma	inual Netting					
ManualFTDNettingSearchInput : Pool Movement						
Field	Description					
OMORefNo	Enter application reference number that uniquely identifies the FTD operation in the ECMS.					
	Required format is:					
	Max. XX characters.					
Internal reference ID	Enter the reference of FTD instruction that uniquely identifies the FTD instruction in the ECMS.					
Pool Identifier	Enter the identifier that uniquely identifies the Pool.					
Bidder ID	Enter the identifier of the Counterparty.					
Creation Date	Shows the date and time of the additional code creation.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Update Date	Shows the date and time of the last update.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
After clicking on Se	arch button, you can Select an instruction and press on of available buttons.					
ManualNettingSear	rch : Pool Movement					
Button	Description					
View	This function enables you to retrieve selected FTD instruction information.					
History	This function enables you to access to the historical information of Early repayment instruction.					
	Next screen:					
	FTD – History Screen.					
GenerateNetting	This function allows you to net selected instructions from the list.					
Field	Description					
Main Reference	Enter the technical reference of FTD instruction that uniquely identifies the FTD instruction in the ECMS.					
Internal Reference ID	Shows application reference number that uniquely identifies the FTD instruction in the ECMS.					
	Max. XX characters.					
Business Status	Shows the Status of the Netting.					
Bidder ID	Enter the identifier of the Counterparty.					
Pool identifier	Shows the identifier that uniquely identifies the Pool.					
Amount	Shows the netting result value.					
Modification reason	Credit Line modification reason related to the FTD movement.					
Update Date	Shows the date and time of the last update.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Update User Id	Shows the identification of the user that made the last update.					



## 3.3.3.2.5 Open Market Operation –Early repayment

## 3.3.3.2.5.1 Open Market Operation –Early repayment-Search screen

Context of Usage	This screen is used to enting the entered criteria.	er criteria to retrieve	the l	ist of operations	meet-	
	Depending how the screen information of the Open quires 4 eyes validation by	Market Operation or	the i	nformation that	re-	
Screen Access	For valid information:					
	Collateral and Credit >> Exposure >> Open Market Operations >> Early Repayment >> Display Early Repayment Instruction					
	For information subject to 4 eyes validation:					
	Collateral and Credit >> Exposure >> Open Market Operations >> Early					
	Repayment >> Validate /	Reject Wainting valid	datio	n	-	
Screenshot	Search Criteria : Early Repayment				₩ 🗷	
	OMORefNo == ▼	Repaid OMORefNo == ▼		Pool Identifier ■■ ▼	· ·	
	Counterparty == 🔻	Allotment Date ■■ ▼		Settlement Date ■■ ▼		
	U2A == ▼	Update Date   == ▼	_	Creation Date •• •		
	○ Reset				Q Search	

OMO-Early Repay	ment-Search				
Search Criteria : Principal Early Repayment Mvt					
Field	Description				
OMORefNo	Enter Reference of the operation.				
	Max. 8 characters (format YYYYnnnn)				
Repaid OMORe-	Enter the OMORefNo of the Operation to be repaid.				
fNo	Max. 8 characters (format: YYYYnnnn)				
Pool Identifier	Enter the pool Identifier				
	Required format is: Max. 30 characters				
Counterparty	Enter the counterparty identifier or retrieve it with the magnifying glass.				
	Max. XX characters				
Allotment Date	Enter/Select the Date of the allotment date.				
	Required format : DD/MM/YYYY				
	Enter/Select the Date of the settlement date.				
	Required format : DD/MM/YYYY				
	Enter/Select "Yes" if the instruction has been entered via U2A, otherwise				
	"No"for A2A.				
	Enter the Allotment Status.				
Update Date	Date of the last update.				
	Required format : DD/MM/YYYY hh:mm:ss:µs				
Button	Function				



Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in cri-
	teria. The results are displayed in a list on the List Screen.

### 3.3.3.2.5.2 Open Market Operation –Early repayment-List screen

Context of Usage	This screen request enter The screen Depending information eyes valida	ered in the Search is d how the sa n of the Ear	display lisplay creen i	ay sc ved b is acc paym	reen afte elow the cessed, it nent or th	er clicki e query. can be ne infor	ng or used mati	the butto to search on that rec	the val	ch. lid
Screen Access	For valid inf	ormation								
	Collateral a Repayment Search butt For informal Collateral a Repayment button (after	>> Displayon (after in subject and Credit >> Valida	y Early nputtin to 4 ey >>Exp te / Re	y Rep ng th <i>jes va</i> osur ject I	payment e relevantidation: e >> Ope Early Re	Instruc nt fields en Mark paymer	etion s, if n ket O	>> Click o eeded) perations	n the >> Earl	у
Screenshot	Search Result : Early Repayment									Δ
Screenshot	10 ▼ Internal Refer⊘ CMORefNo ♥♡ Repaid	DMOR: Pool Identifier # Counterpar		Settlement Dá2	1 of 13 > > Repaid Amou ♡ Currency ◆	Repaid Amou Fore	x Rate ¢ ▽ Repa	d Interes Instruction St. Busines	Q 125 () 🗖 🗓	☑ ★ 🖓 Update User f  ✓
	RPMT2022060 RPMT2022060 2050077		10/06/2022	10/06/2022	5,000,000 EUR 10,000,000 EUR		1	0 Settled	09/06/2022 20:	STP
	RPMT2022060 RPMT2022060 7878533 RPMT2022060 RPMT2022060 LPO202		08/06/2022	15/06/2022 08/06/2022	3,500 EUR		1	0 ExposureWaltin 0 Settled		ECMS OPERAT
	RPMT2022060 RPMT2022060 LP0202	30000_ 1 0020000000_ 11100001	08/06/2022	08/06/2022	2,500 EUR		1	0 Settled	08/05/2022 18	ECMS OPERAT.
	RPMT2022060 RPMT2022060 LP0202. RPMT2022060 RPMT2022060 LP0202.	06080. POOL00000000. FR30007	08/06/2022	08/06/2022	500 EUR 1,000 EUR		1	0 Settled 0 Settled	08/06/2022 18:	ECMS OPERAT.
	RPMT2022060 LP0202206080 LP0202		08/06/2022	08/06/2022	1,000 EUR		1	0 Settled 0 Early Repayme		ECMS OPERAT.
	RPMT2022051 27700563 2770056		13/05/2022	13/05/2022	0 USD	20,000,000	1	0 Confirmed	15/05/2022 18	FRCBJobSched.
	RPMT2022051 88800565 7237237		17/05/2022	17/05/2022	0 USD	10,000,000	1	0 Confirmed	15/05/2022 18:	FRCBJobSched
	RPMT2022051 RPMT2022051 7237237	1 P00L00000000 FR18129	19/05/2022	19/05/2022	0 USD	0	1	0 Early Repayme	15/05/2022.16	ECMS OPERAT.
									History	● View

OMO-Early Repayment – List screen					
Search Result : Principal Early Repayment Mvt					
Field	Description				
Repaid OMORe-	Enter the OMORefNo of the Operation to be repaid.				
fNo	Max. 8 characters (format: YYYYnnnn)				
OMORefNo	Shows the application reference number that uniquely identifies the early repayment operation in the ECMS.				
Modification reason related to	Shows the Credit Line modification reason related to the Early repayment movement.				
the ER	movement.				
Bidder ID	Shows the identifier of the Counterparty				
Counterparty short name	Shows the short name of the counterparty				
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.				
Intended settle- ment date	Shows the intended settlement date of the early repayment				
Amount	Shows the amount of the Early repayment instruction.				
To be netted	Indicator (Yes/No) showing if the early repayment can be netted.				



Update User Id	Identifier of the last user updating the operation
Update Date	Date of the last update
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Status	Shows the status of the early repayment instruction.
	Possible values:
	- Received
	- Validated
	- Rejected
	- Payments prepared
	- Pending payment
	- Cancelled
	- Closed
Action to validate	Present only when the screen is used in the context of 4 eyes validations,
	Shows if the validation is required following a creation or an update of the record.
After selecting a red button)	cord, the following buttons are displayed (also available with click on right
Button	Function
History	This function enables you to access to the historical information of an operation.
	Next screen displayed under the list screen:
	Early Repayment – History Screen.
View	This function enables you to access to the detailed information of an operation.
	Next screen displayed under the list screen:
	Early Repayment – details Screen.
If the screen is used when a record is se	l in the 4 eyes validation context, the following buttons are also displayed lected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	– Open Market Operations – Early Repayment – <i>History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	Open Market Operations – Early Repayment – Details Screen.
Validate	This function allows the user to validate the creation or update of the re-
	cord so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.



### 3.3.3.2.5.3 Open Market Operation – Early repayment-History screen

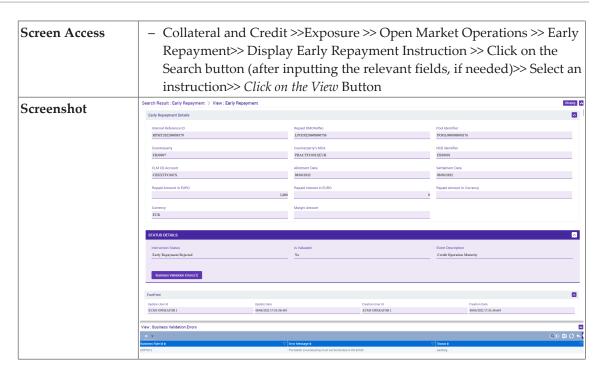
Context of Usage	This screen displays historical information that has changed on the selected Instruction. You can check the data and proceed further by clicking on the buttons that are shown.					
Screen Access	Repaym Search b instructi - Collatera	ent>> Display I outton (after inp ion>> Click on th al and Credit >:	Early Repayment outting the relevente History Buttor  Exposure >> O	nt Instruction > ant fields, if no n pen Market Op	perations >> Early >> Click on the eeded)>> Select an perations >> Early > Select an instruc-	
Scroonshot		lick on the View	Button>> Click	on the View H	listory	
Screenshot	tion>> C	Click on the View  Early Repayment Myt History	Button>> Click	on the View H	Cistory  Q. Compare Dipland All Show Differences Only & 19	
Screenshot	tion>> C	lick on the View	Button>> Click	on the View H	listory  Q.€ Compare Expand All Show Differences Only ★ 🖫	
Screenshot	tion>> C	Click on the View  Early Repayment Myt History	Button>> Click	on the View H	Cistory  Q. Compare Dipland All Show Differences Only & 19	
Screenshot	tion>> C	Click on the View  Early Repayment Myt History	Button>> Click	on the View H	Cistory  Q. Compare Dipland All Show Differences Only & 19	
Screenshot	tion>> C horizontalHistoryResult : Principal Fados	Click on the View Early Repayment Mvt History  10-417202000001817002206-08 1825 3772544	Button>> Click	on the View H	Compare Equal All Descriptions Compared	
Screenshot	tion>> C  horizontall-listoryResult : Principal  Fados  Instruction Status	Click on the View Early Repayment Myt History  RPM17222060601810222666018253177266	Button>> Click	on the View H	Compare Equal All Descriptions Compared	
Screenshot	tion>> C horizontalHistoryResult: Principal Fields Instruction Status Prod Variation Amount	Click on the View Early Repayment Mrt History PREMIZEZZONOMO 18 12 25 37 77064	Button>> Click	on the View H	Compare Equal All Descriptions Compared	
Screenshot	tion>> C horizontalHistoryResult : Principal Fields Instruction States Pool Variation Anount Amount With hist On In Let Our	Click on the View  Early Repayment Mrt History  Rever2020000181002200608 18 25 3787204	Button>> Click	on the View H	Compare Equal All Descriptions Compared	
Screenshot	tion>> C  horizontalHistoryResult: Principal  Fields  Instruction Sams Pool Variation Anoust: Amoust With his Cut in Let Curr Is Valuated.	Click on the View Early Repayment Mrt History  MMM1702200000181/202200 60 8 10 25 17 72566 500 500 701	Button>> Click	on the View H	Compare Equal All Descriptions Compared	
Screenshot	tion>> C horizontalHistoryResult : Principal Fields Instruction Status Pool Variation Amount Amount With Nair Call in Lot Curr Is Valuated Leaf Pool Position	Early Repayment Mrt History  Replayment Mrt History  Replay 10220000011101222006 08 18 28 37 7204  Sense 1000  Son 1000  Son 1000  FOOL POSCORO7283	Button>> Click	on the View H	Compare Equal All Descriptions Compared	
Screenshot	tion>> C horizontalHistoryResult : Principal Fisks instruction States Pod Vasiation Amount Amount With laid for Int List Curr Is Valuated Last Prof Presion Update Epopose Valle	Click on the View Early Repayment Myt History  IN-MT21022000001810022004 08 18 25 37 7204  Series 500 Ves POOLIPOS0007383 000	Button>> Click	on the View H	Compare Equal All Descriptions Compared	
Screenshot	horizontal-History-Result: Principal Fields  Instruction Status Pool Variation Amount Amount With Hat Call In Lot Curr Is Valuated Lost Frod Proston Update Exposure Value Update Pool Collateral Value	Click on the View Early Repayment Mrt History  PREMITIZED/MOROS BI (2022/04-06 to 18-2-5 37 7254)  Sense  500 500 100 100 100 100 100 100 100 100	Button>> Click	on the View H	Compare Count All Sour Officeron (Say © 1)  WHAT DO CONTROL OF SOUR SOUR SOUR SOUR SOUR SOUR SOUR SOUR	
Screenshot	tion>> C  horizontalHistoryResult: Principal  Fields  Instruction Status Pool Variation Annust Amoust With Hist Post In Let Curr Is Valued Less Pool Pretion Update Eponew Value Update Pool Colliseral Value Constitution	Early Repayment Mrt History	Button>> Click    NPMT2022000011810222000118725 00 1110   ExposerWateg172   500   500   Ves   POOLP70500017183   500   -100_001_000   00000_002211825 00 111	on the View H	Compare   Equatival   Sour Difference (0%)	
Screenshot	horizontal-History-Result : Principal Fields  Institution States Pold Vasalation Amount Amount With Heir Cert In List Cert Is Valuated Last Peel Prosition Update Exposure Value Update Peel Collegeral Value Construct Date Institut Affectors to	Early Repayment Mix History  Repayment Mix Hi	Button>> Click	on the View H	Compare Count Al Sour Offerences (by ↑ □ 1872 V RMATE 2 Compare Count Al Sour Offerences (by ↑ □ 1872 V RMATE 2 COUNT (B) 1872 V RMATE 2 COUNT (B	
Screenshot	horizontal/HistoryResult : Principal  Fields  Instruction Status Pool Variation Amount Amount With Nair Carl In Lot Curr Is Valuated Lear Pool Position Update Eponove Value Update Pool Collegar Value Cestion Dise Instruction Amount Instruction Instruction Update Pool Collegar Value Cestion Dise Instruction Instructed	Early Repayment Mrt History	Button>> Click	on the View H	Compart   Equate Al   Store Differences (Ary   1/4	
Screenshot	horizontal-History-Result: Principal Fields  Instruction Status Pool Variation Annuard Annuard With Net Cert Its Let Cert Its Valuesed Last Pool Prosicion Upplete Exposure Value Upplete Pool Collegeral Value Contention De	Early Repayment Mix History  Repayment Mix Hi	Button>> Click    Impat/20220000018170022-00-08 18-25-20 1 11/2   Impat/202200000181 18-25-20 1 11/2   Impat/20220000181 18-25-20 1 11   Impat/20220000181 18-25-20 1 11   Impat/20220000181 18-25-20 1 11   Impat/20220000181 18-25-20 1 11	On the View H	Compare Count Al Sour Offerences (by ↑ □ 1872 V RMATE 2 Compare Count Al Sour Offerences (by ↑ □ 1872 V RMATE 2 COUNT (B) 1872 V RMATE 2 COUNT (B	
Screenshot	horizontal/HistoryResult: Principal  Freits  Instruction Status Pool Variation Amount Amount With Hat Cut In Lot Cutr Is Valuated Last Peol Position Update Epones Valle Update Pool Colonaria Valuate Creation Date Instruction Inference ID Is Reported Update ANY Excess Update ANY Excess Update ANY Excess Update ANY Excess Update ANY Excess	Early Repayment Mix History  Repayment Mix Hi	Button>> Click    Impat/20220000018170022-00-08 18-25-20 1 11/2   Impat/202200000181 18-25-20 1 11/2   Impat/20220000181 18-25-20 1 11   Impat/20220000181 18-25-20 1 11   Impat/20220000181 18-25-20 1 11   Impat/20220000181 18-25-20 1 11	On the View H	Compare Count Al Sour Offerences (by ↑ □ 1872 V RMATE 2 Compare Count Al Sour Offerences (by ↑ □ 1872 V RMATE 2 COUNT (B) 1872 V RMATE 2 COUNT (B	
Screenshot	horizontalHistoryResult: Principal Teless Interaction Same Pool Variation Annuar Annuar Win Hate Crit to Life Curr is Valuated Last Pool Position Update Exposer Value Update Pool Collared Value Update Pool Collared Value Update Pool Collared Value Update Pool Collared Value Update Pool Collared Value Update Pool Collared Value Update AMY Excess Update AMY Excess Update AMY Excess Update Value	Early Repayment Mor History  Early Repayment Mor History    NewM1702200000181 2022206.06 8 825 377256    Service   500	Button>> Click    Temperature   On the View H	Company   Excusor Al   Show Offerences (bey   ↑ □		
Screenshot	horizontal/HistoryResult: Principal Fields  Instruction Status Pool Variation Amount Amount With Hair Out In Let Curr Is Valuated Lets Pred Praction Update Popinson Update Popinson Update Popinson Update Popinson Update A	Early Repayment Mor History  Early Repayment Mor History    NewM1702200000181 2022206.06 8 825 377256    Service   500	Button>> Click    Temperature   On the View H	Company   Excusor Al   Show Offerences (bey   ↑ □		

OMO-Early Repayment – History Screen					
Field	Description				
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.				
Timestamp	Shows the date and time when the fields have been updated.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Button	Description				
Compare	Shows the differences between the selected records whose data have been updated.				
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Party.				
Show All	Shows the information that existed before each modification of all the fields regarding the selected Party.				
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected early repayment.				
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected early repayment				

## 3.3.3.2.5.4 Open Market Operation –Early repayment-Details screen

<b>Context of Usage</b>	This screen gives a view of selected Open Market Operations resulting
	from the request





OMO-Early Repayment – Details Screen					
Button	Description				
History	This function enables you to access to the detailled information of an Early repayment instruction.				
	Next screen:				
	– Early repayment – History Screen.				
Principal Early Rep	payment Mvt				
Field	Description				
RepaymentRefNo	Application reference number that uniquely identifies the early repayment operation in the ECMS.				
Repaid OMORe-	Enter the OMORefNo of the Operation to be repaid.				
fNo	Max. 8 characters (format: YYYYnnnn)				
Modification reason related to the ER	Credit Line modification reason related to the Early repayment movement.				
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.				
Bidder ID	Shows the identifier of the Counterparty				
Counterparty's short name	Shows the short name of the counterparty				
Repaid Amount in euro	Shows the amount of the Early repayment instruction.(in currency) for euro amount				
Currency	EUR (currency used in the pool)				
Repaid Interest in euro	Interest amount calculated for the repayment operation, in euro				
Repaid Amount in currency	Shows the amount of the early repayment in foreign currency when the operation is in foreign currency				
FX Currency	Shows the currency when the operation is in foreign currency				



Repaid Interest in currency	Interest amount calculated for the repayment operation of non-euro credit operations					
Intended Settle- ment Date	Date of the Settlement date that has been instructed.					
Trade Date	Date of the Trade date.					
	Displayed format : DD/MM/YYYY					
Real Settlement	Date of the effective Settlement date.					
Date	Displayed format : DD/MM/YYYY					
STATUS DETAILS	5					
Business Status	Shows the status of the early repayment instruction.					
	The possible values are :					
	- Received					
	- Validated					
	- Rejected					
	- Payments prepared					
	- Pending payment					
	– Cancelled					
	- Closed					
Footprint						
Update User Id	Shows the identification of the user that made the last update.					
Update Date	Shows the date and time of the Early Repayment last update.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Creation User Id	Shows the identification of the user that created the data.					
Creation Date	Shows the date and time of the Early Repayment creation.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
<b>Business Validation</b>	n Error					
Error Message	Shows the error reason in case of incomplete instruction.					
Business rule ID	Has Incomplete Status Identifier.					

#### 3.3.3.3 Standing Facilities

Marginal Lending on Request (MLOR) can be used by Counterparties to receive overnight credit from an NCB at a pre-specified interest rate against eligible assets. Access to Marginal Lending on Request is reserved to Counterparties endorsed to access the marginal lending facilities.

Automatic Marginal Lending (AML) is the automatic transformation of intraday credit in overnight credit, triggered by the CLM at the end of the CLM business day. AML is triggered in CLM when the total amount of all the Counterparty's accounts is not sufficient to cover the intraday credit granted on the Counterparty's MCA. The AML can be updated in the ECMS, however it cannot be created in the ECMS.



## 3.3.3.1 Standing Facilities – Input Screen

Context of Usage	This screen contains a number of fields regarding the Standing Facilities. You can enter new data for a Marginal Lending on Request Instruction. Afterwards you can proceed further by clicking on the buttons below.							
Screen Access	Collateral and Credit >> Facilities Instruction	> Exposure >> Standing Fa	acilities >> Input Standing					
Screenshot	Input MLOR Instruction Transaction Details Transaction Instrucce STDF4000000046 Counterpairy Identifier  Amount Instruction Date 13/05/2022 Last Update Reason	Counterparty Transaction Reference Number  NCB identifier  Our ency  EXR  Internded Sertifement Date	Pool blentifier  Instruction Description  MILOR  Maturity Date					

Standing Facilities –	Input screen
General Information	
Field	Description
Transaction Refer-	Shows the reference that uniquely identifies the instruction transaction.
ence	Required format is: Max. XX characters.
Counterparty Transaction Reference	Enter/Select the Counterparty Transaction reference that uniquely identifies the Counterparty's instruction.
Number*	Required format is: Max. XX characters.
Pool Id*	Enter/Select the identifier of the Pool providing the assets.
Counterparty Id*	Enter/Select the identifier that uniquely identifies the Counterparty.
	Required format is: Max. XX characters.
NCB Id*	Enter/Select the identifier that uniquely identifies the NCB. After entering the Pool Id, the NCB Id is shown.
	Required format is: Max. XX characters.
Instruction Description*	Shows "MLOR"
Amount*	Enter the amount.
Currency*	Shows "EUR".
Instruction Date*	Shows the business date on which the instruction is created.
	Required format is: DD/MM/YYYY.
Intended Settlement Date*	Enter/Select the business date on which the instruction will be settled.  Either the current business date or current business date + 1. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Maturity Date*	Enter/Select the business date on which the instruction will mature. The calendar icon can also be used. After entering the Intended Settlement Date, the Maturity Date is shown (Intended Settlement Date +1).
	Required format is: DD/MM/YYYY.
Last Update Reason	Enter the description for the creation.



Button	Function					
Reset	This function enables you to clear the form.					
Save	This function enables you to save the creation of an instruction.					

## 3.3.3.2 Standing Facilities – Search Screen

Context of	This screen contains a number of search fields and shows the latest and stored					
Usage	searches. By inputting the relevant data, you can search for the Standing Facilit-					
	ies.					
	The search results will be displayed in a list. After selecting an entry, you can					
	proceed further by clicking on the buttons that are shown.					
	This function is available only in U2A mode.					
	Depending how the screen is accessed, it can be used to search the valid inform-					
	ation or the information that requires 4 eyes validation before they can be con-					
	sidered valid in the system.					
Screen Access	For valid information:					
	Collateral and Credit >> Exposure >> Standing Facilities >> Display Standing Facilities Instruction					
	For information subject to 4 eyes validation:					
	Collateral and Credit >> Validation / Rejection >> Validate / Reject Standing Fa-					
	cilities >> Standing Facilities					
Screenshot	Input MLOR Instruction					
	Transaction Reference  Counterparty Transaction Reference Number  Pod Identifier					
	STDFAC00000000145  Counterpany Identifier Instruction Description					
	▼ MLOR					
	Amount Curricy EUR					
	Instruction Date Interested Settlement Date Manufry Date  13/05/2022   Manufry Date					
	Last Update Reason					
	▼ Sam					
<b>Standing Facil</b>	lities – Search screen					
Field	Description					
Transaction	Enter/Select the reference that uniquely identifies the instruction. Required					
Reference	format is: Max. XX characters.					
Counterparty	Enter/Select the Counterparty Transaction reference that uniquely identifies the					
Transaction	Counterparty's instruction.					
Reference	Required format is: Max. XX characters.					
Number	required format is, that, for characters.					
Counterparty	Enter/Select the identifier that uniquely identifies the Counterparty.					
Identifier						
Pool Identifier	Enter/Select the identifier of the Pool providing the assets.					
Instruction	Enter/Select "AML" or "MLOR"					
Description						
Instruction	Enter/Select the business date on which the instruction was entered. The calen-					
Date	dar icon can also be used.					
Intended Set-	Enter/Select the business date on which the instruction will be settled. The cal-					
tlement Date	endar icon can also be used.					
deficite Date	State Test can also be about					



	Required format is: DD/MM/YYYY.
Maturity Date	Shows the business date on which the instruction will mature. The calendar
	icon can also be used.
	Required format is: DD/MM/YYYY.
Instruction	Enter/Select the status of the instruction.
Status	
U2A	Enter/Select "Yes" if the instruction has been entered via U2A, otherwise "No"
	for A2A.
Update Date	Enter/Select the business date on which the instruction will be settled. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The
	results are displayed in a list on the List Screen.

# 3.3.3.2.1 Standing Facilities – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. This function is available only in U2A mode.  Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.																					
Screen Access	For $\tau$	valio	l inf	orn	ıatic	n:																
	Facilifield  For it  Coll	litie ls, i infon aten	es Information of the second s	struede tion and tan	uction of the subsection of th	on : vject edit g Fa	>> ( t to a ecili	Clic a 4 Val	k or eyes lidat	valition	>> Star e search idation / Reje ck on t ed)	h bu	ttoi	n (a > Va	fter	inp	outt / Re	ejec	the t Sta	relev anding	an	
	-																					_
Screenshot	Search Res	suit : Stan	umg Facili	ues					K	< Page	1 of 25 > >									Q 250 (5 [	<b> </b>	★ 🗟
	■ Transac√	Counter	Counter	Counter	Pool Ide	NCB Ide	CLM CB	Instructi			Accrued Currenc	Instructi <sup>2</sup>	Intende	Maturitý,:	To Be N	Netting 🖓	Netting S	U2A ¢	7 Instructi		<b>□</b> Upd	
	STDFAC	STDFAC	FR18129	ISAEFRP	P00L000	FR30001	CBXXXTF	MLOR	1500000		0 EUR	11/05/20	10/05/20	11/05/20	No			Yes	Rejected		20 FRC	
	STDFAC	STDFAC	FR18129 FR18129	ISAEFRP	POOL000	FR30001	CBXXTF	MLOR MLOR	1500000		0 EUR	11/05/20		11/05/20	No			Yes	Rejected	12/05/		0.00
	STDFAC	STDFAC	FR18129	ISAEFRP	POOL000	FR30001	CBXXTF	MLOR	1500000		0 EUR	11/05/20		11/05/20	No			Yes	Rejected	11/05/		
	STDFAC	STDFAC	FR18129	ISAEFRP	P00L000	FR30001	CBXXTF	MLOR	1500000		0 EUR	11/05/20	10/05/20	11/05/20	No			Yes	Rejected	11/05/	20 ECM	4S O
	STDFAC	STDFAC	FR18129	ISAEFRP	POOL000	FR30001	CBXXTF	MLOR	1500000		0 EUR	11/05/20		11/05/20	No			Yes	Rejected	11/05/		
	STDFAC	STDFAC	FR18129	ISAEFRP	P00L000	FR30001	CBXXTF	MLOR	1500000		0 EUR	11/05/20		11/05/20				Yes	Rejected	11/05/		
	STDFAC	STDFAC MLOR10	FR18129 FR30004	ISAEFRP BNPAFR	POOL000	FR30001 FR30001	CBXXTF	MLOR	150000	0	0 EUR 0 EUR	10/05/20		12/05/20		NETTODO	WaitingN	Yes No	Rejected	11/05/		
	STDFAC		FR18129	ISAEFRP	POOL000		CBXXTF	AML	1000000	0	0 EUR			11/05/20		NETTOOO	Settled	No	Closed		20 ECM	

Standing Facilities – List Screen						
Field	Description					
Transaction	Shows the reference that uniquely identifies the instruction.					
Reference						



Counterparty Transaction Reference Number	Shows the Counterparty Transaction reference that uniquely identifies the Counterparty's instruction.
Counterparty ID	Shows the identifier that uniquely identifies the Counterparty.
Counterparty's MCA	Shows the identifier of the Main Cash Account of the Counterparty
Pool ID	Shows the identifier that uniquely identifies the Pool.
NCB Id	Shows the identifier that uniquely identifies the NCB.
NCB's CB Account	Shows the identifier of the Central Bank Account of the NCB.
Instruction Description	"AML" or "MLOR"
Amount	Shows the amount.
Interest Rate	Shows the Interest Rate of the instruction
Accrued Interest	Shows the Accrued Interest of the instruction
Currency	Shows "EUR".
Instruction	Shows the business date on which the instruction is created.
Date	Displayed format is: DD/MM/YYYY.
Intended Set- tlement Date	Shows the business date on which the instruction will be settled. Either the current business date or current business date + 1. Displayed format is: DD/MM/YYYY.
Maturity Date	Shows the business date on which the instruction will mature. Displayed format is: DD/MM/YYYY.
To be Netted	Shows "Yes" if the instruction has been netted, otherwise "No".
Netting Reference	Shows the Netting reference if the instruction is netted.
Netting Status	Shows the Netting status.
U2A	Shows "Yes" if the instruction has been entered via U2A, otherwise "No" for A2A.
Instruction	Shows the Instruction status.
Status	Possible values:
	<ul> <li>"Waiting Manual Intervention" in case the message is pending, or the CLM notification has failed, or in case of business validation failures so that the NCB user can update the reference data</li> </ul>
	- "Waiting Validation" in case of 4 eyes validation for U2A instruction
	- "Waiting Settlement Date" in case of Intended Settlement Date = D+1
	<ul> <li>"Pending Settlement" in case instruction recycled if Collateral insufficiency check detected or Absolute Credit Limit breached, or in case of payments for which a CLM notification has not been received</li> </ul>
	"Rejected" based on ECMS validation, CLM notification or NCB user rejection
	- "Cancelled" if requested by NCB user or Counterparty



I	
	<ul> <li>"Pending Closure" in case of payments for which a CLM notification has not been received</li> </ul>
	- "Settled" based on CLM notification for the opening and interest
	<ul> <li>"Closed" based on CLM notification for the closing, after reimbursement of principal and interest on maturity date.</li> </ul>
Status Reason	Shows the Status Reason.
Action to val-	Present only when the screen is used in the context of 4 eyes validations.
idate	Shows if the validation is required following a creation or an update of the record.
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
After selecting	a record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of an instruction.
	Next screen:
	- Standing Facilities - History Screen.
View	This function enables you to access to the detailed information of an instruction.
	Next screen:
	- Standing Facilities - Details Screen.
If the screen is when a record	used in the 4 eyes validation context, the following buttons are also displayed is selected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	Standing Facilities – History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	Standing Facilities – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	Standing Facilities – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system



# 3.3.3.2.1.1 Standing Facilities – Edit Screen

Context of Usage	This screen contains a num you can edit when in 4 eye clicking on the buttons bel This function is available o	es operation. Afterwards yo ow.	O .
Screen Access	Collateral and Credit >> Ex Facilities Instruction >> Cli fields, if needed) >> Select	ick on the Search button (af	ter inputting the relevant
Screenshot	Edit : Standing Facilities  Transaction Reference  STOFAC00000000046  Downterparty Identifier  37  Universey  KER  Interended Settlement Date 13/05/2022	Counterpary Transaction Reference STDFAC0000000346 Instruction Status DiposureWartingValidation  Instruction Description MLOR  Return Parkern (4)	Deporture Classification  Prénciginal againet cash  Amount  2,083.3  Maturity Date 16/09/2022   Business Validación Errors [5]

<b>Standing Facili</b>	ties – Edit Screen		
General Inform	General Information		
Field	Description		
Transaction Reference	Shows the reference that uniquely identifies the instruction.		
Counterparty Transaction Reference Number	Shows the Counterparty Transaction reference that uniquely identifies the Counterparty's instruction.		
Pool Id	Shows the identifier that uniquely identifies the Pool.		
Exposure Classification	Shows the type of exposure.		
Counterparty Id	Shows the identifier that uniquely identifies the Counterparty.		
Counterparty's MCA	Shows the identifier of the Main Cash Account of the Counterparty		
CLM CB Account	Shows the Identifier of the Account of the Central Bank		
Status	Shows the status.		
Amount	Shows the amount. This field can be updated.		
Remaining Amount	Shows the remaining amount.		
Currency	Shows "EUR".		
Instruction Description	Shows "AML" or "MLOR".		
Interest Rate	Shows the Interest rate of the Marginal Lending on Request.		
Instruction	Shows the business date on which the instruction is created.		
Date	Displayed format is: DD/MM/YYYY.		



Intended Set- tlement Date	Shows the business date on which the instruction will be settled. Either the current business date or current business date + 1. This field can be updated.	
	Required format is: DD/MM/YYYY.	
Maturity Date	Shows the business date on which the instruction will mature. This field can be updated.	
	Required format is: DD/MM/YYYY.	
Payment Reference	Shows the reference used in the payment process for this instruction.	
Creation Date	Shows the date and time of the creation.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the instruction.	
Update Date	Shows the date and time of the last update.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update.	
Last Update reason	Reason for update (information provided by the NCB user or Counterparty).	
Interest		
Field	Description	
Start Excluded	Toggle button	
Basis Point	Shows the Basis Point from the drop-down menu (Percent, Per Thousand, Per Ten Thousand, Per Million)	
Margin	Shows the margin	
Days Base	Shows the Days Base from the drop-down menu (360 or 365 or Actual)	
Interest Rate	Shows the Interest Rate	
End Excluded	Toggle button	
Periodicity	Shows the Periodicity from the drop-down menu (Daily, Weekly, etc)	
Interest Type	Shows the Interest Type from the drop-down menu (Linear, Compound)	
Next Interest	Shows the Next Interest Calculation Date. The calendar icon can also be used.	
Calculation Date	Required format is: DD/MM/YYYY.	
Footprint		
Updater User Id	Shows the identification of the user that made the last update.	
Update Date	Shows the date and time of the last update.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the data.	
Creation Date	Shows the date and time of the creation.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Button	Function	
Cancel	This function enables you to cancel the modification.	
Save	This function enables you to save the modification.	



## 3.3.3.2.1.2 Standing Facilities – History Screen

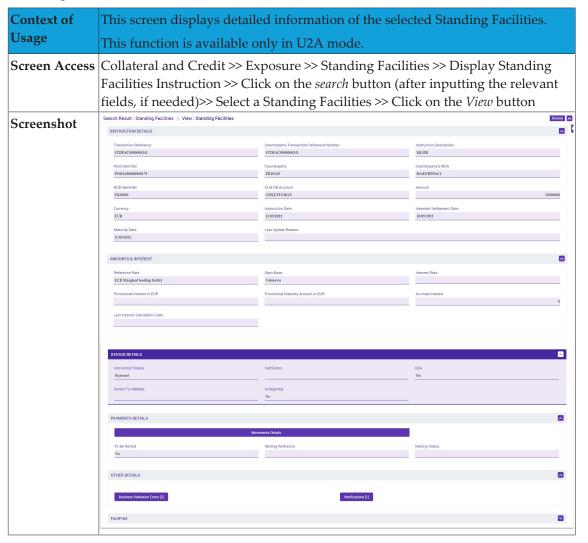
Context of Usage	Standing Facil	splays historical information that has changed on the selected ities. You can check the data, including the user who made the proceed further by clicking on the buttons that are shown.	
	This function i	s available only in U2A mode.	
Screen Access	ing Faciliti relevant fic tory buttor - Collateral ing Faciliti relevant fic	and Credit >> Exposure >> Standing Facilities >> Display Standes Instruction >> Click on the search button (after inputting the elds, if needed) >> Select a Standing Facilities >> Click on the Hisman and Credit >> Exposure >> Standing Facilities >> Display Standes Instruction >> Click on the search button (after inputting the elds, if needed) >> Select a Standing Facilities >> Click on the View anding Facilities - Details Screen >> Click on the History Button	
Screenshot	horizontalHistoryResult: Standing Facilities History  Q 1 Compare Equat/Al Stort Difference Only 🖈 🗓 🖟		
	Fields	STIFA.CCCCCCCCCCCASAGO 222-25-13 16-47/13 8531	
	Transaction Reference Can Modify Counterparty Transaction Reference Number Coverage Rate Exposure Class Name Generate Lan Schedule Has Termination Date Instruction Date Accounting Instruction Instruction Account Instruction Account Instruction Account Instruct Account Instruct Account Instruct Account Instruct Account Instruct Account Instruct Account Instruct Instruction Instru	\$\$\text{STEPACOMMONIAGE}\$  INSTANCE_STEPACOMMONIAGE_STEP	

Standing Facilities – History Screen		
Field	Description	
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.	
Timestamp	Shows the date and time when the fields have been updated.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Button	Description	
Compare	Shows the differences between the selected records whose data have been updated.	
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected instruction.	
Show All	Shows the information that existed before each modification of all the fields regarding the selected instruction.	
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected instruction.	



Show Differ-	Shows only the fields whose information have been updated since the creation
ences Only	of the selected instruction.

#### 3.3.3.2.1.3 Standing Facilities – Details Screen



Standing Facilities – Details screen		
Button	Description	
History	This function enables you to access to the historical information of an instruction.	
	Next screen:	
	<ul> <li>Standing Facilities – History Screen.</li> </ul>	
General Information		
Field	Description	
Transaction	Shows the reference that uniquely identifies the instruction.	
Reference		



Counterparty Transaction Reference Number	Shows the reference that uniquely identifies the Counterparty's Transaction instruction.
Instruction Description	Shows the Standing Facilities Type "AML" or "MLOR".
Pool Id	Shows the identifier that uniquely identifies the Pool.
Counterparty Id	Shows the identifier that uniquely identifies the Counterparty.
Counter- party's MCA	Shows the identifier of the Main Cash Account of the Counterparty
NCB Id	Shows the identifier that uniquely identifies the NCB.  Required format is: Max. XX characters.
CLM CB Account	Shows the Identifier of the Account of the Central Bank
Amount	Shows the amount.
Currency	Shows "EUR".
Instruction	Shows the business date on which the instruction is created.
Date	Displayed format is: DD/MM/YYYY.
	Shows the business date on which the instruction will be settled.
tlement Date	Displayed format is: DD/MM/YYYY.
Maturity Date	Shows the business date on which the instruction will mature.
	Displayed format is: DD/MM/YYYY.
Last Update reason	Reason for update (information provided by the NCB user or Counterparty).
Amounts and	Interest
Reference Rate	Shows the Type of Reference Rate
Days Base	Shows the Days Base used for the Interest calculation
Interest Rate	Shows the Interest rate of the Marginal Lending on Request.
Provisional Interest in EUR	Shows the amount of Provisional Interest Amount at Maturity of the instruction
Provisional Maturity Amount in EUR	Shows the amount of Provisional Maturity Amount of the instruction
Accrued Interest	Shows the amount of Accrued Interest of the instruction
Last Interest	Shows the last date on which the Interest was calculated.
Calculation	Displayed format is: DD/MM/YYYY.
Date Status Details	
Instruction Status	Shows the Instruction status.



Sub-Status	Shows the Sub-status.
U2A	Shows "Yes" if the instruction has been entered via U2A, otherwise "No" for A2A.
Payment Deta	ils
To be Netted	Shows "Yes" if the instruction has been netted, otherwise "No".
Netting Reference	Shows the Netting reference if the instruction is netted.
Netting Status	Shows the Netting status.
Other Details	
Section but-	Description
tons	
	Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
Notifications [n]	Section to view the details of the related notifications sent and received. [n] corresponds to the number of Notification.
Business Vali	dation Errors
The existing b	usiness validation errors of the Instruction are displayed.
To view the de	etails of an existing business validation error, select it and click on the View but-
ton.	
Field	Description
Error Mes-	Shows the error message related to the business validation rule.
sage	Displayed format is: Max. 255 characters.
Business Rule Id	Shows the identifier of the business validation.
Status	Shows the status of the Business Validation.
Notifications	[n]
Field	Description
Table with the	e list of Notification received and sent
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Notification status	Status of the notification
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Updater User Id	Shows the identification of the user that made the last update of the instruction.
Action	
Download	Button to download the Notification Message
View Mes- sage	Button to view the Notification Message
View	Button to view the details of the Notification



Validation de	Validation details		
Action To Validate	Shows if there is any action waiting for validation.		
Is Rejected	Shows if any action subject to validation has been rejected. The possible values are:  - Yes - No		
Footprint			
Creation Date	Shows the date and time of the creation of the instruction.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the user that created the instruction.		
Update Date	Shows the date and time of the update of the instruction.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id	Shows the identification of the user that made the last update of the instruction.		

# 3.3.3.2.2 Standing Facilities – Cancel Screen

Context of Usage	This screen provides the possibility to cancel the selected Standing Facilities. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Exposure &gt;&gt; Standing Facilities &gt;&gt; Cancel Standing Facilities Instruction &gt;&gt; Click on the search button (after inputting the relevant fields, if needed) &gt;&gt; Select a Standing Facilities &gt;&gt; Click on the Cancel button</li> </ul>
	<ul> <li>Collateral and Credit &gt;&gt; Exposure &gt;&gt; Standing Facilities &gt;&gt; Cancel Standing Facilities Instruction &gt;&gt; Click on the search button (after inputting the relevant fields, if needed) &gt;&gt; Select a Standing Facilities &gt;&gt; Click on the View button (Standing Facilities - Details Screen &gt;&gt; Click on the Cancel Button</li> </ul>
Screenshot	ChangeStatus: Standing Facilities    D   V   Counterparty   Transaction H;   Counterparty   Pool Identifier   Instruction Disp.   Amount   V   Currency   V   Instruction Disp.   Instruct

Standing Facilities – Cancel Screen					
Field	Description				
Transaction Reference	Shows the reference that uniquely identifies the instruction.				
Counterparty Transaction Reference Number	Shows the Counterparty Transaction reference that uniquely identifies the Counterparty's instruction.				
Pool ID	Shows the identifier that uniquely identifies the Pool.				
Counterparty ID	Shows the identifier that uniquely identifies the Counterparty.				



Shows the identifier of the Main Cash Account of the Counterparty
Shows the identifier that uniquely identifies the NCB.
Shows the identifier of the Cash Account of the NCB.
"MLOR"
Shows the amount.
Shows the Interest Rate of the instruction
Shows the Accrued Interest of the instruction
Shows "EUR".
Shows the business date on which the instruction is created.
Displayed format is: DD/MM/YYYY.
Shows the business date on which the instruction will be settled. Either the current business date or current business date + 1. Displayed format is: DD/MM/YYYY.
Shows the business date on which the instruction will mature. Displayed format is: DD/MM/YYYY.
Shows "Yes" if the instruction has been netted, otherwise "No".
Shows the Netting reference if the instruction is netted.
Shows the Netting status.
Shows the Instruction status.
Shows the Sub-status.
Shows "Yes" if the instruction has been entered via U2A, otherwise "No" for A2A.
Shows if the validation is required following a creation or an update of the record.
Shows the date and time of the last update.
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Shows the identification of the user that made the last update.
Shows the reason for update (information provided by the NCB user or Counterparty).
a record, the following buttons are displayed
Function
This function enables you to access to the historical information of an instruction.
Next screen:
– Standing Facilities – History Screen.
This function allows the user cancel the record so that they can be considered cancelled in the system



View	This function enables you to access to the detailed information of an instruc-			
	tion.			
	Next screen:			
	- Standing Facilities - Details Screen.			
If the screen is u	used in the 4 eyes validation context, the following buttons are also displayed			
when a record i	s selected			
Button	Function			
	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.			
	Next screen:			
	Standing Facilities – History Screen.			
View Draft	This function enables the user to view the draft record to be validated.			
	Next screen:			
	Standing Facilities – Details Screen.			

### **3.3.3.3 Standing Facilities Notifications**

The Standing Facilities Notifications functionality provides the Counterparty users with notification information on the Marginal Lending instructions that have been processed in the ECMS.

#### 3.3.3.3.1 MLOR Instruction Notifications – Search Screen

Context of Usage	This screen contains a num searches. By inputting the rations.  The search results will be deproceed further by clicking	relevant data, yo lisplayed in a lis	u can search for the MLOR	Notifica-
Screen Access	Collateral and Credit >> Noting Facilities Notifications	otifications >> Co	ounterparties Notifications	>> Stand-
Screenshot	Search Criteria: Exposures Notification  Message 6	Instruction Id   Instruction Agent   Creditor   Creditor   V	Eneto-End lid •• ▼  Debror •• ▼  Settlement Date •• ▼	₹ A

MLOR Instruction Notifications – Search screen					
Field	Description				
Message Iden- tifier	Enter/Select the reference that uniquely identifies the notification.				
Instruction Identifier	Enter/Select the reference that uniquely identifies the instruction generating the notification.				
End-to-End Identifier	Enter/Select the End-to-End Identifier that uniquely identifies the transaction throughout the lifecycle.				
Pool Id	Enter/Select the identifier of the Pool providing the assets.				
Instructing Agent	Enter/Select the identifier that uniquely identifies the Instructing Agent.				



Debtor	Enter/Select the identifier that uniquely identifies the Debtor.
Instructed	Enter/Select the identifier that uniquely identifies the Instructed Agent.
Agent	
Creditor	Enter/Select the identifier that uniquely identifies the Creditor.
Settlement	Enter/Select the Settlement date.
Date	The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Update Date	Enter/Select the date and time of the last update.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The
	results are displayed in a list on the List Screen.

## 3.3.3.3.1.1 MLOR Instruction Notifications – List screen

Usage	value selec	es of t	the 'I an en	Jpda try, y	te Da ou c	ite' co an pr	olumi	n in o	displa descer ther b node.	nding y clic	gord	er (de	fault	setti	ing). 1	
	ing F Click	acilit	ies N he <i>sea</i>	otific	cation	ns >>	MLC	R In	> Cou struct ng the	ion N	Jotifi	catio	ns >>	Disp	olay >	
Screenshot	10 V	it : Exposures i	Notification				K < Pag	e 1 of 21	K <						Q 201 () [	
	Message Id C MSG-ISA06042 MSG-ISA06042 MSG-ISA06042 MSG-ISA06042 MSG-ISA06042 MSG-ISA06042 MSG-ISA06042 MSG-ISA06042 MSG-ISA06042 MSG-ISA06042	E2E-ISA060420 E2E-ISA060420 E2E-ISA060420	INST/SA06042. INST/SA06042. INST/SA06042. INST/SA06042. INST/SA06042. INST/SA06042. INST/SA06042. INST/SA06042. INST/SA06042. INST/SA06042. INST/SA06042. INST/SA06042.	BOFEFRPPXXX BOFEFRPPXXX BOFEFRPPXXX BOFEFRPPXXX BOFEFRPPXXX BOFEFRPPXXX BOFEFRPPXXX BOFEFRPPXXX BOFEFRPPXXX BOFEFRPPXXX BOFEFRPPXXX	Instructing AG. CEXXTFC001X CEXXTFC001X CEXXTFC001X CEXXTFC001X CEXXTFC001X CEXXTFC001X CEXXTFC001X CEXXTFC001X CEXXTFC001X CEXXTFC001X CEXXTFC001X	Creditor ©  ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX ISAEFRPPOOX	Instructed Ago- ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT ISAEFRPPACT	MLOR MLOR MLOR MLOR MLOR MLOR MLOR MLOR	POOL0000000_ POOL00000000_ POOL00000000_ POOL00000000_ POOL00000000_ POOL00000000_ POOL00000000_ POOL00000000_	Amount ©  6000000 6000000 6000000 6000000 6000000	EUR EUR EUR EUR EUR EUR EUR EUR EUR	Settlement D&: 10/05/2022 10/05/2022 10/05/2022 10/05/2022 10/05/2022 10/05/2022 10/05/2022 10/05/2022 10/05/2022 10/05/2022 10/05/2022	Notification Na MLOR Instructi MLOR Instructi	Rejected Impacted Rejected Impacted Impacted Impacted Impacted Impacted Impacted Impacted	Update Oate 5: 15/05/2022 19: 15/05/2022 19: 10/05/2022 11: 10/05/2022 18: 10/05/2022 18: 10/05/2022 18: 10/05/2022 18: 10/05/2022 18: 10/05/2022 18: 10/05/2022 11: 10/05/2022 11:	ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01

MLOR Instruction Notifications – List Screen					
Field	Description				
Message Identifier	Shows the reference that uniquely identifies the notification.				
End-to-End Identifier	Shows the End-to-End Identifier that uniquely identifies the transaction throughout the lifecycle.				
Instruction Identifier	Shows the reference that uniquely identifies the instruction generating the notification.				
Pool Identifier	Shows the identifier of the Pool providing the assets.				
Instructing Agent	Shows the identifier that uniquely identifies the Instructing Agent.				
Debtor	Shows the identifier that uniquely identifies the Debtor.				



Shows the identifier that uniquely identifies the Instructed Agent.					
Shows the identifier that uniquely identifies the Creditor.					
Shows the type of instruction. Possible values:					
"MLOR"					
Shows the type of notification. Possible values:					
"Credit Transfer Notification"					
"MLOR Instruction Notification"					
Shows the amount.					
Shows "EUR".					
Shows the business date on which instruction was settled.					
Required format is: DD/MM/YYYY.					
Shows the status.					
Shows the date and time of the last update.					
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Shows the identification of the user that made the last update.					
a record, the following buttons are displayed					
Function					
This function enables you to access to the detailed information of message for an instruction.					
This function enables you to download the instruction selected.					

### 3.3.3.3.2 MLOR Cancellation Notifications – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the MLOR Cancella tion Instructions.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
	This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> MLOR Cancellation Instruction Status Notifications
Screenshot	Search Criteria: Payment Cancellation Status Notification  Message Reference   Cancellation Status   Original Message Name   Notifications Status   Notifications Status   Update Ober   Update Ober    Update Ober   Q Search

MLOR Cancellation Notifications – Search screen					
Field	Description				
Message Refer-	Enter/Select the reference that uniquely identifies the notification.				
ence					



Instructing Agent	Enter/Select the identifier that uniquely identifies the Instructing Agent.
Instructed Agent	Enter/Select the identifier that uniquely identifies the Instructed Agent.
Cancellation Status	Enter/Select the status of the Cancellation.
Original Message Identifier	Enter/Select the reference that uniquely identifies the Original Message.
Original End- to-End Identi- fier	Enter/Select the End-to-End Identifier that uniquely identifies the Original transaction throughout the lifecycle.
Original Mes- sage Name	Enter/Select the name that identifies the Original Message.
Notification Status	Enter/Select the status of the notification.
Update Date	Enter/Select the update date.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

## 3.3.3.3.2.1 MLOR Cancellation Notifications – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. This function is available only in U2A mode.												After
	ing Factions >	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> MLOR Cancellation Instruction Status Notifications >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)											
Screenshot	Search result : Pay    10	Instructed Agen. ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI ISAEFRPPACI	Instructing Age. EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN EEXCRECOIN	Cancellation Still accepted accepted accepted accepted accepted accepted accepted accepted rejected accepted rejected rejected rejected	MSG-65A2402202. MSG-65A2402202. MSG-65A202222. MSG-65A202222. MSG-65A202222. MSG-65A202222. MSG-65A202222. MSG-65A202222. MSG-65A202222. MSG-65A202222. MSG-65A202202. MSG-65A202202.	Figure Mressol  Original Mressol  Original Institute  INSTERARGEORY  INSTERARGEOR	Original End-To- E2E+ISA2402202. E2E+ISA2402202. E2E+ISA2402202. E2E+ISA2402202. E2E+ISA2402202. E2E+ISA2402202. E2E+ISA2402202. E2E+ISA2402202. E2E+ISA2402202. E2E+ISA2402202.	c7777003-0000-4 c7777003-0000-4 c7777003-0000-4 c7777003-0000-4 c7777003-0000-4 c7777003-0000-4 c7777003-0000-4	Standing Facilitie Standing Facilitie Standing Facilitie Standing Facilitie Standing Facilitie	Processed Processed Processed Processed Processed Processed Processed Processed Processed	Direction 9 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Update Date	Dybone Use 10 8 1 ECKNS OPERATO. ECKNS OPERATO. ECKNS OPERATO. ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI ESASFRAZAZOI

MLOR Cancellation Notifications – List Screen						
Field	Description					



Message Refer-	Shows the reference that uniquely identifies the notification.
ence	
Instructing Agent	Shows the identifier that uniquely identifies the Instructing Agent.
Instructed Agent	Shows the identifier that uniquely identifies the Instructed Agent.
Cancellation Status	Shows the status of the Cancellation.
Original Message Identifier	Shows the reference that uniquely identifies the Original Message.
Original Mes- sage Name	Shows the name that identifies the Original Message.
Original Instruction Identifier	Shows the reference that uniquely identifies the Original Instruction.
Original End- to-End Identi- fier	Shows the End-to-End Identifier that uniquely identifies the Original transaction throughout the lifecycle.
Original UETR	Shows the UETR universally unique identifier generated by the initiating party of a transaction that identifies the Original Message.
Notification	Shows the type of notification. Possible values:
Name	"Standing Facilities Cancellation"
Notification Status	Shows the status.
Direction	Shows the direction. Possible values: "In", "Out".
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
After selecting	a record, the following buttons are displayed
Button	Function
View Message	This function enables you to access to the detailed information of message for a notification.
Download	This function enables you to download the notification selected.

### 3.3.3.3.3 MLOR Status Notifications – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the MLOR Status Notifications.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
	This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> MLOR Instruction Status Notifications





MLOR Status I	Notifications – Search screen
Field	Description
Message Iden- tifier	Enter/Select the reference that uniquely identifies the notification.
Original Message Identifier	Enter/Select the reference that uniquely identifies the Original Message.
Original End- to-End Identi- fier	Enter/Select the Original End-to-End Identifier that uniquely identifies the Original transaction throughout the lifecycle.
Original Instruction Identifier	Enter/Select the reference that uniquely identifies the Original instruction generating the notification.
Transaction Status	Enter/Select the status of the Transaction.
Notification Status	Enter/Select the status of the notification.
Date	Enter/Select the date and time of the processing.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Update Date	Enter/Select the date and time of the last update.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

### 3.3.3.3.3.1 MLOR Status Notifications – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After
	selecting an entry, you can proceed further by clicking on the buttons below.
	This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Stand-
	ing Facilities Notifications >> MLOR Instruction Status Notifications >> Display
	>> Click on the search button (after inputting the relevant fields, if needed)



creenshot		Search Result : Payment Status Notification  ( < Page 1 of 17 > )  Q (Mill ()											
		) 🔻	K < Page 1_ of 17 > X Q 168										
	100	Message Id	▽ Original Message Id Ø	Original Message Na	Original Instruction Id2	Original End-To-End IS	Transaction Status	Notification Name 4 🖓	Direction ©	Notifications Status 🕏	Update Date 🕈 💮	Update User Id ♥	
		35056620	MSG-ISA06042022023	pacs.009	INST-ISA06042022023	E2E-ISA06042022023	Rejected	Marginal Lending Statu	Out	Processed	15/05/2022 19:51:16:9	ISAEFRA2A01	
		32086620	STDFAC0000000342		STDFAC0000000342	STDFAC0000000342	Rejected	Marginal Lending Statu	Out	Processed	12/05/2022 11:14:58:2	FRCB-U2A-01	
		32076620	STDFAC0000000341		STDFAC0000000341	STDFAC0000000341	Rejected	Marginal Lending Statu	Out	Processed	12/05/2022 10:01:47:4	FRCB-U2A-01	
	E	31986620	STDFAC0000000339		STDFAC0000000339	STDFAC0000000339	Rejected	Marginal Lending Statu	Out	Processed	11/05/2022 20:42:22:8	ECMS OPERATOR 1	
	E	31976620	STDFAC0000000338		STDFAC0000000338	STDFAC0000000338	Rejected	Marginal Lending Statu	Out	Processed	11/05/2022 20:40:23:8	ECMS OPERATOR 1	
		31966620	STDFAC0000000337		STDFAC0000000337	STDFAC0000000337	Rejected	Marginal Lending Statu	Out	Processed	11/05/2022 20:38:17:0	ECMS OPERATOR 1	
	E3	31956620	STDFAC0000000336		STDFAC0000000336	STDFAC0000000336	Rejected	Marginal Lending Statu	Out	Processed	11/05/2022 20:33:01:1	ECMS OPERATOR 1	
		31946620	STDFAC0000000335		STDFAC0000000335	STDFAC0000000335	Rejected	Marginal Lending Statu	Out	Processed	11/05/2022 20:20:47:9	ECMS OPERATOR 1	
		31936620	STDFAC0000000334		STDFAC0000000334	STDFAC0000000334	Rejected	Marginal Lending Statu	Out	Processed	11/05/2022 20:17:43:6	ECMS OPERATOR 1	
	100	27896620		pacs.009	INST-ISA05042022022	E2E-ISA06042022022	Closed	Marginal Lending Statu	Out	Processed	10/05/2022 19:13:49:6	ECMS OPERATOR 1	

MLOR Status N	Notifications – List Screen
Field	Description
Message Identifier	Shows the reference that uniquely identifies the notification.
Original Message Identifier	Shows the reference that uniquely identifies the Original Message.
Original Message Name	Shows the name that identifies the Original Message.
Original Instruction Identifier	Shows the reference that uniquely identifies the Original Instruction.
Original End- to-End Identi- fier	Shows the End-to-End Identifier that uniquely identifies the Original transaction throughout the lifecycle.
Transaction Status	Shows the status.
Notification	Shows the type of notification. Possible values:
Name	"Marginal Lending Status", ""Marginal Lending Status Rejection"
Direction	Shows the direction. Possible values: "In", "Out".
Notification Status	Shows the status.
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
After selecting a	a record, the following buttons are displayed
Button	Function
View Message	This function enables you to access to the detailed information of message for a notification.
Download	This function enables you to download the instruction selected.

## 3.3.3.3.4 AML Instruction Notifications – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the AML Notifications.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.



	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> AML Instruction Notifications										
Screenshot	Search Criteria : AML Instruction Notification										
Screenshot	Message Id == ▼	Cash Account Identifier == •		Value Date ■■ ▼	_ E						
	Notifications Status == ▼	▼ Processing Date == ▼		Update Date ■■ ▼							
	<b>♡</b> Reset				Q Search						

AML Instruction	on Notifications – Search screen
Field	Description
Message Iden- tifier	Enter/Select the reference that uniquely identifies the notification.
Cash Account Identifier	Enter/Select the Cash Account that is impacted by the notification.
Value Date	Enter/Select the Value date.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Notification Status	Enter/Select the status of the notification.
Processing	Enter/Select the Processing date.
Date	The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Update Date	Enter/Select the date and time of the last update.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

## 3.3.3.3.4.1 AML Instruction Notifications – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.  This function is available only in U2A mode.													
Screen Access	Access Collateral and Credit >> Notifications >> Counterparties Notifications >> Starting Facilities Notifications >> AML Instruction Notifications >> Display >> Counterparties Notifications >> Display >> Display >> Counterparties Notifications >> Display >> Display >> Display >> Display >> Display >> Display >> Display >> D										> Click			
Screenshot	-	rch Result : AML	Instruction Notif	ication			K < Page 1 .	or5 > >					Q 44 (	
		Message Id   ML0R10052028-TC.  ML0R10052028-TC.  ML0R10052026-TC.  ML0R10052026-TC.  ML0R10052025-TC.  ML0R10052025-TC.  ML0R10052024-TC.  ML0R10052024-TC.	camt.004 camt.004 camt.004 camt.004 camt.004 camt.004 camt.004 camt.004	Cash Account Ide MACASHPBADFRD MACASHPBAFRD MACASHPBADFRD MACASHPBAFRD MACASHPBAFRD MACASHPBAFRD MACASHPBADFRD MACASHPBADFRD MACASHPBADFRD MACASHPBADFRD	1000000 1000000 1000000 1000000 1000000 1000000	EUR EUR EUR EUR EUR EUR EUR EUR	Credit Debit Indic. CROT CROT CROT CROT CROT CROT CROT CROT	Balance Type ◆	STLD STLD STLD STLD STLD STLD STLD STLD	Value Date ◆ 10/05/2022 10/05/2022 11/05/2022 11/05/2022 11/05/2022 11/05/2022 10/05/2022 10/05/2022	Netification Namia  AML Operation Not.   Impacted Impacted Impacted Impacted Impacted Impacted Impacted Impacted Impacted	Update Date © 10/05/2022 18:58 10/05/2022 18:58 10/05/2022 12:01 10/05/2022 12:00 10/05/2022 11:57 10/05/2022 11:56 10/05/2022 10:51 10/05/2022 10:51 10/05/2022 10:51 10/05/2022 10:51	STP STP STP STP STP STP STP	
		MLOR10052023-TC MLOR10052023-TC		MACASHPBACFRD	1000000		CRDT		STLD STLD	10/05/2022 10/05/2022	AML Operation Not		10/05/2022 10:49 10/05/2022 10:49	



AML Instruction Notifications – List Screen					
Field	Description				
Message Iden- tifier	Shows the reference that uniquely identifies the notification.				
Message Name	Shows the name that identifies the Message.				
Cash Account Identifier	Shows the Cash Account that is impacted by the notification.				
Balance Amount	Shows the amount.				
Currency	Shows "EUR".				
Credit Debit Indicator	Show the Credit or Debit indicator for the notification				
Balance Type	Shows the Balance Type for the notification. Possible values: "OPNG"				
Balance Status	Shows the Balance Status.				
Value Date Shows the date and time of the value.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Notification	Shows the type of notification. Possible values:				
Name	"AML Instruction Notification"				
Notification Status	Shows the status.				
Update Date	Shows the date and time of the last update.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Updater User Id	Shows the identification of the user that made the last update.				
After selecting	a record, the following buttons are displayed				
Button	Function				
Download	This function enables you to download the instruction selected.				

# 3.3.4 Global Credit Position Management

# 3.3.4.1 Credit Freezing

## 3.3.4.1.1 Credit Freezing – Create Screen

Context of Usage	This screen contains several fields regarding a credit freezing instruction. You can enter manually the data and then click in the button Save				
Screen Access	Exposure >> Credit Freezing >> Input Credit Freezing Instruction				
Screenshot	Input Credit Freezing instruction  Transaction Details  Transaction Reference Number  CF30236638001151  Counterparty  Currency  EUR  Congulsory Event	Purry's Instruction Reference  Credit Freezing Type  Intended Settlement Date 28/06/2022  Last Update Reason	Pool Identifier  Amount  Update mode		



Credit Freezing – C	Create screen				
Field	Description				
Transaction Reference Number	Unique transaction identifier in the ECMS. It's always auto-completed when opening the screen to input a new credit freezing instruction.				
Party's Instruction Reference	Reference of the instruction given by the instructing Party				
Pool Identifier	Identifier of the ECMS Pool where the credit freezing is authorised.				
Counterparty	Identifier of the ECMS Party that initiated the instruction.				
Credit Freezing Type	Type of credit freezing update requested by the Counterparty / NCB.				
Amount	Amount of credit freezing update.				
Currency	Always equal to EUR				
Intended Settle- ment Date	Intended Settlement Date corresponds to the current ECMS business date as Credit Freezing Instructions are processed during the day-time process.				
Update Mode	"DELTA" or "CANCEL AND REPLACE"				
Compulsory Eevent	Yes or No				
Last Update Reason	Reason for update (information provided by the NCB user or Counterparty).				
Button	Function				
Reset	This function enables you to clear the form.				
Save	This function enables you to save the creation of a credit freezing instruction.				

## 3.3.4.1.2 Credit Freezing – Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the list of credit freezing instructions meeting the entered criteria. The screen contains a number of search fields and shows the latest and stored performed searches. By inputting the relevant data you can search for all the instructions that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown				
	Depending how the screen is accessed, it can be used to search the valid information of the credit freezing instruction or the information that requires 4 eyes validation before they can be considered valid in the system.				
Screen Access	For valid information:  Exposure >> Credit Freezing >> Display Credit Freezing Instruction  For information subject to 4 eyes validation:  Exposure >> Credit Freezing >> Credit Freezing Instruction Waiting for validation				
Screenshot	Search Criteria : Credit Freezing  Translaction Reference == * Party's Instruction == * Counterparty == * * Counterparty == * * * Party's Instruction == * * Counterparty == * * * * * * * * * * * * * * * * * *				



Operations – Search	h screen				
General Information	General Information				
Field	Description				
Transaction Reference Number	Enter the transaction reference number				
Party's Instruction Reference	Enter the party's instruction reference				
Pool Identifier	Select a pool identifier from the list displayed				
Counterparty	Select a counterparty from the list displayed				
Credit Freezing Type	Select a credit freezing type from the list displayed				
Intended Settle-	Enter a date or use the calendar icon to select one.				
ment Date	Required format is: DD/MM/YYYY				
Update Mode	Select an update mode from the list displayed				
Compulsory Event	Enter Yes or No to display instructions with compulsory events or not.				
U2A	Enter Yes or No to display U2A instructions				
Instruction Status	Select one status among the possible list of credit freezing instruction satuses				
Creation Date	Enter a date or use the calendar icon to select one.				
	Required format is: DD/MM/YYYY				
Update Date	Enter a date or use the calendar icon to select one.				
	Required format is: DD/MM/YYYY				
Button	Function				
Reset	This function enables you to clear the form.				
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.				

## 3.3.4.1.2.1 Credit Freezing – List Screen

Context of Usage	This screen gives the list of credit freezing instructions resulting from the request entered in the display screen after clicking on the button Search. The screen Search is displayed below the query.  Depending how the screen is accessed, it can be used to search the valid information of the credit freezing instructions or the information that requires 4 eyes validation before they can be considered valid in the system		
Screen Access	For valid information:		
	Exposure >> Credit Freezing >> Display Credit Freezing Instruction >> Click on the Search button (after inputting the relevant fields, if needed)		
	For information subject to 4 eyes validation:		



	Exposure >> Credit Freezing >> Credit Freezing Instruction Waiting for					
	validation >> Click on the Search button (after inputting the relevant fields, if needed)					
Screenshot	Search Result : Credit Freezing					
	Tamascton RC   Party Institut.   Pool Sentifier # Counterparty & Credit Freezinc   Amount & Counterparty & Update mode & Computory & Update Description   CP2022051600.   POOL00000000.   PRIB129   CP1V   0 EUR   1606/20122   CANCELANDR. No Ves Sented   1606/201215.   ECMSOFERAT.					
	C72222081400. C72222081400. PO00,00000000. FR818129 CPTY 80,0000000 EUR 16,006,2022 L CANCELANDR. No Yes Semiled 16,006,2022 LS. ECMS OFFRENT. CONTROL OF EUR 16,006,2022 LS. ECMS OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT. CONTROL OFFRENT					
	GF2022061600. CF2022061600. PO00,00000000. FR10129 CPTY 2000,0000 EUR 1606/2022 DELAGE AND R. No Yes Semiled 1606/2022 EARS OFFRAT.   GF2022061600. CF2022061600. PO00,00000000. FR10129 CPTY 2000,000 EUR 1606/2022 CARCEL AND R. No Yes Semiled 1606/2022 EARS OFFRAT.   GF2022061600. CF2022061600. PO00,00000000. FR10129 CPTY 0 EUR 1606/2022 CARCEL AND R. No Yes Semiled 1606/2022 E. ECAS OFFRAT.   GF2022061600. CF2022061600. PO00,00000000. FR10129 CPTY 0 EUR 1606/2022 CARCEL AND R. No Yes Semiled 1606/2022 E. ECAS OFFRAT.					
	C72022061400. C72022061400. PO01,00000000. FR18129 CPTY 25,000,000 EUR 16/06/20122 CANCELAND R. No Yes Settled 16/06/2012 14. EGMS OPERAT.   C72022061400. C720222061400. PO01,00000000. FR18129 CPTY 0 EUR 17/06/2012 CANCELAND R. No Yes Settled 16/06/2012 12. EGMS OPERAT.   C72022061400. C72022061400. PO01,00000000. FR18129 CPTY 0 20,000,000 EUR 16/06/2012 CANCELAND R. No Yes Settled 16/06/2012 12. EGMS OPERAT.					
Credit Freezing – Li						
General Information	-					
Field	Description					
Transaction Reference Number	Unique transaction identifier in the ECMS					
Party's Instruction Reference	Reference of the instruction given by the instructing Party					
Pool Identifier	Pool identifier					
Counterparty	Counterparty					
Credit Freezing Type	Type of credit freezing update requested by the Counterparty / NCB					
Amount	Amount of credit freezing update.					
Currency	Always equal to EUR.					
Intended Settlement Date	The settlement date of the credit freezing update					
Update Mode	"DELTA" or "CANCEL AND REPLACE"					
Compulsory Eevent	Yes or No					
U2A	Yes or No					
Instruction Status	Status of the Credit Freezing instruction. Possible values:					
	- Queued					
	- WaitingValidation					
	- Incomplete					
	- Settled					
	- Rejected					
	- To Be Recycled					
	- NotValuated					
	- InitContingencyEcons					
Update date	Date of the update of the credit freezing instruction					
Update User Id	Identifier of the user who updated the credit freezing					
*	ord, the following buttons are displayed (also available with click on right					
Button	Function					



History	This function enables you to access to the historical information of a credit freezing instruction.		
	Next screen displayed under the list screen:		
	Credit Freezing – History Screen.		
View	This function enables you to access to the detailed information of a credit freezing		
	Next screen displayed under the list screen:		
	Credit Freezing – detail Screen.		
If the screen is used when a record is sel	in the 4 eyes validation context, the following buttons are also displayed ected		
Button	Function		
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.		
	Next screen:		
	- Credit Freezing – History Screen.		
View Draft	This function enables the user to view the draft record to be validated.		
	Next screen:		
	- Credit Freezing - detail Screen.		
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system		
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.		

# 3.3.4.1.2.2 Credit Freezing – History screen

Context of Usage	This screen displays historical information that has been changed on the selected Instruction. You can check the data and proceed further by clicking on the buttons that are shown.				
Screen Access	<ul> <li>Exposure &gt;&gt; Credit Freezing &gt;&gt; Display Credit Freezing Instruction</li> </ul>				
	>> Click or	n the Search button (after inpu	itting the relevant fields, if		
	needed)>> Select an instruction>> Click on the History Button				
Screenshot	horizontal HistoryResult: Credit Freezing History				
0 010 01101100		_	Q 2 🔀 🏡 Show Differences Only Expand All Compare		
	Fields	CF20220616001146(2022-06-16 15.39.37.897)2	CF20220616001146(2022-06-16 15:39:35:223)1		
	Pool Movement	CF20220616001146			
	Instruction Status	CF20220010001146	WaitingValidation		
	Creation Date	16/06/2022 15:39:37:897	16/06/2022 15:39:35:223		
	Transaction Reference Number	CF20220616001146	CF20220616001146		
	Is Contingency Init	No	No		
	Is Reimbursed	No	No		
	Is Rejected	No	No		
	Update mode	CANCEL AND REPLACE	CANCEL AND REPLACE		
	Action To Validate				
	Amount	80,000,000	80,000,000		
	Counterparty	84	84		
	Party's Instruction Reference	CF20220616001146	CF20220616001146		
	Credit Freezing Type	CPTY FUR	CPTY EUR		
	Currency	EUN	EUN		

Credit Freezing – History Screen	
Field	Description



Fields	Shows the fields whose information have been updated since the creation of the selected instruction.		
Timestamp	Shows the date and time when the fields have been updated.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Button	Description		
Compare	Shows the differences between the selected records whose data have been updated.		
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the credit freezing instruction.		
Show All	Shows the information that existed before each modification of all the fields regarding the selected credit freezing instruction.		
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected credit freezing instruction.		
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected credit freezing instruction.		

## 3.3.4.1.2.3 Credit Freezing - Details screen

Context of Usage	This screen gives a view of selected credit freezing instruction resulting from the request				
Screen Access	Exposure >> Credit Freezing >> Display Credit Freezing Instruction >> clicking on Search button>> Select an instruction>> Click on the View Button				
Screenshot	Search Result : Credit Freezing > View - Credit Freezing  INSTRUCTION DETAILS  Transaction Reference Number				

Credit Freezing – Details Screen		
Instruction Details		
Field	Description	
Transaction Reference Number	Unique transaction identifier in the ECMS	
Party's Instruction Reference	Reference of the instruction given by the instructing Party	
Counterparty	Unique RIAD identifier of the Counterparty	
Pool Identifier	Identifier of the ECMS Pool where the credit freezing is authorised	
Credit Freezing Type	Type of credit freezing update requested by the Counterparty / NCB	



Amount	Amount of credit freezing update.		
	Always equal to EUR		
Currency Intended Settlement			
Date	The intended settlement date of the credit freezing instruction		
Update Mode	"DELTA" or "CANCEL AND REPLACE"		
Last Update Reason	The reason for the last update		
Status Details			
Instruction Status	Status of the Credit Freezing instruction. Possible values:		
	- Queued		
	- WaitingValidation		
	- Incomplete		
	- Settled		
	- Rejected		
	- To Be Recycled		
	– NotValuated		
	- InitContingencyEcons		
Event Description	Credit Freezing Position increase or decrease		
Comulsory Event	Yes or No		
Absolute Credit	Yes or No		
Limit Breached			
Collateral Insufficiency	Yes or No		
U2A	Yes or No		
Action To Validate	Displays the action to validate when the status of the instruction is "Waiting for Global Credit Position Update"		
Is Rejected	Yes or No		
Other Details			
Pool Movement	Displays a link to a pool movement related to the credit freezing update		
Payment & Credit Line Instruction	Displays a link to a payment and credit line instruction related to the credit freezing update		
Business Validation Errors	Displays a list of business validation errors when the instruction is not yet validated by ECMS		
Notifications	Displays the list of notifications Incoming and Outgoing:		
	Message received for credit freezing instruction creation with A2A mode (camt.998)		
	Message feedback sent from ECMS (camt.025)		
Footprint			
Updater User Id	Shows the identification of the user that made the last update.		
Update Date	Shows the date and time of the instruction last update.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the user that created the instruction.		
Creation Date	Shows the date and time of the instruction creation.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
	1		



Pool Movements		
Field	Description	
Pool Movement ID	Shows the reference number that uniquely identifies the movement	
Counterparty	Shows the Identifier of the Counterparty	
Pool Identifier	Shows the Identifier that uniquely identifies the Pool of the Counter-	
	party.	
Event Description	Credit Freezing Position increase or decrease	
Movement Origin	Initiation or Return	
Amount	Shows the Movement Instruction Amount	
Pool Variation Amount	Shows the Pool Variation Amount	
Intended Settlement Date	The intended settlement date of the credit freezing instruction	
Instruction Status	The status of the instruction	
Is Valuated	Yes or No	
Force Margin Call	Yes or No	
Absolut Credit Limit Breached	Yes or No	
Collateral Insuffi-	Yes or No	
ciency		
Payment and Credit	Line Instruction	
Field	Description	
Transaction Reference Number	Unique transaction identifier in the ECMS	
Pool Identifier	Identifier of the ECMS Pool where the credit freezing is authorised	
Counterparty	Unique RIAD identifier of the Counterparty	
Payment Type	Displays the payment type: MCL, SCL, etc	
New Credit Line Amount	Displays the new credit line amount once the payment is settled	
Credit Line Amount Variation	Displays the credit line variation	
Variation Sign	Decrease or Increase	
Payment Amount	Displays the payment amount	
Currency	Always equal to EUR	
ECMS Reference	The ECMS reference of payment	
Value Date	The payment value date	
Cancellation Refer-	The cancellation reference if the payment is cancelled	
ence		
Bundling Reference	Displays the bundling reference	
Instruction Status	Displays the status of the payment instruction:	
	- Cancelled	
	- Generated	
	– Instructed	
	- Pending	
	1 5.5.00	



	- Rejected
	- Settled
Status	Displays the status of the payment instruction:
	- Cancelled
	- Generated
	– Instructed
	- Pending
	- Rejected
	- Settled
Notifications	Displays the list of notifications Incoming and Outgoing:
<b>Business Validation</b>	Errors
Field	Description
Business Rule Id	Displays the business rule id
Error Message	Displays the error description
Status	
Notifications	
Field	Description
Message Reference	Reference of the outgoing or incoming message
Notification Name	Name of the notification: Credit Freezing Instruction Notification
Direction	In or Out
Notification Status	The status of the notification:
	- Processed
	- Processed With Failure
	- Impacted
	– Impact Failed
	- Archived
	- Rejected
Update Date	Shows the date and time of the notification last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
	1

#### 3.3.4.1.3 Credit Freezing Notifications

The Credit Freezing Notifications functionality provides the users with notification information on the Credit Freezing instructions that have been processed in the ECMS.

### 3.3.4.1.3.1 Credit Freezing Notifications – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Credit Freezing Notifications.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.





<b>Credit Freezing Notifi</b>	cations – Search screen			
Field	Description			
Message Id	Enter/Select the reference that uniquely identifies the message.			
Pool Identifier	Enter/Select the identifier that uniquely identifies the Pool.			
Credit Freezing Type	Enter/Select the credit freezing type			
Mode	Enter/Select the Update mode:			
	Required: "DELTA" or "CANCEL AND REPLACE".			
Direction	Enter/Select from a drop-down menu.			
	Possible values: "In", "Out".			
Value Date	Enter/Select the value date of the notification (Intended Settlement Date).			
	The calendar icon can also be used.			
	Required format is: DD/MM/YYYY.			
Notification Status	Enter/Select from a drop-down list the possible status that could be:			
	- Processed			
	- Processed With Failure			
	– Impacted			
	– Impact Failed			
	- Archived			
	- Rejected			
Creation Date	Enter/Select the creation date of the notification.			
	The calendar icon can also be used.			
	Required format is: DD/MM/YYYY hh:mm:ss:sss			
Button	Function			
Reset	This function enables you to clear the form.			
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.			
	Next screen:			
	Credit Freezing Notifications – List Screen.			



## 3.3.4.1.3.2 Credit Freezing Notifications – List Screen

Context of Usage	by the setting	values of	the 'Up electing	date Da	ate' co	lumn in	descei	a list, wh nding ord urther by	der (de	fault
Screen Access				•				edit Freez	_	
		f needed					(	1		
C 1 1	Search Result : Cre	dit Freezing Notification								_
Screenshot	10 🔻			K < P		k <			Q 2085	)★園目(5分
Screensnot	10 ▼  Message Id ◆	Pool Identifier \$	Credit Freezing Type \$	- 15 5	ige 1 of 209 >	Mode \$	Direction <b>Φ</b>	Notifications Status 🕏		★ 🖾 🗀 () 🖓
Screensnot		Pool Identifier   POOL000000000204	Credit Freezing Type \$	Amount ¢			Direction ©	Notifications Status *		
Screensnot	■ Message Id ¢		Credit Freezing Type \$	Amount ¢	Value Date \$	Mode ¢			Update User Id ¢	♥ Update Date \$
Screensnot	Message Id   139516620	POOL0000000000204	Credit Freezing Type \$	Amount \$ 50000000	Value Date \$ 08/07/2022	♥ Mode ◆ ♥ DELTA	Out	Processed	Update User Id   STP	Update Date \$ 08/07/2022 16:22:58:4
Screensnot	Message Id \$ 139516620 139456620 139446620 139426620	POOL000000000204 POOL000000000144	Credit Freezing Type &	Amount \$ 50000000 100000000 -65000000	Value Date \$ 08/07/2022 08/07/2022	Mode   DELTA  CANCEL AND REPLACE	Out Out	Processed Processed	Update User Id  STP ECMS OPERATOR 1	08/07/2022 15:33:55:0
Screensnot	Message Id ◆ 139516620 139456620 139446620 139426620 139396620	POOL000000000144 POOL00000000144 POOL000000000144 POOL000000000204	СРТУ	Amount \$ 50000000 100000000 -65000000 54000000 0	Value Date   08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022	Mode DELTA  CANCEL AND REPLACE  DELTA  Delta  CancelAndReplace	Out Out Out Out Out Out	Processed Processed Processed Processed Processed	Update User Id  STP ECMS OPERATOR 1 ECMS OPERATOR 1 STP STP	Update Date   08/07/2022 16:22-58:4 08/07/2022 15:33:55:0 08/07/2022 15:22-48:3 08/07/2022 14:22-36:1 08/07/2022 14:17:29:1
Screensnot	Message Id   139516620  139456620  139426620  139396620  139326620	POOL000000000204 POOL000000001144 POOL00000000114 POOL00000000204 POOL00000000204	CPTY CPTY CPTY	Amount © 50000000 100000000 -65000000 0 0 54000000	Value Date   08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022	Mode  DELTA CANCEL AND REPLACE DELTA Delta CancelAndReplace CancelAndReplace	Out Out Out Out Out Out Out	Processed Processed Processed Processed Processed Processed Processed	Update User Id   STP  ECMS OPERATOR 1  ECMS OPERATOR 1  STP  STP  STP	Update Date \$\tilde{\text{ONOT/2022}} 16:22:58:4. 08/07/2022 15:22:58:3. 08/07/2022 15:22:48:3 08/07/2022 14:22:36:1 08/07/2022 14:17:29:1 08/07/2022 12:37:44:5
Screensnot	Message Id € 139516620 139456620 139446620 139426620 139396620 139326620 138156620	POOL00000000204 POOL000000000144 POOL000000000144 POOL0000000000204 POOL00000000204 POOL00000000204	CPTY CPTY CPTY DISC	Amount \$ 5000000   100000000   -6500000   5400000   0   5400000   1000000	Value Date   08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022	Mode DELTA CANCEL AND REPLACE DELTA Delta CancelAndReplace CancelAndReplace Delta	Out Out Out Out Out Out Out Out Out	Processed Processed Processed Processed Processed Processed Processed Processed	Update User Id •  STP  ECMS OPERATOR 1  ECMS OPERATOR 1  STP  STP  STP  STP	Update Date \$\tilde{\text{ONOT/2022}} 16:22:58:4. 08/07/2022 15:23:55:0. 08/07/2022 15:22:48:3 08/07/2022 14:22:36:1 08/07/2022 14:17:29:1 08/07/2022 12:37:44:5 07/07/2022 20:24:43:3
Screensnot	Message Id   139516620  139456620  139426620  139396620  139326620	POOL00000000204 POOL000000000144 POOL000000000144 POOL0000000000204 POOL00000000204 POOL00000000204	CPTY CPTY CPTY	Amount \$ 50000000   100000000   65000000   54000000   54000000   1000000   1000000   10000000   10000000   10000000   100000000	Value Date   08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022  08/07/2022	Mode  DELTA CANCEL AND REPLACE DELTA Delta CancelAndReplace CancelAndReplace	Out Out Out Out Out Out Out	Processed Processed Processed Processed Processed Processed Processed	Update User Id   STP  ECMS OPERATOR 1  ECMS OPERATOR 1  STP  STP  STP	Update Date \$\tilde{\text{ONOT/2022}} 16:22:58:4. 08/07/2022 15:22:58:3. 08/07/2022 15:22:48:3 08/07/2022 14:22:36:1 08/07/2022 14:17:29:1 08/07/2022 12:37:44:5

Credit Freezing Notifications – List Screen		
Field	Description	
Message Id	Shows the reference that uniquely identifies the notification.	
Pool Id	Shows the identifier that uniquely identifies the Pool.	
Credit Freezing Type	Shows the Credit Freezing Type.	
Amount	Shows the amount for the notification.	
Update mode	Shows the update mode: "DELTA" or "CANCEL AND REPLACE".	
Intended Settle- ment Date	Shows the business settlement date on which the instruction will be settled.	
	Displayed format is: DD/MM/YYYY.	
Notification Status	Shows the notification status of the notification.	
Direction	Shows the message direction (In or Out) of ECMS.	
Update Date	Shows the date and time of the last update.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update.	
Last Update	Shows reason for update (information provided by the NCB user or Coun-	
Reason	terparty).	
After selecting a rec	ord, the following buttons are displayed	
Button	Function	
Download	This function enables you to download the notification selected.	

## 3.3.4.2 Credit Line and impacts

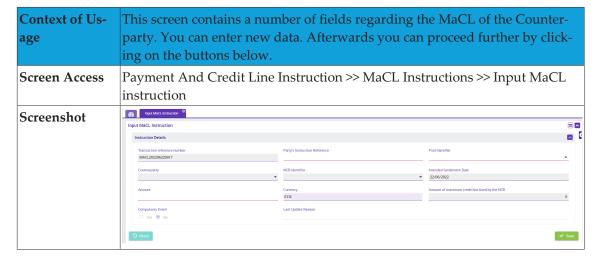
The ECMS manages different types of internal Credit Lines:



- The Suggested Credit Line (SCL) is calculated by the ECMS, any time during night time and the day time cycle, due to any events impacting the collateral position or the credit position, by calculating the difference between the global value of the collateral position (taking account of the relative limit) and the global value of the credit position.
- The Expected Credit Line (ECL) corresponds to the Last value of SCL sent to CLM in case of Floating Credit Line or to the MaCL taking account the absolute limit if any. The ECL is not relevant for a Counterparty without own MCA, nor for a Counterparty with own MCA on its pools without Credit Line configuration (value always equal to 0).
- The Real Credit Line (RCL) or Current Credit Line corresponds to the last credit line settled in CLM and confirms the credit line value received in the ECMS from CLM. The RCL can be different from the ECL value when at least one modification of the credit line is not yet settled from CLM.
- The Maximum Credit Line is performed in the ECMS in cancel and replace mode. The maximum credit line is a cap calculated to the value of the SCL (no impact on the value of the SCL). This cap reduces the value of the ECL sent to CLM. The maximum credit line update instruction can only be received from eligible Counterparties or NCB users.

#### 3.3.4.2.1 Maximum Credit Line

#### 3.3.4.2.1.1 MaCL (for the CPTY) – Create Screen



MaCL (for the CPTY) – Create screen		
Instruction Details		
Field	Description	
Transaction Reference Number	Unique identifier of the instruction in the ECMS: automatically field in	
Party's Instruc- tion Reference	Enter/select the reference of the instruction given by the instructing Party Required format is: Max. 30 characters.	
Counterparty Identifier	Automatically field in by the ECMS (once the Pool Identifier is selected)	
Pool Identifier	Enter/Select from the drop-down menu the unique identifier of the pool in the ECMS  Required format is: Max. 30 characters.	



Instructing Party	Enter/Select the value: "Counterparty" (when the request is linked to an update of Counterparty MaCL) or "NCB" (when the request is linked to an update of NCB MaCL)
Amount	Enter the amount of the requested maximum credit line.
Amount of maximum credit line fixed by NCB	Automatically field in by the ECMS
Compulsory Event	Automatically field in with "No" (Counterparty)
Intended Settle- ment Date	Enter/Select the ISD (equal or posterior to the current ECMS instruction date)
Last Update reason	Enter the reason for update
Currency	Authomatically field in with "EUR"
NCB Identifier	Authomatically field in by the ECMS (with the RIAD Code of the refinancing NCB)
Button	Function
Save	This function enables you to save the creation of the MaCL counterparty
Reset	This function enables you to clear the form.

### 3.3.4.2.1.2 MaCL – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the MaCL.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access Screenshot	Collateral and Credit >> Payment And Credit Line Instruction >> MaCL Instructions >> Display MaCL Instruction
Seccusion	Display: MaCL update instruction  Translaction reference ==  Post instruction

MaCL – Search screen		
Field	Description	
Transaction reference number	Enter the unique identifier of the MaCL instruction	
Party's Instruction Reference	Enter/select the reference (given by the instructing party) of the MaCL instruction	
Counterparty identifier	Enter/Select from the drop-down menu the RIAD code identifier that uniquely identifies the Counterparty.	
	The required format is: Max 30 characters	



Pool Identifier	Enter/Select from the drop-down menu the Pool ID.
Instructing Party	Enter/Select from the drop-down menu the intructing party that iniitated the instruction (NCB or Counterparty)
U2A	Enter/Select from the drop-down menu if the instruction was captured in U2A mode ("Yes") or not ("No")
Intended Settle-	Enter/Select the instruction date of the creation of the MaCL.
ment Date	Required format is: DD/MM/YYYY
Creation Date	Enter/Select the Instruction Date of the creation of the MaCL.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Status	Enter/Select the status of the MaCL.
	The possible values are:
	- Wainting validation
	- Sent for settlement
	- Rejected
	- Settled
	- Canceled
Compulsory	Enter/select from the drop-down menu the Compulsory Event attribute value
event	Possible values are:
	- Yes
	- No
Update Date	Enter/Select an update date of the creation of the MaCL.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

### 3.3.4.2.1.3 MaCL- List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.  Depending how the screen is accessed, it can be used to search the valid information of the MaCL or the information that requires 4 eyes validation before they can be considered valid in the system
Screen Access	For valid information
	Collateral and Credit >> Payment And Credit Line Instruction >> MaCL Instructions >> Display MaCL Instruction >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
	For information subject to 4 eyes validation:



	Reject	ion >>	Valid	edit >> late / R e relev	eject l	Max C	redit I	Line >>	Click	on th			
Screenshot	Search Result : I	MaCL update Instr	uction			⟨ ⟨ Page 1	of 19 > >					Q 187) (	<u>^</u>   □
	Transaction refere?	Party's Instruction	Identifier2 \$	Pool Identifier   Pool Identifier	Amount \$	Currency	Intended Settleme	Instructing Party \$7	Compulsory Event #	Amount of maxim 7	Instruction Status 9	Update User Id	Last Update Date 🖓
	MACL202206210912	MACL202206210912	FR18129	POOL000000000200	99,999,999,999	EUR	17/06/2022	NCB	Yes	99,999,999,999	Settled	ECMS OPERATOR 1	21/06/2022 10:59:2
	MACL202205090905	MACL202206090905	FR18129	POOL000000000194	99,999,999,999	EUR	09/06/2022	NCB	Yes	99,999,999,999	Rejected	ECMS OPERATOR 1	09/06/2022 20:27:4
	MACL202205020901	MACL202206020901	FR18129	POOL000000000189	800,000,000	EUR	01/06/2022	COUNTERPARTY	No	99,999,999,999	Settled	ECMS OPERATOR 1	02/06/2022 17:18:3
	MACL202205300897	MACL202205300897	FR18129	POOL000000000189	99,999,999,999	EUR	27/05/2022	NCB	Yes	99,999,999,999	Settled	STP	30/05/2022 12:59:0
	MACL202205180889	MACL202205180889	FR18129	POOL000000000185	99,999,999,999	EUR	19/06/2022	NCB	Yes	99,999,999,999	Settled	ECMS OPERATOR 1	18/05/2022 18:54:0
	MACL202205150824	MSG-ISAEFRPPXXX	FR18129	POOL000000000078	500,000,000	EUR	19/05/2022	COUNTERPARTY	No	0	Rejected	ISAEFRA2A01	15/05/2022 00:55:2
	MACL202205110817	MACL202205110817	FR30007	POOL000000000176	99,999,999,999	EUR	11/05/2022	NCB	Yes	99,999,999,999	Rejected	ECMS OPERATOR 1	11/05/2022 12:35:4
	MACL202205100816	MACL202205100816	FR18129	P00L000000000184	99,999,999,999	EUR	10/05/2022	NCB	Yes	99,999,999,999	Settled	ECMS OPERATOR 1	10/05/2022 18:47:5
	MACL202205100813	MACL202205100813	FR18129	POOL000000000183	99,999,999,999	EUR	10/05/2022	NCB	Yes	99,999,999,999	Settled	STP	10/05/2022 17:08:2
	MACL202204140778	MACL202204140778	FR18129	POOL000000000175	99,999,999,999	EUR	14/04/2022	NCB	Yes	99,999,999,999	Rejected	ECMS OPERATOR 1	14/04/2022 14:55:1

MaCL – List Scre	een
Field	Description
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS: automatically field in
Party's Instruc- tion Reference	Shows the reference of the instruction given by the instructing Party
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS
Pool Identifier	Shows the unique identifier of the pool id in the ECMS
Instructing Party	Shows the instructing party Id that initiated the instruction (NCB or Counterparty)
Amount	Shows the amount
Amount of maximum credit line fixed by the NCB	Shows the NCB amount
Currency	Shows the currency ("EUR")
Compulsory Event	Shows if the event is compulsory or not ("Yes" or "No")
Instruction	Shows the internal ECMS status
Status	The possible values are :
	- Wainting validation
	<ul> <li>Sent for settlement</li> </ul>
	- Rejected
	- Settled
	- Canceled
Intended Settle- ment Date	Shows the ISD (equal or posterior to the current ECMS instruction date)
Action to valid-	Present only when the screen is used in the context of 4 eyes validations,
ate	Shows if the validation is required following a creation or an update of the record.
Update Date	Shows the Date and Time
Update User Id	Shows the Updater User Id
After selecting a	record, the following buttons are displayed

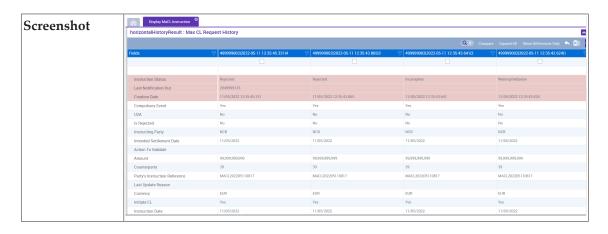


Button	Function
History	This function enables you to access to the historical information of the MaCL instruction.
	Next screen:
	- MaCL - History Screen.
View	This function enables you to access to the detailed information of a MaCL instruction.
	Next screen:
	- MaCL - Details Screen.
If the screen is u	sed in the 4 eyes validation context, the following buttons are also displayed
when a record is	selected
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	MaCL – History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	MaCL – Details Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record.  The draft will be deleted.

# 3.3.4.2.1.4 MaCL – History Screen

Context of Usage	This screen displays historical information that has changed on the selected MaCL. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Payment And Credit Line Instruction &gt;&gt; MaCL         Instructions &gt;&gt; Display MaCL Instruction &gt;&gt; Click on the search button         (after inputting the relevant fields, if needed) &gt;&gt; Select a Transaction reference number &gt;&gt; Click on the History button</li> </ul>
	<ul> <li>Collateral and Credit &gt;&gt; Payment And Credit Line Instruction &gt;&gt; MaCL         Instructions &gt;&gt; Display MaCL Instruction &gt;&gt; Click on the search button         (after inputting the relevant fields, if needed) &gt;&gt; Select a Transaction reference number &gt;&gt; Click on the View button - Details Screen &gt;&gt; Click on the         History Button</li> </ul>



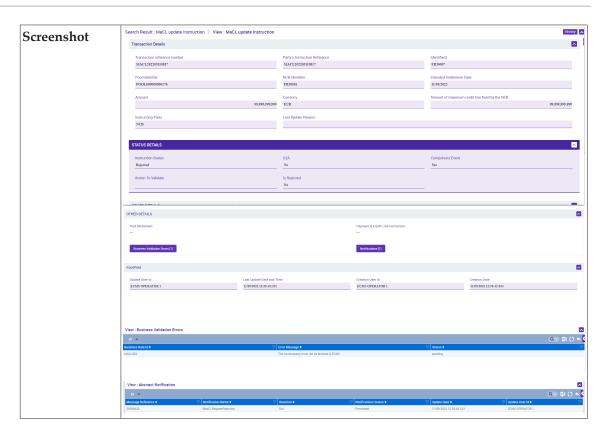


MaCL – History	Screen
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected MaCL.
Timestamp	Shows the date and time when the fields have been updated.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected MaCL.
Show All	Shows the information that existed before each modification of all the fields regarding the selected MaCL.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected MaCL.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected MaCL.

### 3.3.4.2.1.5 MaCL – Details Screen

Context of Us-	This screen displays detailed information of the selected MaCL.
age	
Screen Access	Collateral and Credit >> Payment And Credit Line Instruction >> MaCL In-
	structions >> Display MaCL Instruction >> Click on the search button (after in-
	putting the relevant fields, if needed) >> Select a Transaction Reference Num-
	ber >> Click on the <i>View</i> button





MaCL – Details screen				
Button	Description			
History	This function enables you to access to the historical information of a MaCL.			
	Next screen:			
	- MaCL - History Screen.			
Transaction Det	ails			
Field	Description			
Transaction Reference Number	Shows the unique identifier of the instruction in the ECMS: automatically field in			
Party's Instruction Reference	Shows the reference of the instruction given by the instructing Party			
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS			
Pool Identifier	Shows the unique identifier of the pool id in the ECMS			
Instructing Party	Shows the instructing party Id that initated the instruction			
Amount	Shows the amount			
Currency	Shows the currency ("EUR")			
Amount of maximum credit line fixed by the NCB	Shows the NCB amount			
Compulsory Event	Shows if the event is compulsory or not ("Yes" or "No")			



	,
Instruction	Shows the internal ECMS status
Status	The possible values are:
	- Wainting validation
	- Sent for settlement
	- Rejected
	- Settled
	- Canceled
Intended Settle- ment Date	Shows the ISD (equal or posterior to the current ECMS instruction date)
Last Update reason	Shows the reason for update
U2A	Shows if the instruction was captured in U2A mode ("Yes") or not ("No").
Action To Validate	Shows the nature of the action to validate.
Is rejected	Shows if the instruction was rejcted.
Rejection Reason	Shows the reason of the instruction rejection
Other details	
Pool Moove-	This link enables to display information about the MaCL Movement:
ment	Next screen: MaCL Movement – Details screen
Payment & Credit Line in-	This link enables to display information about the Payment and Credit Line Instruction
struction	Next screen: Payment and Credit Line Instruction – Details screen
Footprint	
Creation Date	Shows the date and time of the creation of the MaCL.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the MaCL.
Update Date	Shows the date and time of the update of the MaCL.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the MaCL.
Button	Description
Notifications	This function enables you to display the MaCL notifications related to selected MaCL.
	Next screen: MaCL Notifications - list screen is displayed. It is possible to view details and download the MaCL notification
Business Valid-	This function enables you to display the Business Validation Errors
ation Error	Next screen: Business Validation Errors – List screen



# 3.3.4.2.1.6 MaCL – Reject Screen

Context of Usage	This screen contains the MaCL which can be rejected. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Payment And Credit Line Instructio >> MaCL Instructions >> Reject MaCL Instruction >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	Topicy Indicates product another control to the con

MaCL - Reject Screen		
Field	Description	
Transaction reference number	Enter the instruction reference that identifies the MaCL instruction.	
Party's instruction Reference	Enter the Party's Instructin Reference.	
Counterparty identifier	Enter/Select from the drop-down menu the RIAD code identifier that uniquely identifies the Counterparty.	
	The required format is: Max 30 characters	
Pool Identifier	Enter/Select from the drop-down menu the Pool ID.	
Instructing Party	Enter/Select from the drop-down menu the intructing party that initiated the instruction	
Intended settle-	Enter/Select the intended settlement date of the MaCL instruction.	
ment Date	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Compulsory Event	Enter/Select if the event is compulsory or not ("Yes" or "Not")	
Instruction	Enter/Select the status of the MaCL.	
Status	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Update Date	Enter/Select an update date of the creation of the MaCL.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
U2A	Enter/Select if the instruction was captured in U2A mode ("Yes") or not ("No").	
Creation Date	Enter/Select the date of the creation of the instruction.	
Button	Function	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the List Screen.	



This section contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below

MaCL Reject List		
Field	Description	
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS: automatically field in	
Party's Instruc- tion Reference	Shows the reference of the instruction given by the instructing Party	
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS	
Pool Identifier	Shows the unique identifier of the pool id in the ECMS	
Instructing Party	Shows the instructing party Id that iniitated the instruction	
Amount	Shows the Maximum Credit Line value set by the Counterparty	
Amount if maximum credit line fixed by the NCB	Shows the Maximum Credit Line value authorised by the NCB	
Compulsory Event	- Shows if the event is compulsory or not ("Yes" or "No")	
Instruction	Shows the internal ECMS status	
Status	- Wainting validation	
	<ul> <li>Sent for settlement</li> </ul>	
	- Rejected	
	- Settled	
	Canceled	
Intended Settle- ment Date	Shows the ISD (equal or posterior to the current ECMS instruction date)	
Last Update and time	Shows the Date and Time	
Update User Id	Shows the Updater User Id	
After selecting a	record, the following buttons are displayed	
Button	Function	
Reject	This function enables you to reject the selected MaCL instruction.	
History	This function enables you to access to the historical information of the MaCL instruction.	
	Next screen:	
	MaCL – History Screen.	
View	This function enables you to access to the detailed information of a MaCL instruction.	
	Next screen:	
	MaCL – Details Screen.	



### 3.3.4.2.1.7 MaCL Notification

## 3.3.4.2.1.7.1 MaCL Notification – Search Screen

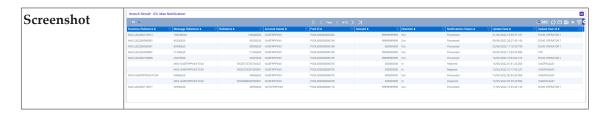
Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the notification MaCL.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> MaCL Notification
Screenshot	Display: MaCL request notification  Display: MaCL request notifica

MaCL Notification – Search screen		
Field	Description	
Transaction Reference Number	Enter/Selct the unique identifier of the instruction in the ECMS: automatically field in	
Pool Identifier	Enter/Select from the drop-down menu the Pool ID.	
Instructing Party	Enter/Select from the drop-down menu the intructing party That initiated the instruction	
Instruction Date	Enter/Select the Instruction Date of the creation of the MaCL.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Intended Settle-	Enter/Select the ISD (equal or posterior to the current ECMS instruction date)	
ment Date	Required format is: DD/MM/YYYY	
Status	Enter/Select the status of the MaCL.	
In / Out	Enter/Select if the MaCL notification is In or Out from ECMS.	
Button	Function	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the List Screen.	

## 3.3.4.2.1.7.2 MaCL Notification – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Creation Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> MaCL Notification >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)





MaCL Notification – List Screen		
Field	Description	
Transaction Reference Number	Shows the unique identifier of the instruction in the ECMS	
Message Reference	Shows the message reference of the instruction in the ECMS	
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS	
Pool Identifier	Shows from the drop-down menu the Pool ID.	
Instructing Party Id	Shows from the drop-down menu the intructing party that iniitated the instruction	
Instruction Date	Shows the Instruction Date of the creation of the MaCL.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Intended Settle-	Shows the ISD (equal or posterior to the current ECMS instruction date)	
ment Date	Displayed format is: DD/MM/YYYY	
Status	Shows the status of the MaCL.	
Amount	Shows the amount of the MaCL (CPTY)	
Amount of max- imum credit line fixed by NCB	Shows the NCB amount	
Compulsory Event	Shows if the event is compulsory or not ("Yes" or "No")	
In / Out	Shows if the MaCL notification is In or Out from ECMS.	
Update User Id	Shows the Updater User Id	
After selecting a	record, the following buttons are displayed	
Button	Function	
Download	This function enables you to access to the download of the MaCL notification.	
	Next step:	
	– Record the MaCL Notification.	
View	This function enables you to access to the detailed information of a MaCL notification.	
	Next screen:	
	– MaCL Notification – Details Screen.	



# 3.3.4.2.1.7.3 MaCL Notification – Details Screen

Context of Us-	This screen displays detailed information of the selected MaCL Notification.		
age			
Screen Access	Collateral and Credit >> N MaCL Notification >> Clic fields, if needed) >> Select View button	k on the <i>Search</i> button (af	ter inputting the relevant
Screenshot	Search Result: (EL Max Notification > Yore: MacE, Notification Notifications Details Manage Antonics 3469629 Time 98.6535906 Error Description	bacifu des fama MacCL Repentifyrina Destina Gu	Grand State
	Instruction details Access Consul MAINTENEXIX Connecy XXX FootPrint	NC3 Menther For D FOOLGROOMERS	Arroust Seasons

MaCL Notificati	on– Details screen	
General Information		
Field	Description	
Transaction Reference Number	Shows the unique identifier of the instruction in the ECMS	
Message Reference	Shows the message reference of the instruction in the ECMS	
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS	
Pool Identifier	Shows from the drop-down menu the Pool ID.	
Instructing Party Id	Shows from the drop-down menu the intructing party	
Instruction Date	Shows the Instruction Date of the creation of the MaCL.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Intended Settle-	Shows the ISD (equal or posterior to the current ECMS instruction date)	
ment Date	Displayed format is: DD/MM/YYYY	
Status	Shows the status of the MaCL.	
Amount	Shows the amount of the MaCL	
Amount of maximum credit line fixed by NCB	Shows the NCB amount	
Compulsory Event	Shows if the event is compulsory or not ("Yes" or "No")	
In / Out	Shows if the MaCL notification is In or Out from ECMS.	
Instruction Status	Shows the internal ECMS status	
NSP Date	Shows the date of the notification MaCL	
NSP Time	Shows the time of the notification MaCL	
Sender Address	Shows the Sender Address	



Receiver Address	Shows the receiver Address
Footprint	
Creation Date	Shows the date and time of the creation of the MaCL notifiaction.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the MaCL notification.
Update Date	Shows the date and time of the update of the MaCL notifiaction.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the MaCL notification.

## 3.3.4.2.2 Credit Line & Payment Instructions (MCL, CP & RP)

## 3.3.4.2.2.1 Credit Line & Payment Instructions – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Credit line history.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral and Credit >> Payment And Credit Line Instruction >> Display Instruction
Screenshot	Search Criteria. Payment and Credit Line Instruction  Tourscatch Inference Number 12 * Contraiguely 12 * Positionifier 12 * Contraiguely 12 * Positionifier 12 * Contraiguely 12 * Payment Spain * * 1036 Inference ** * 1036 Infe

Credit Line & Payment Instructions – Search screen	
Field	Description
Transaction	Enter/Select unique transaction identifier
Reference Num-	
ber	
Counterparty	Enter/Select from the drop-down menu the RIAD code identifier that
	uniquely identifies the Counterparty.
	The required format is: Max 30 characters.
Pool Identifier	Enter/Select from the drop-down menu the Pool ID.
ECMS Refer-	Enter the reference to the related credit or collateral events which moved the
ence (Pool	pool
Movement)	In case of netting, the 'ECMS Reference' is filled in with the transaction refer-
	ence number of the netting result
Payment Type	Enter/Select the payment type (All/MCL/CP/RP)
Netting indic-	Enter/Select the value of the Netting indicator (Yes/No) in order to distinguish
ator	netted / non-netted payments



Instruction Status	Enter/Select the status of the MCL or CP or RP.
Update Date	Enter/Select an update date of the creation of the MCL/CP/RP.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the List Screen.

# 3.3.4.2.2.2 Credit Line & Payment Instructions – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.									
Screen Access		on >> Clic		-						Display In- nt fields, if
Screenshot	10 Transaction Patrent, C. C. C. C. C. C. C. C. C. C. C. C. C.	and Credit Line Instruction    Conference	MCL 1  MCL 1  MCL 1  MCL 2  MCL 3  MCL 5  MCL 5  MCL 5  MCL 5  MCL 5  MCL 6  MCL ConnectedPayment 2  MCL 6		Peye 1 of ATT > X Psymete Arroad 87 Currony 8 Edit Edit Edit Edit Edit Edit Edit Edit	▼ Valve Date 6 08/07/2022 08/07/2022 08/07/2022 08/07/2022 08/07/2022 08/07/2022 08/07/2022 08/07/2022 08/07/2022	ECMS Pleference © CT20220197001109 CT20220197001104 PDCLANTED15608 MALPHA_TTD0 00005550MLMVT 00005550MLMVT 20200999 122200999 223599999	Credit Preazing Positi. Credit Preazing Positi. TripatryRevaluation OMO in currency reev. Max Credit Line	Serried Serried	Question + Qu = Q → Q → Q → Q → Q → Q → Q → Q → Q → Q

Credit Line & Payment Instructions – List Screen					
Field	Description				
Transaction Reference Num- ber	Shows unique identifier of the instruction in the ECMS: automatically field in				
ECMS Reference (Pool Movement)	Shows the ECMS reference (related to the credit or the collateral event)				
Counterparty Id.	Shows the RIAD code of the counterparty in the ECMS				
Pool Identifier	Shows the unique identifier of the pool id in the ECMS				
Value Date	Shows of the Value Date Format required is: DD/MM/YYYY hh:mm:ss:µs				
Payment Type	Shows the payment type (MCL or CP or RP)				
Credit Line Variation Amount	Shows the amount of the variation of the Credit Line (in case of MCL or CP)				



Suggested Credit Line	Shows the amount of the SCL (in case of MCL or CP)
Expected Credit line	Shows the amount of the ECL (in case of MCL or CP)
Real Credit line	Shows the amount of the RCL (in case of MCL or CP)
Payment Amount	Shows the payment amount (in case of CP or RP)
Currency	Shows the currency (in case of MCL or CP or RP)
Instruction Status	Shows the internal ECMS status
After selecting a	record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of the payment or MCL instruction.
	Next screen:
	- Credit Line & Payment Instructions - History Screen.
View	This function enables you to access to the detailed information of a a payment or MCL instruction.
	Next screen:
	- Credit Line & Payment Instructions - Details Screen.

# 3.3.4.2.2.3 Credit Line & Payment Instructions – History Screen

Context of Usage	This screen displays historical information that has changed on the selected MCL or CP or RP. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Payment And Credit Line Instruction &gt;&gt; Display Instruction &gt;&gt; Click on the Search button (after inputting the relevant fields, if needed) &gt;&gt; Select a MCL or CP or RP &gt;&gt; Click on the History button</li> </ul>
	<ul> <li>Collateral and Credit &gt;&gt; Payment And Credit Line Instruction &gt;&gt; Display         Instruction &gt;&gt; Click on the Search button (after inpMCL or CP or RP &gt;&gt;         Click on the View button - Details Screen &gt;&gt; Click on the History Button</li> </ul>



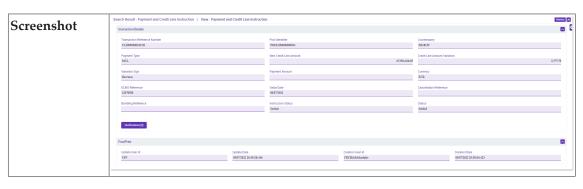
20 Display Instruction ©										
horizontalHistoryResult : Credit Li	horizentalHistoryResult : Credit Line Instruction History									
	Q. ii 🐧 🖎 Stead Difference Only Depot Al Compac									
Fields	CLI0000000102321p022-07-05-20-39-28-4466	C130000000102321 2022-07-05-20-39-27-396 5-	CL10000000102321[2022-07-05-20-38-53-982]4 ©	CLI0000000102321[2022-07-05-20-38-50-690]3	CLI0000000102321;2022:07:05:20:38:04:486;2:	C1.0000000102321)2022-07-05-20-58-04-423				
	0				0					
Instruction Status	Settled	Instructed	Instructed	Generated	Generated					
Is Confirmation Received	Yes	No	No	No	No	No				
Is Updated From RTGS	Yes	Yes	No	No	No	No				
Last Notification in	3700002146	3700002146								
Last Notification Out	3700002145	3700002145	3700002145							
New Credit Line Amount	67,594,166.65	67,594,166.65	67,594,166.65	57,594,166.65	57,594,166.65	57,594,166.65				
Sent To RTGS	Yes	Yes	Yes	No	No	No				
Status	Settled	MCLConfirmed	MCLWeitingConfirmation	Instructed	WeitingForPreviousModification	Instructed				
Creation Date	05/07/2022 20:39:28:446	05/07/2022 20:39:27:396	05/07/2022 20:38:53:982	05/07/2022 20:38:50:699	05/07/2022 20:38:04:455	05/07/2022 20:38:04:423				
Creation User Id	STP	STP	STP	STP	FRC8JobScheduler	FRCBJobScheduler				
Transaction Reference Number	CLI0000000102321	CLI000000102321	CLI0000000102321	CL0000000102321	CLI0000000102321	CLI000000102321				
Is For Adjustement	No	No	No.	No	No	No				
Is Rejected	No	No	No	No	No	No				
Action To Validate										
Asynchronous Sending	No	No	No	No	No	No				
Counterparty	84	84	84	84	84	84				
Bundling Reference										
Cancellation Reference										
CI Blocking Reference										
CI Rejected	No	No	No	No	No	No				
Internal Asset Account										
Currency	EUR	EUR	EUR	EUR	EUR	EUR				
Excess BCL	0	0	0	0	0	0				
Initiate CL	No	No	No	No	No	No				
Initiation Reference										
Is Init MCL	No	No	No	No	No	No				
Is Subject To CL	Yes	Yes	Yes	Yes	Yes	Yes				

Credit Line & Payment Instructions– History Screen				
Field	Description			
Fields	Shows the fields whose information have been updated since the creation of the selected MCL or CP or RP.			
Timestamp	Shows the date and time when the fields have been updated.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Description			
Compare	Shows the differences between the selected records whose data have been updated.			
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected MCL or CP or RP.			
Show All	Shows the information that existed before each modification of all the fields regarding the selected MCL or CP or RP.			
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected MCL or CP or RP.			
Show Differ- ences Only	Shows only the fields whose information have been updated since the creation of the selected MCL or CP or RP L.			

# 3.3.4.2.2.4 Credit Line & Payment Instructions – Details Screen

Context of Us-	This screen displays detailed information of the selected MCL or CP or RP.
age	
Screen Access	Collateral and Credit >> Payment And Credit Line Instruction >> Display In-
	struction >> Click on the Search button (after inputting the relevant fields, if
	needed) >> Select a MCL or CP or RP >> Click on the View button





Credit Line & Pa	ayment Instructions – Details screen
Button	Description
History	This function enables you to access to the historical information of a MCL or CP or RP.
	Next screen:
	- Credit Line & Payment Instructions - History Screen.
General Informa	ation
Field	Description
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS: automatically field in
ECMS Reference (Pool Movement)	Shows the ECMS reference (related to the credit or the collateral event)
Counterparty Id.	Shows the RIAD code of the counterparty in the ECMS
Pool Id	Shows the unique identifier of the pool id in the ECMS
Value Date	Shows of the Value Date
	Format required is: DD/MM/YYYY hh:mm:ss:µs
Intended Settle-	Shows the ISD
ment Date	Format required is: DD/MM/YYYY hh:mm:ss:µs
Payment Type	Shows the payment type (MCL or CP or RP)
Credit Line Variation	Shows the amount of the variation of the Credit Line (in case of MCL or CP)
Suggested Credit Line	Shows the amount of the SCL (in case of MCL or CP)
Expected Credit line	Shows the amount of the ECL (in case of MCL or CP)
Real Credit Line	Shows the amount of the RCL (in case of MCL or CP)
Payment Amount	Shows the payment amount (in case of CP or RP)
CLM Main Cash Account	Shows the CLM Main Cash Account (in case of CP or RP)
Currency	Shows the currency (in case of MCL or CP or RP)
Instruction Status	Shows the internal ECMS status



Button	Description
Notifications	This function enables you to display the notifications related to the selected MCL/CP/RP.
	Next screen: MCL Notifications - list screen is displayed. It is possible to view details and download the MCL/CP/RP notifications.

#### 3.3.4.2.3 MCL Notification

### 3.3.4.2.3.1 MCL Notification – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stor searches. By inputting the relevant data, you can search for the notification MCL.	ed
	The search results will be displayed in a list. After selecting an entry, you caproceed further by clicking on the buttons that are shown.	an
Screen Access	Collateral and Credit >> Notification >> CLM Notifications >> MCL Notification	1-
Screenshot	Search Criteria. Credit Line Hostification  Mossayal I zz * Ougust Messayal I zz * Account from zz *  Date ** * Interface to North Credit Line **  Director zz * North Credit Line **  Ougust Messayal I zz * Account from zz *  Ougust Messayal I zz * Account from zz *  Ougust Messayal I zz *  Oug	<b>★</b> △ <b>©</b>

MCL Notification – Search screen					
Field	Description				
Transaction Reference Number	Enter/Selct the unique identifier of the instruction in the ECMS: automatically field in				
Counterparty Id.	Shows the RIAD code of the counterparty in the ECMS				
Pool ID	Enter/Select from the drop-down menu the Pool ID.				
Creation Date	Enter/Select the Creation Date of the MCL instruction.				
	Required format is: DD/MM/YYYY				
Notification Status	Enter/Select the status of the MCL.				
In / Out	Enter/Select if the MCL notification is In or Out from ECMS.				
Button	Function				
Reset	This function enables you to clear the form.				
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the List Screen.				



## 3.3.4.2.3.2 MCL Notification– List screen

Context of Usage	th A	This screen contains the search results displayed in a list, which is sorted by the values of the 'Creation Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.									
Screenshot	tio		Click on			fication > tton (afte					
Screenshot	Searc	Search Criteria : Credit Line Notificiation									
	Searc	h Result : Credit Line No	otification								
	10	¥									Q783 ★ # □ () ▽
	-	Sender Address #	▽ Message ld ¢	Account Owner #	▽ Amount ¢	○ Original Message Id ©	□ Direction	∇ Notification Name	∇ Notifications Status   €	▽ Update Date ¢	□ Update User ld      □
İ	=	CLMBICMWOOK	1000000000104414			FRMCL00000105042	in .	MCL Status Notification	Impacted	08/07/2022 09:30:06:370	STP
		CLMBICMMOOK	1000000000104401			FRMCL00000104727	In .	MCL Status Notification	Impected	07/07/2022 20:24:44:908	STP
		CLMBICMMOOK	1000000000104359			FRMCL00000104725	In	MCL Status Notification	Impacted	07/07/2022 20:22:34 143	STP
		CLMBICMWOOK	1000000000104397			FRMCL00000104720	in .	MCL Status Notification	Impacted	07/07/2022 19:42:55:151	STP STP
		CIMBICMMODIC	100000000104395			FRMCL00000104718	in .	MCL Stetus Notification	Impected Impected	07/07/2022 19:96:23:905	STP
		CIMBICMAKK	1000000000104385			FRMCL00000104072	-	MCL Status Notification MCL Status Notification	Impected	07/07/2022 16:27:02:779 07/07/2022 16:23:23:074	STP
		CIMBICMANON	1000000000104383			FRMCL0000104060		MCL Status Notification	Impacted	07/07/2022 16:23:23:074	STP
	E	CLMBICMMXXX	1000000000104374			FRMCL00000104054	in .	MCL Status Notification	Impected	07/07/2022 18:57:06:207	STP
	E1	CLMBICMMOOK	1000000000104366			FRMCL00000103680	in .	MCL Status Notification	Impected	06/07/2022 19:26:25:278	STP

MCL Notification – List Screen				
Field	Description			
Transaction Reference Number	Showsthe unique identifier of the instruction in the ECMS: automatically field in			
Message Reference	Shows the message reference of the instruction in the ECMS			
Counterparty Id.	Shows the RIAD code of the counterparty in the ECMS			
Pool ID	Shows from the drop-down menu the Pool ID.			
Amount	Shows the amount of the MCL			
Creation Date	Shows the Instruction Date of the creation of the MCL.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs			
Notification Status	Shows the status of the MCL.			
In / Out	Shows if the MCL notification is In or Out from ECMS.			
Update Date	Shows the last update date/time of the MCL instruction			
	Displayed format is : DD/MM/YYYY hh:mm:ss: μs			
Update User Id	Shows the Updater User Id			
Update Date	Shows the date and time of the last update.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs			
After selecting a	record, the following buttons are displayed			
Button	Function			
Download	This function enables you to access to the download of the MCL notification.			
	Next step:			
	– Record the MCL Notification.			



# 3.3.4.3 Margin Call and impacts

## **3.3.4.3.1 Margin Call**

# 3.3.4.3.1.1 Margin Call– Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search the margin call.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.			
Screen Access	Collateral and Credit >> Pool Overview >> Display Margin Call			
Screenshot	Search Criteria: Margin Call  Counterparty  Update Date  Creation Date  Cre			

Margin Call - Search	h screen
Field	Description
Counterparty	Enter/Select from the drop-down menu the RIAD code identifier that uniquely identifies the counterparty in the ECMS
	The required format is: Max 30 characters
Pool Identifier	Enter/Select from the drop-down menu the unique identifier of the pool id in the ECMS
Margin Call Date	Enter/Select the date and time of the margin call or specify the date min of the range of dates
	Required format is: DD/MM/YYYY
Update Date	Enter/Select the date and time of the update of the margin call or specify the date min of the range of dates
	Required format is: DD/MM/YYYY
Creation Date	Enter/Select the date and time of the creation of the margin call or specify the date min of the range of dates
	Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

## 3.3.4.3.1.2 Margin Call – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sor-
	ted by the values of the 'Update Date' column in descending order (de-
	fault setting). After selecting an entry, you can proceed further by click-
	ing on the buttons below.



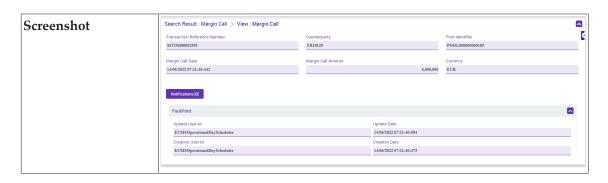
Screen Access					ool Overvie inputting t			0	
Screenshot	Se	arch Result : Margin Ca	II						^
Screenshot		• 🕶		K	< Page 1 of 266 >	K		Q 2656	()□□ ★ ♡
	-	Transaction Reference	Pool Identifier \$		Margin Call Date \$	Margin Call Amount \$	Currency \$	▽ Creation Date   ▽	Creation User Id
		MTOM00002991	POOL000000000185	FR18129	14/06/2022 07:11:40:442	6,000,000	EUR	14/06/2022 07:11:40:473	ECMSOperationalDaySch
		MTOM00002990	POOL000000000171	FR18129	14/06/2022 07:11:24:437	4,500,000	EUR	14/06/2022 07:11:24:495	ECMSOperationalDaySch
	E3	MTOM00002989	POOL000000000084	FR18129	14/06/2022 07:09:19:729	1,436,000	EUR	14/06/2022 07:09:19:871	ECMSOperationalDaySch
		MTOM00002988	POOL0000000000020	FR50007	14/06/2022 07:08:12:806	70,310,000	EUR	14/06/2022 07:08:12:996	ECMSOperationalDaySch
	E3	MTOM00002987	POOL000000000010	FR50007	14/06/2022 07:07:47:594	46,000,833.33	EUR	14/06/2022 07:07:47:927	ECMSOperationalDaySch
		MTOM00002986	POOL00000000185	FR18129	14/06/2022 01:01:59:363	6,000,000	EUR	14/06/2022 01:01:59:392	ECMSOperationalDaySch
	E	MTOM00002985	POOL000000000171	FR18129	14/06/2022 01:01:21:921	4,500,000	EUR	14/06/2022 01:01:22:008	ECMSOperationalDaySch
		MTOM00002984	P00L000000000020	FR50007	14/06/2022 00:55:50:630	70,310,000	EUR	14/06/2022 00:55:50:810	ECMSOperationalDaySch
	E	MTOM00002983	POOL000000000010	FR50007	14/06/2022 00:55:12:120	46,000,833.33	EUR	14/06/2022 00:55:12:293	ECMSOperationalDaySch
	E	MTOM00002982	POOL000000000185	FR18129	14/06/2022 00:49:11:401	6,000,000	EUR	14/06/2022 00:49:11:454	ECMSOperationalDaySch

Margin Call – List Scr	een		
Field	Description		
Transaction Reference Number	Shows the unique identifier of the instruction in ECMS.		
Pool Identifier	Shows the unique identifier of the pool id in the ECMS		
Counterparty	Shows the Counterparty Identifier		
Margin call Date	Shows the mark to market date (related to the event) of the margin call		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs		
Margin Call Amout	Shows the remaining amount of the Margin call. The Margin call amount correspond to Credit position value (in euros) – Collateral position value (in euros).		
Currency	Shows the currency reported in the notification		
Creation Date	Shows the date and time of the creation of the margin call.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs		
Creation User Id	Shows the identification of the user that created the Margin Call		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs		
After selecting a record	l, the following buttons are displayed		
Button	Function		
View	This function enables you to access to the detailed information of a margin call history.		
	Next screen:		
	- Margin call - Details Screen.		

## 3.3.4.3.1.3 Margin Call – Details Screen

Context of Usage	This screen displays detailed information of the selected Margin Call.
	Collateral and Credit >> Pool Overview >> Display Margin Call >> Click
	on the Search button (after inputting the relevant fields, if needed) >> Se-
	lect a margin call >> Click on the View button





Margin Call – Details screen					
General Information	General Information				
Field	Description				
Transaction Reference ID	Shows the unique identifier of the instruction in the ECMS				
Counterparty	Shows the Counterparty Identifier.				
Pool Identifier	Shows the unique identifier of the pool in the ECMS.				
Margin Call Date	Shows the mark to market date (related to the event) of the margin call				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs				
Margin Call Amout	Shows the remaining amount of the Margin call. The Margin call amount correspond to Credit position value (in euros) – Collateral position value (in euros).				
Currency	Shows the currency reported in the notification				
Footprint					
Creation Date	Shows the date and time of the creation of the margin call.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Creation User Id	Shows the identification of the user that created the margin call.				
Update Date	Shows the date and time of the update of the margin call.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Update User Id	Shows the identification of the user that made the last update of the margin call.				
Button	Description				
Notifications	This function enables you to display the Margin call notification related to the selected Margin call.				
	Next screen: Margin Call Notifications - list screen is displayed. It is possible to view details and download the Margin call notification				

# 3.3.4.3.2 Margin Call Notification

## 3.3.4.3.2.1 Margin Call Notification – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the margin call notification.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.



Screen Access	Collateral and Cre Margin Call Notif	edit >> Notification >> fication	Counter	parties Notifica	ations >>	
Screenshot	Search Criteria : Margin Call Notification					
	Message Id == ▼	Transaction Id == ▼		Counterparty BIC == ▼		
	Pool Identifier == ▼	Margin Call date == ▼		Creation Date == •	<b>—</b>	
	つ Reset				Q Search	

Margin Call Notification – Search screen			
Field	Description		
Message id	Enter the message reference of the instruction in the ECMS		
Transaction Id	Enter the unique identifier of the instruction in the ECMS		
Pool Identifier	Enter the Pool ID.		
Counterparty BIC	Enter the RIAD code identifier that uniquely identifies the Counterparty.		
	The required format is: Max 30 characters		
Creation Date	Enter/Select the creation date of the Margin call.		
	Required format is: DD/MM/YYYY hh:mm:ss:µs		
Margin Call Date	Enter/Select the update Date of the creation of the Margin call.		
	Required format is: DD/MM/YYYY hh:mm:ss:µs		
Button	Function		
Reset	This function enables you to clear the form.		
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.		

## 3.3.4.3.2.2 Margin Call Notification – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Creation Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.								
Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> Margin Call Notification >> Click on the <i>Search</i> button (after inputting								
	the r	the relevant fields, if needed)							
Screenshot	Search Result	: Margin Call Notific	ation		14	< Page 1 of 230	× × ×		Q 2295 🛍 () 🖓 🕻
	Message Id © 165556620 165546620	Transaction Id ♦  MT0M00003845  MT0M0003844  MT0M0003843	BDFEFRPPXXX BDFEFRPPXXX	Counterparty BIC © ISAEFRPPIOX CPTYTFPPX05 CPTYTFPPX05	Pool Identifier © POOL0000000000084 POOL00000000000000000000000000000000000	Margin Call date •  27/07/2022 07:08:48 27/07/2022 07:07:50 27/07/2022 07:07:22	Margin Call Amount # Currency # 1,436,000 EUR 15,564,028.92 EUR 46,000.833.33 EUR	▼ Error Description ● ▼ Notifications Statu Processed Processed Processed	
	165526620 165516620 165506620	MT0M0003840 MT0M0003840 MT0M0003836	BDFEFRPPXXX BDFEFRPPXXX BDFEFRPPXXX	ISAEFRPPXOS  CPTYTFPPXOS  CPTYTFPPXOS  CPTYTFPPXOS	POOL00000000000000000000000000000000000	27/07/2022 21:02:34: 27/07/2022 21:01:37: 27/07/2022 21:01:16: 27/07/2022 19:19:52:	1,436,000 EUR 15,564,028.92 EUR 46,000,833.33 EUR 15,564,028.92 EUR	Processed Processed Processed Processed	ECMSOperationalDay 26/07/2022 21:92:39  ECMSOperationalDay 26/07/2022 21:01-64  ECMSOperationalDay 26/07/2022 21:01-22  ECMSOperationalDay 26/07/2022 21:01-22
	165286620 165276620 165266620	MTOM00003835 MTOM00003833 MTOM00003832	BDFEFRPPXXX BDFEFRPPXXX BDFEFRPPXXX	CPTYTFPPX0S ISAEFRPPX0S CPTYTFPPX0S	POOL000000000010 POOL0000000000034 POOL00000000000020	27/07/2022 19:11:10 27/07/2022 19:11:10	46,000,833.33 EUR 1,436,000 EUR 15,564,028.92 EUR	Processed Processed Processed	ECMSOperational Day. 26/07/2022 19:19:19 ECMSOperational Day. 26/07/2022 19:19:19 ECMSOperational Day. 26/07/2022 19:12:10 Download

Margin call Notification – List Screen			
Field	Description		
Transaction id	Shows the unique identifier of the instruction in the ECMS		
Message Id	Shows the message reference of the instruction in the ECMS		
Pool Identifier	Shows the Pool ID.		



Counterparty BIC	Shows the Counterparty Bic	
NCB BIC	Shows the NCB BIC	
Margin Call Date	Shows the Date of the Margin call.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Update Date	Shows the update Date of the creation of the Margin call.	
	Required format is: DD/MM/YYYY hh:mm:ss:µs	
Notification Status	Shows the status of the Margin Call Notification.	
Currency	Shows the currency reported in the notification	
Error description	Shows the error description of the Margin Call.	
Margin Call Amount	Shows the amount of the Margin Call	
Update User Id	Shows the Updater User Id	
After selecting a record	d, the following buttons are displayed	
Button	Function	
Download	This function enables you to access to the download of the Margin call	
	notification.	
	Next step:	
	– Record the Margin call Notification.	

## 3.3.5 Credit Claims

Credit Claims are part of the collateral accepted in the pool of Counterparties. If the NCB has chosen to manage the CC in the ECMS, their Counterparties send CC files via A2A or CC instructions via U2A to the ECMS to feed the Counterparty pools. The screens described below explain the manual management of Credit Claims (U2A instructions or other manual interventions)

#### 3.3.5.1 Credit Claims

#### 3.3.5.1.1 Credit Claims File - Create Screen

Context of Usage	This screen is used to manually enter a credit claim file with registration instruction(s), update instruction(s), outstanding amount update instruction(s), rating registration instruction(s), mobilisation or a demobilisation instruction(s) of a credit claim in ECMS.			
Screen Access	Collateral >> Credit Clair Claims File	ms >> Credit Claim File	>> Search/Input Credit	
Screenshot	Edit : Credit Claim File  ECMS Internal Reference  CCF8x000000696  Interneded Settlement Date 12/08/2022  Credit Claim Instruction  Condit Claim Instruction  Demobilisation Instruction  Demobilisation Instruction	File Reference  Rating Instruction  Rating Instruction (6)	Counterparty  Counterparty  Mobilisation instruction  Multilisation instruction	
	○ toust		✓ Serve X Cancod	



Credit Claims File – Create Screen			
Field	Description		
ECMS Internal Reference*	The ECMS internal reference of the credit claims file automatically filled by the system which is the unique reference of the file managed internally in ECMS		
File Reference*	Enter the file reference which is the unique reference of the file in counterparty side		
Counterparty*	Enter the counterparty identifier		
Intended settle- ment date*	Fille automatically with the current business date.  Required format is: DD/MM/YYYYY		

Credit Claim Registration / Update Instruction (For more details refer to the sections:

- Instruction of Credit Claim Registration
- Instruction of Credit Claim Update
- Instruction of Credit Claim Outstanding Amount Update)

Rating Registration / Update Instruction (For more details, refer to the sections:

- Instruction of Rating Registration
- Instruction of Rating Update)

Mobilisation Instruction (For more details, refer to the sections: Instruction of Credit Claim Mobilisation)

Demobilisation Instruction (For more details, refer to the sections: Instruction of Credit Claim Demobilisation)

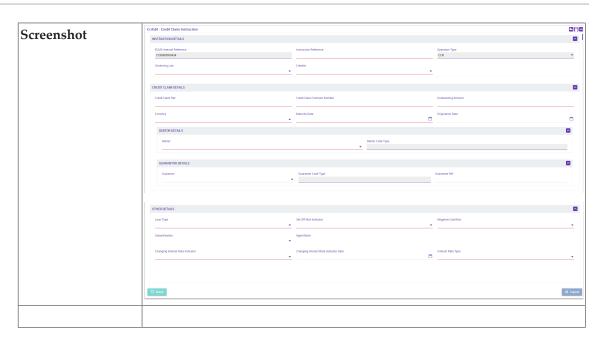
#### Action

Button	Description
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of the credit claim file
Cancel	This function enables you to cancel the input and return back to the main
	screen

#### 3.3.5.1.1.1 Instruction of Credit Claim Registration

Context of Usage	This screen is used to manually enter a credit claim registration instruction once the instruction type to create chosen by the user is "CCR"
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File





<b>Instruction of Cred</b>	it Claim Registration			
Instruction Details				
Field	Description			
ECMS Internal Reference*	The ECMS internal reference of the credit claim registration instruction automatically filled by the system			
Instruction Refer- ence*	Input the unique reference of the instruction in counterparty side			
Operation Type*	Automatically filled by "CCR"			
Governing Law*	Select the country governing law of the credit claim from the drop down list			
Creditor*	Select the RIAD Code of the creditor from the drop down list			
Credit Claim Detai	ls			
Field	Description			
Credit Claim Reference*	Input the identifier of the credit claim for the Counterparty			
Credit Claim Contract Number	Input the credit claim contract number			
Outstanding Amount*	Input the outstanding amount of the credit claims.			
Currency*	Input the currency of the Credit Claim. By default EUR.			
Maturity Date*	Input the maturity date of the credit claim.			
	Required format is: DD/MM/YYYYY.			
Origination date*	Input the origination date of the credit claim.			
	Required format is: DD/MM/YYYYY.			
Debtor Details				
Debtor Ref	Input the National identifier of the debtor			
Debtor Name	Input the name of the debtor			
Debtor Code Type	Input the Debtor Code			



<b>Guarantor Details</b>			
Guarantor Ref	Input the National identifier of the Guarantor		
Guarantor Name	Input the name of the Guarantor		
Guarantor Code Type	Input the Guarantor Code Type		
Guarantee Refer- ence	Input the Guarantee reference if existing given by the Counterparty.		
Other Details			
Field	Description		
Loan Type*	Select the Type of loan from a drop down list		
	Required format is: 4 characters.		
Set-off Risk Indic-	Select the set-off risk indicator from the drop down list:		
ator*	Y(existence of a set off risk) / N or NA (to be used when not applicable for the NCB)		
Negative Cashflow	Mandatory if the interest rate type is VAR.		
	Field to be set to Y if the interest rate + spread leads to an eventual negative cashflow.		
	Y/N		
Subordination*	Select the value Y/N frrom the drop down list		
Agent Bank	To be filled in in case of syndicated loan.		
Changing Interest Rate Indicator*	Select from the drop down list the indicator to be used in case the Credit Claim has a condition where the interest type can be changed from Variable into Fixed or vice versa		
	Y/N		
Changing Interest Rate Indicator Date	Input the changing interest rate indicator date to be used in case the Credi Claim has a condition where the interest type can be changed from Variable into Fixed or vice versa		
	Required format is: DD/MM/YYYYY.		
Interest Rate Type*	Select from the drop down list FIX or VAR		
Reference Index	Input the Referenced Eurosystem Index.		
	To be filled in if the Interest RateType is VAR		
Reference Index	Input the Indication of the Reference Index used.		
Comment	To be filled if the Reference Index is 'Other'.		
Cap Indicator	To be filled in if the Interest RateType is VAR.		
	Possible values from the drop down list:		
	- true		
	- false		
Resetting Period	To be filled in if the Interest RateType is VAR.		
More Than One	Possible values from the drop down list:		
Year	- true		
	- false		
Action			



Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the input and return back to the main
	screen

# 3.3.5.1.1.2 Instruction of Credit Claim Update

Context of Usage	This screen is used to manually enter a credit claim update instruction once the instruction type to create chosen by the user is "CCU"				
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File				
Screenshot	Couedit : Credit Claim Instruction Select orefit claim to update	Cres	on Crain	<b>2</b> 72	
	CREDIT CLAIM DETAILS  Current Outstanding Amount	Governing Law 	Detror	<u> </u>	
	Guaranter INSTRUCTION DETAILS	Guarannoe Red		<u>~</u>	
	ECMS internal Melanace CCR0000000415 Update Date Interruction	Instruction Publishmence	Countries Type COU	•	
	DATA TO UPDATE  New Ousstanding Amount	Currency ***	Maturey Cure	<u> </u>	
	Origination Date  Negative Confribor	Lean Type  Substitution	Set Off Flash Indicators  Appeted Basins	•	
	Changing Interest Rate Indicator	Changing Interest Rate Indicator Date  #	Interest Rane Type	•	
	*3 Reset			% Cancel	

Instruction of Credit Claim Update					
Instruction Details	Instruction Details				
Field	Description				
Credit Claim*	Select the credit claim asset to update, once selected ECMS will fill the fields below with the values related to this asset				
Credit Claim Detai	ls				
Field	Description				
Currenct Outstanding Amount	It displays the current uutstanding amount value of the selected credit claim asset				
Governing Law	It displays the country governing law of the selected credit claim asset				
Debtor	It displays the debtor RIAD Code of the selected credit claim asset				
Guarantor	It displays the guarantor national code of the selected credit claim asset				
Guanrantee Ref	It displays the guarantee reference of the selected credit claim asset				
Instruction Details					
Field	Description				
ECMS Internal Reference	It displays the ECMS internal reference of the selected credit claim asset				



Instruction Reference	Input the instruction reference of the credit claim update	
Operation Type	Filled automatically by the value "CCU"	
Update Date Instruction	Input the update date of the credit claim asset	
Data To Update		
Field	Description	
New Outstanding Amount	It displays the Current Outstanding Amount of the selected credit claim asset and could be updated to a new value (Current Outstanding Amount value)	
Currency	It displays the Currency of the selected credit claim asset (Value that couldn't be modified)	
Maturity Date	It displays the Maturity Date of the selected credit claim asset	
Origination Date	It displays the Origination Date of the selected credit claim asset	
Loan Type	It displays the Loan Type of the selected credit claim asset	
Set Off Risk Indicator	It displays the Set Off Risk Indicator of the selected credit claim asset	
Negative Cashflow	It displays the Negative Cashflow of the selected credit claim asset	
Subordination	It displays the Subordination of the selected credit claim asset	
Agent Bank	It displays the Agent Bank of the selected credit claim asset	
Changing Interest Rate Indicator	It displays the Changing Interest Rate Indicator of the selected credit claim asset	
Changing Interest Rate Indicator Date	It displays the Changing Interest Rate Indicator Date of the selected credit claim asset	
Interest Rate Type	It displays the Interest Rate Type of the selected credit claim asset	
Cap Indicator	It displays the Cap Indicator of the selected credit claim asset or could be newly filled if the interest rate type updated to "VAR"	
Reference Index	It displays the Reference Index of the selected credit claim asset or could be newly filled if the interest rate type updated to "VAR"	
Reference Index Comment	It displays the Reference Index Comment of the selected credit claim asset or could be newly filled if the interest rate type updated to "VAR"	
Resetting Period More Than One Year	It displays the Resetting Period More Than One Year of the selected credit claim asset or could be newly filled if the interest rate type updated to "VAR"	
Anacredit Identifier	s	
Oa Id	Displays the Observed Agent Identifier	
Contract Id	Displays the Contract Identifier	
Instrmnt Id	Displays the Instrument Identifier	
Action		
Button	Description	
Reset	This function enables you to clear the form.	
Cancel	This function enables you to cancel the input and return back to the main screen	



# 3.3.5.1.1.3 Instruction of Credit Claim Outstanding Amount Update

Context of Usage	This screen is used to manually enter a credit claim outstanding amount update instruction once the instruction type to create chosen by the user is "CCOAU"			
Screen Access	Collateral >> Cred Claims File	it Claims >> Credit Cl	aim File >> Search/Input	Credit
Screenshot	Coowledit: Credit Claim Instruction CREDIT CLAIM DETAILS select credit claim. INSTRUCTION DETAILS	Credit Claim	Current Outstanding Amount Q.	2
	ECMS tremal Reference CCXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	Instruction Reference  New Outstanding Amount	Operation Type   CCDAU   Currency	¥ Cancel

Instruction of Credit Claim Outstanding Amount Update		
Credit Claim Details		
Field	Description	
Credit Claim*	Select the credit claim asset to update, once selected ECMS will fill the fields below with the values related to this asset	
Currenct Outstanding Amount	It displays the current uutstanding amount value of the selected credit claim asset	
<b>Instruction Details</b>		
Field	Description	
ECMS Internal Reference	It displays the ECMS internal reference of the selected credit claim asset	
Instruction Reference	Input the instruction reference of the credit claim update	
Operation Type	Filled automatically by the value "CCOAU"	
Update Date Instruction	Input the update date of the credit claim asset	
New Outstanding Amount	It displays the Current Outstanding Amount of the selected credit claim asset and could be updated to the new value (Current Outstanding Amount value)	
Currency	It displays the Currency "EUR" of the selected credit claim asset (Value that couldn't be modified)	
Action		
Button	Description	
Reset	This function enables you to clear the form.	
Cancel	This function enables you to cancel the input and return back to the main screen	



# 3.3.5.1.1.4 Instruction of Rating Registration

Context of Usage	This screen is used to manually enter a rating registration instruction		
Screen Access	Collateral >> Credit Clair Claims File	ms >> Credit Claim File >	>> Search/Input Credit
Screenshot	Edit : Rating Instruction  SELECT OBLIGOR  Defear  Coassenter Code Type  ECMS Internal Reference RR000000131  Puting Date  Condit Assessment System  41  Defeat Probability	Debter Code Type  Instruction Reference  Resting Validity Date  Consta Assessment System Code.	Ouesetter  Coveration Type  Plants Type  Rejection Resson

Instruction of Rating Registration		
Instruction Details		
Field	Description	
Debtor	Select from the drop down list the national identifier of the debtor	
Debtor Code type	The debtor code type is filled by ECMS automatically once the debtor is chosen	
Guarantor	Select from the drop down list the national identifier of the guarantor	
Guarantor Code type	The guarantor code type is filled by ECMS automatically once the guarantor is chosen	
ECMS Internal Reference	The ECMS Internal Reference is filled automatocally	
Instruction Reference	Input the instruction reference of the counterparty	
Operation Type	Select the value RR for registration of an obligor rating	
Rating Date	Input the rating date	
	Format required: DD/MM/YYYY	
Rating Validity	Input the rating validity date	
Date	Format required: DD/MM/YYYY	
Rating Type	Select from the drop down list the rating type "IRB" or "RT"	
Credit Assessment System	Is filled with the credit assessment system identifier once the rating type is chosen	
Credit Assessment System Code	Is filled with the credit assessment system code once the rating type is chosen. Possible value is "IRB" or "RT"	
Default Probability	Input the probability of default	
Action		
Button	Description	



Reset	This function enables you to clear the form.	
Cancel	This function enables you to cancel the input and return back to the mair	
	screen	

# 3.3.5.1.1.5 Instruction of Rating Update

Context of Usage	This screen is used to manually enter a rating update instruction		
Screen Access	Collateral >> Credit Clair Claims File	ms >> Credit Claim File >	> Search/Input Credit
Screenshot	Edit : Rating Instruction  SELECT OBLIGOR  Defens  Guaranti Code Type	Define Code Type	Quarter
	ECMS internal Reference RB00000013 Ruring State		Operation Type  60  Flaring Type
	Orel A Assessment System  41  Default Probability  1 tour	Ordit Assessment System Code	Rejection Reason  X. Calvori

Instruction of Rating Update		
Instruction Details		
Field	Description	
Debtor	Select from the drop down list the national identifier of the debtor	
Debtor Code type	The debtor code type is filled by ECMS automatically once the debtor is chosen	
Guarantor	Select from the drop down list the national identifier of the guarantor	
Guarantor Code type	The guarantor code type is filled by ECMS automatically once the guarantor is chosen	
ECMS Internal Reference	The ECMS Internal Reference is filled automatocally	
Instruction Reference	Input the instruction reference of the counterparty	
Operation Type	Select the value RU for an update of an obligor rating	
Rating Date	Input the rating date	
	Format required: DD/MM/YYYY	
Rating Validity	Input the rating validity date	
Date	Format required: DD/MM/YYYY	
Rating Type	Select from the drop down list the rating type "IRB" or "RT"	
Credit Assessment System	Is filled with the credit assessment system identifier once the rating type is chosen	
Credit Assessment System Code	Is filled with the credit assessment system code once the rating type is chosen. Possible value is "IRB" or "RT"	
Default Probability	Input the probability of default	
Action		



Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the input and return back to the main screen

### 3.3.5.1.1.6 Instruction of Credit Claim Mobilisation

Context of Usage	This screen is used to mation	nually enter a credit clai	m mobilisation instruc-
Screen Access	Collateral >> Credit Clair Claims File	ns >> Credit Claim File >	>> Search/Input Credit
Screenshot	Edit: Mobilisation Instruction  EGAS Internal Printerce  MODOMODISS  Internal Associate  Q	Operation Type  Model  Credit Claim	trustruction Reference    M. Cancel

Instruction of Credit Claim Mobilisation			
Instruction Details	Instruction Details		
Field	Description		
ECMS Internal Reference	The ECMS Internal Reference is filled automatically		
Operation Type	The operation type is filled automatically with the value "MOB"		
Instruction Reference	Input the instruction reference of the counterparty		
Internal asset account	Select from the list of safekeeping account the internal asset account that will be used to mobilise the credit claim		
Credit Claim	Select from the drop down list the credit claim asset to be mobilised		
Action			
Button	Description		
Reset	This function enables you to clear the form.		
Cancel	This function enables you to cancel the input and return back to the main screen		

## 3.3.5.1.1.7 Instruction of Credit Claim Demobilisation

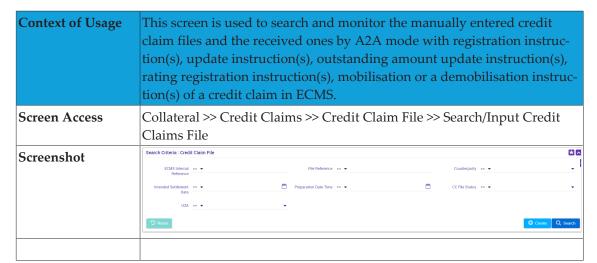
<b>Context of Usage</b>	This screen is used to manually enter a credit claim demobilisation in-	
	struction	
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit	
	Claims File	





Instruction of Credit Claim Demobilisation				
Instruction Details				
Field	Description			
ECMS Internal Reference	The ECMS Internal Reference is filled automatically			
Operation Type	The operation type is filled automatically with the value "DEMOB"			
Instruction Reference	Input the instruction reference of the counterparty			
Internal asset account	Select from the list of safekeeping account the internal asset account that will be used to demobilise the credit claim			
Credit Claim	Select from the drop down list the credit claim asset to be demobilised			
Action				
Button	Description			
Reset	This function enables you to clear the form.			
Cancel	This function enables you to cancel the input and return back to the main screen			

#### 3.3.5.1.2 Credit Claims File - Search Screen



Credit Claims File – Search Screen				
Field	Description			
ECMS Internal Reference	Input the ECMS internal unique reference when the file was created by U2A mode			
File Reference	Input the file reference when the file was received by A2A mode			
Counterparty	Input the counterparty RIAD Code			



Intended Settle-	Input the intended settlement date
ment Date	Format: DD/MM/YYY
Preparation Date	Input the preparation date time
Time	Format: DD/MM/YYY hh:mm:ss:sss
CC File Status	Input the credit claim file status that could be one from the following statuses:
	- Rejected
	- Queued
	- WaitingCutOffTime
	- WaitingSettlementDate
	- Processed
	- WaitingPreviousCCFile
	- ManageCCFileInstruction
	- Draft
U2A	Input Yes or No
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered.
	The results are displayed in a list on a screen below. Next screen:
	• Credit Claims file – List Screen

## 3.3.5.1.2.1 Credit Claims File – List Screen

<b>Context of Usage</b>	This scr	This screen contains a list of credit claims files.							
		dit claims : it claims f			•	to the	e search criteri	a capture	ed in
	The list	displayed	can be s	orted a	nd filte	ered b	y the fields dis	splayed.	
	The list	can be exp	orted.						
Screen Access	Collater Claims		it Claims	s >> Cre	edit Cl	aim Fi	ile >> Search/I	nput Cre	dit
Screenshot	Search Result : Cred  10 CCF8600000693  CCF8600000693  CCF8600000690  CCF8600000690  CCF8600000690	☐ File Reference ▼ ▼ Counter  CCM08-M08C0990. FR18129  CCM08-M08C0990. FR18129  CCM08-M08C0900. FR18129  CCM08-M08C0900. FR18129  RR090822004 FR18129	Darity 9	Settlement Date 09/08/2022 09/08/2022 09/08/2022 09/08/2022 09/08/2022 09/08/2022	Processed Processed Processed Processed Processed Processed	No No No No No No	© Update Date \$	• Creation Date • 90/08/2022 11:27:25 09/08/2022 11:26:15 09/08/2022 11:25:25 09/08/2022 11:22:25 09/08/2022 11:20:34	ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01
	CCFisc000000687 CCFisc000000687 CCFisc000000688 CCFisc000000688	CCM098 M08C090. FR18129 CCA090822001 FR18129 CCF090822002 FR18129 CCF090822001 FR18129	09/08/2022 09/08/2022 09/08/2022 09/08/2022 09/08/2022	09/08/2022 09/08/2022 09/08/2022 09/08/2022 09/08/2022	Processed Processed Processed Processed Processed	No No No No No	09/08/2022 1119:01. SAEFRAZA01 09/08/2022 1112:08. ISAEFRAZA01 09/08/2022 1112:08. ISAEFRAZA01 09/08/2022 1110:95. ISAEFRAZA01 09/08/2022 11:09:57. ISAEFRAZA01	09/08/2022 11:16:14	ISAEFRA2A01 ISAEFRA2A01 ISAEFRA2A01

**Credit Claims File – Search Screen** 

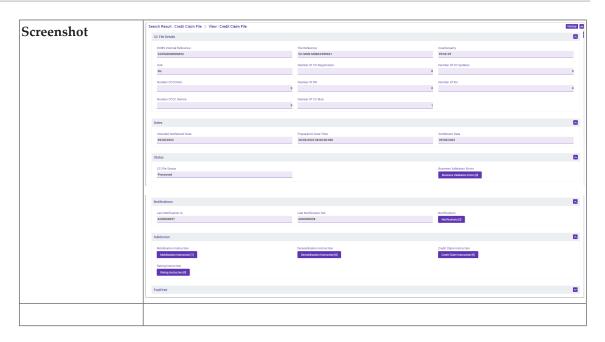


Field	Description		
ECMS Internal Ref-	The ECMS internal unique reference when the file was created by U2A		
erence	mode		
File Reference	The file reference when the file was received by A2A mode		
Counterparty	The counterparty RIAD Code		
Intended Settle-	The intended settlement date		
ment Date	Format: DD/MM/YYY		
Settlement Date	The settlement date		
	Format: DD/MM/YYY		
CC File Status	The file status that could be one from the following values:		
	- Rejected		
	- Queued		
	- WaitingCutOffTime		
	- WaitingSettlementDate		
	- Processed		
	- WaitingPreviousCCFile		
	- ManageCCFileInstruction		
	– Draft		
U2A	Yes or No		
Update Date	Update date of the file		
Updater User Id	The user that has updated the file		
Creation Date	Creation date of the file		
Creation User Id	The user that has created the file		
Action			
Button	Description		
View	This function enables you to view the details of the selected credit claim file. The Details screen is displayed replacing the current screen.		
	Next screen:		
	Credit Claim File – Details Screen		
Excel Export	This function enables you to export the displayed list of the credit claims file(s) in an excel file.		

## 3.3.5.1.2.2 Credit Claims File – Details Screen

Context of Usage	This screen displays detailed information on the selected received or manually input credit claims file. You can check the data and proceed further by clicking on the buttons.
	Display the details of a credit claims file
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File >> Click on the <i>Search</i> button (after having input the relevant criteria, if needed) >> Select a credit claims file >> Click on the <i>View</i> button





Credit Claims File –	Details Screen
CC File Details	
Fied	Description
ECMS Internal Reference	Displays the ECMS internal reference of the credit claims file automatically filled by the system which is the unique reference of the file managed internally in ECMS
File Reference	Displays the file reference which is the unique reference of the file in counterparty side
Counterparty	Displays the counterparty RIAD Code
U2A	Displays Yes or No
Number Of CC Registration	Displays the number Of CC Registration
Number Of CC Updates	Displays the number Of CC Updates
Number Of CCOAU	Displays the number Of CCOAU
Number Of RR	Displays the number Of RR
Number Of RU	Displays the number Of RU
Number Of CC Demob	Displays the number Of CC Demob
Dates	
Fied	Description
Intended Settlement Date	Displayes the Intended Settlement Date
Preparation Date Time	Displayes the Preparation Date Time
Settlement Date	Displayes the Settlement Date
Status	
Fied	Description
CC File Status	Displays the status of the file



Business Validation Errors	Displays the business validation errors when the file is not processed
Notifications	
Fied	Description
Last Notification In	Displays the incoming notification identifier (Identifier of the received credit claims file)
Last Notification Out	Displays the outgoing notification identifier (Identifier of the Admi.007 for instance when the credit claims file is rejected)
Notifications	Displays as a sub-screen the notification details
Subscreen	
Fied	Description
Mobilisation Instruction	Displays the number of the Mobilisation Instructions and the details of these instructions as a sub-screen
Demobilisation Instruction	Displays the number of the Demobilisation Instructions and the details of these instructions as a sub-screen
Credit Claim Instruction	Displays the number of the Credit Claim Instructions (Registration, Update) and the details of these instructions as a sub-screen
Rating Instruction	Displays the number of the Rating Instructions and the details of these instructions as a sub-screen
Mobilisation Instruc	tion
Fied	Description
ECMS Internal Reference	Displays the ECMS Internal Reference
Operation Type	Displays the operation type with the value "MOB"
Instruction Reference	Displays the instruction reference of the counterparty
Internal asset account	Displays the safekeeping account the internal asset account that is used to mobilise the credit claim
Credit Claim	Displays the the credit claim asset to be mobilised
ECMS Internal Reference	Displays the ECMS Internal Reference
Business Status	Displays the business status of the instruction
Demobilisation Inst	ruction
Fied	Description
ECMS Internal Reference	Displays the ECMS Internal Reference
Operation Type	Displays the operation type with the value "DEMOB"
Instruction Reference	Displays the instruction reference of the counterparty
Internal asset account	Displays the safekeeping account the internal asset account that is used to mobilise the credit claim
Credit Claim	Displays the the credit claim asset to be mobilised
ECMS Internal Reference	Displays the ECMS Internal Reference
Business Status	Displays the business status of the instruction
	4



Credit Claims Instru	action
Fied	Description
Instruction Details	
Fied	Description
ECMS Internal Ref-	Displays the ECMS internal reference of the credit claim registration in-
erence	struction automatically filled by the system
Instruction Refer-	Displays the unique reference of the instruction in counterparty side
ence	
Operation Type	Displays the value "CCR", "CCU" or "CCOAU"
Governing Law	Displays the country governing law of the credit claim
Creditor	Displays the RIAD Code of the creditor
Credit Claims Detail	ls .
Fied	Description
Credit Claim Reference	Displays the identifier of the credit claim for the Counterparty
Credit Claim Contract Number	Displays the credit claim contract number
Outstanding Amount	Displays the outstanding amount of the credit claims.
Currency	Displays the currency of the Credit Claim. By default EUR.
Maturity Date	Displays the maturity date of the credit claim.
Origination date	Displays the origination date of the credit claim.
Debtor Details	
Fied	Description
Debtor ID	Displays the RIAD code of the Creditor.
Debtor Code Type	Displays the Debtor Code Type.
<b>Guarantor Details</b>	7.1
Fied	Description
Guarantor ID	Displays the RIAD code of the Guarantor.
Guarantor Code	Displays the Guarantor Code Type.
Туре	
Guarantee Reference	Displays the Guarantee reference if existing given by the Counterparty.
Other Details	
Fied	Description
Loan Type	Displays the Type of loan
Set-off Risk Indic-	Displays the set-off risk indicator. Y(existence of a set off risk) / N or NA
ator	(to be used when not applicable for the NCB)
Negative Cashflow	Displays Y or N
Subordination	Displays the value Y/N
Agent Bank	Displays the Agent bank value
Changing Interest Rate Indicator	Displays the value Y/N
Changing Interest Rate Indicator Date	Displays the Changing Interest Rate Indicator Date



Interest Rate Type	Displays FIX or VAR
Reference Index	Displays the Referenced Eurosystem Index. To be filled in if the Interest RateType is VAR
Reference Index Comment	Displays the Reference Index Comment. To be filled if the Reference Index is 'Other'.
Cap Indicator	Displays the value (True or False). To be filled in if the Interest RateType is VAR.
Resetting Period More Than One Year	Displays the value (True or False). To be filled in if the Interest RateType is VAR.
Rating Instruction	
Fied	Description
Debtor	Displays the Debtor RIAD Code
Guarantor	Displays the Guarantor national identifier
ECMS Internal Reference	Displays the ECMS Internal Reference
Instruction Reference	Displays the Instruction Reference
Operation Type	Displays "RR" or "RU"
Rating Date	Displays the Rating Date
Rating Validity Date	Displays the Rating Validity Date
Rating Type	Displays the Rating Type
Credit Assessment System Code	Displays the Credit Assessment System Code
Default Probability	Displays the Default Probability
Instruction Status	Displays the Instruction Status
Business Status	Displays the Business Status
comment	Displays the comment
Business Validation Errors	Displays the Business Validation Errors
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the received EMCC file.
Creation Date	Shows the date and time of the received EMCC file creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

## 3.3.5.1.3 Credit Claims Asset – Search Screen

	This screen contains a number of search fields. By inputting the relevant data, you can search for one or several credit claims asset.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case re- lated	Search/List/View Credit Claims





Credit Claims Asse	et – Search screen			
Search Criteria				
Field	Description			
Credit Claim Reference	Reference given by the Counterparty			
Eurosystem Credit Claim ID	Eurosystem reference given by the ECMS			
Counterparty	RIAD code of the Counterparty			
Debtor	Debtor Code			
Guarantor	Guarantor Code			
Credit Claim	Possible Values:			
status	- Registered			
	- Mobilised			
	- Demobilised			
	- Blocked For NCB			
Guarantee Ref	Reference of the guarantee			
Creation Date	Creation Date range (From and To)			
FromTo	Format: DD/MM/YYYY hh:mm:ss:sss			
Action				
Button	Function			
Reset	This function enables you to clear the form.			
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.			
	Next screen:			
	- Credit claims Asset - List Screen.			

#### 3.3.5.1.3.1 Credit Claims Asset – List Screen

Context of Usage	This screen contains a list of Credit Claims asset corresponding to the search creteria entered in the search screen.  The list is displayed after clicking on the Search button  The list can be sorted and filtered using by the field displayed.  The list can be exported.
Business Case related	Search/List/View Credit Claims Asset



Screen Access	Collateral and credit >> Collateral >> Credit Claims >> Display credit Claims >> Click on the search button (after inputting the relevant search criteria, if needed)													
Screenshot	Search Resu	lt : Credit Claim												ē
0 01 0 0110110 0	10 ▼											(	125 ★ XII	
	Eurosystem Cr.,	Credit Claim R	Counterparty 4	Creditor •	Guarantee Ref	Credit Claim St.,	Credit Claim Ty,	Eligibility Statu	Outstanding A	Currency ¢		Black Listed 🗢	Update Date	Updater User I
	FRISAE2213090	CCREFCCA0908	FR18129	FR18129	GREF-CCA0908	Registered			5,000,000	EUR	11/08/2022	No	09/08/2022 11:	ISAEFRA2A01
	FRISAE2270863	CCREFCCA0908	FR18129	FR18129	GREF-CCA0908	Mobilised		Pending Eligibili	5,000,000	EUR	11/08/2022	No	09/08/2022 19:	ECMSOperation
	FRISAE2211120	CCREFCLM0908.	FR18129	FR18129	GREF-CLM0908	Registered		Pending Eligibili	5,000,000	EUR	10/08/2022	No	09/08/2022 11:	ISAEFRA2A01
	FRISAE2243195	CCREFCLM0908.	FR18129	FR18129	GREF-CLM0908	Mobilised		Pending Eligibili	5,000,000	EUR	07/08/2023	No	09/08/2022 11:	ECMSOperation
	FRISAE2211030	CCREFCLAIM02	FR18129	FR18129	GREF-CLAIM02	Mobilised		Pending Eligibili	5,000,000	EUR	07/08/2022	No	09/08/2022 11:	ECMSOperation
	FRISAE2242734	CCREFCCF0208	FR18129	FR18129	GREF-CCF0208	Registered		Not Eligible	5,000,000	EUR	07/08/2022	No	05/08/2022 13:	ISAEFRA2A01
	FRISAE2219474	CCREFCCRUSD	FR18129	FR18129		Mobilised	ACC	Eligible	10,000,000	USD	31/12/2022	No	05/08/2022 11:	ISAEFRA2A01
	FRISAE2264234	CCREFCCF0208	FR18129	FR18129	GREF-CCF0208	Registered			5,000,000	EUR	01/06/2024	No	03/08/2022 17:	ISAEFRA2A01
	FRISAE2273164	CCREFCCUSDO	FR18129	FR18129		Mobilised	ACC	Eligible	5,000,000	USD	31/12/2022	No	03/08/2022 15:	ISAEFRA2A01
	FRISAE2246235	CCREFCCUSDO	FR18129	FR18129		Registered		Not Eligible	5,000,000	USD	31/12/2022	No	03/08/2022 15:	ISAEFRAZA01

Credit Claims Asset – List screen						
Content of the list						
Field	Description					
Eurosystem Credit Claim ID	Eurosystem reference given by the ECMS					
Credit Claim Reference	Reference given by the Counterparty					
Counterparty	RIAD code of the Counterparty					
Creditor	RIAD code of the Creditor					
Guarantee ref	Reference of the guarantee					
Credit Claim	Possible Values:					
status	- Registered					
	- Mobilised					
	- Demobilised					
	- Blocked For NCB					
Credit Claim	Displays the Type of Credit Claim.					
Туре	Possible values					
	- CC					
	- ACC					
Eligibility Status	Possible Values:					
	– Eligible					
	- Not Eligible					
Outstanding Amount	Displays the Outstanding Amount					
Currency	EUR					
End Date	Displays the end date					
	Format: DD/MM/YYYY					
Black Listed	Yes or No					
Update Date	Displays the update date of the credit claim asset					
	Format: DD/MM/YYYY hh:mm:ss:sss					
Updater User Id	Displays the updater user id of the asset					



Action						
Button	Function					
View	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.					
	Next screen:					
	- Credit claims Asset - detail Screen.					
History	This function enables you to access to the historical information of a Credit claim.					
	Next screen:					
	Credit Claim Asset – History Screen.					

### 3.3.5.1.3.2 Credit Claims Asset – Details Screen

Context of Us-	This screen contai	ns the detailed informati	on of the Credit Claim As	set selec		
ge	ted by clicking on the View button in the previous screen.					
Business Case elated	Search/List/View Credit Claims Asset					
creen Access	Collateral >> Credit Claims >> Credit Claims >> Click on the search button (after inputting the relevant search criteria, if needed)>> Click on the view button					
Screenshot	Search Result : Credit Claim   > View - Credit Claim   Credit Claim   Credit Claim   Credit Claim   Construction/9922092   Counsipanty   Cou	Europysten Crediction 10 FISHS422100564 Creditor	Contact Number CCCCA999825092 Poil Menthe	Hinted		
	PREEZE MCB Account OBLIGOR DETAILS Desire FREEZE/SORGES	PRINTS  Guernite  FRANCESTIO	Guarantee Harf GRIST CACAMONIZADE			
	CHEDIT CLAM DETALS  Greening Law  78	N Coss Borier No	Outstanding Annount	5,000		
	Crigination Date 61/64/2022	End Date 11/68/2022	Currocy EUR Interest flate Type VAR			
	Changing Interest Rate Indicator  New  Sec Off Risk Indicator  N	Loom Type REGU  Negotive Caminow  false	Reference Index 1996/2008 Resembly Period More Trans One Year Selve			
	Subordination false  Agent Bank  CREDIT	Cap Indicator bow  Upgene Gave CC  946942022	Oweging Immers Rest Indicator Date 160402229 Reference Indicator Comment			
	STATUS OETALIS  Credit Claim Status Registered	Black Listed Ma	Processed  Ma			
	ts Close Linked  Mo  ELIGIBILITY DETAILS			ē		
	Eligibility Status  Cos	Cost Com Type  Raing Source Type	College Used For Eliginity			
	FostPrint					

Credit Claims Asset – Details screen				
General information				
Field	Description			



Credit Claim	Reference given by the Counterparty
Reference	
Eurosystem Credit Claim ID	Eurosystem reference given by the ECMS
Contract Number	Contract Number given by the counterparty
Counterparty	RIAD code of the Counterparty
Creditor	RIAD code of the Creditor
Pool Identifier	The pool identifier where the credit claims is or will be mobilised
NCB Account	The Internal asset account
Obligor Details	
Field	Description
Debtor	Displays the debtor national identifier
Guarantor	Displays the guarantor national identifier
Guarantee Ref	Displays the guarantee reference
Guarantee	Displays the guarantee amount
Amount	
Credit Claim De	etails
Field	Description
Governing Law	Displays the governing law country code of the credit claim
Is Cross Border	Yes or No
Outstanding Amount	Displays the Outstanding Amount
Currency	EUR
Origination Date	Displays the Origination Date
End Date	Displays the End Date
Interest Rate Type	Displays the Interest Rate Type
Changing Interest Rate Indicator	True or False
Loan Type	Displays the Loan Type
Reference Index	Displays the Reference Index
Set Off Risk Indicator	"N" or "Y"
Negative Cash- flow	True or False
Resetting Period More Than One Year	True or False
Subordination	True or False
Cap Indicator	True or False



Changing In-	Displays the date when the interest rate changing indicator is filled					
terest Rate In- dicator Date	Format: DD/M/YYYY					
Agent Bank	Displays the Agent Bank					
	Displays the reference index comment when the interest rate changing indic-					
Comment	ator is filled					
Status Details						
Field	Description					
Credit Claim	Possible Values:					
Status	– Registered					
	- Mobilised					
	- Demobilised					
	- Blocked For NCB					
Black Listed	Y or N					
Processed	Y or N					
Is Close Linked	Y or N					
Eligibility Deta	ils					
Field	Description					
Eligibility Status	Eligible or Not Eligible					
Footprint						
Creation Date	Shows the date and time of the credit claim asset creation.					
	Displayed format is: DD/MM/YYYYY hh:mm:ss:µs.					
Creation User Id	Shows the identification of the user that created the credit claim asset.					
Update Date	Shows the date and time of the last update of the credit claim asset.					
	Displayed format is: DD/MM/YYYYY hh:mm:ss:µs.					
Updater User Id	Shows the identification of the user that made the last update of the credit claim asset.					

## 3.3.5.1.3.3 Credit Claims Asset – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Credit Claim Asset. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral &gt;&gt; Credit Claims &gt;&gt; Click on the search button (after inputting the relevant search criteria, if needed)&gt;&gt; &gt;&gt; Select a Credit Claim Asset &gt;&gt; Click on the <i>History</i> button</li> </ul>



horizontalHistoryResult : Credit Clain	n History							
	Q 🗷 🛍 🏡 Show Differences Only Expand All							
Fields	CCREFCCF0208202239 2022-08-05 13:43:31.575 3	CCREFCCF0208202239 2022-08-05 13:43:30.616 2	© CCREFCCF0208202239 2022-08-05 13:42:03.295 1					
Eligibility Status	Not Eligible							
Creation Date	05/08/2022 13:43:31:575	05/08/2022 13:43:30.616	05/08/2022.13:42:03:295					
Credit Claim Ref	CCREFCCF0208202239	CCREFCCF0208202239	CCREFCCF0208202239					
Changing Interest Rate Indicator	true	true	true					
Contract Number	CCCCF0208202239	CCCCF0208202239	CCCCF0208202239					
Eurosystem CreditClaim ID	FRISAE224273494	FRISAE224273494	FRISAE224273494					
End Date	07/08/2022	07/08/2022	07/08/2022					
Interest Rate Type	VAR	VAR	VAR					
Outstanding Amount	5,000,000	5,000,000	5,000,000					
Set Off Risk Indicator	N	N	N					
Subordination	false	false	false					
Agent Bank	CREDIT	CREDIT	CREDIT					
Black Listed	No	No	No					
Counterparty	84	84	84					
Cap Indicator	true	true	true					
Changing Interest Rate Indicator Date	05/08/2022	05/08/2022	05/08/2022					

Credit Claim As	set – History Screen				
Field	Description				
Eurosystem Credit Claim ID	Eurosystem reference given by the ECMS				
Credit Claim Reference	Reference given by the Counterparty				
Counterparty	RIAD code of the Counterparty				
Creditor	RIAD code of the Creditor				
Guarantee ref	Reference of the guarantee				
Credit Claim	Possible Values:				
status	– Registered				
	- Mobilised				
	- Demobilised				
	- Blocked For NCB				
Credit Claim	Displays the Type of Credit Claim.				
Туре	Possible values				
	- CC				
	- ACC				
Eligibility Status	Possible Values:				
	– Eligible				
	– Not Eligible				
Outstanding Amount	Displays the Outstanding Amount				
Currency	EUR				
End Date	Displays the end date				
	Format: DD/MM/YYYY				
Black Listed	Yes or No				
Update Date	Displays the update date of the credit claim asset				
	Format: DD/MM/YYYY hh:mm:ss:sss				
Updater User Id	Displays the updater user id of the asset				
Button	Description				



Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Credit Claim Asset.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Credit Claim Asset.

#### 3.3.5.1.4 **Guarantee**

#### 3.3.5.1.4.1 Guarantee – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Guarantees.
Business case re- lated	Search/List/View/History Guarantees
Screen Access	Collateral >> Credit Claims >> Guarantee
Screenshot	Search Criteria : Guarantee  Cuarantee Ref Counterparty Debor Creation Date Creation Date Creation Date Creation Date Creation Date Creation Date Creation Date Creation Date Creation Date Creation Date Creation Date Creation Date Creation Date Creation Date

Guarantees – Search Screen				
Field	Description			
Guarantee Ref	Enter/Select the reference given by the counterparty for the guarantee.			
Counterparty Identifier	Enter/Select the RIAD Code of the Counterparty.			
Debtor Ref	Enter/Select the reference given by the Counterparty for the Obligor as a Debtor			
Guarantor Ref	Enter/Select the reference given by the Counterparty for the Obligor as a Guarantor.			
Approval status	Select the status of the guarantee from the possible values:			
	- Approval required			
	- Approved			
	- Not approved			
Creation Date	Enter the reference date of the creation of the guarantee. The calendar icon can also be used.			
	Required format is: DD/MM/YYYY hh:mm:ss:sss			
Button	Function			
Reset	This function enables you to clear the form.			
Create	This function enables you to create manually a guarantee.			
	Next screen:			
	Guarantees – CreateScreen.			



Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Guarantees - List Screen.

#### 3.3.5.1.4.1.1 Guarantee – List Screen

Context of Usage		This screen contains the Guarantees, displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons.										
<b>Business case</b>	Search	Search/List/View Guarantees										
related												
Screen Access	Collate	eral >>	Credi	t Claim	ıs >> Gı	ıarante	ee >> C	Click or	the $S$	earch bi	utton	
Screenshot	Search Result :	Guarantee			К <	Page 1 of4 >	K				Q40 ±	<b>□</b>
	Guarantee Ref	Counterparty •	Debtor      O	Guarantor   Guarantor	Approval Status 🐬	Guarantee Amoun	Currency	Validity Start Date	No End Date •	Unlimited Amount	2 Update Date 🗢 🤝	Updater User Id 💝
	FR9X0001	41	38	37	Approved	1,513,500,000	EUR	13/09/2021	Yes	No	04/02/2022 12:10:4	ECMS OPERATOR 1
	FR9X0044	37	41	37	Not Approved	558	USD	12/04/2022	Yes	No	12/04/2022 16:28:3	ECMS OPERATOR 1
	FR9X0077	37	38	37		555	EUR	12/04/2022	Yes	Yes	12/04/2022 16:32-4	ECMS OPERATOR 1
	FR9X0221	41	38	37	Approval Required	13,500,000		21/01/2022	No	No	21/01/2022 16:46:1	
	GREF-CCA0908220		9406410	9406433	Approval Required	5,000,000	EUR	09/08/2022	No	No	09/08/2022 11:16:1	ISAEFRA2A01
		84	9406410	9406433	Approval Required	5,000,000		09/08/2022	No	No	09/08/2022 11:25:2	
	GREF-CCF0208202		9406410	9406433	Approved	5,000,000		02/08/2022	No	No	02/08/2022 18:11:1	
	GREF-CCF0208202		9406410	9406433	Approved	5,000,000		02/08/2022	No No	No No	02/08/2022 18:15:3	
	GREF-CCF0208202		9406410	9406433	Approved	5,000,000		03/08/2022	No.	No No	02/08/2022 19:35:5	

Guarantee – Lis	st Screen
Field	Description
Guarantee Ref	Shows the reference given by the counterparty for the guarantee.
Counterparty	Shows the RIAD Code of the Counterparty.
Debtor	Shows the reference given by the Counterparty for the Obligor as a Debtor
Guarantor	Shows the reference given by the Counterparty for the Obligor as a Guarantor.
Approval	Shows the status of the guarantee from the possible values:
status	– Approval required
	- Approved
	- Not approved
Guarantee	Shows the amount of the guarantee.
Amount	
Validity Start	Shows the date from when the guarantee is active.
Date	Displayed format is: DD/MM/YYYY
No end date	Shows whether the guarantee is unlimited in time or not from the possible val-
	ues:
	- Y
	– N (default value)
Unlimited	Shows whether the amount of the guarantee is unlimited or not from the pos-
Amount Flag	sible values:
	- Y
	– N (default value)
Update Date	Shows the reference date of the last update of the guarantee.



	Displayed format is: DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Shows the user identifier who has done the last update of the guarantee
Button	Function
View	This function enables you to access to the detailed information of a guarantee.  Next screen:
	- Guarantee - Details Screen.
History	This function enables you to access to the historical information of a guarantee.
	Next screen:
	- Guarantee - History Screen.
Edit	This function enables you to update the data of a guarantee.
	Next screen:
	- Guarantee - Edit Screen.

#### 3.3.5.1.4.1.2 Guarantee – Details Screen

Context of Us-	This screen displays detailed information on the selected Guarantee.			
age				
<b>Business Case</b>				
related				
Screen Access	Collateral >> Cr	edit Claims >> Guarantee >	> Click on the <i>Search</i> buttor	1 >> Se-
	lect a Guarantee	>> Click on the <i>View</i> butto	n	
Screenshot	Search Result : Guarantee > View : Guar Guarantee Ref FRXX0001 Destor 38 Validity Start Date 13(99)2021 Uslimited Amount No FootPrint	Counterparty FRS0007 Guarantor 37 Validary End Date 16(99/2022 Approved	Currency EUR  Guarantee Amount  No End Date Yes  Comment	1.513.500,000

Guarantee – Details Screen		
Field	Description	
Guarantee Ref	Shows the reference given by the counterparty for the guarantee.	
Counterparty Identifier	Shows the RIAD Code of the Counterparty.	
Debtor	Shows the national identifier of the debtor given by the Counterparty for the Obligor as a Debtor	
Guarantor	Shows the national identifier of the debtor given by the Counterparty for the Obligor as a Guarantor.	
Guarantee Amount	Shows the amount of the guarantee.	
Currency	Shows the currency of the guarantee amount.	



Validity End date	Shows the date until when the guarantee is active. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY	
Validity End date	Shows the date until when the guarantee is active. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY	
No end date	Shows whether the guarantee is unlimited in time or not from the possible values:  - Y  - N	
Unlimited Amount	Shows whether the amount of the guarantee is unlimited or not from the possible values:  - Y	
	- N	
Approval status	Shows the status of the guarantee from the possible values:	
	– Approval required	
	- Approved	
	- Not approved	
Comment	Shows an optional free comment about the guarantee.	
Foot Print		
Creation Date	Shows the date/time of the creation of the guarantee.	
	Displayed format is: DD/MM/YYYY hh:mm:ss	
Creator User Id	Shows the identification of the user that created the Guarantee.	
Update Date	Shows the date/time of the last update of the guarantee.	
	Displayed format is: DD/MM/YYYY hh:mm:ss	
Updater User Id	Shows the identification of the user that made the last update of the guarantee.	

## 3.3.5.1.4.1.3 Guarantee – History Screen

Context of Us-	This screen contains the historical data of a Guarantee, displayed in a list
age	which is sorted by the values of the 'Update Date' column in descending order
	(default setting).
<b>Business</b> case	Search/List/View/History Guarantees
related	
Screen Access	Collateral >> Credit Claims >> Guarantee >> Click on the Search button >> Se-
	lect a Guarantee >> Click on the <i>History</i> button



Screenshot	horizontalHistoryResult : Guaran	tee History			_
Screenshot				Q 4) XII (	Show Differences Only Expand All Compare
	Fields	FR9X0044 2022-04-12 16:28:34.520 4	FR9X0044 2022-04-12 16:05:31.093 3	FR9X0044 2022-04-12 14:21:17.950 2	FR9X0044 2022-04-12 11:47:53:062 1
	Approval Status	Not Approved	Not Approved	Not Approved	
	Guarantee Amount	558	45	45	45
	Guarantor	37	IENCB	9405946	9405946
	Creation Date	12/04/2022 16:28:34:520	12/04/2022 16:05:31:093	12/04/2022 14:21:17:950	12/04/2022 11:47:53:062
	Guarantee Ref	FR9X0044	FR9X0044	FR9X0044	FR9X0044
	Is Active	Yes	Yes	Yes	Yes
	Is Rejected	No	No	No	No
	Action To Validate				
	Validity Start Date	12/04/2022	12/04/2022	12/04/2022	12/04/2022
	Being Deactivated	No	No	No	No
	Counterparty	37	37	37	37
	Comment	Test DDF	Test DDF	Test DDF	Test DDF
	Currency	USD	USD	USD	USD
	Deactivated Permanently	No	No	No	No
	Debtor	41	41	41	41
	-				

Guarantee – Hi	
Field	Description
Guarantee Ref	Shows the reference given by the counterparty for the guarantee.
Counterparty Identifier	Shows the RIAD Code of the Counterparty.
Debtor	Shows the national identifier given by the Counterparty for the Obligor as a Debtor.
Guarantor	Shows the national identifier given by the Counterparty for the Obligor as a Guarantor.
Comment	Shows an optional free comment about the guarantee.
Guarantee Amount	Shows the amount of the guarantee.
Unlimited Amount Flag	Shows whether the amount of the guarantee is unlimited or not from the possible values:  - Y - N
Currency	Shows the currency of the guarantee amount.
Validity Start	Shows the date from when the guarantee is active.
Date	Displayed format is: DD/MM/YYYY
Validity End	Shows the date until when the guarantee is active.
date	Displayed format is: DD/MM/YYYY
No end date flag	Shows whether the guarantee is unlimited in time or not from the possible values:  - Y - N
Approval	Shows the status of the guarantee from the possible values:
status	- Approval required
	- Approved
	- Not approved
Creation Date	Shows the reference date of the creation of the guarantee.
	Displayed format is: DD/MM/YYYY hh:mm:ss
Creator User Id	Shows the identification of the user that created the Guarantee.



Update Date	Shows the date/time of the last update of the guarantee.	
	Displayed format is: DD/MM/YYYY hh:mm:ss	
Updater User Id	Shows the identification of the user that made the last update of the guarantee.	
Button	Description	
Compare	Shows the differences between the selected records whose data have been updated.	
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Guarantee.	
Show Differ- ences Only	Shows only the fields whose information have been updated since the creation of the selected Guarantee.	

## 3.3.5.1.4.2 Guarantee – Create Screen

Context of Usage	This screen contains a number of required fields (Mandatory and Optional). By inputting the relevant data, you can save and create a Guarantee.		
Business case re- lated	Creation of Guarantees		
Screen Access	Collateral >> Credit Clair	ms >> Guarantee >> Click	on the <i>Create</i> button
Screenshot	Edit : Guarantee Guarantee Ref Debtor	Counterparty  Giarrantor	Currency
	Approval Status	Vulidity End Date  Unlimited Amount	No End Date  Guarantee Amount

Guarantee – Create Screen		
Field	Description	
Guarantee Ref*	Enter/Select the reference given by the counterparty for the guarantee.	
	Mandatory	
Counterparty	Enter/Select the RIAD Code of the Counterparty.	
Identifier*	Mandatory	
Debtor*	Enter/Select the national identifier given by the Counterparty for the Obligor	
	as a Debtor	
	Mandatory	
Guarantor*	Enter/Select the national identifier given by the Counterparty for the Obligor	
	as a Guarantor.	
	Mandatory	
Guarantee	Enter a guarantee Amount	
Amount*	Mandatory	



Currency*	EUR	
	Mandatory	
Validity Start	Automatically filled with the business date and could be modified by the	
Date*	user	
	Mandatory	
Validity End	Enter a validity end date if needed	
Date	Optional	
No End Date*	"Y" or "N"	
	Mandatory	
Unlimited	"Y" or "N"	
Amount*	Mandatory	
Comment	Optional comment	
Button	Function	
Reset	This function enables you to clear the form.	
Save	This function enables you to save the entered data for a guarantee.	
	Next screen:	
	Guarantees – ListScreen.	
Cancel	This function enables you to cancel the creation of the guarantee.	

## 3.3.5.1.5 Credit Claim Instructions

#### 3.3.5.1.5.1 Credit Claims Instruction – Search Screen

Context of Usage	This screen is used to search and monitor the manually entered credit claim instructions and the received ones by A2A mode with registration instruction(s), update instruction(s), outstanding amount update instruction(s) in ECMS
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Credit Claim Instruction
Screenshot	Search Criteria : Credit Claim Instruction  EDAS Internal ==

Credit Claims Instruction – Search Screen		
Field	Description	
ECMS Internal Ref-	Input the ECMS internal unique reference when the file was created by	
erence	U2A mode	
Instruction Refer-	Input the instruction reference	
ence		
Credit Claim Ref	Input the credit claims reference	



Creditor	Input the creditor RIAD Code
Debtor	Input the debtor national identifier
Operation Type	Input the operation type from the drop down list
Business Status	Input the Business Status
Creation Date	Input the Creation Date
	Format: DD/MM/YYYY hh:mm:ss:sss
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen:
	Display Credit Claim Instructions – List Screen

## 3.3.5.1.5.1.1 Credit Claims Instruction – List Screen

Context of Usage	This s	This screen contains a list of credit claim instructions.																				
		The credit claims instructions listed correspond to the search criteria catured in the credit claims instructions – Search screen.										p-										
	The li	st dis	splaye	d ca	an b	e so	orte	d ar	nd f	ilte	red	by	the	fiel	ds (	disp	olay	red.	ed.			
	The li	st car	n be e	xpo:	rted	l.																
Screen Access	Collat Claim				Cla	ims	; >>	Cre	dit	Cla	im	Ins	truc	tio	n >>	∙ Di	spl	ay (	Cred	dit		
Screenshot	Search Result	Credit Claim	Instruction				V /	Page 1	*****	V								Q 192)	. da ∈	·		
	Instructi   ECMS   Instructi   ECMS   Instructi   ECMS   Instructi   ECMS   Instructi   ECMS   Instructi   ECMS   ECIDOD   Instructi   ECIDOD   ERIBIC   ECIDOD   ERIBIC   ECIDOD   E	Rejected CC Waiti CC Waiti Confirme Confirme	Instructi, Credit C CCFR18. CCFR18. CCFR18. CCFR18. CCInstru CCFR18. Obligorin CCFR18. Obligorin CCFR18. CCInstru CCFR18. CCInstru CCInstru CCRF08. CCInstru CCRF08. CCInstru CCRF08. CCInstru CCRF08. CCInstru CCRF08.	CREFRO CCRFRO CCCTNB CCCUMO.	Credito ( \$ FR50007 FR50007 FR30007 FR30007	Debtor \$ FR20007 FR20007 FR20007 FR20007 FR20007 FR20007 FR20007 FR20007 FR20007 FR20007 FR20007 FR20007		37 37 37 37 37 	Guarant, FR_SIRE_ FR_SIRE_ FR_SIRE_ FR_SIRE_ FR_SIRE_ FR_SIRE_		CCR CCR CCR CCR CCR CCR CCR CCR CCR CCR	Governt FR FR FR FR FR FR FR FR FR FR FR FR FR	Guarant FR9X000 FR9X000 FR9X000 FR9X022 FR9X022 FR9X000	EUR EUR EUR	0utstan 13,500,0 13,500,0 13,500,0 13,500,0 13,500,0 13,500,0 13,500,0 18,500,0 2,600,000	03/05/2 03/05/2 03/05/2 03/05/2 03/05/2 03/05/2 03/05/2	STP STP STP STP STP STP STP STP STP STP	08/03/2 08/03/2 08/03/2 08/03/2	Updater	08/03/2 08/03/2 08/03/2 08/03/2 08/03/2 08/03/2 08/03/2 15/03/2 21/03/2		

<b>Credit Claims Instr</b>	Credit Claims Instruction – List Screen								
Field	Description								
Instruction Reference	Displays the Instruction Reference of the counterparty								
ECMS Internal Reference	Displays the ECMS unique identifier of the credit claim instruction								
Business Status	Displays the business status								
Credit Claim Ref	Displays the reference of the credit claim communicated by the counterparty								
Credit Claim Contract Number	Displays the reference of the credit claim contract number communicated by the counterparty								
Creditor	Displays the creditor RIAD Code								

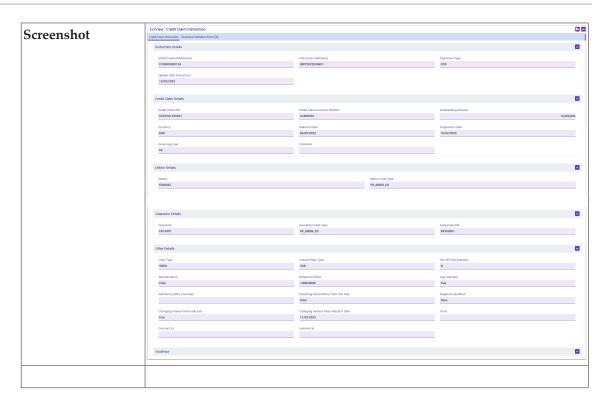


D 1.	D. 1 4 11. (C
Debtor	Displays the debtor national identifier
Debtor Code Type	Displays the debtor national identifier code type
Guarantor	Displays the guarantor national identifier
Guarantor Code Type	Displays the guarantor national identifier code type
Origination Date	Displays the origination date of the credit claim
	Format: DD/MM/YYYY
Operation Type	Displays the operation type (CCR/CCU/CCOAU)
Governing Law	Displays the governing country code of the credit claim
Guarantee Ref	Displays the guarantee reference if existing
Currency	EUR
Outstanding Amount	Outstanding Amount
Maturity Date	Displays the maturity date of the credit claim
	Format: DD/MM/YYYY
Creation User Id	The user that has created the instruction
Creation Date	Creation date of the instruction
Updater User Id	The user that has updated the instruction
Update Date	Update date of the instruction
Action	
Button	Description
View	This function enables you to view the details of the selected credit claim instruction. The Details screen is displayed replacing the current screen.
	Next screen:
	Credit Claim Instruction – Details Screen
Excel Export	This function enables you to export the displayed list of the credit claims instruction(s) in an excel file.

## 3.3.5.1.5.1.2 Credit Claims Instruction – Details Screen

Context of Usage	This screen displays detailed information on the selected received or manually input credit claims instruction. You can check the data and proceed further by clicking on the buttons.
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Credit Claim Instruction >> Click on the <i>Search</i> button (after having input the relevant criteria, if needed) >> Select a credit claims instruction >> Click on the <i>View</i> button





Credit Claims Instru	ction – Details Screen
Instruction Details	
Fied	Description
ECMS Internal Reference	Displays the ECMS internal reference of the credit claim registration instruction automatically filled by the system
Instruction Reference	Displays the unique reference of the instruction in counterparty side
Operation Type	Displays the value "CCR", "CCU" or "CCOAU"
Update Date Instruc-	Displays the update date
tion	Format: DD/MM/YYYY
Credit Claims Detail	s
Fied	Description
Credit Claim Reference	Displays the identifier of the credit claim for the Counterparty
Credit Claim Contract Number	Displays the credit claim contract number
Outstanding Amount	Displays the outstanding amount of the credit claims.
Currency	Displays the currency of the Credit Claim. By default EUR.
Maturity Date	Displays the maturity date of the credit claim.
Origination date	Displays the origination date of the credit claim.
Governing Law	Displays the governing country code of the credit claim
Comment	Displays the comment
Debtor Details	
Fied	Description



Debtor ID	Displays the RIAD code of the Creditor.
Debtor Code Type	Displays the Debtor Code Type.
Guarantor Details	
Fied	Description
Guarantor ID	Displays the RIAD code of the Guarantor.
Guarantor Code	Displays the Guarantor Code Type.
Туре	
Guarantee Reference	Displays the Guarantee reference if existing given by the Counterparty.
Other Details	
Fied	Description
Loan Type	Displays the Type of loan
Set-off Risk Indicator	Displays the set-off risk indicator. Y(existence of a set off risk) / N or NA (to be used when not applicable for the NCB)
Negative Cashflow	Displays Y or N
Subordination	Displays the value Y/N
Agent Bank	Displays the Agent bank value
Changing Interest Rate Indicator	Displays the value Y/N
Changing Interest Rate Indicator Date	Displays the Changing Interest Rate Indicator Date
Interest Rate Type	Displays FIX or VAR
Reference Index	Displays the Referenced Eurosystem Index. To be filled in if the Interest RateType is VAR
Reference Index Comment	Displays the Reference Index Comment. To be filled if the Reference Index is 'Other'.
Cap Indicator	Displays the value (True or False). To be filled in if the Interest RateType is VAR.
Resetting Period More Than One Year	Displays the value (True or False). To be filled in if the Interest RateType is VAR.
Oa Id	Displays the observed agent identifier of the credit claim
Contract Id	Displays the Contract identifier of the credit claim
Instrmnt Id	Displays the instrument identifier of the credit claim
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the credit claim instruction.
Creation Date	Shows the date and time of the credit claim instruction creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.



## 3.3.5.1.5.2 Credit Claims Mobilisation Instruction – Search Screen

Context of Usage			the manually entered c eceived ones by A2A mo	
Screen Access	Collateral >> Credisation Instruction		im Instruction >> Displa	y Mobil-
Screenshot	Search Criteria : Mobilisation Instruction  ECMS Internal ** * Reference  Client ** *  Credit Claim ** *  Tread	Instruction Reference ** *  Internal Asset ==  Account  Q Gredit Claim Id **   *	Dill Date ▼  Q Instruction Status ▼  Creation Date ▼	★ A  C  C  Search

<b>Credit Claims Mob</b>	ilisation Instruction – Search Screen
Field	Description
ECMS Internal Reference	Input the ECMS internal unique reference when the file was created by U2A mode
Instruction Reference	Input the instruction reference
Counterparty	Input the Counterparty RIAD Code
Internal Asset Account	Input the internal asset account on which the mobilisation of credit claims is received
Credit Claim Id	Input the ECMS credit claim identifier
Business Status	Input the Business Status
Instruction Status	Input the Instruction Status
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen:  • Display Credit Claim Mobilisation Instructions – List Screen

## 3.3.5.1.5.2.1 Credit Claims Mobilisation Instruction – List Screen

Context of Usage	This screen contains a list of credit claim mobilisation instructions.
	The credit claims mobilisation instructions listed correspond to the search criteria captured in the credit claims mobilisation instructions – Search screen.
	The list displayed can be sorted and filtered by the fields displayed.
	The list can be exported.
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Mobilisation Instruction



Screenshot	Search Result : Mobilisation Instruction														Z
Screenshot	10 V K < Page 1 of 11 > X												C	<b>1</b> 109 ★ 🗵	
	ECMS Interná,	Instruction R	Bill Date 🗢 🤝	Business Stat	Instruction St	Client   S	Internal Asset	Credit Claim 🕏	Credit Claim 🖫	Outstanding 🖓	Is For Transfe?	Is Reported 🖘	Trans Fees A	Update Date 🕏	Updater User
	MI0000000116	FRCPTY21133		Rejected	MobRejected			CCFR181CC00	FRCPTY22104	13,500,000	No	Yes		08/03/2022 10	STP
	MI0000000117	FRCPTY21133		Rejected	MobRejected	FR18129	ISAEFRPPCC01	CCFR181CC00	FRCPTY22104	13,500,000	No	Yes		08/03/2022 10	STP
	MI0000000118	FRCPTY21133		Rejected	MobRejected	FR18129	ISAEFRPPCC01	CCFR181CC00	FRCPTY22104	13,500,000	No	Yes		08/03/2022 11	STP
	MI0000000119	CCI000000017		Confirmed	(DE)Mobilisati	FR30007	CC-FR30007	CCRF0000001		2,600,000	No	Yes		21/03/2022 11	ECMS OPERAT
	MI0000000120	C10000000120		Confirmed	(DE)Mobilisati	FR30007	CC-FR30007	CCRF0000001		2,600,000	No	Yes		21/03/2022 14	ECMS OPERAT
	MI0000000121	MI0000000121		Rejected	MobRejected	FR30007	CC-FR30007	CCREF000001		5,870,000	No	Yes		21/03/2022 16	ECMS OPERAT
	MI0000000123	MI0000000123		Confirmed	(DE)Mobilisati	FR30007	CC-FR30007	CCRF0000001		2,600,000	No	Yes		21/03/2022 16	ECMS OPERAT
	MI0000000124	CMI00000001		Rejected	MobRejected	FR30007	CC-FR30007	CCREF000001		5,870,000	No	Yes		21/03/2022 16	ECMS OPERAT
	MI0000000125	MI0000000125		Confirmed	(DE)Mobilisati	FR30007	CC-FR30007	CCI000000017		5,890,000	No	Yes		21/03/2022 17	ECMS OPERAT
	MI000000126	MI0000000126		Confirmed	(DE)Mobilisati	FR30007	CC-FR30007	CCI000000017		5,890,000	No	Yes		21/03/2022 17	ECMS OPERAT

<b>Credit Claims Mob</b>	pilisation Instruction – List Screen
Field	Description
ECMS Internal Ref-	Displays the ECMS internal unique reference when the file was created by
erence	U2A mode
Instruction Reference	Displays the instruction reference
Business Status	Displays the Business Status
Instruction Status	Displays the Instruction Status
Counterparty	Displays the Counterparty RIAD Code
Internal Asset Account	Displays the internal asset account on which the mobilisation of credit claims is received
Credit Claim Ref	Displays the credit claim reference communicated by the counterparty
Credit Claim Id	Displays the ECMS credit claim identifier
Outstanding Amount	Displays the outstanding amount value
Update Date	Displays the update date of the mobilisation instruction
	Format: DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Displays the updater user id of the mobilisation instruction
Action	
Button	Description
View	This function enables you to view the details of the selected credit claim mobilisation instruction. The Details screen is displayed replacing the current screen.
	Next screen:
	Credit Claim Mobilisation Instruction – Details Screen
Excel Export	This function enables you to export the displayed list of the credit claims mobilisation instruction(s) in an excel file.

## 3.3.5.1.5.2.2 Credit Claims Mobilisation Instruction – Details Screen

<b>Context of Usage</b>	This screen displays detailed information on the selected received or
	manually input credit claims mobilisation instruction. You can check the
	data and proceed further by clicking on the buttons.



Screen Access	isation Instruction relevant criteria,	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Mobilisation Instruction >> Click on the <i>Search</i> button (after having input the relevant criteria, if needed) >> Select a credit claims mobilisation instruction >> Click on the <i>View</i> button					
Screenshot	Search Result : Mobilisation Instruction > Vie ECMS Internal Reference M0000000116 Internal Asset Account	w: Mobilisation Instruction  Operation Type  MOB  Credit Claim  FRCPTY221045603	Instruction Reference FRCPTY2113358542 Outstanding Amount	13,500,000			
	Trans Fees Amount FootPrint	Business Status Rejected					

Credit Claims Mobilisation Instruction – Details Screen						
Instruction Details	Instruction Details					
Fied	Description					
ECMS Internal Reference	Displays the ECMS internal reference of the credit claim mobilisation instruction automatically filled by the system					
Instruction Reference	Displays the unique reference of the instruction in counterparty side					
Operation Type	Displays the value "MOB"					
Internal Asset Account	Displays the internal asset account					
Credit Claim Id	Displays the ECMS credit claim identifier					
Outstanding Amount	Displays the outstanding amount value					
Business Status	Displays the business status					
Footprint						
Updater User Id	Shows the identification of the user that made the last update.					
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Creation User Id	Shows the identification of the user that created the mobilisation instruction.					
Creation Date	Shows the date and time of the mobilisation instruction creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					

## 3.3.5.1.5.3 Credit Claims Demobilisation Instruction – Search Screen

Context of Usage	This screen is use claim demobilisate ECMS				•	
Screen Access	Collateral >> Cred		> Credit Clai	m Instruc	etion >> Display	y Demo-
Screenshot	Search Criteria : Demobilisation instruction  ECMS Internal ** * Reference Internal Asset ** * Account  Creation Date ** *  Thosat	Q	on Reference ▼ Credit Claim ▼ uction Status ▼	Q.	Business Status ▼  Credit Claim Id ▼  Client ▼	★ △ Q. Search



<b>Credit Claims Dem</b>	obilisation Instruction – Search Screen
Field	Description
ECMS Internal Reference	Input the ECMS internal unique reference when the file was created by U2A mode
Instruction Reference	Input the instruction reference
Counterparty	Input the Counterparty RIAD Code
Internal Asset Account	Input the internal asset account on which the demobilisation of credit claims is received
Credit Claim Id	Input the ECMS credit claim identifier
Business Status	Input the Business Status
Instruction Status	Input the Instruction Status
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen:  • Display Credit Claim Demobilisation Instructions – List Screen

## 3.3.5.1.5.3.1 Credit Claims Demobilisation Instruction – List Screen

Context of Usage	This	is screen contains a list of credit claim demobilisation instructions.													
	sear	The credit claims demobilisation instructions listed correspond to the search criteria captured in the credit claims demobilisation instructions – Search screen.													
	The	list di	ispla	yed c	an b	e sorte	ed an	d filt	ered	by th	e fiel	ds di	splay	yed.	
	The	list ca	n be	expo	rtec	l.									
Screen Access				Credit ructio		ims >>	Crec	lit Cl	aim [	Instrı	ıctioı	n >> I	Displ	ay De	emo-
Screenshot	Search Res	ult : Demobilis	ation Instruct	tion		IV.	⟨ Page 1 c	\ \						Q 21) * K	
	ECAIS Intens, DMI00000000. DMI00000000. DMI00000000. DMI00000000. DMI00000000. DMI00000000. DMI00000000. DMI00000000.	Instruction Rotestrins testrins TEST01 AAAA TESTTRINSFR0. TESTIKTERNA. TESNSCOP TESTRINSFRSP TESTTRINSFR2.	Business Stat Confirmed Confirmed Confirmed Confirmed Confirmed Confirmed Confirmed Confirmed Confirmed Confirmed Confirmed Confirmed Confirmed Confirmed	Instruction St. MobConfirmed MobConfirmed MobConfirmed MobConfirmed MobConfirmed MobConfirmed MobConfirmed MobConfirmed MobConfirmed MobConfirmed (DE)Mobilisati	Client   FR50007  FR50007  FR50007  FR50007  FR50007  FR50007  FR50007  FR50007  FR50007	Internal Asset: CPYSFRACC02 CPYSFRACC02 CPYSFRACC02 CPYSFRACC02 CPYSFRACC02 CPYSFRACC03 CPYSFRACC03 CPYSFRACC03 CPYSFRACC03 CPYSFRACC03		Amount © 13,500,000 13,500,000 13,500,000 13,500,000 13,500,000 13,500,000 13,500,000 13,500,000 13,500,000 13,500,000	CCRX610 CCRX611 CCRX611 CCRX612 CCRX637 CCRX638 CCRX638 CCRX638	FRCPTY21708	Yes Yes Yes Yes Yes Yes Yes No Yes	Is For Transfé. Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	Is Reported € No No No No No No No No No No No No No	15/11/2021 14 15/11/2021 15 15/11/2021 15 15/11/2021 16 15/11/2021 17 18/11/2021 17 18/11/2021 17 18/11/2021 17 18/11/2021 17 02/12/2021 16	Updater User'S  ECMS OPERAT  ECMS OPERAT  ECMS OPERAT  ECMS OPERAT  ECMS OPERAT  ECMS OPERAT  ECMS OPERAT  ECMS OPERAT

Credit Claims Demobilisation Instruction – List Screen				
Field	Description			



ECMS Internal Ref-	Displays the ECMS internal unique reference when the file was created by
erence	U2A mode
Instruction Reference	Displays the instruction reference
Business Status	Displays the Business Status
Instruction Status	Displays the Instruction Status
Counterparty	Displays the Counterparty RIAD Code
Internal Asset Account	Displays the internal asset account on which the demobilisation of credit claims is received
Credit Claim Ref	Displays the credit claim reference communicated by the counterparty
Credit Claim Id	Displays the ECMS credit claim identifier
Outstanding Amount	Displays the outstanding amount value
Update Date	Displays the update date of the demobilisation instruction
	Format: DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Displays the updater user id of the demobilisation instruction
Action	
Button	Description
View	This function enables you to view the details of the selected credit claim demobilisation instruction. The Details screen is displayed replacing the current screen.
	Next screen:
	Credit Claim Demobilisation Instruction – Details Screen
Excel Export	This function enables you to export the displayed list of the credit claims demobilisation instruction(s) in an excel file.

## 3.3.5.1.5.3.2 Credit Claims Demobilisation Instruction – Details Screen

Context of Usage	manually input		on on the selected receive sation instruction. You ca g on the buttons.	
Screen Access	bilisation Instructurelevant criteria,	ction >> Click on the Se	Claim Instruction >> Displearch button (after having credit claims demobilisation)	input the
Screenshot	Search Result: Demobilisation Instruction :  ECAS Internal Reference Dissociococococo Internal Asset Account COPYSTRACCO2  Status Details  Dissiness Status Confermed  FootPrint	Operation Type DEMOB  Credit Claim CCEWS10	Instruction Reference seatherd  Outstanding Amount  Outstanding Amount  Stimens Validation Errors Brownes Validation Errors	13,500,000



Credit Claims Demo	Credit Claims Demobilisation Instruction – Details Screen					
Instruction Details	Instruction Details					
Fied	Description					
ECMS Internal Reference	Displays the ECMS internal reference of the credit claim demobilisation instruction automatically filled by the system					
Instruction Reference	Displays the unique reference of the instruction in counterparty side					
Operation Type	Displays the value "DEMOB"					
Internal Asset Account	Displays the internal asset account					
Credit Claim Id	Displays the ECMS credit claim identifier					
Outstanding Amount	Displays the outstanding amount value					
Business Status	Displays the business status					
Footprint						
Updater User Id	Shows the identification of the user that made the last update.					
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Creation User Id	Shows the identification of the user that created the demobilisation instruction					
Creation Date	Shows the date and time of the demobilisation creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					

## 3.3.5.1.5.4 Credit Rating Instruction – Search Screen

Context of Usage				the manually entered cr A2A mode in ECMS	edit rat-
Screen Access	Collateral >> Cred Instruction	it Clain	ns >> Credit Clai	m Instruction >> Display	Rating
Screenshot	Search Criteria : Rating Instruction  ECMS Internal == ▼ Reference  Ruting Date == ▼  Operation Type == ▼  Creation Date == ▼	0	Credit Assessment == ♥ System Code Rating Type == ♥ Business Status == ♥	Instruction Reference == ▼  Rating Validity Date == ▼  Debtor == ▼	Q. Search

Credit Rating Instruction – Search Screen					
Field	Description				
ECMS Internal Reference	Input the ECMS internal unique reference when the file was created by U2A mode				
Credit Assessment System Code	Input the credit assessment system code "IRB" or "RT"				
Instruction Reference	Input the instruction reference				



Rating Date	Input the rating date
	Format: DD/MM/YYYY
Rating Type	Input the rating type from the drop down list: "IRB" or "RT"
Rating Validity	Input the rating validity date
Date	Format: DD/MM/YYYY
Operation Type	Input the operation type from the drop down list: "RR" or "RU"
Debtor	Input the debtor national identifier from the drop down list
Creation Date	Input the Creation Date
	Format: DD/MM/YYYY hh:mm:ss:sss
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen:
	Display Credit Claim Rating Instructions – List Screen

## 3.3.5.1.5.4.1 Credit Rating Instruction – List Screen

Context of Usage	This scr	This screen contains a list of credit rating instructions.  The credit rating instructions listed correspond to the search criteria captured in the credit rating instructions – Search screen.														
	The list	display	ed ca	n be so	rted	and	d filt	terec	l by	the	fiel	ds d	ispla	ayed		
	The list	can be	expor	ted.												
Screen Access	Collater Instruct		redit	Claims	>> (	Cred	it C	laim	Ins	truc	tior	ı >>	Disp	olay	Rati	ng
Screenshot	Search Result : Ratio	ng Instruction			K < P4	ige 1 of	K < 8							Q 72	* 🕮 🖯	<u>^</u>
	ECMS Inte. C Ocedn Ass. RIBODODOD. FR10007 FR10007 FR10007 FR10007 FR10007 FR1000000. FR18129 FR10000000. FR18129 FR10000000. IRB-FR181. RIBODODOD. IRB-FR181. RIBODODOD. IRB-FR181. RIBODODOD. IRB-FR181. RIBODODOD. IRB-FR181. RIBODODOD. IRB-FR181. RIBODODOD. FR18129	0.157 FR30007-6 0.157 FR30007-6 0.157 FR30007-6	25/02/202 III 28/02/202 III 28/02/202 III 07/06/202 III 07/06/202 III 07/06/202 III 07/06/202 III 08/06/202 III 10/06/202 III 10/06/202 III 10/06/202 II	31/12/202. 388 31/12/202. 388 31/12/202. 388 31/12/202. 388 31/12/202. 389 31/12/202.	Confirmed Confirmed Rejected Rejected Confirmed	Instructio Confirmed Confirmed Confirmed RIPRejected RIPRejected Confirmed RIPRejected RIPRejected RIPRejected RIPRejected RIPRejected	Debtor \$ FR20007 FR20007 FR20007 FR50007 FR585750 FR585750 FR585750 FR585750 FR585750 FR585750	Debtor Cop FR_SIREN_ FR_SIREN_ FR_SIREN_ FR_SIREN_ FR_SIREN_ FR_SIREN_ FR_SIREN_ FR_SIREN_ FR_SIREN_ FR_SIREN_ FR_SIREN_ FR_SIREN_			RR RR RR	Yes Yes Yes Yes Yes Yes Yes Yes Yes Yes	37 37 41 84 84 84 84	Obligor Ine	25/02/202 25/02/202 25/02/202	ECMS OPE ECMS OPE ECMS OPE STP STP STP STP STP STP STP

Credit Rating Instruction – List Screen							
Field	Description						
ECMS Internal Ref-	Displays the ECMS unique identifier of the credit claim instruction						
erence							
Credit Assessment	Displays the credit assessment system code: "IRB" or "RT"						
System Code							
Default of Probab-	Displays the default of probability value						
ility							



Instruction Refer-	Displays the Instruction Reference of the counterparty
ence	
Rating Date	Displays the rating date
	Format: DD/MM/YYYY
Rating Type	Displays the rating type: "IRB" or "RT"
Rating Validity	Displays the rating validity date
Date	Format : DD/MM/YYYY
Business Status	Displays the business status
Instruction Status	Displays the instruction status
Debtor	Displays the debtor national identifier
Debtor Code Type	Displays the debtor code type
Guarantor	Displays the guarantor national identifier
Guarantor Code Type	Displays the guarantor code type
Operation Type	Displays the operation type: "RR" or "RU"
Updater User Id	The user that has updated the instruction
Update Date	Update date of the instruction
Action	
Button	Description
View	This function enables you to view the details of the selected credit rating instruction. The Details screen is displayed replacing the current screen.
	Next screen:
	Credit Rating Instruction – Details Screen
Excel Export	This function enables you to export the displayed list of the credit rating instruction(s) in an excel file.

## 3.3.5.1.5.4.2 Credit Rating Instruction – Details Screen

Context of Usage	This screen displays detailed information on the selected received or manually input credit rating instruction. You can check the data and proceed further by clicking on the buttons.									
Screen Access	Instruction >> Click on t	he <i>Search</i> button (after ha	uction >> Display Rating aving input the relevant ction >> Click on the <i>View</i>							
Screenshot	Search Result : Rating Instruction > View : Rating Instruction  CBLISCOR  Determ RESCORTAGE CREV'S RESCORT	Gurantor Inc.	වත ක (							
	COAS Informat Informace INGOSCOCCE  Interspection Informers INDERCONDENS TRAINING VARIABLE THE THE THE THE THE THE THE THE THE TH	Operation Type  IN   Taking Type  IN   Comment  Comment  Comment  Extract Valuation from:  Extract Valuation from:								
	FootPrint									



Obligor	
Fied	Description
Debtor	Displays the debtor national identifier of the debtor
Guarantor	Displays the debtor national identifier of the guarantor
Fied	Description
ECMS Internal Ref-	Displays the ECMS internal reference of the credit claim registration in-
erence	struction automatically filled by the system
Instruction Reference	Displays the unique reference of the instruction in counterparty side
Operation Type	Displays the value "RR" or "RU".
Rating Date	Displays the rating date
	Format: DD/MM/YYYY
Rating Validity Date	Displays the rating validity date
	Format: DD/MM/YYYY
Rating Type	Displays the rating type "IRB" or "RT"
Credit Assessment	Displays the credit assessment system code
System Code	
Default of Probabil- ity	Displays the default of probability value
Instruction Status	
Fied	Description
Instruction Status	Displays the instruction status
Business Status	Displays the business status
Comment	Displays the comment
Business Validation Errors	Displays the business validation errors
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the credit claim rating instruction.
Creation Date	Shows the date and time of the credit claim rating instruction creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

## 3.3.5.2 Credit Claims Positions



## 3.3.5.2.1 Credit Claim Position – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for one or several credit claims position.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	
Screen Access	Pool Overview >> Positions >> CreditClaim Position Details
Screenshot	Cash Position Details Search Screen  Pod Identifier Counterparty Position Date Counterparty

Credit Claim P	osition – Search Screen
Search Criteria	
Field	Description
Pool Identifier	Put the pool identifier
Counterparty	Put the RIAD code of the Counterparty.
Position Date	Put the settlement date of the last Credit Claim Instruction that updates the position.
	Format: DD/MM/YYYY
Creation Date	Put the Creation date of the position
	Format: DD/MM/YYYY hh:mm:ss:sss
Position Type	Put the value Collateral
Movement Reference	Put the movement reference
Position Nature	Put Initiation for mobilisation and Return for demobilisation
Position Status	The Status of the Position.
	Possible values:
	- Settled
	– Instructed
	- Cancelled
Update Date	Put the range of the update date
From To	Format: DD/MM/YYYY hh:mm:ss:sss
Action	
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.



Next screen:
- Credit Claims Position - List Screen.

#### 3.3.5.2.1.1 Credit Claim Position – List Screen

Context of Usage		This screen contains a list of Credit Claims positions corresponding to the search criteria entered in the search screen.															
	The li		-												n be	sort	ted
Business Case related																	
Screen Access	Pool (search					-					-					n th	ie
Screenshot	Cash Positio  10  Movement PROGRATIO POOLMYTO POOLMYTO POOLMYTO POOLMYTO POOLMYTO POOLMYTO POOLMYTO POOLMYTO POOLMYTO POOLMYTO POOLMYTO POOLMYTO	PR50007 FR50007 FR50007 FR50007 FR50007 FR50007 FR50007 FR50007 FR50007	Pool Identi    Pool Ident	MI Position Com verme Com verme Com verme Com verme Com verme Com verme Com verme Com verme Com verme Com verme	0 0 0 0 0 0 0 0 0 0 0	Currency \$ EUR EUR EUR EUR EUR EUR EUR EUR EUR EUR		17/09/2021 21/10/2021 29/10/2021 29/10/2021 29/10/2021 29/10/2021 29/10/2021 29/10/2021 15/11/2021	31/12/999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999	Position St. Instructed Instructed Instructed Instructed Instructed Instructed Instructed Instructed Instructed Instructed Instructed Instructed Instructed	Position To Collateral Collateral Collateral Collateral Collateral Collateral Collateral Collateral Collateral Collateral	Position N Initiation Initiation Initiation Initiation Initiation Initiation Initiation Initiation Initiation Initiation Initiation Initiation Initiation	Being Carr., No No No No No No No No No No No No No	Creation D., 17/09/202. 26/10/202. 29/10/202. 29/10/202. 29/10/202. 29/10/202. 29/10/202. 15/11/202.	STP STP STP STP STP STP STP STP	11/08/202_ 11/08/202_ 11/08/202_ 11/08/202_ 11/08/202_ 11/08/202_ 11/08/202_	STP STP STP STP STP STP STP STP STP STP

Credit Claim Position – List screen									
Content of the li	Content of the list								
Field	Description								
Last Movement ID	Displays the movement identifier								
Credit Claim ID	Displays the credit claim asset identifier								
Counterparty	Displays the Counterparty RIAD Code								
Pool Identifier	Displays the Pool identifier impacted by credit claims position								
Outstanding Amount	Displays the Outstanding Amount								
Currency	EUR								
Applied Haircut	Displayed the applied haircut value								
Collateral Value	Displays the amount value of the credit claim after haircut								
Position Nature	Displays Initiation for the mobilised credit claims and Return for the demobilised credit claims								
Position Status	Displays the status of the position.								
	Possible values:								
	– Settled								
	- Instructed								
	- Cancelled								
Position Date	Displays the Position Date								
	Format: DD/MM/YYYY								



Validity Date	Displays the Validity Date
	Format: DD/MM/YYYY
Update Date	Displays the update date of the credit claim position
Updater User Id	Displays the updater user id of the credit claim position
Action	
Button	Function
View	This function enables you to show the details of the selected Credit Claim Position. The results are displayed in a list on the Detail Screen.  Next screen:
	<ul> <li>Credit claims position – detail Screen.</li> </ul>

## 3.3.5.2.1.2 Credit Claims Position – Details Screen

Context of Us	This screen contains the detailed information of the Credit Claim selected by					
age	clicking on the Vie	clicking on the View button in the previous screen.				
Business Case						
elated						
Screen Access	Pool Overview >>	Positions >> CreditClain	n Position Details >> Clic	k on the		
		1 0	search criteria, if needed	)>> Select a		
	Credit Claim Posi	tion >> Click on the view	button			
creenshot	Cash Position Details List Screen > Credit Claim F	osition				
	Position Details					
	Pool Identifier POOL000000000000000000	Counterparty 41	Position Status Instructed			
	Position Type Collateral	Position Nature Initiation	Being Cancelled			
	Position Date	Amount				
	17/09/2021		0	_		
	CreditClaim Details	Internal Account	Cross Porter Account			
	CCRX557	CPYSFRACC02	cross bonto recoun			
			Cqs	1		
	HairCut Details	Heir Cut ACC	Addi Hair Cut CC			
	100 00 00	Toll datased	FIGURAL COL CO	1		
	Mark Down CC	Total CC Hair Cut	0			
	Business Rules					
	Black Listed	Close Link Detected	Is Matured			
	No No	No No	Yes			
	Is Residual Mat Breached	is Eligible Yes	Credit Rating Not Eligible No			
	Amounts					
	Book Amount	Book Currency	Margin Amount			
	Currency	o EUR Fx	Fx Date			
	EUR	· · ·	1.000			
	Valuation Status					
	Valuation Failure Reason	Value D				
		12/08/	2022			
	II.					

Credit Claims Position – Details Screen	
Position Details	



Description
Displays the Pool identifier impacted by credit claims position
Displays the Counterparty RIAD Code
Displays Initiation for the mobilised credit claims and Return for the demobilised credit claims
Displays the status of the position.
Possible values:
- Settled
- Instructed
- Cancelled
Displays the movement Identifier
Displays the Position Date
Format: DD/MM/YYYY
Displays the Validity Date
Format: DD/MM/YYYY
etails
Displays the credit claim identifier
Displays the internal asset account identifier
Displays the external asset account identifier
Displays the CQS value
Displays the value of the haircut applied for the credit claim
Displays the value of the haircut applied additional credit claim
Displays the value of the applied additional haircut
Displays the markdown applied haircut
Displays the total value of the applied haircut
Displays the value of the Outstanding Amount
EUR
Displays the amount of the collateral value of the credit claim after haircut
EUR
is .
Displays the failure reason in the case the valuation of the credit claim fails



Value Date	Displays the date of the assessment of the credit claim
Foot print	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the movement last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the movement.
	Displayed format is DD/MM/YYYY hh:mm:ss:µs.

## 3.3.6 Multi-pooling

The ECMS supports multi-pooling functionalities meaning that depending on the needs, a Counterparty can hold different dedicated pools of collateral for different purposes or specific types of Eurosystem operations. A transfer of asset is also referred to as a reallocation of asset.

There are two functionalities for transferring assets in the same Counterparty:

- Reallocation of assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account. These accounts could be within the same pool or belong to two different pools of the same Counterparty. The assets could be in the same or a different T2S Securities Account for Regular Collateral, but held in the same CSD with the same NCB. The assets can be marketable assets or non-marketable assets such as Credit Claims, FTD collateral, or Cash as Collateral. For marketable assets, the quantity amount available to be transferred can be changed, whereas for the other types of assets the actual position is transferred in its entirety. No transfer occurs between CSD accounts, as the transfer is only between internal Counterparty Asset Accounts. The functionality is available to the Counterparty and NCB user.
- Reallocation of assets from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same NCB in the same CSD or in a different CSD. These assets belong to a given Counterparty and there is no movement in or between pools or Internal Counterparty Asset Accounts. For marketable assets, the quantity amount to be transferred cannot be changed. The functionality is available to the NCB user.

The same screen is used by the NCB user or Counterparty for both these functionalities for transferring assets in the same Counterparty.

These screens are used either for reallocations generating an asset transfer on a T2S External Asset Account or for reallocations not generating a movement on a T2S External Asset Account as it generates an internal movement only. In this case, some fields might be left blank or not and the ECMS deduces whether or not a market movement has to be generated and sent to T2S for settlement.

There is also a functionality used by the NCB user for transferring assets between Counterparties or between Counterparty and NCB:



 Reallocation of assets between Counterparties, or from one counterparty to an NCB (or vice versa). This results in a reallocation of asset between Internal ECMS Counterparty Asset Accounts (or Internal ECMS Counterparty Asset Account and Internal NCB Internal Asset Account) within the same or a different T2S Securities Account for Regular Collateral in the same CSD or a different CSD.

In addition, there is a screen available to NCB users for the demobilisation of marketable assets or non-marketable assets such as Credit Claims, FTD collateral, or Cash as Collateral from an NCB Internal Asset Account.

Note: Cross-NCB transfers are out of scope for the multi-pooling functionality.

## 3.3.6.1 Multi-pooling for Credit Claims

This section describes the Multi-pooling functionalities that can be performed by an NCB user or a Counterparty by transferring Credit Claims from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account, of the same Counterparty and same NCB..

#### 3.3.6.1.1 Multi-pooling for Credit Claims Transfer

#### 3.3.6.1.1.1 Multi-pooling for Credit Claims Transfer – Input Screen

Context of Usage	This screen contains a number of fields regarding the source account, the destination account and Credit Claims to be transferred. The first screen is an initial input screen to identify the positions to transfer. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.			
Screen Access	Transfer >> Credit Claim >> Input Credit Claim Transfer			
Screenshot	Edit: Credit Claim Transfer Partys Instruction Reference Credit Claim	Delivering Account  Outstanding Amount	Receiving Account	E ∧

Multi-pooling for Credit Claims Transfer – Input Screen		
Field	Description	
Party's Instruction Reference*	Enter the reference that uniquely identifies the Party's instruction.	
Delivering Account Id*	Enter/Select the Delivering Account where the CC is held (source account).	
Receiving Account Id*	Enter/Select the Receiving Account where the CC is held (source account).	
Credit Claim*	Enter/Select the ECMS Credit Claims Identifier	
Out- standingAmount#	Shows the amount of Credit Claims available on the delivering account.  Required format is: Amount with 2 decimals.	
Button	Function	
Reset	This function enables you to clear the form.	
Save	This function enables you to save the creation of an instruction.	



## 3.3.6.1.1.2 Multi-pooling for Credit Claims Transfer – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Credit Claims Transfer Instructions.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
	Depending how the screen is accessed, it can be used to search the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.
Screen Access	For valid information:
	Transfer >> Credit Calaim >> Display Credit Claim Transfer
	For information subject to 4 eyes validation:
	Transfer >> Credit Calaim >> Validate / Reject Credit Claim Transfer
Screenshot	Search Citeria : Credit Claim Transfer  Party's Instruction Delivering Account Receiving Account Receiving Account
	○ Reset

Multi-pooling for Credit Claims Transfer – Search Screen		
Field	Description	
Party's Instruction Reference	Enter/Select the reference that uniquely identifies the Party's instruction.	
Delivering Account	Enter/Select the Delivering Account (Counterparty or NCB) where the CC is held (source account)	
Receiving Account	Enter/Select the Receiving Account (Counterparty or NCB) where the CC will be held	
Button	Function	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	
	Next screen:	
	– Multi-pooling for Credit Claims Transfer – List Screen	

## 3.3.6.1.1.3 Multi-pooling for Credit Claims Transfer – List screen

	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Transfer >> Credit Calaim >> Display Credit Claims Transfer >> Click on the
	search button (after inputting the relevant fields, if needed)



Screenshot	Search Result : Credit C	laim Transfer						
Screenshot	10 ▼							15 ★ 🕮 🗆 🗘 🗟 🕻
	Party's Instruction Reference.	Delivering Account	Receiving Account	Credit Claim	Action To Validate	Is Rejected	7 Update User Id	Update Date ¢ ▽
	TRNSFSP	CPY1FRACC03	CPY1FRACC04	CCRX570		No	ECMS OPERATOR 1	05/10/2021 18:03:34:201
	TRNSFINTRNDP	CPY1FRACC02	CPY1FRACC03	CCRX567		No	ECMS OPERATOR 1	05/10/2021 17:28:05:804
	TRSNFBCPTY	CPY1FRACC02	CPY5FRACC02	CCRX566		No	ECMS OPERATOR 1	04/10/2021 18:32:51:187
	TRNSFCPTY	CPY1FRACC02	CPY5FRACC02	CCRX565		No	ECMS OPERATOR 1	04/10/2021 18:28:33:015
	TRNSFBTWCPTY	CPY1FRACC02	CPY5FRACC02	CCRX565		No	ECMS OPERATOR 1	04/10/2021 18:21:32:199
	testtransfer	CPY5FRACC02	CPY1FRACC02	CCRX557		No	ECMS OPERATOR 1	30/09/2021 18:50:25:613

Multi-pooling for Credit Claims Transfer – List screen		
Field	Description	
Party's Instruction Reference	Shows the reference that uniquely identifies the Party's instruction.	
Delivering Account	Shows the Delivering Account (Counterparty or NCB) where the CC is held (source account)	
Receiving Account	Shows the Receiving Account (Counterparty or NCB) where the CC is transferred (destination account)	
Credit Claim	Shows the credit claim reference received in the credit claim file	
Action to Validate	Action required for the validation of the instruction	
Is rejected	Indicator stating that the instruction is rejected.	
Update Date	Shows the update date or use the calendar icon.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:sss	
Updater User Id	Shows the identification of the user that made the last update.	
After selecting a re	cord, the following buttons are displayed	
Button	Function	

View	This function enables you to access to the detailed information of a Credit Claims Transfer.  Next screen:	
	– Multi-pooling for Credit Claims Transfer – Details screen	
If the screen is used when a record is sele	in the 4 eyes validation context, the following buttons are also displayed ected	
Button	Function	
View Draft	This function enables the user to view the draft record to be validated.	
	Next screen:	
	Multi-pooling - Credit Claims Transfer – Details Screen.	
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system	
Reject	This function allows the user to reject the creation or update of the record. The attribute is Rejected will be set to Yes.	
	The user have to enter the rejection reason.	

## 3.3.6.1.1.3.1 Multi-pooling for Credit Claims Transfer – Details Screen



Context of Usage	This screen displays detar Transfer instruction.	iled information of the se	lected Credit Claims
Screen Access	Collateral and Credit >> Transfer >> Credit Claims >> Display Credit Claims Transfer >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Credit Claims >> Click on the <i>View</i> button		
Screenshot	Search Result: Credit Claim Transfer > View: Credit Claim Transfe Party: instruction Reference TRANSFER Receiving Account CPYTERACOD4 FOOLPHINE FOOLPHINE	Credit Claim CCRIST® Action To Validate	Delivering Account CPY FFACOSS  1s Rejected No

Multi-pooling for Credit Claims Transfer – Details Screen		
Field	Description	
Party's Instruction Reference	Shows the reference that uniquely identifies the Party's instruction.	
Delivering Account	Shows the Delivering Account (Counterparty or NCB) where the CC is held (source account)	
Receiving Account	Shows the Receiving Account (Counterparty or NCB) where the CC is transferred (destination account)	
Credit Claim	Shows the Credit Claim reference received in the credit claim file	
Action to Validate	Indicates if there is an action required for the validation of the instruction	
Is rejected	Indicator stating that the instruction is rejected or not. (Yes or No)	
Footprint		
Creation Date	Shows the date and time of the creation of the instruction.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the instruction.	
Update Date	Shows the date and time of the update of the instruction.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id #	Shows the identification of the user that made the last update of the instruction.	

## 3.3.6.1.1.3.2 Multi-pooling for Credit Claims Transfer – Validate / Reject Transfer

Context of Usage	This screen contains a table with the result of a search of a Credit Calims Internal Transfer instructions, for consultation and selection of an instruction to be validated.	
Screen Access	Transfer >> Credit Calaim >> Validate / Reject Credit Claim Transfer >> Search Transfer	
Screenshot	Search Criteria : Credit Claim Transfer  Furty's Instruction **   Delivering Account **   Delivering Account **   Title residence   Company Account **   Delivering Account **	





Multi-pooling for Credit Claims Transfer – Validate / Reject Transfer		
Field	Description	
Party's Instruction Reference	Shows the reference that uniquely identifies the Party's instruction.	
Delivering Account	Shows the Delivering Account (Counterparty or NCB) where the CC is held (source account)	
Receiving Account	Shows the Receiving Account (Counterparty or NCB) where the CC is transferred (destination account)	
Credit Claim	Shows the credit claim reference received in the credit claim file	
Action to Validate	Action required for the validation of the instruction	
Is rejected	Indicator stating that the instruction is rejected.	
Update Date	Shows the update date or use the calendar icon.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:sss	
Updater User Id	Shows the identification of the user that made the last update.	
After selecting a record, the following buttons are displayed		
Button	Description	
Validate	This function enables the user to validate the instruction.	
Reject	This function enables the user to discard the instruction.	

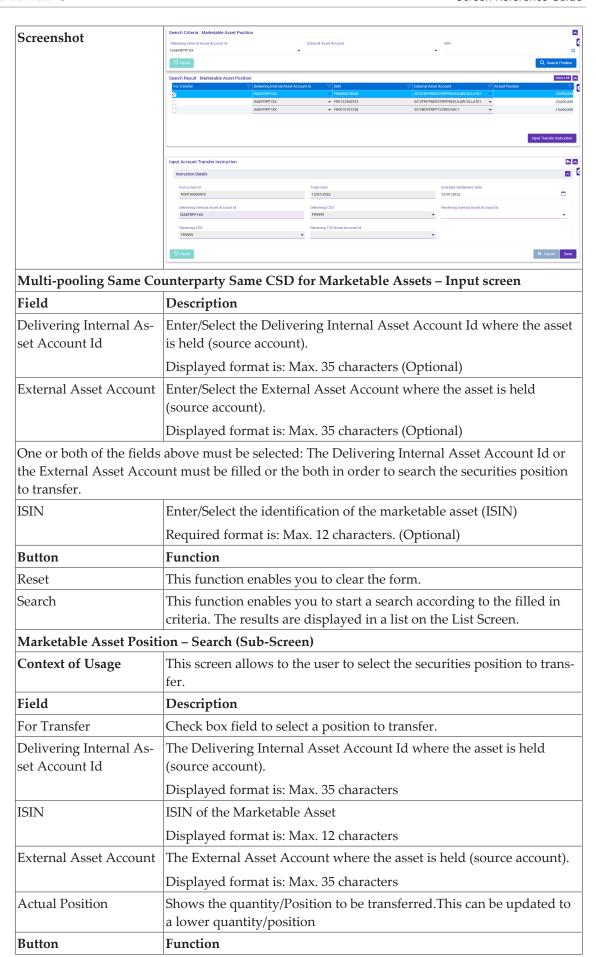
## 3.3.6.2 Multi-pooling Same Counterparty Same CSD for Marketable Assets

This section describes the Multi-pooling functionalities that can be performed by an NCB user or a Counterparty by transferring marketable assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account, or from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same Counterparty and same NCB in the same CSD.

## 3.3.6.2.1 Multi-pooling Same Counterparty Same CSD for Marketable Assets – Input Screen

Context of Usage	This screen contains a number of fields regarding the source account, the destination account and marketable assets to be transferred. The first screen is an initial input screen to identify the positions to transfer. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Instructions and Positions >> Multi-pooling >> Input Same Counterparty Transfer







Input Transfer Instruc-	This button allows to open a subscreen to input the transfer instruc-
tion	tion according to the select securities position
Instruction input details	s (Sub-Screen)
Context of Usage	This screen contains a number of fields regarding the source account, the user has to select the Receiving Internal Asset Account and the Receiving T2S Asset Account.
Field	Description
Instruction Id	Field filled automatically by ECMS and cannot be modified by the user
Trade Date	Field filled automatically by ECMS = Business Date and cannot be modified by the user
	Displayed format is: DD/MM/YYYY
Intended Settlement Date	Field filled automatically by ECMS = Business Date and can be modified by the user to put the settlement date in the future if needed
	Displayed format is: DD/MM/YYYY
Delivering Internal Asset Account Id	Field filled automatically by ECMS and cannot be modified by the user
	Displayed format is: Max. 35 characters
Delivering CSD	Field filled automatically by ECMS and cannot be modified by the user
Receiving Internal Asset	Receiving internal asset account id to be entered by the user.
Account Id	Required format is: Max. 35 characters
Receiving CSD	Field filled automatically by ECMS and cannot be modified by the user
Receiving T2S Asset Account Id	Filled automatically by the corresponding T2S Account Id once the Receiving Internal Asset Account Id is entered by the user
	Displayed format is: Max. 35 characters
Button	Function
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the transfer instruction input
Save	This function enables you to save the entered data and the transfer instruction object

# 3.3.6.2.2 Multi-pooling Same Counterparty Same CSD for Marketable Assets – Search screen

Context of Us-	This screen is used to enter criteria to retrieve the list of transfer instructions
age	meeting the entered criteria. The screen contains a number of search fields
	and shows the latest and stored performed searches. By inputting the relevant
	data you can search for all the instructions that fit the input criteria. The
	search results will be displayed in a list. When selecting a row, you can pro-
	ceed further by clicking one of the buttons that are shown



	Depending how the screen is accessed, it can be used to search the valid information of the transfer instruction or the information that requires 4 eyes validation before they can be considered valid in the system.	
Screen Access	For valid information :	
	Instructions and Positions >> Multipolling Instruction >> Display Transfer  For information subject to 4 eyes validation:  Instructions and Positions >> Multipolling Instruction >> Validate / Reject  Transfer	
	Hansler	
Screenshot	Party's instruction Reference == ▼ ISIN == ▼	d d d d d d d d d d d d d d d d d d d

Multi-pooling Same Counterparty Same CSD for Marketable Assets – Counterparty Delivery Position - Search Screen		
Field	Description	
Party's Instruction Reference	Enter the party's instruction reference that's automatically filled by ECMS during the instruction input	
	Required format is: Max XX characters	
ISIN	Select the ISIN to transfer	
	Required format is: Max 12 characters	
Intended Settle-	Enter a date or use the calendar icon to select one.	
ment Date	Required format is: DD/MM/YYYY	
Creation Date	Enter a date or use the calendar icon to select one.	
	Required format is: DD/MM/YYYY hh:mm:ss:sss	
Delivering	Select the Delivering Counterparty	
Counterparty	Required format is: Max XX characters	
Receiving Coun-	Select the Receiving Counterparty	
terparty	Required format is: Max XX characters	
After selecting a record or several records, the following buttons are displayed		
Button	Function	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	

# 3.3.6.2.3 Multi-pooling Same Counterparty Same CSD for Marketable Assets – List Screen

<b>Context of Usage</b>	This screen contains the search results displayed in a list, which is sorted by	
	the values of the 'Update Date' column in descending order (default set-	
	ting). After selecting an entry, you can proceed further by clicking on the	
	buttons below.	



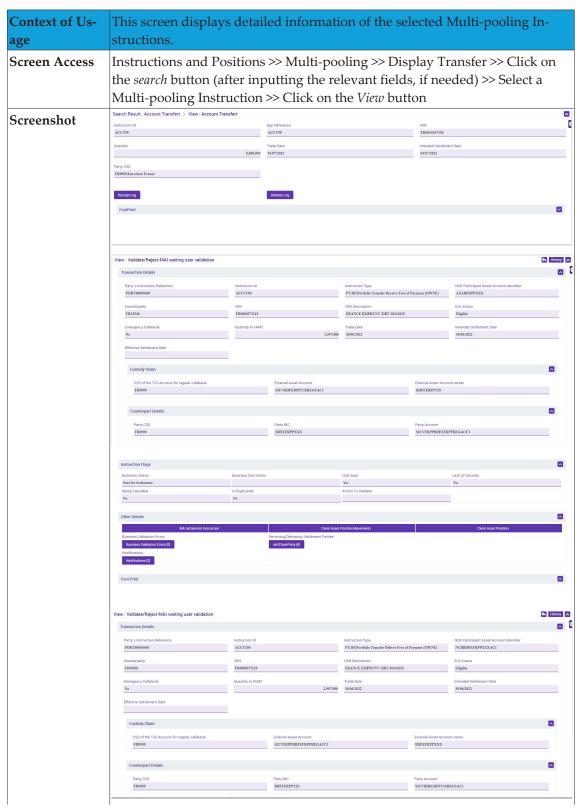
	Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.							
Screen Access	For va	lid infor	mation:					
	>> Click For in: Instruction / I	ck on the formatio ctions ar Rejectior	e search b n subjec nd Positi n >> Valid	utton (after i t to a 4 eyes v ons >> Multi-	pooling >> D Transfer >> C	relevant isplay T	fields, if r	eeded) Valida-
Screenshot	Search Result : A	ccount Transfert						_
Screenshot	10 🔻							294 ★ 韓 🗆 () 🖓 🕻
	Instruction Id \$	App Reference \$	Client 0	▽ ISIN ≎ ▽ Quar	nity 🗢 💎 Trade Date 🗢	Intended Settlement	Date ♥♥ Update Date ♥	Updater User Id
	ACCT100	ACCT100	FR30001	FR0000571119	2,997,980 30/06/2022	30/06/2022	30/06/2022 16:51:26:298	ECMS OPERATOR 1
	ACCT99	ACCT99	FR30001	FR0010167338	5,000,000 01/07/2022	04/07/2022	30/06/2022 16:04:51:084	ECMS OPERATOR 1
	ACCT98	ACCT98	FR12548 FR12548	FR0126221896 FR0126221896	15,000,000 01/07/2022 15,000,000 01/07/2022	04/07/2022	30/06/2022 15:59:40:162	ECMS OPERATOR 1
	ACC197	ACC197	FR12548	FR0126221896	15,000,000 01/07/2022	01/07/2022	30/06/2022 15:57:40:346	ECMS OPERATOR 1
	ACC196 ACCT95	ACCT95	FR30007	FR0126221896	15,000,000 01/07/2022	01/07/2022	30/06/2022 15:52:50:780	ECMS OPERATOR 1
	ACC195	ACCT95	FR18129	FR0000578544	5,000,000 30/06/2022	01/01/2022	30/06/2022 15:06:33:284	ECMS OPERATOR 1
	ACCT93	ACCT93	FR18129	FR0010167338	5,000,000 30/06/2022	30/06/2022	30/06/2022 14:37:22:602	ECMS OPERATOR 1
	ACCT92	ACCT92	FR18129	F80010167338	5000,000 30/06/2022	01/07/2022	30/06/2022 14:32:39:149	ECMS OPERATOR 1
	ACCT91	ACCT91	FR18129	FR0010167338	5000,000 30/06/2022	01/07/2022	30/06/2022 13:54:47:956	ECMS OPERATOR 1
					4,104,010 300 2022		00.00.000.13.9441.930	como en controlt i

Multi-pooling -Ti	ransfer Instructions List Screen				
Field	Description				
Instruction Id	Shows the Instruction Reference that identifies the instruction.				
	Displayed format is: Max XX characters				
Application Ref-	Shows the application reference that uniquely identifies the instruction.				
erence Number	Displayed format is: Max XX characters				
Delivering Coun-	Shows the identifier that uniquely identifies the Delivering Counterparty.				
terparty Id.	Displayed format is: Max XX characters				
ISIN	Shows the identification of the marketable asset.				
	Displayed format is: Max. 12 characters.				
Quantity/Position	Shows the quantity/Position to be transferred.				
	Displayed format is: Max. XX characters.				
Trade Date	Shows the Trade date in the ECMS of the instruction from the ECMS Party				
	that initiated the instruction				
	Displayed format is: DD/MM/YYYY				
Intended Settle-	Shows the business date on which the instruction will be settled.				
ment Date	Displayed format is: DD/MM/YYYY				
Updater User Id.	Identifier of the user responsible for the last update of the instruction.				
	Displayed format is: Max XX characters				
Update Date	Date and time when the instruction was last updated in the ECMS.				
	Display format is: DD/MM/YYYY hh:mm:ss:µs.				
After selecting a record, the following buttons are displayed					
Button	Function				
View	This function enables you to access to the detailed information of an instruc-				
	tion.				
	Next screen:				

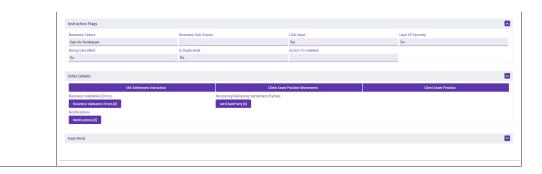


- Multi-pooling - Transfer Instructions - Details Screen.

## 3.3.6.2.4 Multi-pooling Same Counterparty Same CSD for Marketable Assets – Details Screen







Multi-pooling S	ame Counterparty Same CSD for Marketable Assets – Details Screen				
Field	Description				
Instruction Id	Shows the Instruction Reference that identifies the instruction.				
	Displayed format is: Max XX characters				
	Shows the application reference that uniquely identifies the instruction.				
erence Number	Displayed format is: Max XX characters				
ISIN	Shows the identification of the marketable asset.				
	Displayed format is: Max. 12 characters				
Quantity/Posi-	Quantity/Position instructed				
tion	Displayed format is: Max XX characters				
Trade Date	Trade date of the instruction				
	Displayed format is: DD/MM/YYYY				
Intended Settle-	Intended settlement date of the instruction.				
ment Date	Displayed format is: DD/MM/YYYY				
Party CSD	Shows the RIAD code of the CSD of the party for the (de)mobilisation.				
	Displayed format is: Max XX characters				
Receipt Leg / De	livery Leg (depends on button clicked on)				
Party's Instruc-	Shows the Party's Instruction Reference that identifies the instruction.				
tion Reference	Displayed format is: Max XX characters				
Instruction Id	Shows the Instruction Reference that identifies the instruction.				
	Displayed format is: Max XX characters				
Instruction Type	Shows the Instruction Type that identifies the instruction either Portfolio				
	Transfer Receive Free of Payment (OWNE) or				
	Portfolio Transfer Deliver Free of Payment (OWNE)				
	Displayed format is: Max XX characters				
ECMS Counterparty Asset Ac-	Shows the Internal ECMS Counterparty Asset Account for the (de)mobilisation				
count Id	Displayed format is: Max XX characters				
Counterparty	Shows the identifier that uniquely identifies the Counterparty.				
Id.	Displayed format is: Max XX characters				
ISIN	Shows the identification of the marketable asset.				
	Displayed format is: Max. 12 characters				
ISIN Descrip- tion	Shows the description of the marketable asset.				



	Displayed format is: Max. XX characters				
ECB Status	Shows the Eligibility status of the Marketable Asset In C2D/EA				
	Displayed format is: Max XX characters				
Emergency Collateral	Shows the Boolean stating that the marketable Asset can be only used in case of activation of the ECMS emergency flag				
	Displayed format is: Max XX characters				
Quantity/Posi-	Quantity/Position instructed				
tion	Displayed format is: Max XX characters				
Trade Date	Trade date of the instruction				
	Displayed format is: DD/MM/YYYY				
Intended Settle-	Intended settlement date of the instruction.				
ment Date	Displayed format is: DD/MM/YYYY				
Effective Settle-	Shows the time at which the instruction was settled.				
ment Date	Displayed format is: DD/MM/YYYY				
<b>Custody Chain</b>					
CSD of the T2S Account	BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).				
	Displayed format is: Max 11 characters				
External Asset Account	Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.				
	Displayed format is: Max 35 characters				
External Asset	BIC code of the owner of the External Asset Account.				
Account owner	Displayed format is: Max 11 characters				
Counterparty Details					
Party CSD	BIC of the CSD of the party				
	Displayed format is: Max 11 characters				
Party BIC	BIC Code of the party				
	Displayed format is: Max 11 characters				
Account Id	Account Reference of the party				
	Displayed format is: Max 35 characters				
Instruction Flags					
Business Status	Shows the Business Status of the Instruction.				
	The possible values are:				
	- Cancelled				
	- Sent for Settlement				
	- Waiting for NCB manual intervention				
	- Instructed				
	- Matched				
	· ·				



	- Rejected
	- Confirmed
Business Sub	Shows the Business Sub Status of the Instruction.
Status	The possible values are:
	- Lacking securities
	- Waiting for Settlement Date
	- Waiting for Global Collateral Position Update
	- Waiting User Validation
U2A Input	Shows whether the instruction was captured in U2A mode or not.
Lack of Security	
	jected.
Being cancelled	Indicator stating that the cancellation of the instruction has been requested.
	The possible values are:
	- YES
	- NO
Is Duplicated	Indicator stating that the instruction is duplicated.
Action to Valid-	Action required for the validation of the instruction
ate	
Other details	Pour de como de comin de comin de la destada
MA Settlement Instruction	Button to open the windows with the details of the underlying settlement in- struction
Client Asset Po-	Button to open the windows with the details of the underlying Marketable
sitions Move-	Asset Movement on the Counterparty Asset Account.
ments	
	Button to open the windows with the details of the underlying Marketable
sition	Asset Position update on the Counterparty Asset Account.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS.
	Display format is: DD/MM/YYYY hh:mm:ss:μs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
1	Display format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User	Identifier of the user responsible for the last update of the instruction.
Id.	1
Table with the	
list of Business	
Validation Er- ror	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Button	Function
View	Button to view the details of the Business Error
Field	Description
L	-



Table with the list of Settle- ment Parties	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Sett Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Action	
Button	Function
View	Button to view the details of the Settlement Party
Field	Description
Table with the list of Notification received and sent	
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Action	
Download	Button to download the Notification Message
View Message	Button to view the Notification Message
View	Button to view the details of the Notification

#### 3.3.6.3 Multi-pooling between Accounts for Marketable Assets

This section describes the Multi-pooling functionalities that can be performed by an NCB user by transferring marketable assets from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same NCB in the same CSD or in a different CSD. These assets belong to a given Counterparty and there is no movement in or between pools or Internal Counterparty Asset Accounts.

#### 3.3.6.3.1 Multi-pooling between Accounts for Marketable Assets – Search screen

Context of Us-	This screen is used to enter criteria to retrieve the list of transfer instructions
age	meeting the entered criteria. The screen contains a number of search fields
	and shows the latest and stored performed searches. By inputting the relevant
	data you can search for all the instructions that fit the input criteria. The
	search results will be displayed in a list. When selecting a row, you can pro-
	ceed further by clicking one of the buttons that are shown



	Depending how the screen is accessed, it can be used to search the valid information of the transfer instruction or the information that requires 4 eyes validation before they can be considered valid in the system.					
Screen Access	For valid information :					
	Instructions and Positions >> Multipolling Instruction >> Display Transfer For information subject to 4 eyes validation: Instructions and Positions >> Multipolling Instruction >> Validate / Reject Transfer					
Screenshot	Search Criteria : Account Transfert  Party's Instruction Reference == ▼ ISIN == ▼  Intended Settlement Date == ▼ Creation Date == ▼  Delivering Counterparty == ▼  Receiving Counterparty == ▼	♣ △ €				

Multi-pooling b	Multi-pooling between Accounts for Marketable Assets – Search screen					
Field	Description					
Party's Instruction Reference	Enter the party's instruction reference that's automatically filled by ECMS during the instruction input					
ISIN	Select the ISIN to transfer					
Intended Settle-	Enter a date or use the calendar icon to select one.					
ment Date	Required format is: DD/MM/YYYY					
Creation Date	Enter a date or use the calendar icon to select one.					
	Required format is: DD/MM/YYYY hh:mm:ss:sss					
Delivering Counterparty	Select the Delivering Counterparty					
Receiving Counterparty	Select the Receiving Counterparty					
After selecting a	After selecting a record or several records, the following buttons are displayed					
Button	Function					
Reset	This function enables you to clear the form.					
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the List Screen.					

## 3.3.6.3.2 Multi-pooling between Accounts for Marketable Assets – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the
	buttons below.
	Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.
Screen Access	For valid information:



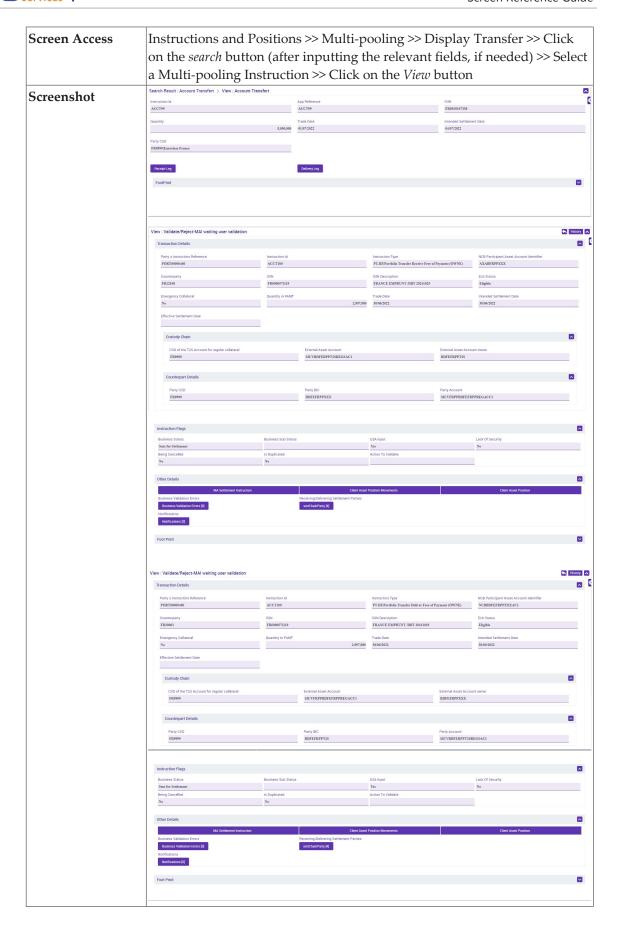
	I	Instructions and Positions >> Multi-pooling >> Display Transfer >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)								
	For in	For information subject to a 4 eyes validation:								
					-	_	isplay Trai lick on the			
	I	,		,						
	(after	inputting		,	ds, if need					
Screenshot	(after	,		evant fiel	ds, if need					
creenshot	(after	inputting	g the rel	evant fiel	ds, if need	ed)			♀• ★ 閗 〓 ()	
creenshot	(after	inputting		evant fiel	ds, if neede		© Intended Settlement Date ♥ 30/06/2022			
creenshot	(after  Search Result:	inputting Account Transfert  App Reference \$	g the rel	evant field	Page 1 or 10 > > V	rade Date \$		Update Date \$	Q.94) ★ 類 🖃 ()	
creenshot	(after Search Result: 10 ** Instruction 1d 4 ACCT100	Account Transfert  App Reference 4 ACCT100	g the rele	evant field	ds, if neede	rrade Date \$	© Intended Settlement Date \$7: 30/06/2022	Update Date \$ 30/06/2022 16:51 26:298	Q. 94	
creenshot	(after  Search Result:  10  Instruction 1d  ACCT100 ACCT99	Account Transfert  App Reference * ACCTION ACCTION	y the rele	evant field	ds, if needs  Page 1 of 10 > >   Quantity 2 2997,980 5,000,000 15,000,000	riade Date \$ 30/06/2022 01/07/2022	© Intended Settlement Date € 3006/2022 04/07/2022	Update Date \$ 30/06/2022 16:51/26:298 30/06/2022 16:04:51 :684	Q 94 * X II II ()  Updater User M 4  ECMS OPERATOR 1  ECMS OPERATOR 1	
creenshot	(after  Search Result:  10  Instruction id 0  ACCT100  ACCT90  ACCT98	Account Transfert  App Reference  ACCT100 ACCT90 ACCT98	y the relationship to the	evant field	Days 1 of 10 > > Oxaverity 0 0xaverity 0 0	rade Date \$ 30/06/2022 01/07/2022		Update Dake \$ 30/06/2022 16:51 26:298 30/06/2022 16:54 51 684 30/06/2022 15:59:40:162	Q 94 * ZI C ()  Updater User M 4  ECMS OPERATOR 1  ECMS OPERATOR 1	
creenshot	(after  Search Result:  10 ✓  Instruction Id 0  ACCT100  ACCT90  ACCT98  ACCT97	Account Transfert  Account Transfert  Account Cransfert  Account Crans	y Client • FR30001 FR30001 FR12548 FR12548	Evant field    K	ds, if needs    Pays	77rade Date \$ 30/06/2022 01/07/2022 01/07/2022 01/07/2022	▼ biteraid Settlement Date \$ → 30 06/2022 0407/2022 0407/2022 0207/2022	Update Date \$ 3006/2022 16:51:26:298 3006/2022 16:59:40:162 3006/2022 15:57:40:346	Q 94 *	
creenshot	(after  Search Result:  13 **  Instruction bil 9  ACCT00  ACCT00  ACCT010  ACCT07  ACCT06  ACCT06	inputting  Account Transfert  Account Transfert  Account Control  Account	© Clert & FR30001 FR30001 FR30001 FR30001 FR12548 FR12548 FR12548	evant field    K	ds, if neede 2 arts > 3 arts (1.500,000 1.500,	Trade Date \$ 30/06/2022 01/07/2022 01/07/2022 01/07/2022	Weended Settlement Date 6 7   30/06/2022   04/07/2022   04/07/2022   01/07/2022   01/07/2022	Update Date \$ 30/06/2022 16:51/26/298 30/06/2022 15:51/26/298 30/06/2022 15:54/0.162 30/06/2022 15:54/0.146 30/06/2022 15:52/50/780	Q 94 * XII C)  Updater User tot 9  ECMS OPERATOR 1  ECMS OPERATOR 1  ECMS OPERATOR 1  ECMS OPERATOR 1	
creenshot	Cafter Search Result: 10 ACCT00 ACCT00 ACCT00 ACCT07 ACCT06 ACCT07 ACCT06 ACCT07	inputting  Account Transfert  Accross  Accross  Accross  Accross  Accross  Accross  Accross  Accross  Accross  Accross	© Client € FR30001 FR30001 FR12548 FR12548 FR12548 FR30007	Evant field	ds, if neede   Dawring   1	77846 Date \$ 30/06/2022 01/07/2022 01/07/2022 01/07/2022 01/07/2022 01/07/2022		Update Date ● 3006/202 16:31:26:298 3006/202 16:31:26:298 3006/202 16:31:40:346 3006/202 15:37:40:346 3006/202 15:37:40:346 3006/202 15:30:30:30:30:30:30:30:30:30:30:30:30:30:	Q 94 * ZI = ()  Updater User M 9  ECMS OPERATOR 1  ECMS OPERATOR 1  ECMS OPERATOR 1  ECMS OPERATOR 1  ECMS OPERATOR 1  ECMS OPERATOR 1	
creenshot	(after  Search Result:  In  Intrinsicion M. S. ACCT100  ACCT190  ACCT919  ACCT919  ACCT916  ACCT919  ACCT914	inputting  Account Transfert  ACCT100  ACCT90  ACCT90  ACCT97  ACCT96  ACCT95  ACCT95  ACCT96	© the relation of the relatio	evant field    K	ds, if neede  Page 1 or 10 > X  Quantity 5  2597730  15000,000  15000,000  5000,000  5000,000  5000,000	Trade Date   30/06/2022 01/07/2022 01/07/2022 01/07/2022 30/06/2022 30/06/2022	▼ ■ Mended Settlement Date 6 / 3066/2022   ○ 0407/3022   ○ 0407/3022   ○ 1407/3022   ○ 1407/2022   ○ 1407/2022   ○ 1407/2022   ○ 1407/2022   ○ 1407/2022	Update Dure \$ 3006/2022 16-51.26/298 3006/2022 16-51.26/298 3006/2022 15-59-40-102 3006/2022 15-59-740-346 3006/2022 15-59-740 3006/2022 15-59-740 3006/2022 15-59-740	Q 94 * ZE C ()  Updater User M 5  EMS OPERATOR 1  EMS OPERATOR 1  EMS OPERATOR 1  EMS OPERATOR 1  EMS OPERATOR 1  EMS OPERATOR 1  EMS OPERATOR 1  EMS OPERATOR 1	

Multi-pooling bet	tween accounts for Marketable Assets – List Screen				
Field	Description				
Instruction Id	Shows the Instruction Reference that identifies the instruction.				
	Displayed format is: Max. XX characters.				
Application Reference Number	Shows the application reference that uniquely identifies the instruction.				
Delivering Counterparty Id.	Shows the identifier that uniquely identifies the Delivering Counterparty.				
ISIN	Shows the identification of the marketable asset.				
	Displayed format is: Max. 12 characters.				
Quantity/Position	Shows the quantity/Position to be transferred.				
Trade Date	Shows the Trade date in the ECMS of the instruction from the ECMS Party that initiated the instruction				
	Displayed format is: DD/MM/YYYY				
Intended Settle-	Shows the business date on which the instruction will be settled.				
ment Date	Displayed format is: DD/MM/YYYY				
Updater User Id.	Identifier of the user responsible for the last update of the instruction.				
Update Date	Date and time when the instruction was last updated in the ECMS.				
	Display format is: DD/MM/YYYY hh:mm:ss:µs.				
After selecting a re	ecord, the following buttons are displayed				
Button	Function				
View	This function enables you to access to the detailed information of an instruction.				
	Next screen:				
	– Multi-pooling between accounts for Marketable Assets – Details Screen.				

## 3.3.6.3.3 Multi-pooling between Accounts for Marketable Assets – Details Screen

Context of Usage	This screen displays detailed information of the selected Multi-pooling In-
	structions.





Multi-pooling between accounts for Marketable Assets – Details Screen



Field	Description			
Instruction Id	Shows the Instruction Reference that identifies the instruction.			
Application Reference Number	Shows the application reference that uniquely identifies the instruction.			
ISIN	Shows the identification of the marketable asset.			
	Displayed format is: Max. 12 characters			
Quantity/Position	Quantity/Position instructed			
Trade Date	Trade date of the instruction			
	Displayed format is: DD/MM/YYYY			
Intended Settle-	Intended settlement date of the instruction.			
ment Date	Displayed format is: DD/MM/YYYY			
Party CSD	Shows the RIAD code of the CSD of the party for the (de)mobilisation.			
Receipt Leg / Deliv	ery Leg (depends on button clicked on)			
Party's Instruction Reference	Shows the Party's Instruction Reference that identifies the instruction.			
Instruction Id	Shows the Instruction Reference that identifies the instruction.			
Instruction Type	Shows the Instruction Type that identifies the instruction either Portfolio Transfer Receive Free of Payment (OWNE) or			
	Portfolio Transfer Deliver Free of Payment (OWNE)			
ECMS Counter- party Asset Ac- count Id	Shows the Internal ECMS Counterparty Asset Account for the (de)mobilisation			
Counterparty Id.	Shows the identifier that uniquely identifies the Counterparty.			
ISIN	Shows the identification of the marketable asset.			
	Displayed format is: Max. 12 characters			
ISIN Description	Shows the description of the marketable asset.			
ECB Status	Shows the Eligibility status of the Marketable Asset In C2D/EA			
Emergency Collateral	Shows the Boolean stating that the marketable Asset can be only used in case of activation of the ECMS emergency flag			
Quantity/Position	Quantity/Position instructed			
Trade Date	Trade date of the instruction			
	Displayed format is: DD/MM/YYYY			
Intended Settle-	Intended settlement date of the instruction.			
ment Date	Displayed format is: DD/MM/YYYY			
Effective Settle-	Shows the time at which the instruction was settled.			
ment Date	Displayed format is: DD/MM/YYYY			
<b>Custody Chain</b>				
CSD of the T2S Account	BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).			
External Asset Account	Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.			



External Asset Account owner	BIC code of the owner of the External Asset Account.			
Counterparty Details				
Party CSD	BIC of the CSD of the party			
Party BIC	BIC Code of the party			
Account Id	Account Reference of the party			
Instruction Flags				
Business Status	Shows the Business Status of the Instruction.			
	The possible values are:			
	- Cancelled			
	- Sent for Settlement			
	- Waiting for NCB manual intervention			
	- Instructed			
	- Matched			
	- Rejected			
	- Confirmed			
Business Sub	Shows the Business Sub Status of the Instruction.			
Status	The possible values are:			
	- Lacking securities			
	- Waiting for Settlement Date			
	- Waiting for Global Collateral Position Update			
	- Waiting User Validation			
U2A Input	Shows whether the instruction was captured in U2A mode or not.			
Lack of Security	Indicator stating that there is a lack of securities to settle the instruction is rejected.			
Being cancelled	Indicator stating that the cancellation of the instruction has been requested. The possible values are:			
	- YES			
	- NO			
Is Duplicated	Indicator stating that the instruction is duplicated.			
Action to Validate	Action required for the validation of the instruction			
Other details				
MA Settlement Instruction	Button to open the windows with the details of the underlying settlement instruction			
Client Asset Positions Movements	Button to open the windows with the details of the underlying Marketable Asset Movement on the Counterparty Asset Account.			
Client Asset Position	Button to open the windows with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.			
Foot Print				
Creation Date	Date and time when the instruction was created in the ECMS.			
	Display format is: DD/MM/YYYY hh:mm:ss:µs.			
Creation User Id.	Identifier of the user responsible for the creation of the instruction.			



Update Date	Date and time when the instruction was last updated in the ECMS.			
	Display format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater User Id.	Identifier of the user responsible for the last update of the instruction.			
Table with the list of Business Valid- ation Error				
BR Name	Name of the Business Rules infringed			
Blocking	Indicator stating that if the business error is blocking or not.			
Button	Function			
View	Button to view the details of the Business Error			
Field	Description			
Table with the list of Settlement Parties				
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party			
Sett Party Type	Type of Settlement Party			
BIC	BIC of the Settlement Party			
Account	Account number of the Settlement Party			
Narrative	Narrative identifier of the Settlement Party			
Narrative Type	Type of Narrative identifier of the Settlement Party			
Action				
Button	Function			
View	Button to view the details of the Settlement Party			
Field	Description			
Table with the list of Notification received and sent				
Notification Name	Name of the notification sent or received			
Message Reference	Reference of the notification message			
Direction	Indicator stating that the notification has been received or sent by the ECMS.			
Creation Date	Date and time when the notification was created in the ECMS.			
Update Date	Date and time when the notification was last updated in the ECMS			
Action				
Download	Button to download the Notification Message			
View Message	Button to view the Notification Message			
View	Button to view the details of the Notification			



# 3.3.6.4 Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets

This section describes the Multi-pooling functionalities that can be performed by an NCB user by transferring marketable assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account of a different Counterparty in the same NCB, or from one Internal Counterparty asset account to an Internal ECMS NCB asset account or vice-versa).

## 3.3.6.4.1 Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – Search screen

Context of Usage	This screen is used to enter criteria to retrieve the list of transfer instructions meeting the entered criteria. The screen contains a number of search fields and shows the latest and stored performed searches. By inputting the relevadata you can search for all the instructions that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown	ant
	Depending how the screen is accessed, it can be used to search the valid information of the transfer instruction or the information that requires 4 eyes validation before they can be considered valid in the system.	
Screen Access	For valid information: Instructions and Positions >> Multipolling Instruction >> Display Transfer For information subject to 4 eyes validation: Instructions and Positions >> Multipolling Instruction >> Validate / Reject Transfer	
Screenshot	Search Criteria: Account Transfert  Party's Instruction Reference == ▼  Intended Settlement Date ▼  Delivering Counterparty ▼  Receiving Counterparty ▼	

Multi-pooling B screen	Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – Search screen	
Field	Description	
Party's Instruction Reference	Enter the party's instruction reference that's automatically generated by ECMS during the instruction input	
	Required format is: Max. XX characters	
ISIN	Select the ISIN to transfer	
	Required format is: Max. 12 characters	
Intended Settle-	Enter a date or use the calendar icon to select one.	
ment Date	Required format is: DD/MM/YYYY	
Creation Date	Enter a date or use the calendar icon to select one.	
	Required format is: DD/MM/YYYY hh:mm:ss:sss	
Delivering	Select the Delivering Counterparty	
Counterparty	Required format is: Max. XX characters	



Receiving Coun-	Select the Receiving Counterparty	
terparty	Required format is: Max. XX characters	
After selecting a	record or several records, the following buttons are displayed	
Button	Function	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the List Screen.	

# 3.3.6.4.2 Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – List Screen

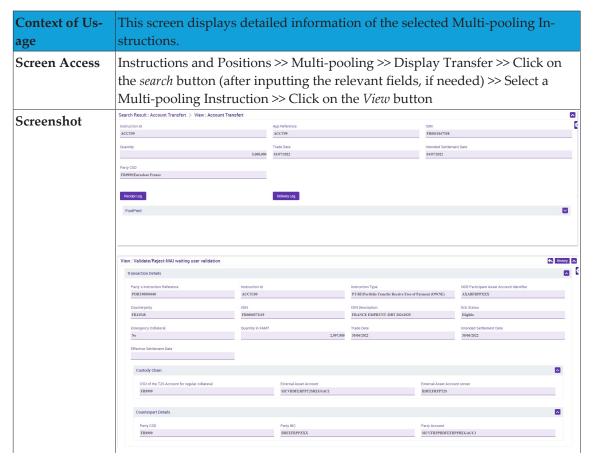
Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.							
	format	tion or tl	ne inforn		ssed, it can be requires 4 eyes			
Screen Access	For va	lid infor	mation:					
	Instructions and Positions >> Multi-pooling >> Display Transfer >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)							
	Instruction / I	ctions ar Rejectior	nd Position 1 >> Valid	ons >> Mult date / Rejec	validation: :i-pooling >> D t Transfer >> C			
			g the rele	evant fields	if needed)			
Screenshot	Search Result : Ac	App Reference \$	© Client \$		1_of 10 > >	Intended Settlement		D 94 ★ 本 □ () ♀ •
	ACC1100 ACC199 ACC198 ACC197 ACC196 ACC195 ACC194	ACCT100 ACCT99 ACCT98 ACCT97 ACCT96 ACCT95 ACCT94	FR30001 FR30001 FR12548 FR12548 FR12548 FR30007 FR18129	FR0000571119 FR0010167338 FR0126221896 FR0126221896 FR0126221896 FR0126221896 FR0000578544	2,997,980 30,004/2022 5,000,000 01/07/2022 15,000,000 01/07/2022 15,000,000 01/07/2022 15,000,000 01/07/2022 15,000,000 30/06/2022 5,000,000 30/06/2022	30.06/2022 04/07/2022 04/07/2022 02/07/2022 01/07/2022 01/07/2022	30/06/2022 16:51:26:298 30/06/2022 16:04:51:084 30/06/2022 15:59:40:162 30/06/2022 15:57:40:346 30/06/2022 15:55:29:780 30/06/2022 15:63:3:284 30/06/2022 14:45:09:812	ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1
	ACCT92 ACCT91	ACCT92 ACCT91	FR18129 FR18129 FR18129	FR0010167338 FR0010167338 FR0010167338	5,000,000 30/06/2022 5,000,000 30/06/2022 5,000,000 30/06/2022	30/06/2022 01/07/2022 01/07/2022	30/06/2022 14:37:22:602 30/06/2022 14:32:39:149 30/06/2022 13:54:47:956	ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1

Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – List Screen	
Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction.
	Displayed format is: Max. XX characters
Application Ref-	Shows the application reference that uniquely identifies the instruction.
erence Number	Displayed format is: Max. XX characters
Delivering Coun-	Shows the identifier that uniquely identifies the Delivering Counterparty.
terparty Id.	Displayed format is: Max. XX characters
ISIN Shows the identification of the marketable asset.	

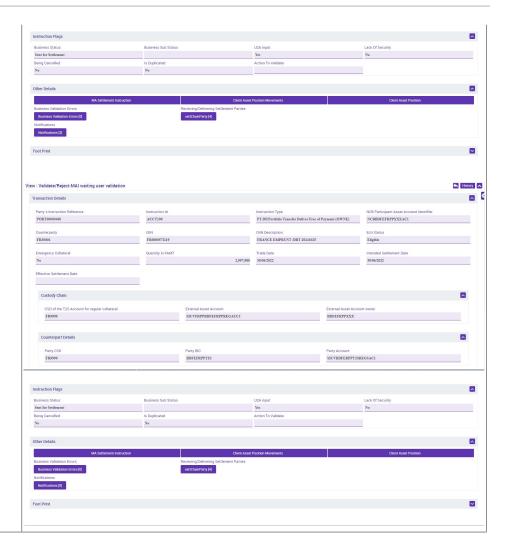


	Displayed format is: Max. 12 characters.	
Quantity/Position	Shows the quantity/Position to be transferred.	
	Displayed format is: Max. XX characters	
Trade Date	Shows the Trade date in the ECMS of the instruction from the ECMS Party that initiated the instruction	
	Displayed format is: DD/MM/YYYY	
Intended Settle-	Shows the business date on which the instruction will be settled.	
ment Date	Displayed format is: DD/MM/YYYY	
Updater User Id.	Identifier of the user responsible for the last update of the instruction.	
	Displayed format is: Max. XX characters	
Update Date	Date and time when the instruction was last updated in the ECMS.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
After selecting a re	ecord, the following buttons are displayed	
Button	Function	
View	This function enables you to access to the detailed information of an instruc-	
	tion.	
	Next screen:	
	- Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets - Details Screen.	

## 3.3.6.4.3 Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – Details Screen







Multi-pooling B	etween CPTYs or Between CPTY and NCB for Marketable Assets – Details
Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction.
	Displayed format is: Max. XX characters
Application Ref-	Shows the application reference that uniquely identifies the instruction.
erence Number	Displayed format is: Max. XX characters
ISIN	Shows the identification of the marketable asset.
	Displayed format is: Max. 12 characters
Quantity/Posi-	Quantity/Position instructed
tion	Displayed format is: Max. XX characters
Trade Date	Trade date of the instruction
	Displayed format is: DD/MM/YYYY
Intended Settle-	Intended settlement date of the instruction.
ment Date	Displayed format is: DD/MM/YYYY
Party CSD	Shows the RIAD code of the CSD of the party for the (de)mobilisation.
Receipt Leg / De	livery Leg (depends on button clicked on)



Party's Instruc-	Shows the Party's Instruction Reference that identifies the instruction.
tion Reference	Displayed format is: Max. XX characters
Instruction Id	Shows the Instruction Reference that identifies the instruction.
	Displayed format is: Max. XX characters
Instruction Type	Shows the Instruction Type that identifies the instruction either Portfolio Transfer Receive Free of Payment (OWNE) or
	Portfolio Transfer Deliver Free of Payment (OWNE)
	Displayed format is: Max. XX characters
ECMS Counterparty Asset Ac-	Shows the Internal ECMS Counterparty Asset Account for the (de)mobilisation
count Id	Displayed format is: Max. XX characters
Counterparty	Shows the identifier that uniquely identifies the Counterparty.
Id.	Displayed format is: Max. XX characters
ISIN	Shows the identification of the marketable asset.
	Displayed format is: Max. 12 characters
ISIN Descrip-	Shows the description of the marketable asset.
tion	Displayed format is: Max. XX characters
ECB Status	Shows the Eligibility status of the Marketable Asset In C2D/EA
	Displayed format is: Max. XX characters
Emergency Collateral	Shows the Boolean stating that the marketable Asset can be only used in case of activation of the ECMS emergency flag
	Displayed format is: Max. XX characters
Quantity/Posi-	Quantity/Position instructed
tion	Displayed format is: Max. XX characters
Trade Date	Trade date of the instruction
	Displayed format is: DD/MM/YYYY
Intended Settle-	Intended settlement date of the instruction.
ment Date	Displayed format is: DD/MM/YYYY
Effective Settle-	Shows the time at which the instruction was settled.
ment Date	Displayed format is: DD/MM/YYYY
Custody Chain	
CSD of the T2S Account	BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
	Displayed format is: Max. 11 characters
External Asset Account	Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
	Displayed format is: Max. 35 characters
External Asset	BIC code of the owner of the External Asset Account.
Account owner	Displayed format is: Max. 11 characters
Counterparty Details	



Party CSD	BIC of the CSD of the party
	Displayed format is: Max. 11 characters
Party BIC	BIC Code of the party
	Displayed format is: Max. 11 characters
Account Id	Account Reference of the party
	Displayed format is: Max. XX characters
Instruction Flags	
Business Status	Shows the Business Status of the Instruction.
	The possible values are:
	- Cancelled
	- Sent for Settlement
	- Waiting for NCB manual intervention
	- Instructed
	- Matched
	- Rejected
	- Confirmed
Business Sub	Shows the Business Sub Status of the Instruction.
Status	The possible values are:
	- Lacking securities
	- Waiting for Settlement Date
	- Waiting for Global Collateral Position Update
	- Waiting User Validation
U2A Input	Shows whether the instruction was captured in U2A mode or not.
Lack of Security	Indicator stating that there is a lack of securities to settle the instruction is rejected.
Being cancelled	Indicator stating that the cancellation of the instruction has been requested. The possible values are:
	- YES
	- NO
Is Duplicated	Indicator stating that the instruction is duplicated.
Action to Validate	Action required for the validation of the instruction
Other details	
MA Settlement Instruction	Button to open the windows with the details of the underlying settlement instruction
Client Asset Positions Movements	Button to open the windows with the details of the underlying Marketable Asset Movement on the Counterparty Asset Account.
Client Asset Position	Button to open the windows with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS.



	Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
	Display format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Table with the list of Business Validation Er- ror	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Button	Function
View	Button to view the details of the Business Error
Field	Description
Table with the list of Settle- ment Parties	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Sett Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Action	
Button	Function
View	Button to view the details of the Settlement Party
Field	Description
Table with the list of Notification received and sent	
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Action	
Download	Button to download the Notification Message
View Message	Button to view the Notification Message
View	Button to view the details of the Notification



#### 3.3.6.5 Multi-pooling - Validate/Reject Transfer Instruction

This section describes the Multi-pooling functionalities that can be performed by an NCB user or a Counterparty for the validation or rejection of a Multi-pooling Transfer instruction following the request of transferring marketable assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account, or from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same Counterparty and same NCB in a different CSD.

These screens can be used to search the valid information of the Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.

#### 3.3.6.5.1 Multi-pooling - Validate/Reject Transfer Instruction - Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Multi-pooling Transfer instructions consultation and selection of an instruction to be validated.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Instructions and Positions >> Multi-pooling >> Validation / Rejection >> Validate/Reject Transfer >> Click on the Search button
Screenshot	Search Criteria : Account Transfert  Party's instruction Reference   Intended Settlement Date ==   Delivering Counterparty   Receiving Counterparty   Receiving Counterparty   Classico Date ==   Receiving Counterparty   Receiving Counterparty   Receiving Counterparty   Classico Date ==   Classico

Multi-pooling - Validate/Reject Transfer Instruction – Search Screen	
Field	Description
Party's Instruction Reference	Enter the Party's Instruction Reference.
Delivering Counterparty	Enter/Select the RIAD identifier that uniquely identifies the Delivering Party (Counterparty or NCB).
Receiving Counterparty	Enter/Select the RIAD identifier that uniquely identifies the Receiving Party (Counterparty or NCB).
ISIN	Enter/Select the identification of the marketable asset (ISIN) Required format is: Max. 12 characters.
Intended Settle- ment Date	Enter/Select the business date on which the instruction will be settled or use the calendar icon.
	Required format is: DD/MM/YYYY.
Creation Date	Enter/Select the Creation date for the instruction or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:sss:sss
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:



- Multi-pooling - Validate/Reject Transfer Instruction - List Screen

#### 3.3.6.5.2 Multi-pooling - Validate/Reject Transfer Instruction - List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.  This screen contains a table with the result of a search of a Multi-pooling Transfer instruction, for consultation and selection of an instruction to be validated.
Screen Access	Instructions and Positions >> Multi-pooling >> Validation / Rejection >> Validate / Reject Transfer >> Search Transfer Instructions
Screenshot	

Multi-pooling - Validate/Reject Transfer Instruction - List Screen	
Field	Description
Party's Instruction Reference	Enter the Party's Instruction Reference.
Delivering Counterparty	Enter/Select the RIAD identifier that uniquely identifies the Delivering Party (Counterparty or NCB).
Receiving Counterparty	Enter/Select the RIAD identifier that uniquely identifies the Receiving Party (Counterparty or NCB).
ISIN	Enter/Select the identification of the marketable asset (ISIN) Required format is: Max. 12 characters.
Intended Settle- ment Date	Enter/Select the business date on which the instruction will be settled or use the calendar icon.  Required format is: DD/MM/YYYY.
Creation Date	Enter/Select the Creation date for the instruction or use the calendar icon.  Required format is: DD/MM/YYYY hh:mm:sss:sss
After selecting a record, the following buttons are displayed	
Button	Description
Validate	This function enables the user to validate the instruction.
Reject	This function enables the user to discard the instruction.

#### 3.3.6.6 Multi-pooling – MA Transfer Instructions

This section provides information on the MA transfer of assets instructions (Client side) generated by the ECMS for the (de)mobilisations as a result of the Multi-pooling functionalities.

#### 3.3.6.6.1 Multi-pooling – MA Transfer Instructions - Search Screen

Context of Us-	This screen contains a number of search fields and shows the latest and stored
age	searches. By inputting the relevant data, you can search for the Multi-pooling
	Instructions.



	The search results will be displayed in a list. After selecting an entry, you car proceed further by clicking on the buttons that are shown.	1
	Depending how the screen is accessed, it can be used to search the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.	
Screen Access	For valid information:	
	Instructions and Positions >> Multi-pooling Instruction >> Display Transfer MA Instructions	
	For information subject to a 4 eyes validation:	
	Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject MA Instructions	-
Screenshot	Search: Transfer MA Instruction	
	Instruction Id at a Post Content of the Content of	Ē
	GRN	
	Total processing to the Control processing t	- 11
	USA rput	
	Q box	

Multi-pooling -	MA Transfer Instructions Search screen
Field	Description
Instruction Id	Enter/Select the Instruction Reference that identifies the instruction.
	Required format is: Max. XX characters.
Instruction Type	Enter/Select the Instruction Type that identifies the instruction.
	Required format is: Max. XX characters.
Delivering	Enter/Select the identifier that uniquely identifies the Counterparty.
Counterparty Id.	Required format is: Max. XX characters.
Delivering	Enter/Select the Internal ECMS Counterparty Asset Account where the asset
ECMS Counter-	is held (source account)
party Asset Account Id	Required format is: Max. XX characters.
ISIN	Enter/Select the identification of the marketable asset
	Required format is: Max. 12 characters.
Delivering Ex-	Enter/Select the External ECMS Counterparty Asset Account where the asset
ternal Asset Ac-	is held (source account)
count Id.	Required format is: Max. XX characters.
Delivering CSD	Enter/Select the Delivering CSD of the T2S Account for regular collateral
	Required format is: Max. XX characters.
Receiving CSD	Enter/Select the Receiving CSD
	Required format is: Max. XX characters.
Business Status	Enter/Select the Business Status of the Instruction.
	The possible values are:
	- Cancelled
	- Sent for Settlement



	- Waiting for NCB manual intervention
	- Instructed
	- Matched
	- Rejected
	- Confirmed
	Required format is: Max. XX characters.
Business Sub	Enter/Select the Business Sub Status of the Instruction.
Status	The possible values are:
	- Lacking securities
	- Waiting for Settlement Date
	- Waiting for Global Collateral Position Update
	- Waiting User Validation
<b>D</b> .	Required format is: Max. XX characters.
Dates	
Trade Date	Enter/Select the trade date of the instruction. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Intended Settle- ment Date	Enter/Select the business date on which the instruction will be settled. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Effective Settle- ment Date	Enter/Select the business date on which the instruction was settled. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Creation Date	Enter/Select the Creation date of the instruction. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Update Date	Enter/Select the Update date of the instruction. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

## 3.3.6.6.1.1 Multi-pooling – MA Transfer Instructions - List screen

Context of Us-	This screen contains the search results displayed in a list, which is sorted by
age	the values of the 'Update Date' column in descending order (default setting).
	After selecting an entry, you can proceed further by clicking on the buttons
	below.



	Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.
Screen Access	For valid information:
	Instructions and Positions >> Multi-pooling Instruction >> Display Transfer MA Instructions >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)  For information subject to a 4 eyes validation:  Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject MA Instructions >> Click on the search button (after inputting the relevant fields, if needed)
Screenshot	Let: Transfer MA instruction
	Port   Teach
Multi-pooling –	MA Transfer Instructions List Screen
-------------------	---
Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction.
	Displayed format is: Max. XX characters.
Instruction Type	Shows the Instruction Type that identifies the instruction.
	Displayed format is: Max. XX characters.
Business Status	Shows the Business Status of the Instruction.
	Displayed format is: Max. XX characters.
Business Sub	Shows the Business Sub Status of the Instruction.
Status	Displayed format is: Max. XX characters.
Delivering	Shows the identifier that uniquely identifies the NCB of the Delivering Coun-
Counterparty	terparty.
NCB Id.	Displayed format is: Max. XX characters.
ISIN	Shows the identification of the marketable asset.
	Displayed format is: Max. 12 characters.
Quantity/Posi-	Shows the quantity/Position to be transferred.
tion	Displayed format is: Max. XX characters.
Trade Date	Shows the Trades date in the ECMS of the instruction from the ECMS Party that initiated the instruction
	Displayed format is: DD/MM/YYYY
Intended Settle-	Shows the business date on which the instruction will be settled.
ment Date	Displayed format is: DD/MM/YYYY
Effective Settle-	Shows the business date on which the instruction was settled.
ment Date	Displayed format is: DD/MM/YYYY
Receiving CSD	Shows the Party CSD
	Displayed format is: Max. XX characters.



Shows the Identifier of the CSD in which the T2S Securities Account for Regular Collateral are held
Displayed format is: Max. XX characters.
Indicator stating that the cancellation of the instruction has been requested. The possible values are:
- YES
- NO
Identifier of the user responsible for the last update of the instruction.
Date and time when the instruction was last updated in the ECMS.
Display format is: DD/MM/YYYY hh:mm:ss:µs.
record, the following buttons are displayed
Function
This function enables you to access to the historical information of an instruction.
Next screen:
- Multi-pooling - MA Transfer Instructions - History Screen.
This function enables you to edit an instruction.
Next screen:
- Multi-pooling - MA Transfer Instructions - Edit Screen.
This function enables you to access to the detailed information of an instruction.

## 3.3.6.6.1.2 Multi-pooling – MA Transfer Instruction - History Screen

Context of Us- age	This screen displays historical information that has changed on the selected Multi-pooling Instructions. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Instructions and Positions &gt;&gt; Multi-pooling Instruction &gt;&gt; Display Transfer MA Instructions &gt;&gt; Click on the search button (after inputting the relevant fields, if needed) &gt;&gt; Select a Multi-pooling Instructions &gt;&gt; Click on the <i>History</i> button</li> </ul>
	<ul> <li>Instructions and Positions &gt;&gt; Multi-pooling Instruction &gt;&gt; Display MA         Transfer Instructions &gt;&gt; Click on the search button (after inputting the relevant fields, if needed) &gt;&gt; Select a Multi-pooling Instructions &gt;&gt; Click on the View button (Multi-pooling - Details Screen &gt;&gt; Click on the History Button     </li> </ul>



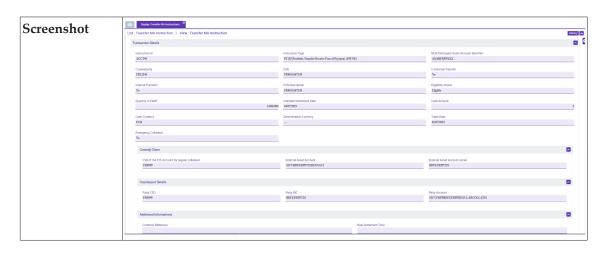
	ole Client Instruction History					0.2 En A	Differences Only Expend All
Fields	□ MA20220630529832022-06-30 16-51-5	MAD0220630529932022-06-30 16-51-51	MAI20220630529932022-06-30 16-51-4	MAJ0020690529930022-06-30 16-51-4	MA/20220630529932022-06-30 16-51-4		
Status	WaitingNotification	WeitingNotification	WeitingAck	Instructed	Draft	start	start
Bill Date	30/06/2022	90/06/2022	90/06/2022	30/06/2022			
Business Status	COMMITTED	INSTRUCTED	INSTRUCTED	INSTRUCTED			
Business Sub Status			WAITING ACK		WAITING VALIDATION		
Cc BM Type	CC8M HC8	ссви нов	ссви нов	CCBM HCB			
Client Original Amount	0	0	0	0			
Collateral Ack Received			0	0	0	0	0
Collateral Reference	MAI2022063052993	MAI2022063052993	MAI2022063052993				
Commit Date	30/06/2022						
Commit Time	00:00:00:000						
Counterpart Instruction	MAI2022063052995	MAI2022063052995	MAI2022063052995	MAI2022063052995	MAI2022063052995	MA/2022063052995	
Freezing Status	Counterpart Enough	Counterpart Enough	Counterpart Enough	Counterpart Enough	Counterpart Enough		
Funding Method	NoFunding	NoFunding	NoFunding	NoFunding			
Is Auto Committed	No	Yes	Yes	Yes	No	No	No
Is Committed	Yes	No	No	No	No	No	No
Last Notification In	3650000084	3650000084					
Last Notification Out	3650000002	3650000082	3650000082				
Settlement Instruction Id	MEFR000000043273	MIFR000000043273					
Market Instruction	MIFR000000043272	MIFR000000043272					
Net Amt In C Acc Cur	0	0	0	0			
Ot C Traded	true	true	true	true			
Price	0	0	0	0			
Related Instruction Reference	PORT00000460	PORT00000460	PORT00000460	PORT00000460	PORT00000460		
Released Quantity	2,997,900	2,997,980	2,997,900	2,997,980			
Remaining Quantity	2,997,980	2,997,980	2,997,980	2,997,980			
Sec Myt Created	Yes	Yes	Yes	No	No	No	No
Sec Mixt Reference	00054009CST	00054009CST	00054009CST				

Multi-pooling – MA Transfer Instruction History Screen				
Field	Description			
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.			
Timestamp	Shows the date and time when the fields have been updated.			
Button	Description			
Compare	Shows the differences between the selected records whose data have been updated.			
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected instruction.			
Show All	Shows the information that existed before each modification of all the fields regarding the selected instruction.			
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected instruction.			
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected instruction.			

## 3.3.6.6.1.3 Multi-pooling – MA Transfer Instruction - Details Screen

Context of Usage	This screen displays detailed information of the selected Multi-pooling Instructions.
Screen Access	Instructions and Positions >> Multi-pooling Instruction >> Display Transfer MA Instructions >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button





Multi-pooling –	MA Transfer Instruction Details screen
Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction.
	Displayed format is: Max. XX characters.
Instruction Type	Shows the Instruction Type that identifies the instruction.
	Displayed format is: Max. XX characters.
ECMS Counter-	Shows the Internal ECMS Counterparty Asset Account where the asset is
party Asset Ac-	held (source account)
count Id	Displayed format is: Max. XX characters.
Delivering	Shows the identifier that uniquely identifies the Counterparty.
Counterparty Id.	Displayed format is: Max. XX characters.
Delivering	Shows the identifier that uniquely identifies the NCB of the Delivering Coun-
Counterparty	terparty.
NCB Id.	Displayed format is: Max. XX characters.
ISIN	Shows the identification of the marketable asset.
	Displayed format is: Max. 12 characters
ISIN Descrip-	Shows the description of the marketable asset.
tion	Displayed format is: Max. XX characters
ECB Status	Shows the Eligibility status of the Marketable Asset In C2D/EA
	Displayed format is: Max. XX characters
Emergency Collateral	Shows the Boolean stating that the marketable Asset can be only used in case of activation of the ECMs emergency flag
Quantity/Position	Quantity/Position instructed
Trade Date	Trade date of the instruction
Intended Settle- ment Date	Intended settlement date of the instruction.
<b>Custody Chain</b>	
Delivering CSD	BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).



External Asset Account	Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
External Asset Account owner	BIC code of the owner of the External Asset Account.
Counterparty Details	
Receiving Party CSD	BIC of the CSD of the Receiving party
Receiving Party BIC	BIC Code of the Receiving party
Receiving Account Id	Account Reference of the Receiving party
Additional Information	
Common Reference	Common Reference of the instruction
Effective Settle-	Shows the business date on which the instruction was settled.
ment Date	Displayed format is: DD/MM/YYYY
Effective Settle-	Shows the time at which the instruction was settled.
ment Time	Displayed format is: hh:mm:ss:µs.
Instruction Flags	
Business Status	Shows the Business Status of the Instruction.
	The possible values are:
	- Cancelled
	- Sent for Settlement
	- Waiting for NCB manual intervention
	- Instructed
	- Matched
	- Rejected
	- Confirmed
Business Sub	Shows the Business Sub Status of the Instruction.
Status	The possible values are:
	- Lacking securities
	- Waiting for Settlement Date
	- Waiting for Global Collateral Position Update
	- Waiting User Validation
U2A Input	Shows whether the instruction was captured in U2A mode or not.
Being cancelled	Indicator stating that the cancellation of the instruction has been requested. The possible values are:
	- YES
	- NO



Action to Validate	Action required for the validation of the instruction
Is rejected	Indicator stating that the instruction is rejected.
Other details	
MA Settlement Instruction	Button to open the windows with the details of the underlying settlement instruction
Client Asset Positions Movements	Button to open the windows with the details of the underlying Marketable Asset Movement on the Counterparty Asset Account.
Client Asset Position	Button to open the windows with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS.
	Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
	Display format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Action	
Button	Function
Cancel	Button to request the cancellation the Counterparty Marketable Asset Instruction.
Business Validation Errors [n] -Section	
Field	Description
Table with the list of Business Error	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Action	
Button	Function
View	Button to view the details of the Business Error
Receiving/De- livering Settle- ment Parties [n] -Section	
Field	Description
Table with the list of Settlement Parties	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party



Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Action	
Button	Function
View	Button to view the details of the Settlement Party
Notifications	
[n] -Section	
Field	Description
Table with the list of Notifica- tion received and sent	
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Action	
Download	Button to download the Notification Message
View Message	Button to view the Notification Message
	,

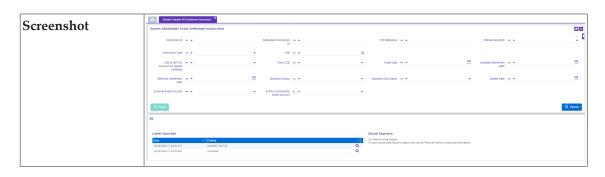
#### 3.3.6.7 Multi-pooling – MA Settlement Transfer Instruction

This section provides information on the MA settlement transfer instructions (market/T2S) generated by the ECMS as a result of the Multi-pooling functionalities.

#### 3.3.6.7.1 Multi-pooling - MA Settlement Transfer Instruction – Search Screen

Context of Usage	This screen is used to search MA Settlement Transfer Instructions. It contains the fields that can be used as search criteria.
	The user captures the fields that correspond to its criteria for searching MA Settlement Transfer Instructions.
	If a field is left blank, the field is not taken into account as a search criteria.
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.
<b>Business Case re-</b>	Search/List/View MA Settlement Transfer Instructions
lated	
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction





MA Settlement Tra	nsfer Instruction – Search screen
Search Criteria	
Field	Description
Instruction Id.	Enter the unique identifier of the instruction.
Settlement Instruction Id.	Enter the identifier of the Settlement Instruction given by the ECMS.
T2S Reference	Enter the identifier of Reference of the T2S Instruction as given by T2S
Instruction Type	Select the Instruction Type from the drop-down menu.
Counterparty Id.	Enter/Select the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilised).
ECMS Counter- party Asset Ac- count	Enter/Select the Reference of the ECMS Counterparty Asset Account on which the asset is (de)mobilised.
ISIN	Enter/Select the ISIN of the Marketable Asset (de)mobilised.
	Required format is: Max. 12 characters
External Asset Account Id	Enter/Select the Reference of the T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
Delivering or Receiving CSD	Enter/Select the BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Delivering or Receiving Party CSD	Enter/Select the BIC of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Business Status	Enter/Select the Business Status of the Instruction.
	The possible values are:
	- Cancelled
	- Sent for Settlement
	- Waiting for NCB manual intervention
	- Instructed
	- Matched
	- Rejected
	- Confirmed
Business Sub	Enter/Select the Business Sub Status of the Instruction.
Status	The possible values are:
	- Lacking securities



	- Waiting for Settlement Date
	- Waiting for Global Collateral Position Update
	- Waiting User Validation
Dates	
Trade Date	Enter/Select the trade date of the instruction. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Intended Settle- ment Date	Enter/Select the business date on which the instruction will be settled. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Effective Settle- ment Date	Enter/Select the business date on which the instruction was settled. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Update Date	Enter/Select the Update date of the instruction. Either the current business date or current business date + 1. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Actions	
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the screen List screen. Next screen:
	<ul> <li>MA Settlement Transfer Instruction – List Screen</li> </ul>

#### 3.3.6.7.1.1 MA Settlement Transfer Instructions – List Screen

Context of Usage	This screen contains a list of MA Settlement Transfer Instructions. This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting).
	The instructions listed correspond to the search criteria captured in the <i>MA Settlement Transfer Instructions – Search</i> screen.
	The list displayed can be sorted and filter by the field displayed.
	The list can be exported.
	By clicking on the "View" button or double clicking on the selected line to display the <i>MA Settlement Transfer Instructions</i> — <i>Details screen</i> with the details of the selected instruction.
Business Case re- lated	Search/List/View MA Settlement Transfer Instructions
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed)





MA Settlement Transfer Instructions – List screen				
Field	Description			
Instruction Id.	Shows the unique identifier of the instruction.			
Settlement Instruction Id.	Shows the identifier of the Settlement Instruction given by the ECMS.			
T2S Reference	Shows the identifier of Reference of the T2S Instruction as given by T2S			
Instruction Type	Shows the Type of Instruction.			
Business Status	Shows the Business Status of the Instruction.			
	The possible values are:			
	- Cancelled			
	- Sent for Settlement			
	- Waiting for NCB manual intervention			
l	- Instructed			
	- Matched			
	- Rejected			
	- Confirmed			
Business Sub Status	Shows the Business Sub Status of the Instruction.			
	The possible values are:			
	- Lacking securities			
	- Waiting for Settlement Date			
	- Waiting for Global Collateral Position Update			
	- Waiting User Validation			
ISIN	Shows the ISIN of the Marketable Asset (de)mobilised.			
	Displayed format is: Max. 12 characters			
Quantity/Position	Shows the Quantity/Position instructed			
Trade Date	Shows the Trade date of the instruction			
Intended Settlement Date	Shows the Intended Settlement Date of the instruction.			
Effective Settlement Date	Shows the Effective Settlement Date of the instruction.			
Delivering or Receiving Party CSD	Shows the BIC of the CSD of the Delivering Party (for mobilisation) or Receiving party (for demobilisation).			
Delivering or Receiving CSD	Shows the BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).			
Being Cancelled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are:			



1		
	- YES	
	- NO	
Updater User Id.	Shows the Identifier of the user responsible for the last update of the instruction.	
Update Date	Shows the Date and time when the instruction was last updated in the ECMS.	
	Display format is: DD/MM/YYYY hh:mm:ss:µs.	
Actions		
Button	Function	
View	This function enables you to view the detail of the selected MA Settlement Transfer instruction.	
	Next screen:	
	- MA Settlement Transfer Instructions - Details Screen.	
History	This function enables you to access to the historical information of an in-	
	struction.	
	Next screen:	
	<ul> <li>MA Settlement Transfer Instructions – History Screen.</li> </ul>	

### 3.3.6.7.1.2 MA Settlement Transfer Instructions – Details Screen

	Ti and the second secon			
Context of Usage	This screen is used struction.	to view all the	details of a MA S	Settlement Transfer In-
	From this screen the lying MA Settlemen			detailed of the under-
Business Case re- lated	Search/List/View MA Settlement Transfer Instructions			
Screen Access	MA Settlement Insthe relevant fields,	truction >> Click if needed) >> V	k on the search b iew a selected M	tion >> Display Transfer outton (after inputting A Settlement Transfer > Click on the <i>View</i>
Screenshot	Los Markenbols Asset Settlement Institutions > Verer Markenbole Asset Transaction Objects Transaction Obje	Testilement instruction  Antiferror instruction of  MINISTRUCTURE  MINISTRUCTURE  TOTAL CREAT ALL PROPERTY OF   THE CONTROL O	Indication For Personal Control of Personal CONTO)  In Administration Dates The set of Personal CONTO)  In Administration Control Control  These Dates  NANISCEED  External Acoust Acoust Control  Personal Social Acoust Control  Personal Social Acoust Control  Personal Social Acoust Control  Personal Social Acoust Control  Personal Social Acoust Control  Recommendation  New Acoust Control  New Acoust Cont	Copin from 72 Accessed to resplace tolerand  IPMEN  TO Informacy  To Inf
	Foot Print			



MA Settlement Transfer Instructions – Details Screen			
Section buttons	Description		
Simple Client Instruction	Section to view the details of the instruction		
Business Validation Errors [n]	Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors		
Receiving/Delivering Settlement Parties [n]	Section to view the details of the Settlement Parties. [n] corresponds to the number of Settlement Parties recorded.		
Notifications [n]	Section to view the details of the related notifications sent and received. [n] corresponds to the number of Notification.		
Simple Client Instr	ructions -Section		
Field	Description		
Transaction Details	3		
Settlement Instruction Id.	Shows the identifier of the Settlement Instruction given by the ECMS.		
T2S Reference	Shows the identifier of Reference of the T2S Instruction as given by T2S		
Instruction Type	Shows the Type of instruction.		
ISIN	Shows the ISIN of the Marketable Asset (de)mobilised.		
	Displayed format is: Max. 12 characters		
ISIN Description	Shows the Name of the Marketable Asset (de)mobilised.		
Quantity/Position	Shows the Quantity/Position instructed		
Trade Date	Shows the Trade date of the instruction		
Intended Settle- ment Date	Shows the Intended settlement date of the instruction.		
Effective Settle- ment Date	Shows the Effective settlement date of the instruction.		
Delivering or Receiving CSD	Shows the BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).		
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.		
Delivering or Receiving Party CSD	Shows the BIC of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).		
Instruction Flags			
Instruction Id.	Shows the unique identifier of the instruction.		
Party's Instruction Reference	Shows the Party's Instruction Reference.		
MASI : Internal reference	Shows the internal reference of the Settlement Instruction given by the ECMS.		
Business Status	Shows the Business Status of the Instruction.		
	The possible values are:		
	- Cancelled		
	- Sent for Settlement		



	- Waiting for NCB manual intervention
	- Instructed
	- Matched
	- Rejected
	- Confirmed
Business Sub	Shows the Business Sub Status of the Instruction.
Status	The possible values are:
	- Lacking securities
	- Waiting for Settlement Date
	- Waiting for Global Collateral Position Update
	- Waiting User Validation
Being cancelled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are:
	- YES
	- NO
Asset Position Deta	nils
Market Asset Posi-	Button to open the windows with the details of the underlying Market As-
tion Movements	set Movements on the Counterparty Asset Account.
Market Asset Position	Button to open the windows with the details of the underlying Marketable
Foot Print	Asset Position update on the T2S Securities Account.
Creation Date	Date and time when the instruction was created in the ECMS.
Creation Date	Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
opuaic Date	
Updater User Id.	Display format is: DD/MM/YYYY hh:mm:ss:µs.  Identifier of the user responsible for the last update of the instruction.
	n Errors [n] -Section
Field	Description
Table with the list	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Action	indicator stating that it the business error is blocking or not.
Button	Function
View	Button to view the details of the Business Error
	ng Settlement Parties [n] -Section
Field	Description
	of Settlement Parties
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party



Account	Account number of the Settlement Party		
Narrative	Narrative identifier of the Settlement Party		
Narrative Type	Type of Narrative identifier of the Settlement Party		
Action			
Button	Function		
View	Button to view the details of the Settlement Party		
Notifications [n] -S	ection		
Field	Description		
Table with the list	of Notification received and sent		
Notification Name	Name of the notification sent or received		
Message Reference	Reference of the notification message		
Direction	Indicator stating that the notification has been received or sent by the ECMS.		
Creation Date	Date and time when the notification was created in the ECMS.		
Update Date	Date and time when the notification was last updated in the ECMS		
Action			
Download	Button to download the Notification Message		
View Message	Button to view the Notification Message		

#### 3.3.6.7.1.3 Market Asset Position Movements – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case re- lated	Multi-pooling
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> View a selected MA Settlement Transfer Instructions >> Select a Multi-pooling Instruction >> Click on the View button >> Click on the Market Marketable Asset Movement button
Screenshot	List Market Marketable Asset Movement

Market Asset Position Movements – List Screen		
Field	Description	
Party's Instruction Reference	Shows the Party's Instruction Reference.	
Instruction Id.	Shows the unique identifier of the instruction.	
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.	
ISIN	Shows the ISIN of the Marketable Asset (de)mobilised.	



	Displayed format is: Max. 12 characters		
Quantity/Position	Shows the Quantity/Position instructed		
Trade Date	Shows the Trade date of the instruction		
Intended Settle- ment Date	Shows the Intended settlement date of the instruction.		
Effective Settle- ment Date	Shows the Effective settlement date of the instruction.		
Being cancelled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are:  - YES  - NO		
Impacted	Shows the Indicator stating that the position has been updated.		
	The possible values are:		
	- YES		
	- NO		
Movement status	Shows the status of the movement		
	Possible values are:		
	- Instructed		
	- Matched		
	- Impacted		
	- Cancelled		
	- Rejected		
Update Date	Shows the date and time of the last update of the Market Eligible Position		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation Date	Shows the date and time of the Creation Date of the Market Eligible Position		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
After selecting a red	cord, the following buttons are displayed		
Button	Function		
View	This function enables you to access to the detailed information of a Market Eligible Position.		
	Next screen:		
	- Market Asset Position Movements - Details Screen.		

### 3.3.6.7.1.4 Market Asset Position Movements – Details Screen

Context of Usage	This screen displays detailed information on the selected Market Eligible Position. You can check the data and proceed further by clicking on the buttons.
Business Case Re- lated	Multi-pooling



Screen Access	MA Settlen the relevan Instructions ton >> Click	s and Positions >> M nent Instruction >> C t fields, if needed) >> s >> Select a Multi-po c on the Market Mar ble Asset Movement by	lick on the search View a selected poling Instruction ketable Asset Mo	n button (a MA Settle n >> Click ovement >>	after inputting ement Transfer on the <i>View</i> but-  >>> Select a <i>Mar</i> -
Screenshot		> View: Market Marketable Asset Movement  Movement Crigin	Impacted Me	External A	AGGET ACCOURT ELVIPPEMONT SEPTEMONT AND COLLABOR.
	150N ERRIZEGZESING Track Duse 3406/2422	Pany's Instruction Reference PICELT0000450  Movement status Jaquated	Intended Sentiement date 88/97/2823 Quantity in FAMT	EUR	Suthervert date 2
	Validity faire 30/129999 Foor Print	Bing Carcelled No			

Market Asset Posit	ion Movements – Details Screen		
Market Eligible Po	sition		
Field	Description		
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.		
ISIN	Shows the ISIN of the security.		
	Displayed format is: Max. 12 characters		
Quantity/Position	Shows the quantity/Position of the asset.		
Trade Date	Shows the Trade date of the instruction		
Intended Settle- ment Date	Shows the Intended settlement date of the instruction.		
Effective Settle- ment Date	Shows the Effective settlement date of the instruction.		
Instruction Id.	Shows the unique identifier of the instruction.		
Party's Instruction Reference	Shows the Party's Instruction Reference.		
Movement status	Shows the status of the movement		
	Possible values are:		
	- Instructed		
	- Matched		
	- Impacted		
	- Cancelled		
	- Rejected		
Footprint			
Creation Date	Shows the date and time of the creation of the Market Eligible Position.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the user that created the Market Eligible Position.		
Update Date	Shows the date and time of the update of the Market Eligible Position.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		



Updater User Id	Shows the identification of the user that made the last update of the Mar-
	ket Eligible Position.

### 3.3.6.7.1.5 Market Asset Position – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.		
Business Case re-	Multi-pooling		
lated			
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> View a selected MA Settlement Transfer Instructions >> Select a Multi-pooling Instruction >> Click on the View button >> Click on the Market Marketable Asset Position button		
Screenshot	Search Result: Market Position		

Market Asset Position – List Screen		
Field	Description	
ISIN	Shows the ISIN of the Marketable Asset (de)mobilised.	
	Displayed format is: Max. 12 characters	
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.	
Quantity/Position	Shows the Quantity/Position instructed	
Position Date	Shows the Position date of the instruction	
Validity Date	Shows the Validity date of the instruction.	
Update Date Shows the date and time of the last update of the Market Eligi		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation Date	Shows the date and time of the Creation Date of the Market Eligible Position	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
After selecting a rec	ord, the following buttons are displayed	
Button	Function	
View	This function enables you to access to the detailed information of a Market Eligible Position.  Next screen:	
	– Market Asset Position – Details Screen.	



### 3.3.6.7.1.6 Market Asset Position – Details Screen

Context of Usage	This screen displays deta Position. You can check t buttons.		· ·	
<b>Business Case Re-</b>	Multi-pooling			
lated				
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> View a selected MA Settlement Transfer Instructions >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button >> Click on the <i>Market Marketable Asset Position</i> button >> Select a Market Marketable Asset Position >> Click on the <i>View</i> button			
Screenshot	Search Result: Market Position > View: Market Position  Datemal Asset Account  FR9999SICVEPPERGETERPPEGULARCOLLATRI	ISM FR8114211996	Curecy ETR	
	Position Date INVESTIGATION IN	Querring to PAME	Late recomment Purty 1 broadsten Reference POST (1988)	

Market Asset Position – Details Screen			
Market Eligible Position			
Field	Description		
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.		
ISIN	Shows the ISIN of the security.		
	Displayed format is: Max. 12 characters		
Currency	Shows the currency of the instruction.		
Quantity/Position	Shows the quantity/Position of the asset.		
Position Date	Shows the Position date of the instruction		
Last Movement Party's Instruction Reference	Shows the Last Movement of the Party's Instruction Reference		
Last Movement Instruction Id	Shows the Last Movement of the Instruction ID		
Footprint			
Creation Date	Shows the date and time of the creation of the Market Eligible Position.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the user that created the Market Eligible Position.		
Update Date	Shows the date and time of the update of the Market Eligible Position.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id	Shows the identification of the user that made the last update of the Market Eligible Position.		



### 3.3.7 Triparty

#### 3.3.7.1 Triparty Transactions

Triparty collateral Management services (TCMS) are provided by Triparty agents (TPAs) and allow counterparties to optimise the use of their portfolios of securities when collateralising credit and other exposures across different products and instruments.

A Triparty Transaction is an agreement between Collateral Giver and Collateral Taker (Refinancing NCB) that is made to allocate securities and is executed by the Triparty agent.

Triparty transaction can be used for the collateralisation of Central Bank Operations. The collateral mobilised through Triparty Transaction is affected to the Pool of the Counterparty.

A Triparty Transaction is created in the ECMS by a National Central Bank upon the request of a Counterparty. This Triparty Transaction is operated by a Triparty Agent that makes use of it to provide its collateral management services to the Counterparty.

A transaction is initiated, can be updated and terminated. When a transaction is initiated, agreed on by both parties, accepted and declared valid by the Triparty Agent, the lifecycle of the transaction starts. The transaction normally lasts as long as the underlying deal. At the end of its lifecycle a transaction is closed.

#### 3.3.7.1.1 Triparty Transactions – Search Screen

Context of Usage	This screen is used to search Triparty Transactions. It contains the fields that can be used as search criteria.		
	The user captures the fields that correspond to its criteria for searching Triparty Transactions.		
	If a field is left blank, the field is not taken into account as a search criterion.		
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.		
Business Case re- lated	View Triparty Transaction Related Information		
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Triparty Transactions or the information that requires 4 eyes validation before they can be considered valid in the system.		
	For valid information:		
	Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display		
	For information subject to a 4 eyes validation:		
	Collateral and Credit >> Validation / Rejection >> Triparty Transaction		
Screenshot	© Triperty Transaction Create / Display  Triperty Transaction Create / Display		
	Triperty Transaction of • • • Triperty Transaction • • Triperty Agent • • • Triperty Agent • • • • • • • • • • • • • • • • • • •		
	College Given to T25 Stemal Asset Account		
	Topany Cross NCS ▼		
	To Date:		
	Balling Balling		

Triparty Transactions – Search screen	
Field	Description



Triparty Transac-	Enter the Triparty Transaction Id. automatically assigned by the system.
tion Id	Required format is: Max. 35 characters
Triparty Transac-	Enter the reference of the triparty transaction.
tion Reference	Required format is: Max. 52 characters
Triparty Agent	Enter / Select the RIAD Code of the Triparty Agent.
	Required format is: Max. 35 characters
Refinancing NCB	Enter / Select the RIAD Code of the Refinancing NCB.
	Required format is: Max. 35 characters
Collateral Giver Id	Enter / select the identifier of the Collateral Giver as given by the Triparty Agent.
	Required format is: Max. 35 characters
Pool Identifier	Enter / select the Reference of the Counterparty's Pool or the RIAD Code of the Counterparty to which the amount of the transaction is added.
	Required format is: Max. 255 characters
T2S External Asset Account	Enter / select the reference of the T2S External Asset Account where the securities allocated to the transaction are held
	Required format is: Max. 35 characters
Triparty Cross	Enter or Select the RIAD Code of the Cross NCB (if any).
NCB	Required format is: Max. 35 characters
Validity Start Date	Enter the date from which the Triparty Transaction is valid. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Triparty Transaction is not valid anymore. The calendar icon can also be used. Required format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the current date and equal to or later than the Validity Start Date.
Creation Date	Enter the date of the creation of the Triparty Transaction. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Update Date	Enter the date of the Triparty Transaction last update. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Actions	
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	To start a search according to the criteria entered. The results are displayed in a list on a screen below.
Create	This function enables the user to Create a new Triparty Transaction.

# 3.3.7.1.1.1 Triparty Transactions – List Screen

Context of Usage	This screen contains a list of Triparty transactions.
	The transactions listed correspond to the search criteria captured in the <i>Triparty transactions – Search</i> screen.
	The list displayed can be sorted and filter by the field displayed.
	The list can be exported.



	By clicking on the "View" button or double clicking on the selected line, the user can display the <i>Triparty Transactions – Details screen</i> with the details of the selected transaction.		
<b>Business Case re-</b>	View Triparty Transaction Related Information		
lated			
Screen Access	Depending how the screen is accessed, it can be used to list the valid in- ormation of the Triparty Transactions or the information that requires 4 yes validation before they can be considered valid in the system.		
	For valid information:		
	Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display >> Click on the Search button (after inputting the relevant Data, if needed)		
	For information subject to a 4 eyes validation:		
	Collateral and Credit >> Validation / Rejection >> Triparty Transaction >> Click on		
	the search button (after inputting the relevant Data, if needed)		
Screenshot	Search Result: TPA Transaction Setup		
	Houry Edit • Vor		

Triparty Transactions – List screen		
Field	Description	
Triparty Transaction Id	Shows the Triparty Transaction Id. automatically assigned by the system	
Triparty Transac-	Shows the reference of the triparty transaction.	
tion Reference	Displayed format is: Max. 52 characters	
Triparty Agent	Shows the RIAD Code of the Triparty Agent.	
	Displayed format is: Max. 35 characters	
Refinancing NCB	Shows the RIAD Code of the Refinancing NCB.	
	Displayed format is: Max. 35 characters	
Collateral Giver Id	Shows the identifier of the Collateral Giver as given by the Triparty Agent.	
	Displayed format is: Max. 35 characters	
Pool Identifier	Shows the Reference of the Counterparty's Pool and the RIAD Code of the Counterparty to which the amount of the transaction is added.	
	Displayed format is: Max. 255 characters	
T2S External Asset Account	Shows the reference of the T2S External Asset Account where the securities allocated to the transaction are held	
	Displayed format is: Max. 35 characters	
Triparty Cross	Shows the RIAD Code of the Cross NCB (if any).	
NCB	Displayed format is: Max. 35 characters.	
Validity Start Date	Shows the date from which the Triparty Transaction is valid.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Validity End Date	Shows the date from which the Triparty Transaction is not valid anymore.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	



Identifier of the user responsible for the last update of the transaction.
Shows the date of the creation of the Triparty Transaction.
Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Date and time when the transaction was last updated in the ECMS.
Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Function
This function enables the user to view the detailed of the selected transaction.
The Details screen is displayed replacing the current screen.
This function enables the user to edit the selected transaction.
This function enables the user to view the History of the selected transaction.
d in the 4 eyes validation context, the following buttons are also displayed elected
Function
In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
This function enables the user to view the draft record to be validated.
Next screen:
Triparty Transactions – Details Screen.
This function allows the user to edit and modify the draft.
Next screen:
Triparty Transactions – Edit Screen.
This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
This function allows the user to reject the creation or update of the record. The draft will be deleted.

# 3.3.7.1.1.2 Triparty Transactions – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Triparty Transaction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Triparty &gt;&gt; Triparty Transaction Create / Display &gt;&gt; Click on the <i>search</i> button (after inputting the relevant Data, if needed) &gt;&gt; Select a Triparty Transaction &gt;&gt; Click on the <i>History</i> button</li> </ul>
	<ul> <li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Triparty &gt;&gt; Triparty Transaction Create / Display &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Triparty Transaction &gt;&gt; Click on the View button (Triparty Transaction –Details Screen) &gt;&gt; Click on the History Button</li> </ul>



Screenshot	horizontalkiisturyiResult: TPA Transaction Setup History		
Screenshot		Q 1) 🐯 to 200 Offences Only Depart M. Compare	
	Freids	TINITSCOORCOCCOLIC 321 0407 (72 1923 7 28)	
	Triperty Transaction id Validity Start Date	That 1000 (600 (600 (600 (600 (600 (600 (600	
	Is Rejected	No.	
	Triperty Transaction Reference Action To Validate	OCSOTPARETRIBUCIÓN	
	Action To Validate Party's Instruction Reference		
	Validity End Date		
	Triperty Cross NCB Refinancing NCB		
	Main Reference	19	
	T25 External Asset Account	(\$5)0CS0TPHSAC001	
	Pool Identifier Rejection Reason	POOL00000000049	
	Triparty Agent	79	
	Collateral Giver Id	10	
	Validation Status Constinu Date	67/04/2071 321938738	
	Creation User Id	STP	
	(0) difference(s) found.		
Triparty Transaction	1 – History	Screen	
Field	Description	on	
Fields	Shows the	e fields whose information have been updated since the creation	
1 ICIGS	SHOWS the	neids whose information have been updated since the creation	
	of the sele	ected Triparty Transaction.	
	or tric sere	eted Hiparty Hansaction.	
Timestamp	Shows the	e date and time when the fields have been updated.	
	Displayed	l format is: DD/MM/YYYY hh:mm:ss:μs.	
Button	Description	on	
Compare	Showe the	e differences between the selected records whose data have been	
Compare	SHOWS the	differences between the selected records whose data have been	
	updated.		
	apaatea.		
Expand All	Shows the	e expanded information of the fields whose information have	
Expand 7111		1	
	been unda	ated since the creation of the selected Triparty Transaction.	
	been apar	ated since the election of the selected impurty fransaction.	
Show All	Shows the	e information that existed before each modification of all the	
SHOW 7th			
	fields rega	arding the selected Triparty Transaction.	
	inclus regu	aranig the selected impurty fransaction.	
Collance All	Collance	he expanded information of the fields that have been undeted	
Collapse All	Collapse the expanded information of the fields that have been updated		
	since the	creation of the selected Triparty Transaction.	
	since the C	realion of the selected imparty Transaction.	
Show Differences	Showe on	ly the fields whose information have been updated since the	
Show Differences	DITOWS OIL	ry the helds whose information have been updated since the	
Only	creation o	f the selected Triparty Transaction	
		THIC SCICLICA THUGHV HAHSACHUH	

# 3.3.7.1.1.3 Triparty Transactions – Details Screen

<b>Context of Usage</b>	This screen displays the details of a Triparty Transactions.
Business Case re- lated	View Triparty Transaction Related Information
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the Triparty Transactions or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:
	Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display >> Click on the Search button (after inputting the relevant Data, if needed) >> Select a Record >> Click on the View button
	For information subject to a 4 eyes validation:
	Collateral and Credit >> Validation / Rejection >> Triparty Transaction >> >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Record >> Click on the View button





Triparty transaction	Triparty transactions – Details screen		
Field	Description		
TPA References			
Triparty Transac- tion Id	Shows the Triparty Transaction Id. automatically assigned by the system		
Triparty Transac-	Shows the reference of the triparty transaction.		
tion Reference	Displayed format is: Max. 52 characters		
Triparty Agent	Shows the RIAD Code and the short name of the Triparty Agent.		
	Displayed format is: Max. 35 characters		
Counterparty Deta	ils		
Refinancing NCB	Shows the RIAD Code and the short name of the Refinancing NCB of the Counterparty.		
	Displayed format is: Max. 35 characters		
Collateral Giver Id	Shows the identifier of the Collateral Giver as given by the Triparty Agent.		
	Displayed format is: Max. 35 characters		
Pool Identifier	Shows the Reference of the Counterparty's Pool and the RIAD Code of the Counterparty to which the amount of the transaction is added.		
	Displayed format is: Max. 255 characters		
T2S External Asset Account	Shows the reference of the T2S External Asset Account where the securities allocated to the transaction are held		
	Displayed format is: Max. 35 characters		
Triparty Cross NCB	Shows the RIAD Code and the short name of the NCB in charge of the relation with the Triparty Agent.		
	Displayed format is: Max. 35 characters		
Validation Details			
Action To Validate	Shows if there is any action waiting for validation.		
Rejected	Shows if any action subject to validation has been rejected. The possible values are:		
	- Yes		
	- No		
Dates			
Validity Start Date	Shows the date from which the Triparty Transaction is valid.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs		
Validity End Date	Shows the date from which the Triparty Transaction is not valid anymore.		



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs	
Foot Print		
Creation Date	Shows the date and time when the Transaction was created in the ECMS.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs	
Creation User Id.	Shows the Identifier of the user responsible for the creation of the Transac-	
	tion.	
Update Date	Shows the date and time when the Transaction was last updated in the	
	ECMS.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs	
Updater User Id.	Shows the Identifier of the user responsible for the last update of the	
	Transaction.	

### 3.3.7.2 Triparty Collateral Movements

A Triparty Collateral movement represents the transaction value changes (increases or decreases) of a given Triparty Transaction during a given period. It contains the different figures (transaction amount, collateral value, etc.) used to determine the collateral value of the Triparty Transaction in the Pool of the Counterparty after the movement.

The ECMS keeps track of the history of valuations. For this purpose, a given Triparty Collateral Movement record is only valid for a particular time-lapse.

#### 3.3.7.2.1 Triparty Collateral Movements – Search Screen

Context of Usage	This screen is used to search Triparty Collateral Movements (increases or decreases). It contains the fields that can be used as search criteria.
	The user captures the fields that correspond to its criteria for searching Triparty Collateral Movements.
	If a field is left blank, the field is not taken into account as a search criterion.
	Once search criteria have been filled, by clicking on the search button, the list of Collateral Movements fulfilling the criteria will be displayed.
Business Case re-	View Triparty Transaction Related Information
lated	
Screen Access	Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Move-
	ments
Screenshot	Control Control Movement   Con
	O final

Triparty Collateral Movements- Search screen		
Field	Description	
Pool Move-	Enter the Pool Movement Identifier.	
ment Id	Required format is: Max. 35 characters	
Pool Identi-	Enter / Select the Reference of the Counterparty's Pool.	
fier	Required format is: Max. 255 characters	



Instruction	Enter or select the instruction reference that originated the movement.
Reference	Required format is: Max. 35 characters
Triparty	Enter the reference of the triparty transaction.
Transaction Reference	Required format is: Max. 52 characters
Triparty Col-	Select the last related Triparty Collateral Movement Status from possible values:
lateral Move- ment Status	- Pool Impacted
ment Status	- Instruction Cancelled
	- Rejected
	- Waiting CLM confirmation
	Decrease waiting confirmation
	- Confirmed
	- Not enough collateral
Value update	Select the reason of the last transaction value update:
reason	- Triparty Collateral Increase
	- Triparty Collateral Decrease
	- Closure of Triparty Transaction
	- ECMS Revaluation
	– Unilateral Removal Request
	Enter the Validity Start Date/Time of the triparty Collateral Movement.
Date	Required format is: DD/MM/YYYY
Validity End	Enter the Validity End Date/Time of the triparty Collateral Movement.
Date	Required format is: DD/MM/YYYY
	The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Enter the Date and time when the transaction value was created in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Enter the Date and time when the transaction value was last updated in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	– Triparty Collateral Movements– List Screen

# 3.3.7.2.1.1 Triparty Collateral Movements- List Screen

(	Context of Usage	This screen contains a list of Triparty Collateral Movements.
		The values listed correspond to the search criteria captured in the <i>Triparty Collateral Movements— Search</i> screen.
		The list displayed can be sorted and filter by the field displayed.



	The list can	be exporte	ed.					
	By clicking on the "View" button or double clicking on the selected line, the user can display the <i>Triparty Collateral Movements – Details Screen</i> with the details of the selected transaction value.							
Business Case re-	View Tripa	rty Transac	ction Rela	ited Info	rmati	on		
lated		J						
Screen Access						-	1 ,	Collateral Move- t Data, if needed)
Screenshot	Search Result : Pool Movement  10  Triparty Transaction Instruction Refer	ali Peol Movement ID P Pool Identifier C		< Page 1 of 2 > >  Type 6 ♥ Transaction Amou⊋	ECMS Collateral VáZ Co	offaneral value ap 🗸 Pool	Variation ◆ ▽ Triparty Collare	Q ≥ ★ □ □ □ () ♥ □    Q > □ ★ □ □ □ () ♥ □    Q   □ ★ □ □ □ () ♥ □
	TPATRANSACTIONIA.  TPATRANSACTIONIA. MANCLO2  TPADOUBLETRANSA. MANCLO1  TPATRANSACTIONIA.	POOLMYT00022776 POOL00000000000000000000000000000000000	Triparty Collateral De. Triparty Co Triparty Collateral De. Triparty Co	steral 0	19,320 0 9,384	9,384	19,320 Confirmed 9,384 Confirmed 0 Instruction Waitin 9,384 Confirmed	27/04/2021 17:06:07. 27/09/2021 18:00:01. ECMS/Operational Op 27/09/2021 17:06:09. 27/09/2021 17:22:05. STP 9/V. 27/09/2021 17:05:46. 27/09/2021 16:06:06. STP 27/09/2021 16:48:07. 27/09/2021 16:40:05. STP
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						111,320	91,320 Confirmed	23/09/2021 18:92:11 23/09/2021 19:01:03 STP

Triparty Colla	nteral Movements- List screen
Field	Description
Pool Move-	Shows the Pool Movement Identifier.
ment Id	Displayed format is: Max. 35 characters
Pool Identi-	Shows the Reference of the Counterparty's Pool.
fier	Displayed format is: Max. 255 characters
Instruction	Shows the instruction reference that originated the movement.
Reference	Displayed format is: Max. 35 characters
Triparty	Shows the reference of the triparty transaction.
Transaction Reference	Displayed format is: Max. 52 characters
ECMS Collateral Value	Shows the actual Collateral Value of the allocated securities as calculated by ECMS
Transaction Amount	Shows the last Intended Transaction Amount.
Collateral Value Ap- plied	Shows the Collateral Value retained in the ECMS pool of the Counterparty.
Pool Vari- ation	Shows the variation of the collateral value applied in the Triparty Transaction.
Triparty Collateral Move-	Shows the last related Triparty Collateral Movement Status from possible values:
ment Status	- Pool Impacted
	- Instruction Cancelled
	- Rejected
	- Waiting CLM confirmation
	Decrease waiting confirmation
	- Confirmed
	- Not enough collateral



Value update	Shows the reason of the last transaction value update:
reason	- Triparty Collateral Increase
	- Triparty Collateral Decrease
	- Closure of Triparty Transaction
	– ECMS Revaluation
	– Unilateral Removal Request
Validity Start	Shows the Validity Start Date/Time of the triparty Collateral Movement.
Date	Displayed format is: DD/MM/YYYY
Validity End	Shows the Validity End Date/Time of the triparty Collateral Movement.
Date	Displayed format is: DD/MM/YYYY
Creation	Shows the Date and time when the transaction value was created in the ECMS.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the Date and time when the transaction value was last updated in the ECMS.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the Identifier of the user responsible for the last update of the Collateral Movement.
Button	Function
View	This function enables you to access to the detailed information of a Triparty Collateral Movement.
	Next screen:
	– Triparty Collateral Movements – Details Screen
History	This function enables you to access to the historical information of a Triparty Collateral Movement.
	Next screen:
	– Triparty Collateral Movements – History Screen
l	

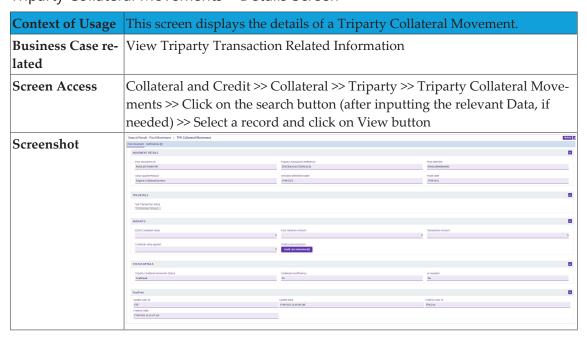
# 3.3.7.2.1.2 Triparty Collateral Movements – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Triparty Collateral Movements. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Triparty &gt;&gt; Triparty Collateral Movements &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Triparty Collateral Movement &gt;&gt; Click on the History button</li> </ul>
	<ul> <li>Collateral and Credit &gt;&gt; Collateral &gt;&gt; Triparty &gt;&gt; Triparty Collateral Movements &gt;&gt; Click on the search button (after inputting the relevant Data, if needed) &gt;&gt; Select a Triparty Collateral Movement &gt;&gt; Click on the View button (Triparty Collateral Movement –Details Screen) &gt;&gt; Click on the History Button</li> </ul>



Screenshot	borizonta/Kistoy/Result : Pool Movement History							
Screenshot	Q. 1 to the layer to the control company							
	73/03		2		pout Militaria			
	Instruction Status	Confirmed	Waiting CLM Confirmation	CreditLineCreationAndLockManagement	Instruction Waiting Validation	start		
	Amount Last Movement	0	0	0				
	Pool Variation Amount	0	•	0				
	Amount With Hair Out In Lot Our	0	0	0				
	Business Status	Waiting T2 CONFIRMATION	Waiting T2 CONFIRMATION	Waiting Settlement Confirmation				
	Granted Amount ECMS Collaboral Value	0	•	0				
	Is Valuated	Yes	Yes	Yes	No	No		
	Last Notification In	190001003	190001003	190901003	190001003			
	Last Pool Position	P00LP0500003216 27/09/2021 11:35:08:265	P00LP0500003216 27/09/2021 11:32:07:902	P00LP0500003216 27/09/2021 11:32:07:170	27/09/2021 11:31:58:119	27/09/2021 11:91:57:124		
	Creation User Id	STP	27/09/2021 11:32:07:902 TPW/her	TPAUser	27/09/2021 11:31:50:119 TPMUser	27/09/2021 11:31:31:124 TPAUser		
	(13) difference(s) found.							
Triparty Collateral	Movement	– History Sc	reen					
Field	Descripti	on						
Fields			e information		updated sir	nce the creation		
Timestamp	Shows the	date and tin	ne when the f	ields have b	een update	ed.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Button	Description							
Compare	Shows the differences between the selected records whose data have been updated.							
Expand All		•	nformation of t			mation have llateral Move-		
Show All	Shows the information that existed before each modification of all the fields regarding the selected Triparty Collateral Movement.							
Collapse All	1 -		information e selected Tri			peen updated nent.		
Show Differences Only		2	whose inform Triparty Col			ed since the		

### 3.3.7.2.1.3 Triparty Collateral Movements – Details Screen



#### Triparty Collateral Movements- Details screen



Button	Description
History	This function enables you to access to the historical information of a Triparty Collateral Movement.
	Next screen:
	– Triparty Collateral Movements – History Screen
Field	Description
Transaction Ge	eneral Information
Pool Move-	Shows the Pool Movement Identifier.
ment Id	Displayed format is: Max. 35 characters
Pool Identifier	Shows the Reference of the Counterparty's Pool.
	Displayed format is: Max. 255 characters
Intended Set-	Shows the Intended Settlement Date of the Movement.
tlement Date	Displayed format is: DD/MM/YYYY
Trade Date	Shows the Trade Settlement Date of the Movement.
	Displayed format is: DD/MM/YYYY
Instruction	Shows the instruction reference that originated the movement.
Reference	Displayed format is: Max. 35 characters
Collateral	Shows the RIAD Code of the Collateral Giver as given by the Triparty Agent.
Giver Id	Displayed format is: Max. 35 characters
Triparty	Shows the reference of the triparty transaction.
Transaction Reference	Displayed format is: Max. 52 characters
ECMS Collat-	Shows the actual Collateral Value of the allocated securities as calculated by
eral Value	ECMS
MOVEMENT I	
Transaction Amount	Shows the last Intended Transaction Amount.
TPA Collateral Value	Shows the Collateral Value of the allocated securities as calculated by the TPA.
Collateral Value Applied	Shows the Collateral Value retained in the ECMS pool of the Counterparty.
Pool Variation	Shows the variation of the collateral value applied in the Triparty Transaction.
Triparty Col-	Shows the last related Triparty Collateral Movement Status from possible val-
lateral Move-	ues:
ment Status	- Pool Impacted
	- Instruction Cancelled
	- Rejected
	- Waiting CLM confirmation
	- Decrease waiting confirmation
	- Confirmed
1	- Not enough collateral
Value Update	Shows the reason of the last transaction value update:
Reason	- Triparty Collateral Increase



- Closure of Triparty Transaction - ECMS Revaluation - Unilateral Removal Request  Validity Start Date  Shows the Validity Start Date/Time of the triparty Collateral Movement. Displayed format is: DD/MM/YYYY  Validity End Date  Shows the Validity End Date/Time of the triparty Collateral Movement. Displayed format is: DD/MM/YYYY  Status Details  Is Valuated  Shows if the movement is valuated from possible values: - Yes - No  Collateral Insufficiency - Yes - No  Footprint  Creation User Id Movement.  Creation Date  Shows the Identifier of the user responsible for the creation of the Collateral Movement.  Creation Date  Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs  Update Date  Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs  Updater User Id Movement.  Trigger of the update of the Triparty Transaction Value  View Colr.021  This button is displayed if the Collateral Movement was updated because of a decrease/closure request.  The List of colr.021 Decrease/Closure Request from TPA – Details screen is displayed.  View Colr.024  Cancellation Instruction  The List of colr.024 Cancellation Instruction from TPA – Details screen is displayed.		- Triparty Collateral Decrease
- ECMS Revaluation - Unilateral Removal Request  Validity Start Date Displayed format is: DD/MM/YYYY  Validity End Date Displayed format is: DD/MM/YYYY  Shows the Validity End Date/Time of the triparty Collateral Movement. Displayed format is: DD/MM/YYYY  Status Details  Is Valuated Shows if the movement is valuated from possible values: - Yes - No  Collateral In- sufficiency - Yes - No  Footprint  Creation User Id Movement.  Shows the Identifier of the user responsible for the creation of the Collateral Movement.  Creation Date  Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:jus  Update Date Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:jus  Updater User Id Movement.  Trigger of the update of the Triparty Transaction Value  View Colr.021 from TPA This button is displayed if the Collateral Movement was updated because of a decrease/closure request. The List of colr.021 Decrease/Closure Request from TPA – Details screen is displayed.  View Colr.022 Report on Flow The List of colr.024 Cancellation Instruction from TPA – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the reception of a report on flow. The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the reception of a report on flow. The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the Status Advice. The List of colr.020 Status Advice – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the Removal Request.		
Unilateral Removal Request		<u> </u>
Validity Start Date Displayed format is: DD/MM/YYYY  Validity End Date Displayed format is: DD/MM/YYYY  Validity End Date Displayed format is: DD/MM/YYYY  Status Details Is Valuated Shows the Validity End Date/Time of the triparty Collateral Movement. Displayed format is: DD/MM/YYYY  Status Details Is Valuated Shows if the movement is valuated from possible values:  - Yes - No  Collateral Insufficiency - Yes - No  Footprint Creation User Id Movement.  Creation Date Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs  Update Date Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs  Updater User Id Movement.  Trigger of the update of the Triparty Transaction Value  View Colr.021 If is button is displayed if the Collateral Movement was updated because of a decrease/closure request. The List of colr.021 Decrease/Closure Request from TPA – Details screen is displayed.  View Colr.024 Cancellation Instruction Instruction Flow The List of colr.024 Cancellation Instruction from TPA – Details screen is displayed.  View Colr.022 Report on Flow The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.022 This button is displayed if the transaction value was updated because of the reception of a report on flow. Flow The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the reception of a report on flow. Flow The List of colr.025 Report on Flow from TPA – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.020 Status Advice – Details screen is displayed.  View This button is displayed if the transaction value was updated because of the Status Advice.  This button is displayed if the transaction value was updated because of the Status Advice.  This		
Date Displayed format is: DD/MM/YYYY  Validity End Date Displayed format is: DD/MM/YYYY  Status Details  Is Valuated Shows if the movement is valuated from possible values:  - Yes - No  Collateral Insufficiency - Yes - No  Footprint  Creation User Id Movement.  Creation Date Shows the Identifier of the user responsible for the creation of the Collateral Movement.  Creation Date Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh.mm:ss:µs  Update Date Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh.mm:ss:µs  Updater User Shows the Identifier of the user responsible for the last update of the Collateral Movement.  Trigger of the update of the Triparty Transaction Value  View Colr.021 This button is displayed if the Collateral Movement was updated because of a decrease/closure request.  The List of colr.021 Decrease/Closure Request from TPA – Details screen is displayed.  View Colr.022 This button is displayed if the transaction value was updated because of the reception of a report on flow.  Flow The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.022. This button is displayed if the transaction value was updated because of the reception of a report on flow.  Flow The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the reception of a report on flow.  Flow The List of colr.025 Report on Flow from TPA – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.020 Status Advice – Details screen is displayed.  View Colr.021 This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.020 Status Advice – Details screen is displayed.	Validity Start	
Shows the Validity End Date/Time of the triparty Collateral Movement.  Displayed format is: DD/MM/YYYY  Status Details  Is Valuated Shows if the movement is valuated from possible values:  - Yes - No  Collateral Insufficiency - Yes - No  Footprint  Creation User Id Movement.  Creation Date Shows the Identifier of the user responsible for the creation of the Collateral Movement.  Creation Date Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs  Update Date Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs  Updater User Id Movement.  Trigger of the update of the Triparty Transaction Value  This button is displayed if the Collateral Movement was updated because of a decrease/closure request.  The List of colr.021 Decrease/Closure Request from TPA – Details screen is displayed.  View Colr.024  Cancellation Instruction Flow The List of colr.024 Cancellation Instruction from TPA – Details screen is displayed.  View Colr.025 Report on Flow The List of colr.026 Report on Flow from TPA – Details screen is displayed.  View Colr.020 This button is displayed if the transaction value was updated because of the reception of a report on flow.  The List of colr.021 Report on Flow from TPA – Details screen is displayed.  View Colr.020 This button is displayed if the transaction value was updated because of the reception of a report on flow.  The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.020.  This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.021 Status Advice – Details screen is displayed.  View Colr.020.  This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.020 Status Advice – Details screen is displayed.	_	
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Status Details  Is Valuated  Shows if the movement is valuated from possible values:  - Yes  - No  Collateral Insufficiency  Shows from possible values:  - Yes  - No  Footprint  Creation User Id  Movement.  Creation Date Shows the Identifier of the user responsible for the creation of the Collateral Movement.  Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs  Update Date Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs  Shows the Identifier of the user responsible for the last update of the Collateral Movement.  Trigger of the update of the Triparty Transaction Value  Ujew Colr.021  This button is displayed if the Collateral Movement was updated because of a decrease/closure request.  The List of colr.021 Decrease/Closure Request from TPA – Details screen is displayed.  View Colr.022  Report on Flow  The List of colr.024 Cancellation Instruction from TPA – Details screen is displayed.  The List of colr.022 Report on Flow from TPA – Details screen is displayed.  The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.020.  This button is displayed if the transaction value was updated because of the reception of a report on flow.  The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.020.  This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.020 Status Advice – Details screen is displayed.  View Colr.020.  This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.020 Status Advice – Details screen is displayed.  View Colr.020.  This button is displayed if the transaction value was updated because of the Removal Request.	_	
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- Yes - No  Collateral Insufficiency  Shows from possible values: - Yes - No  Footprint  Creation User Id Movement.  Creation Date Shows the Identifier of the user responsible for the creation of the Collateral Movement.  Creation Date Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss::µs  Update Date Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:;µs  Updater User Id Movement.  Trigger of the update of the Triparty Transaction Value  View Colr.021 This button is displayed if the Collateral Movement was updated because of a decrease/closure request.  The List of colr.021 Decrease/Closure Request from TPA – Details screen is displayed.  View Colr.024 This button is displayed if the transaction value was updated because of a cancellation Instruction  The List of colr.024 Cancellation Instruction from TPA – Details screen is displayed.  View Colr.022 This button is displayed if the transaction value was updated because of the reception of a report on flow.  The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.022 Report on Flow from TPA – Details screen is displayed.  View Colr.020. This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.020 Status Advice – Details screen is displayed.  View Colr.024. Re- Removal Request.		
- No  Collateral Insufficiency  Shows from possible values:  - Yes  - No  Footprint  Creation User Id Movement.  Shows the Identifier of the user responsible for the creation of the Collateral Movement.  Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:μs  Update Date  Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:μs  Updater User Id Movement.  Trigger of the update of the Triparty Transaction Value  View Colr.021  This button is displayed if the Collateral Movement was updated because of a decrease/closure request.  The List of colr.021 Decrease/Closure Request from TPA − Details screen is displayed.  View Colr.022  Report on Flow  The List of colr.022 Report on Flow from TPA − Details screen is displayed.  View Colr.020.  This button is displayed if the transaction value was updated because of the reception of a report on flow.  The List of colr.022 Report on Flow from TPA − Details screen is displayed.  View Colr.020.  This button is displayed if the transaction value was updated because of the reception of a report on flow.  The List of colr.022 Report on Flow from TPA − Details screen is displayed.  View Colr.020.  This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.020 Status Advice − Details screen is displayed.  View Colr.020 This button is displayed if the transaction value was updated because of the Status Advice.  The List of colr.020 Status Advice − Details screen is displayed.	Is Valuated	•
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View REDA.074. Re- Removal Request.		
REDA.074. Re-		The List of colr.020 Status Advice – Details screen is displayed.
manual Danuard	View	This button is displayed if the transaction value was updated because of the
moval Request   The List of colr.074 Removal Request – Details screen is displayed.		Removal Request.
1 1	moval Request	The List of colr.074 Removal Request – Details screen is displayed.



TPA Details	This button is displayed for showing the TPA Transaction details screen.
	The Triparty Transactions – Details Screen is displayed

### 3.3.7.3 Triparty Collateral Positions

Triparty Collateral Positions represent the assets that have been mobilised as collateral in the context of a triparty transaction.

Together with the allocated securities, the ECMS also records the collateral values of each asset as indicated by the TPA and as calculated internally.

### 3.3.7.3.1 Triparty Collateral Positions – Search Screen

C + + (II		
Context of Usage	This screen is used to search Triparty Collateral Positions. It contains the fields that can be used as search criteria.	
	The user captures the fields that correspond to its criteria for searching Triparty Collateral Positions.	
	If a field is left blank, the field is not taken into account as a search criterion.	
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.	
Business Case re-	View Triparty Transaction Related Information	
lated		
Screen Access	Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Positions	
Screenshot	Tray: Collected Fraction: 0  TPA Collected Position: 0	
	Podificialize • Country • Podificialize • • Tipany Aget • • •	
	Refinancing NCB   ▼ Triparty Transaction   ▼ Information Informat	
	to Mature ▼▼   Latest foliation ▼▼   Size ▼▼   Q Feation Start Date ▼▼   Proces	
	Position End Dec •• ▼	
	Q touri	

Triparty Collateral Positions - Search screen		
Field	Description	
Pool Identifier	Enter / Select the Reference of the Counterparty's Pool to which the amount of the transaction is added.	
	Required format is: Max. 255 characters	
Counterparty	Enter or Select the RIAD Code + Party Short Name of the Counterparty.	
	Required format is: Max. 70 characters	
Position Nature	Enter or Select the nature of the position from possible values:	
	- Return	
	– Initiation	
Triparty Agent	Enter or Select the RIAD Code of the Triparty Agent.	
	Required format is: Max. 35 characters	
Refinancing NCB	Enter or Select the RIAD Code of the Refinancing NCB.	
	Required format is: Max. 35 characters	



Triparty Cross	Enter or Select the RIAD Code of the Cross NCB (if any).	
NCB	Required format is: Max. 35 characters	
Triparty Transac-	Enter the reference of the triparty transaction.	
tion Reference	Required format is: Max. 52 characters	
Is Valuated	Enter or Select if it is valuated or not.	
Is Mature	Enter or Select if it is mature or not.	
Latest Valuation Process	Enter the latest valuation process.	
ISIN	Enter the identifier of the security.	
	Required format is: Max. XX characters	
Position Start Date	Enter the Date/Time when the securities started to be allocated or use the calendar icon.	
	Required format is: DD/MM/YYYY	
Position End Date	Enter the Date/Time when the securities ended to be allocated or use the calendar icon.	
	Required format is: DD/MM/YYYY	
Removal Request	Select to search only the securities requested to be removed by the refinancing NCB from possible values:	
	- Yes	
	- No	
Eligibility	Select to search only the securities that are eligible or ineligible from possible values:	
	- Yes	
	- No	
Close Link	Select if a forbidden close link has been detected from possible values:	
	- Yes	
	- No	
Button	Function	
Reset	To clear the search criteria and close the result windows if any	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	
	Next screen:	
	– Triparty Collateral Positions– List Screen	

# 3.3.7.3.1.1 Triparty Collateral Positions – List Screen

<b>Context of Usage</b>	This screen contains a list of Triparty Collateral Positions.	
	The values listed correspond to the search criteria captured in the <i>Triparty Collateral Positions – Search</i> screen.	
	The list displayed can be sorted and filter by the field displayed.	
	The list can be exported.	



	By clicking on the "View" button or double clicking on the selected line, the user can display the <i>Triparty Collateral Positions – Details Screen</i> with the details of the selected securities.
Business Case re-	View Triparty Transaction Related Information
lated	
Screen Access	Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Positions >> Click on the search button (after inputting the relevant Data, if needed)
Screenshot	TOA Colleteral Position List

<b>Triparty Collateral</b>	Positions - List screen
Field	Description
Pool Identifier	Shows the Reference of the Counterparty's Pool to which the amount of the transaction is added.
	Displayed format is: Max. 255 characters
Counterparty	Shows the RIAD Code + Party Short Name of the Counterparty.
	Displayed format is: Max. 70 characters
Price	Shows the Price Applied for the valuation of the security.
Currency	Shows the denomination Currency.
Triparty Agent	Shows the RIAD Code of the Triparty Agent.
	Displayed format is: Max. 35 characters
Refinancing NCB	Shows the RIAD Code of the Refinancing NCB.
	Displayed format is: Max. 35 characters
Triparty Transac-	Shows the reference of the triparty transaction.
tion Reference	Displayed format is: Max. 52 characters
T2S External Asset Account	Shows the reference of the T2S External Asset Account where the securities allocated to the transaction are held
	Displayed format is: Max. 35 characters
ISIN	Shows the identifier of the security.
	Displayed format is: Max. XX characters
Quantity	Shows the quantity in FAMT of the security allocated.
Currency	Shows the currency of denomination of the security
Position Start Date	Shows the Date/Time when the securities started to be allocated.
	Displayed format is: DD/MM/YYYY
Position End Date	Shows the Date/Time when the securities ended to be allocated.
	Displayed format is: DD/MM/YYYY
Removal Request	Shows only the securities requested to be removed by the refinancing NCB from possible values:
	- Yes
	- No

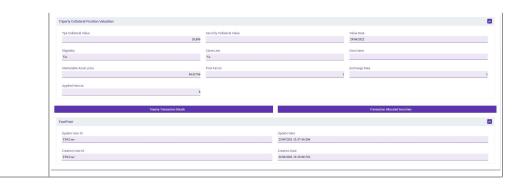


Security Collateral Value	Shows the collateral Value of the security allocated.	
Value Date	Shows the applicable date for the collateral value	
Eligibility	Shows only the securities that are eligible or ineligible from possible values:	
	- Yes	
	- No	
Close Link	Shows if a forbidden close link has been detected from possible values:	
	- Yes	
	- No	
Updater User Id.	Shows the Identifier of the user responsible for the last update of the allocated security.	
Update Date	Shows the Date and time when the allocated security was last updated in the ECMS.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs	
Button	Function	
View	This function enables you to access to the detailed information of a Tri-	
	party Collateral Movement.	
	Next screen:	
	– Triparty Collateral Positions – Details Screen	

# 3.3.7.3.1.2 Triparty Collateral Positions – Details Screen

Context of Usage	This screen displays the details of a Triparty Collateral Position and its value.		
Business Case re- lated	View Triparty Transaction Related Information		
Screen Access	Collateral and Credit >> C tions >> >> Click on the se needed) >> Select a record	earch button (after inputt	
Screenshot	TPA Colleteral Position List > TPA Colleteral Position Details  Variancetion General Information  Prol to Institute  Prol to Institute  Toparty Agent   Toparty Agent   Toparty Transaction Informace  OSCIDITRABERENCES  Its Value  Valuetion Falser Reason  Toparty Transaction Security Position  Tips	Counterparty ANYSHALANTSON H Prifications IVEB  1725 Enemaid about Account 1500CEDITEALACHE 131 Matter No Country Coun	Commey  EXIX  Tripany Oxes InCB





<b>Triparty Collateral</b>	Positions - Details screen	
Field	Description	
Transaction General Information		
Pool Identifier	Shows the Reference of the Counterparty's Pool to which the amount of the transaction is added.	
	Displayed format is: Max. 255 characters	
Counterparty	Shows the RIAD Code + Party Short Name of the Counterparty.	
	Displayed format is: Max. 70 characters	
Price	Shows the Price Applied for the valuation of the security.	
Security Market Value	Shows the Security Market Value.	
Currency	Shows the denomination Currency.	
Triparty Agent	Shows the RIAD Code of the Triparty Agent.	
	Displayed format is: Max. 35 characters	
Refinancing NCB	Shows the RIAD Code of the Refinancing NCB.	
	Displayed format is: Max. 35 characters	
Triparty Cross NCB	Enter or select the RIAD Code of the NCB in charge of the relation with the Triparty Agent (Only in case of cross NCB)	
	Displayed format is: Max. 35 characters	
Triparty Transac-	Shows the reference of the triparty transaction.	
tion Reference	Displayed format is: Max. 52 characters	
T2S External Asset Account	Shows the reference of the T2S External Asset Account where the securities allocated to the transaction are held	
	Displayed format is: Max. 35 characters	
Exchange Rate	Shows the date of the Exchange Rate.	
Date	Displayed format is: DD/MM/YYYY	
Is Valuated	Shows if it is valuated or not from possible values:	
	- Yes	
	- No	
Is Mature	Shows if it is mature or not from possible values:	
	- Yes	
	- No	
Latest Valo Process Id	Shows the latest Valor Process identifier.	



	on Security Position	
ISIN	Shows the identifier of the security.	
Quantity	Shows the quantity in FAMT of the security allocated.	
Currency	Shows the currency of denomination of the security	
Position Start Date	Shows the Date/Time when the securities started to be allocated.	
	Displayed format is: DD/MM/YYYY	
Position End Date	Shows the Date/Time when the securities ended to be allocated.	
	Displayed format is: DD/MM/YYYY	
Removal Request	Shows only the securities requested to be removed by the refinancing NCB from possible values:	
	- Yes	
	- No	
Triparty Collateral	Position Valuation	
TPA Collateral Value	Shows the collateral value after haircut of the securities as calculated by the TPA	
Security Collateral Value	Shows the collateral Value of the security allocated.	
Value Date	Shows the applicable date for the collateral value	
Eligibility	Shows only the securities that are eligible or ineligible from possible values:	
	- Yes	
	- No	
Close Link	Shows if a forbidden close link has been detected from possible values:  – Yes	
	- No	
Own-Used	Shows if the security is considered own-used or not	
Marketable Asset price	Shows the price used for the ECMS valuation of the security	
Pool Factor	Shows the Pool Factor used for the ECMS valuation of the security	
Exchange Rate	Shows the Exchange Rate used for the ECMS valuation of the security	
Applied Haircut	Shows the haircut use for the ECMS valuation of the asset	
Valuation Date	Shows the date and time when the eligibility and the valuation of the security was last performed by the ECMS.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs	
Footprint		
Creation User Id.	Shows the Identifier of the user responsible for the creation of the allocated security.	
Creation Date	Shows the Date and time when the allocated security was created in the ECMS.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs	
Updater User Id.	Shows the Identifier of the user responsible for the last update of the allocated security.	
Update Date	Shows the Date and time when the allocated security was last updated in the ECMS.	



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Transaction Allocated Securities	This function enables the user to view the list of securities allocated to the transaction.
	Next screen:
	– Triparty Collateral Movements – Details Screen
Triparty Transaction Details	This function enables the user to view the transaction details.  Next screen:
	– Triparty Transaction – Details Screen

## 3.3.7.4 Messages Monitoring

## 3.3.7.4.1 List of colr.021 Decrease/Closure Allegement from TPA

### 3.3.7.4.1.1 List of colr.021 Decrease/Closure Allegement from TPA – Search screen

Context of	This screen is used to search the allegements Received by the ECMS.	
Usage	It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria.	
	The search results will be displayed in a list in the <i>List of colr.021 Decrease/Closure Allegement from TPA – List Screen</i> .	
Screen Ac-	For colr.021 allegements from TPA Received:	
cess	Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr.021  Decrease/Closure Allegement from TPA	
Screenshot	Search Criteria: Triperry Collateral Instruction  Message Reference **   Allegement Reference **   Notification Status **   Collateral General College BID **   Instruction Type ***	
	Coliseral Date: ▼	

List of colr.021 Decrease/Closure Allegement from TPA – Search screen				
Field	escription			
Triparty Trans-	Enter the reference of the triparty transaction.			
action Reference	Required format is: Max. 52 characters			
Message Identi-	Enter the reference of the Message.			
fier	Required format is: Max. 35 characters			
Allegement Ref-	Enter the reference of the Allegement sent by the TPA.			
erence	Required format is: Max. 35 characters			
Triparty Agent Service Pro-	Enter the reference assigned to the instruction by the triparty-agent/service-provider			
vider Collateral	Required format is: Max. 35 characters			



Client Collat-	Enter the unique reference identifying the collateral management transaction
	from the client's point of view. Present in case of a decrease.
Identification	Required format is: Max. 35 characters
Instruction	Select if the message is:
Туре	- PADJ (decrease)
	- TERM (Closure)
Eligibility Set	Enter the number identifying the collateral eligibility set profile of the coun-
Profile Identific-	terparty.
ation	Required format is: Max. 35 characters
Collateral Giver	Enter the identifier of the Collateral Giver as given by the Triparty Agent.
	Required format is: Max. 35 characters
Collateral Taker	Enter the identifier of the Collateral Taker as given by the Triparty Agent.
	Required format is: Max. 35 characters
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	- Impact Failed
	- Rejected
Creation Date	Enter the reception or the sending Start Date and Time of the message or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the List Screen.
	Next screen:
	– List of colr.021 Decrease/Closure Allegement from TPA – List Screen.

## 3.3.7.4.1.2 List of colr.021 Decrease/Closure Allegement from TPA – List Screen

Context of	This screen contains a list of colr.021 messages.							
Usage	The allegements listed correspond to the search criteria capture in the search screen.							
	The list displayed can be sorted and filter using by the field displayed.							
	The list can be exported.							
Screen Ac-	For Triparty Message Received :							
cess	List of colr.021 Decrease/Closure Allegements from TPA Received:							
	Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr.021  Decrease/Closure Allegement from TPA >> Click on Search button							
Screenshot	List Triparry Collateral Instruction							
	Topiny Transact   Message Referred   Magement Referred   Magemen							



List of colr.021 I	Decrease/Closure Allegement from TPA – List screen					
Field	Description					
Triparty Trans-	Shows the reference of the triparty transaction.					
action Reference	Displayed format is: Max. 52 characters					
	Shows the reference of the Message.					
fier	Displayed format is: Max. 35 characters					
Allegement Ref-	Shows the reference of the Allegement sent by the TPA.					
erence	Displayed format is: Max. 35 characters					
Triparty Agent Service Pro-	Shows the reference assigned to the instruction by the triparty-agent/service-provider					
vider Collateral	Displayed format is: Max. 35 characters					
	Shows the unique reference identifying the collateral management transaction from the client's point of view. Present in case of a decrease.					
Identification	Displayed format is: Max. 35 characters					
Instruction	Shows if the message is:					
Туре	- PADJ (decrease)					
	- TERM (Closure)					
Eligibility Set	Shows the number identifying the collateral eligibility set profile of the coun-					
Profile Identific-	terparty.					
ation	Displayed format is: Max. 35 characters					
Collateral Giver	Shows the identifier of the Collateral Giver as given by the Triparty Agent.					
	Displayed format is: Max. 35 characters					
Collateral Taker	Shows the identifier of the Collateral Taker as given by the Triparty Agent.					
	Displayed format is: Max. 35 characters					
Notification	Shows the status of the notification from possible values:					
Status	- Impacted					
	- Impact Failed					
	- Rejected					
Creation Date	Shows the reception or the sending Start Date and time of the message.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs					
Closing Date	Shows the closing date populated in the message in case it is a closure.					
Transaction	Shows the Transaction Amount populated in the message.					
Amount						
Termination Amount	Shows the Termination Amount populated in the message.					
Error Description	Shows the description of the error (if it has occurred)					
Update Date	Shows the date and time of the last update of the message.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Updater User Id.	Shows the identification of the user that made the last update of the message.					
After selecting a	After selecting a record, the following buttons are displayed					



Button	Function				
Download	is function enables the user to download a copy of the message.				
Reprocess	This function enables the user to reprocess the selected message (only available for users with appropriate rights).				
Reprocess All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).				

### 3.3.7.4.2 List of colr.020 Status Advice from TPA

### 3.3.7.4.2.1 List of colr.020 Status Advice from TPA – Search screen

Context of	This screen is used to search the Status Advice Received by the ECMS.						
Usage	It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria.						
	The search results will be displayed in a list in the <i>List of colr. 020 Status Advice</i> from TPA – List Screen.						
Screen Ac-	For colr.020 Status Advice from TPA Received:						
cess	Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr. 020 Status Advice from TPA >>						
Screenshot	East of city 2005 (annu Annue hour TIA)						
	Reference townstitution  Classing Date •• ▼ □ Nontinations Status •• ▼ □ Creation Date •• ▼ □						
	Q South						

List of colr.020 Status Advice from TPA – Search screen					
Field	Description				
Triparty Trans-	Enter the reference of the triparty transaction.				
action Reference	Required format is: Max. 52 characters				
Message Identi-	Enter the reference of the Message.				
fier	Required format is: Max. 35 characters				
Instruction Ref-	Enter the reference of the Instruction sent by the ECMS.				
erence	Required format is: Max. 35 characters				
Allegement Ref-	Enter the reference of the Allegement sent by the TPA.				
erence	Required format is: Max. 35 characters				
Instruction	Select if the message is:				
Туре	- PADJ (decrease)				
	- TERM (Closure)				
Closing Date	Enter the closing date populated in the message in case it is a closure.				
	Required format is: DD/MM/YYYY				
Collateral Giver	Enter the identifier of the Collateral Giver as given by the Triparty Agent.				
	Required format is: Max. 35 characters				
Collateral Taker	Enter the identifier of the Collateral Taker as given by the Triparty Agent.				



	Required format is: Max. 35 characters					
Notification	Enter / Select the status of the notification from possible values:					
Status	- Impacted					
	- Impact Failed					
	- Rejected					
	- Archived					
	- Processed					
	- Processed with Failure					
Creation Date	Enter the reception or the sending Start Date and Time of the message or use					
	the calendar icon.					
	Required format is: DD/MM/YYYY hh:mm:ss:µs					
Button	Function					
Reset	This function enables you to clear the form.					
Search	This function enables you to start a search according to the filled in criteria.					
	The results are displayed in a list on the List Screen.					
	Next screen:					
	- List of colr.020 Status Advice from TPA - List Screen.					

### 3.3.7.4.2.2 List of colr.020 Status Advice from TPA – List Screen

Context of	This screen contains a list of colr.020 messages.										
Usage	The messages listed correspond to the search criteria capture in the search screen.										
	The l	The list displayed can be sorted and filter using by the field displayed.									
	The l	ist can	be ex	cporte	ed.						
Screen Ac-	For T	For Triparty Message Received :									
cess	List o	List of colr.020 Status Advice from TPA Received:									
	Colla	Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr.020									
	Statu	Status Advice from TPA >> Click on Search button									
Screenshot	10 ▼	Collateral Status	Chest Collateral In	Collateral Institu	ctió Evensure Ive		ogo 1 of 2 > >   teral Giver Ide. Collateral Taker BIG. Collateral Ta	aker M62 Transaction Amount Transaction Am	oun. Northeadons State	Q II	
	AARRAZA782	AARRAZA782	decreneA996	PADJ	CBCO	NABAATWWXXX	NABAATWWXXX	22,088 EUR	Impact Failed	24/09/2021 09:32:26 CSDUser	
	StatusTPA18a		Detectorement	TERM	CBCO	ABVRATWW001	NABAATWWXXX	120,000 EUR	Impact Failed	23/09/2021 14:57:11 TPMUser	
	StatusTPA15	TPATRANSACTIONIA.	DecreaseTPA14	TERM	CBCO	ABVRATWW001	NABAATWWXXX	65,000 EUR	Impact Failed	23/09/2021 14:46:17 TPAUser	
	StatusTPA12 StatusTPA12	TPATRANSACTIONIA	DecreaseTPA14  DecreaseTPA12	TERM PADJ	CBCO	ABVRATWW001 ABVRATWW001	NABAATWWXXX NABAATWWXXX	65,000 EUR 65,000 EUR	Impact Failed Impact Failed	23/09/2021 14:44:55 TPAUser 23/09/2021 14:32:41 TPAUser	
	StatusTPA4	TPATRANSACTIONIA	DecreaseTPA4	PADJ	CBCO	ABVRATWW001	NABAATWWXXX	70,000 EUR	Impact Failed	23/09/2021 12:10:44 TPMUser	
	StatusTPA2	TPATRANSACTIONIA	DecreaseTPA2	PADJ	CBCO	ABVRATWW001	NABAATWWXXX	80,000 EUR	Impact Failed	23/09/2021 12:07:28 TPALISer	
	StatusTPA1	TPATRANSACTIONIA	DecreaseTPA2	PADJ	CBCO	ABVRATWW001	NABAATWWXXX	80,000 EUR	Impact Failed	23/09/2021 12:05:27 TPAUser	
	AARRAZA1752	AARRA210752	decreneA996	PADJ	CBCO	NABAATWWXXX	NABAATWWXXXX	22,088 EUR	Impact Failed	23/09/2021 09:55:53 CSDUser	
i	AARRA2A0752	AARRA2A0752	decreneA996	PADJ	CBCO	NABAATWWXXX	NABAATWWXXX	22,088 EUR	Impact Failed	23/09/2021 09:51:40 TPMUser	
	Reprocess All	Reprocess								Download	

List of colr.020 Status Advice from TPA – List screen				
Field	Description			
Triparty Trans- action Refer- ence	Shows the reference of the triparty transaction.  Displayed format is: Max. 52 characters			
Message Identi- fier	Shows the reference of the Message.  Displayed format is: Max. 35 characters			



Instruction Ref-	Shows the reference of the Instruction sent by the ECMS.							
erence	Displayed format is: Max. 35 characters							
Allegement Ref-	Shows the reference of the Allegement sent by the TPA.							
erence	Displayed format is: Max. 35 characters							
Instruction	Shows if the message is:							
Type	- PADJ (decrease)							
	- TERM (Closure)							
Transaction Amount	Shows the Transaction Amount populated in the message.							
Termination Amount	Shows the Termination Amount populated in the message.							
Closing Date	Shows the closing date populated in the message in case it is a closure.							
Collateral Giver	Shows the identifier of the Collateral Giver as given by the Triparty Agent.							
	Displayed format is: Max. 35 characters							
Collateral Taker	Shows the identifier of the Collateral Taker as given by the Triparty Agent.							
	Displayed format is: Max. 35 characters							
Notification	Shows the status of the notification from possible values:							
Status	- Impacted							
	- Impact Failed							
	- Rejected							
	- Archived							
	- Processed							
	- Processed with Failure							
Creation Date	Shows the reception or the sending Start Date and time of the message.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs							
Error Description	Shows the description of the error (if it has occurred)							
Update Date	Shows the date and time of the last update of the message.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Updater User Id.	Shows the identification of the user that made the last update of the message.							
After selecting a	record, the following buttons are displayed							
Button	Function							
Download	This function enables the user to download a copy of the message.							
Reprocess	This function enables the user to reprocess the selected message (only available for users with appropriate rights).							
Reprocess All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).							



### 3.3.7.4.3 List of colr.019 Instruction to TPA

### 3.3.7.4.3.1 List of colr.019 Instruction to TPA – Search screen

Context of	This screen is used to search the Instruction sent by the ECMS.				
Usage	It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria.				
	The search results will be displayed in a list in the <i>List of colr. 019 Instruction to TPA – List Screen</i> .				
Screen Ac-	For colr.019 Instruction to TPA Sent:				
cess	Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr. 019				
	Instruction to TPA >>				
Screenshot	Control 01 temperature by TA Search Criteria : Triperry Collateral Instruction				
	Message Reference ** ▼ Allegement Reference ** ▼ Triparty Transaction ** ▼ Reference				
	Notification Status •• ▼				
	Collegeral Taker •• • Date •• • 🗎 Time •• • 🗎				
	O front				

List of colr.019 I	nstruction to TPA – Search screen
Field	Description
Message Id	Enter the identification of the Message provided by ECMS.
	Required format is: Max. 35 characters
Client Collateral Instruction Identification	Enter the unique reference identifying the collateral management transaction from the client's point of view. Identifies the potential match.  Required format is: Max. 35 characters
Allegement Reference	Enter the reference of the Allegement sent by the TPA. Required format is: Max. 35 characters
Triparty Agent Service Pro- vider Collateral	Enter the unique reference identifying the triparty-agent/service-provider collateral management transaction from the triparty-agent's/service-provider's point of view.
Transaction Identification	Required format is: Max. 35 characters
Triparty Trans- action Refer-	Enter the unique identification (UTI) agreed upon by the two trade counterparties to identify the trade.
ence	Required format is: Max. 35 characters
Collateral Instruction Type Indicator	Select if the message is:  - PADJ (decrease)  - TERM (Closure)
Collateral Giver	Enter the identifier of the Collateral Giver as given by the Triparty Agent.  Required format is: Max. 35 characters
Collateral Taker	Enter the identifier of the Collateral Taker as given by the Triparty Agent.  Required format is: Max. 35 characters
Triparty Agent	Enter or Select the BIC of the Triparty Agent.
	Required format is: Max. 11 characters



Clasias Data	Enter the desire data manufacted in the manufact
Closing Date	Enter the closing date populated in the message in case it is a closure.
	Required format is: DD/MM/YYYY
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	- Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Creation Date	Enter the reception or the sending Start Date and Time of the message or use
	the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria.
	The results are displayed in a list on the List Screen.
	Next screen:
	<ul> <li>List of colr.019 Instruction to TPA – List Screen.</li> </ul>

### 3.3.7.4.3.2 List of colr.019 Instruction to TPA – List Screen

This s	creen	conta	ins a l	ist of colr.	019 mes	ssages	S.					
The messages listed correspond to the search criteria capture in the search screen.												
The li	st dis	played	l can l	oe sorted a	nd filte	r usin	g by t	he field	disp	layed	d.	
The li	st can	be ex	porte	<b>1</b> .								
For Ti	riparty	Messi	age Sei	nt:								
List of	colr.0	19 Ins	tructio	on to TPA S	Sent:							
	teral a	nd Cr	edit >	> Notificat	ions>>	Tripa	rty N	otificati	ions>	>List	of col	<sub>v</sub> 010
Instru	iction	to TP.	A >> (	Click on Se			,				or cor	1.019
Instru		to TP.	A >> C			itton						1.U19 
	The normal The line The line For Transition List of	The message The list disp The list can For Triparty List of colr.0	The messages list The list displayed The list can be ex For Triparty Messa List of colr.019 Ins	The messages listed con The list displayed can be The list can be exported For Triparty Message Sen List of colr.019 Instruction	The messages listed correspond to The list displayed can be sorted at The list can be exported.  For Triparty Message Sent:  List of colr.019 Instruction to TPA Section 1.	The messages listed correspond to the set.  The list displayed can be sorted and filter.  The list can be exported.  For Triparty Message Sent:  List of colr.019 Instruction to TPA Sent:	The messages listed correspond to the search of the list displayed can be sorted and filter using the list can be exported.  For Triparty Message Sent:  List of colr.019 Instruction to TPA Sent:	The list displayed can be sorted and filter using by the The list can be exported.  For Triparty Message Sent:  List of colr.019 Instruction to TPA Sent:	The messages listed correspond to the search criteria captu. The list displayed can be sorted and filter using by the field. The list can be exported.  For Triparty Message Sent: List of colr.019 Instruction to TPA Sent:	The messages listed correspond to the search criteria capture in the list displayed can be sorted and filter using by the field disposed the list can be exported.  For Triparty Message Sent:	The messages listed correspond to the search criteria capture in the search list displayed can be sorted and filter using by the field displayed.  The list can be exported.  For Triparty Message Sent:  List of colr.019 Instruction to TPA Sent:	The messages listed correspond to the search criteria capture in the search so The list displayed can be sorted and filter using by the field displayed.  The list can be exported.  For Triparty Message Sent:  List of colr.019 Instruction to TPA Sent:

List of colr.019 I	List of colr.019 Instruction to TPA – List screen				
Field	Description				
Message Id	Shows the identification of the Message provided by ECMS.				
	Displayed format is: Max. 35 characters				
Client Collateral Instruction	Shows the unique reference identifying the collateral management transaction from the client's point of view. Identifies the potential match.				
Identification	Displayed format is: Max. 35 characters				



Allegement Ref-	Shows the reference of the Allegement sent by the TPA.
erence	Displayed format is: Max. 35 characters
Triparty Agent Service Pro- vider Collateral	Shows the unique reference identifying the triparty-agent/service-provider collateral management transaction from the triparty-agent's/service-provider's point of view.
Transaction Identification	Displayed format is: Max. 35 characters
Triparty Trans- action Refer-	Shows the unique identification (UTI) agreed upon by the two trade counterparties to identify the trade.
ence	Displayed format is: Max. 35 characters
Collateral In-	Shows if the message is:
struction Type	- PADJ (decrease)
Indicator	- TERM (Closure)
Collateral Giver	Shows the identifier of the Collateral Giver as given by the Triparty Agent.
	Displayed format is: Max. 35 characters
Collateral Taker	Shows the identifier of the Collateral Taker as given by the Triparty Agent.
	Displayed format is: Max. 35 characters
Triparty Agent	Shows the BIC of the Triparty Agent.
	Displayed format is: Max. 11 characters
Closing Date	Shows the closing date populated in the message in case it is a closure.
	Displayed format is: DD/MM/YYYY
Transaction	Shows the Transaction Amount populated in the message.
Amount	- Intended collateral Transaction Amount to be decreased in case of PADJ.
	<ul> <li>Last transaction amount before the closure request in case of TERM.</li> </ul>
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	- Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Creation Date	Shows the reception or the sending Start Date and Time of the message.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the date and time of the last update of the message.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Shows the identification of the user that made the last update of the message.
After selecting a	record, the following buttons are displayed
Button	Function
Download	This function enables the user to download a copy of the message.



## 3.3.8 Notification

### 3.3.8.1 Admi.007 Sent to CPTY

#### 3.3.8.1.1 Admi.007 Sent to CPTY – Search Screen

Context of	This screen contains a list of messages.							
Usage	The allegements listed correspond to the search criteria capture in the search							
	screen.							
	The list displayed can be sorted and filter using by the field displayed.							
	The list can be exported.							
Screen Ac-	Collateral and Credit >> Notification >> Counterparties Notifications >>							
ociccii Ac-	Conaterar and Creates Notifications >>							
cess	Admi.007 Sent to CPTY							
	_							

Admi.007 Sent to	CPTY – Search Screen
Field	Description
Message Identi- fier	Reference of the notification message
Related Reference	Enter the related reference.
Notification	Enter the status of the Notification from the possible values:
Status	- Impacted
	- Impacted Failed
	- Rejected
	- Archived
	- WaitingValidation
	- Prepared
	- Processed
	- Processed with Failure
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values:
	– In
	- Out
Correspondent	Enter / Select the correspondent type from possible values:
Туре	- Client
	- Market
	- Other
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon.



	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:
	- Admi.007 Sent to CPTY - List Screen

### 3.3.8.1.2 Admi.007 Sent to CPTY – List Screen

Context of Usage			nins the se further b		•			r selectinş	g an entry,		
Screen Access			redit >> N Click on S			ınterpar	ties Notific	cations >>	· Admi.007		
Screenshot	Search Result : Swift A	Search Result: Swift Ack Notification									
	Mossage Parlierance 8 65770 43150 43160 43170 99410 99900 99760 99650	PROBLEM PROFESSION STATES AND ASSESSION STATES AND ASSESSION ASSES	Pillatinio Mechange Name 9 16/7992419400991 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022 cost 0022	▼ Sindle Address ●  NABARTWYCOCK  NABRATWYCOCK   TO PROCESSOR & ASSISTANCE & ASSISTANCE & ASSISTANCE & ASSISTANCE & OCSGATIVENOCK OCSGATIVENOCK OCSGATIVENOCK OCSGATIVENOCK OCSGATIVENOCK OCSGATIVENOCK OCSGATIVENOCK OCSGATIVENOCK OCSGATIVENOCK OCSGATIVENOCK OCSGATIVENOCK	Direction © Out Out Out Out Out Out Out Out Out Out	Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised Piccised	© Update bare 8 16/11/2021 14/27/22/511 24/99/2021 19/19/99/24 24/99/2021 99/22/5/99/ 25/99/2021 14/459/779 25/99/2021 94/32/5/99/ 25/99/2021 10/3/25/99/ 25/99/2021 10/3/25/99/ 25/99/2021 10/3/25/99/ 25/99/2021 10/3/25/99/ 25/99/2021 10/3/25/99/ 25/99/2021 10/3/25/99/ 25/99/2021 10/3/25/99/ 25/99/2021 10/3/25/99/ 25/99/2021 10/3/25/99/ 25/99/2021 10/3/25/99/				

Admi.007 Sent to	o CPTY – List screen
Column	Description
Message Identi- fier	Shows the Reference of the notification message
Related Reference	Shows the related reference.
Related Mes- sage Name	Shows the name of the related message.
Sender Address	Shows the BIC address of the sender of the message.
Receiver Ad- dress	Shows the BIC address of the receiver of the message.
Direction	Indicator stating that the notification has been received or sent by the ECMS.  Possible values:  - In  - Out
Notification Status	Shows the status of the Notification from the possible values:  - Impacted - Impacted Failed - Rejected - Archived - WaitingValidation - Prepared - Processed - Processed with Failure



Error Description	Shows the error description (if there is any).
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received]
	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

## 3.3.8.2 Pool Position Query

This U2A query displays the adhoc A2A Report Query Request admi.005 sent by the Counterparty or NCB to request the A2A colr.016 Pool Position report.

### 3.3.8.2.1 Pool Position Query - Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the admi.005 Report Query Request for the A2A colr.016 Pool Position report.	
Screen Access	Collateral and Credit >> Notification >> Pool Position Query	
Screenshot		≵∆ ≝

<b>Pool Position Query –</b>	Search screen
Field	Description
Message ID	Enter the Identifier that uniquely identifies the message admi.005.
	Required format is: Max. XX characters.
Party BIC Code	Enter the BIC Code that identifies the Party
	Required format is: Max. 11 characters.
Account ID	Enter the Pool ID for the Pool Position report query
	Required format is: Max. XX characters.
Equal Date	Enter/Select the ECMS Business date requested for the Pool Position report query. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Creation Date	Enter/Select the creation date of the report query. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.



Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in cri-
	teria. The results are displayed in a list on the List Screen.

## 3.3.8.2.2 Pool Position Query - List Screen

Context of Usage				ins the sea proceed f					_
Screen Access				edit >> Re <sub>]</sub> (after inpu				,	
Screenshot		rch Result : Pool Positio	1 Query	K	< Page 1 of3 >	> >			
		Message Id #	Party Id •	Responsible Party Id •		□ Equal Date	Notifications Status •	Update Date \$	Updater User Id    Updater User Id
	E	ESMIG-CASE1	ISAEFRPPXXX	BDFEFRPPXXX	PO0L000000000095	20/10/2021	Rejected	20/10/2021 19:00:50:013	ISAEFRA2A01
	8	ESMIG-CASE1	ISAEFRPPXXX	BDFEFRPPXXX	PODL000000000095	20/10/2021	Impacted	20/10/2021 18:32:47:701	ISAEFRAZA01
		PPQR22092021-TC0005	ISAEFRPPXXX	BDFEFRPPXXX	POOL000000000095	14/10/2021	Impacted	14/10/2021 14:54:38:211	STP
		PPQR22092021-TC0004	ISAEFRPPXXX	BDFEFRPPXXX	POCL000000000095	14/10/2021	Impacted	14/10/2021 14:31:48:866	STP
		PPQR22092021-TC7777	ISAEFRPPXXX	BDFEFRPPXXX	POOL000000000078	22/09/2021	Impacted	05/10/2021 15:26:28:549	STP
		PPQR22092021-TC05	ISAEFRPPXXX	BDFEFRPPXXX	POOL0000000000070	22/09/2021	Impacted	22/09/2021 19:35:10:580	ISAEFRA2A01
		PPQR22092021-TC04	ISAEFRPPXXX	NCBATFPPX01	POOL0000000000070	22/09/2021	Rejected	22/09/2021 19:34:48:494	ISAEFRA2A01
		PPQR22092021-TC03	ISAEFRPPXXX	BDFEFRPPXXX	POCL0000000000070	22/09/2021	Rejected	22/09/2021 19:32:54:668	ISAEFRA2A01
	E3	PPQR22092021-TC02	ISAEFRPPXXX	BDFEFRPPXXX	PODL0000000000070	22/09/2021	Rejected	22/09/2021 19:31:10.880	ISAEFRAZAD1

<b>Pool Position Query</b>	– List screen			
<b>General Information</b>				
Field	Description			
Message ID	Shows the Identifier that uniquely identifies the message admi.005.			
	Displayed format is: Max. XX characters.			
Party BIC Code	Shows the BIC Code that identifies the Party			
	Displayed format is: Max. 11 characters.			
Responsable Party	Shows the BIC Code that identifies the Responsable Party			
BIC Code	Displayed format is: Max. 11 characters.			
Account ID	Shows the Pool ID for the Pool Position report query			
	Displayed format is: Max. XX characters.			
Equal Date	Shows the ECMS Business date requested for the Pool Position report query.			
	Displayed format is: DD/MM/YYYY.			
Notification Status	Shows the status of the notification.			
	Displayed format is: Max. XX characters.			
Update Date	Shows the Update date of the report query.			
	Displayed format is: DD/MM/YYYY.			
Updater User Id.	Shows the Identifier of the user responsible for the running the Pool Position report query.			
	Displayed format is: Max. 35 characters.			
Button	Description			
Download	This function enables you to download a copy of the report query.			



# 3.3.8.3 Counterparty Processing Report

### 3.3.8.3.1 Counterparty Processing Report – Search Screen

<b>Context of Usage</b>	This screen contains a list of counterparty processing report.
	The counterparty processing report listed correspond to the search criteria capture in the search screen.
	The list displayed can be sorted and filter using by the field displayed.
	The list can be exported.
Screen Access	Notification >> Credit Claim >> Counterparty Processing Report
Screenshot	Search Criteria : Processing Report  File Ref
	2 Search

<b>Counterparty Pro</b>	cessing Report – Search Screen
Field	Description
File Ref	Input the unique message reference provided by ECMS.
Counterparty Identifier	Input the Counterparty RIAD Code
Notification	Select/Input from the drop down list the notification status.
Status	Possible values:
	- Processed
	- Processed With Failure
Creation Date	Input the creation date and time of the counterparty processing report.
	Format: DD/MM/YYYY hh:mm:ss:sss
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:
	– Counterparty Processing Report – List Screen

### 3.3.8.3.1.1 Counterparty Processing Report – List Screen

Context of Us-	This screen contains the search results displayed in a list. After selecting an
age	entry, you can proceed further by clicking on the buttons below.
Screen Access	Notification >> Credit Claim >> Counterparty Processing Report >> Click on Search button



Processed   Proc	creenshot	Search Result : Process	sing Report					-
CC MOB MCBCCOPRIST         84         Processed         69/08/2022 11/27/29/851         ISAEFRAJJOT           CC MOB MCBCCOPRISTO         84         Processed         09/08/2022 11/26/29/86         ISAEFRAJJOT           CC MOB MCBCCOPRISTO         84         Processed         09/08/2022 11/26/29/86         ISAEFRAJJOT           CC MOB MCBCCOPRISTO         84         Processed         09/08/2022 11/22/95/89         ISAEFRAJJOT           CC MOB MCBCCOPRISTO         84         Processed         09/08/2022 11/19/19/21/4         ISAEFRAJJOT           CC MOB MCBCCOPRISTO         84         Processed         09/08/2022 11/19/19/21/4         ISAEFRAJJOT           CC MOB MCBCCOPRISTO         84         Processed         09/08/2022 11/19/27/4         ISAEFRAJJOT           CC MCB MCBCCOPRISTO         84         Processed         09/08/2022 11/19/27/4         ISAEFRAJJOT           CC MCB MCBCCOPRISTO         84         Processed         09/08/2022 11/19/27/4         ISAEFRAJJOT           CC MCB MCBCCOPRISTOR         84         Processed         09/08/2022 11/19/27/4         ISAEFRAJJOT           CC MCB MCBCCOPRISTOR         84         Processed         09/08/2022 11/19/27/4         ISAEFRAJJOT	Ciccionot	10 ▼						Q 281 * # □ () ♡
CC MOB MOSCO000330         84         Processed         69/08/2002 11.26/19/756         ISAEFRIAZIOT           CCAM/08/00/C0000129         84         Processed         69/08/2002 11.22/25/358         ISAEFRIAZIOT           6F/08/00/C0000129         84         Processed         69/08/2002 11.22/25/358         ISAEFRIAZIOT           CC MOB MOSCO000127         84         Processed         69/08/2002 11.12/19/638         ISAEFRIAZIOT           CCAM09/00/2007         84         Processed         69/08/2002 11.19/12/14         ISAEFRIAZIOT           CCAM09/00/2007         84         Processed         69/08/2002 11.19/17/85         ISAEFRIAZIOT           CCT09/00/2002         84         Processed         69/08/2002 11.12/56/45         ISAEFRIAZIOT           CCT09/00/2002         84         Processed         69/08/2002 11.12/56/45         ISAEFRIAZIOT		File Ref ¢	Counterpart Identifier \$	Number Of Lines	Notifications Status	Error Description \$	Creation Date	
CCAR08022002         84         Processed         09/08/2002 11 25 28 006         ISAEFRAZAD1           CCAR08 M05C000029         84         Processed         09/08/2002 11 22-29 39         ISAEFRAZAD1           R890982204         84         Processed         09/08/2002 11 19 06 58         ISAEFRAZAD1           CC M08 M08C0000827         84         Processed         09/08/2002 11 19 01 214         ISAEFRAZAD1           CCM908022001         84         Processed         09/08/2002 11 16 17 89         ISAEFRAZAD1           CCF900022003         84         Processed         09/08/2002 11 16 78 89         ISAEFRAZAD1           CCF900022002         84         Processed         09/08/2002 11 11 24 976         ISAEFRAZAD1		CC-MOB-MOBCC090831	84		Processed		09/08/2022 11:27:29:851	ISAEFRA2A01
CC MOB MOBICOPROIZES         84         Processed         69/08/2002 11/22/29/3589         ISAEFINAZIOT           RIGORIZZOFA         84         Processed         09/08/2022 11/20/96/38         ISAEFINAZIOT           CC MOB MOBICOZORIZET         84         Processed         09/08/2022 11/10/21/4         ISAEFINAZIOT           CCM/MRIZZORI         84         Processed         09/08/2022 11/16/25/5         ISAEFINAZIOT           CCY/MRIZZORI         84         Processed         09/08/2022 11/25/56/5         ISAEFINAZIOT           CCY/MRIZZORI         84         Processed         09/08/2022 11/24/97         ISAEFINAZIOT		CC-MOB-MOBCC090830	84		Processed		09/08/2022 11:26:19:726	ISAEFRAZA01
### Processed 09/08/2021 1/21/99/5/38 ISAEF#RAZA01 CC MOR MORCCO000277 84 Processed 09/08/2022 11/21/99 5/38 ISAEF#RAZA01 CC MORRIZZ001 84 Processed 09/08/2022 11/16/17/5/1 ISAEF#RAZA01 CCF090022003 84 Processed 09/08/2022 11/15/5/545 ISAEF#RAZA01 CCF090022002 84 Processed 09/08/2022 11/13/49/56 ISAEF#RAZA01		CCA090822002	84		Processed		09/08/2022 11:25:28:086	ISAEFRA2A01
CC MOB MORECCO00227         84         Processed         09/60/2022 11 19 20 21 4         SAEFINAZAOT           CCM90822001         84         Processed         09/60/2022 11 16 72 851         ISAEFINAZAOT           CCM90822002         84         Processed         09/60/2022 11 17 25/645         ISAEFINAZAOT           CCM90822002         84         Processed         09/60/2022 11 11 12 4976         ISAEFINAZAOT		CC-MOB-MOBCC090829	84		Processed		09/08/2022 11:22:29:358	ISAEFRA2A01
CCAR98822001         84         Processed         09408_73922113617.851         ISAEFRADAD1           CCF098822083         84         Processed         09408_72921112.55.645         ISAEFRADAD1           CCF098822082         84         Processed         09408_729221112.4976         ISAEFRADAD1		RR09082204	84		Processed		09/08/2022 11:21:09:638	ISAEFRA2A01
CCF090822003         84         Processed         09x/08/2022 11:12:55:645         ISAEFRAZAOI           CCF090822002         84         Processed         09x08/2022 11:11:24:976         ISAEFRAZAOI		CC-MOB-MOBCC090827	84		Processed		09/08/2022 11:19:01:214	ISAEFRA2A01
CCF990822092 84 Processed 99/98/2022 11:11:24:976 ISAEFRAZA01		CCA090822001	84		Processed		09/08/2022 11:16:17:851	ISAEFRA2A01
		CCF090822003	84		Processed		09/08/2022 11:12:55:645	ISAEFRA2A01
CCF090822001 84 Processed 09/09/2022 11:09:54:808 ISAEFRA2A01		CCF090822002	84		Processed		09/08/2022 11:11:24:976	ISAEFRA2A01
		CCF090822001	84		Processed		09/08/2022 11:09:54:808	ISAEFRA2A01

Counterparty Processing Report – List screen					
Field	Description				
File Ref	Displays the unique message reference received from the counterparty				
Counterpaty Identifier	Displays the RIAD Code of the counterparty				
Number of Lines	Displays the number of lines				
Notification	Displays the notification status				
Status	Possible Values:				
	- Processed				
	- Processed With Failure				
Error Descrip-	Displays the error description when the file is in status "Impact Failed"				
tion					
Creation Date	Displays the creation date of the counterparty processing report				
	Format: DD/MM/YYYY hh:mm:ss:sss				
Creation User Id	Displays the creation user identifier of the file				
Button	Function				
Download	This function enables the user to download a copy of the file.				
View	This function enables the user to view the detailed attributes of the sent notification to the counterparty				
View Message	This function enables the user to view the detailed attributes of the sent message to the counterparty				

# 3.3.9 Reporting

### 3.3.9.1 Automatic Pool Position Report

This U2A query displays the A2A colr.016 Pool Position report, which provides information on a specific Counterparty Pool. This A2A colr.016 Pool Position report is generated automatically by ECMS based on the subscription (daily, weekly, monthly, yearly) by the Counterparty or NCB user.

## 3.3.9.1.1 Automatic Pool Position Report - Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the Pool Position report.
Screen Access	Collateral and Credit >> Reporting >> Automatic Pool Position Report





Automatic Pool Posit	ion Report – Search screen
Field	Description
Pool Reference	Enter the Identifier that uniquely identifies the report.
	Required format is: Max. 255 characters.
Generation Date	Enter/Select the Generation date of the report. The calendar icon can also be used.
	Required format is: DD/MM/YYYY.
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

## 3.3.9.1.2 Automatic Pool Position Report - List Screen

Context of Usage		This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.				
Screen Access		and Credit >> Repo the <i>Search</i> button	0		•	
Screenshot	Search Result : Pool Position R  10  Pool Memitier  No results were found for the search	© Generation Date ◆	▽ Execution Date \$	▽ Frequency Φ	へ: * □ () ♥ <b>{</b>	

<b>Automatic Pool Pos</b>	Automatic Pool Position Report – List screen			
General Information	on			
Field	Description			
Pool Reference	Shows the Identifier that uniquely identifies the report			
	Displayed format is: Max. 255 characters.			
Generation Date	Shows the Generation date of the report.			
	Displayed format is: DD/MM/YYYY.			
Execution Date	Shows the Execution date of the report.			
	Displayed format is: DD/MM/YYYY.			
Frequency	Shows the Frequency of the report.			
	Possible values are:			
	<ul> <li>ONDE for on demand adhoc report via admi.005, or weekly, monthly, yearly subscription</li> </ul>			
	- DAIL for daily subscription			
	Displayed format is: Max. 4 characters.			
Button	Description			



Download	This function enables you to download a copy of the report.
DOWINGAU	This function enables you to download a copy of the report.

### 3.3.9.2 Pool Position Report

This U2A query displays the A2A colr.016 Pool Position report, which provides information on a specific Counterparty Pool. This A2A colr.016 Pool Position report is requested by the Counterparty or NCB user via the A2A Pool Position Report Query admi.005.

The Pool Position Report corresponds to the colr.016 Statement of Pool.

#### 3.3.9.2.1 Pool Position Report - Search Screen

Context of Usage	This screen is us	This screen is used to enter criteria to retrieve the Pool Position report.			
Screen Access	Collateral and C	Credit >> Reporting >> Pool 1	Position Report		
Screenshot	Search criteria : Pool Position Report	Partv Bic. → ▼	Ncb Bic ▼	#∆	
	Update Date == ▼	☐ Updater User Id == ▼	NO SC V		
	** Reset			Q Search	

Pool Position Report – Search screen				
Field	Description			
Pool Reference	Enter the Identifier that uniquely identifies the report.			
	Required format is: Max. 255 characters.			
Party BIC Code	Enter the BIC Code that identifies the counterparty			
	Required format is: Max. 11 characters.			
NCB BIC Code	Enter the BIC Code that identifies the NCB			
	Required format is: Max. 11 characters.			
Update Date	Enter/Select the Update date of the report. The calendar icon can also be			
	used.			
	Required format is: DD/MM/YYYY.			
Updater User Id.	Enter/Select the Identifier of the user responsible for the last update of			
	the report.			
	Required format is: Max. 35 characters.			
Button	Description			
Reset	This function enables you to clear the form.			
Search	This function enables you to start a search according to the filled in cri-			
	teria. The results are displayed in a list on the List Screen.			

### 3.3.9.2.2 Pool Position Report - List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Reporting >> Pool Position Report >> Click on
	the Search button (after inputting the relevant fields, if needed)



Screenshot	Sear	ch Result : Pool Position Repo	rt				_
Screenshot	10	<b>▼</b>			( Page 1 of 39 > >		Q 385 🛤 () 🗟
		Reference	Party Bic ¢	∇ Ncb Bic	Notifications Status	Update Date	□ Updater User Id      □
		178216620	NATXFRPPXXX	BDFEFRPPXXX	Processed	08/08/2022 19:00:16:639	ECMSOperationalDayScheduler
	E	178206620	NATXERPPXXX	BDFEFRPPXXX	Processed	08/08/2022 19:00:13:550	ECMSOperationalDayScheduler
	<b>E</b>	177476620	NATXFRPPXXX	BDFEFRPPXXX	Processed	07/08/2022 23:14:55:092	ECMSOperationalDayScheduler
		177466620	NATXFRPPXXX	BDFEFRPPXXX	Processed	07/08/2022 23:14:52:053	ECMSOperationalDayScheduler
		177126620	NATXFRPPXXX	BDFEFRPPXXX	Processed	05/08/2022 19:00:21:985	ECMSOperationalDayScheduler
	E	177116620	NATXFRPPXXX	BDFEFRPPXXX	Processed	05/08/2022 19:00:18:956	ECMSOperationalDayScheduler
		177106620	NATXFRPPXXX	BDFEFRPPXXX	Processed	05/08/2022 19:00:15:777	ECMSOperationalDayScheduler
	E	175966620	NATXFRPPXXX	BDFEFRPPXXX	Processed	04/08/2022 19:00:15:917	ECMSOperationalDayScheduler
	E	175956620	NATXFRPPXXX	BDFEFRPPXXX	Processed	04/08/2022 19:00:12:233	ECMSOperationalDayScheduler
		175926620	NATXFRPPXXX	BOFEFRPPXXX	Processed	04/08/2022 18:31:04:260	ECMSOperationalDayScheduler

<b>Pool Position Report</b>	- List screen
General Information	
Field	Description
Pool Reference	Shows the Identifier that uniquely identifies the report
	Displayed format is: Max. 255 characters.
Party BIC Code	Shows the BIC Code that identifies the counterparty
	Displayed format is: Max. 11 characters.
NCB BIC Code	Shows the BIC Code that identifies the NCB
	Displayed format is: Max. 11 characters.
Notification Status	Shows the status of the notification.
	Displayed format is: Max. XX characters.
Error Description	Shows the description of the error (if there has been an error).
	Displayed format is: Max. XX characters.
Update Date	Shows the Update date of the report.
	Displayed format is: DD/MM/YYYY.
Updater User Id.	Shows the Identifier of the user responsible for the last update of the report.
	Displayed format is: Max. 35 characters.
Button	Description
Download	This function enables you to download a copy of the report.

# 3.3.10 Setup

# 3.3.10.1 Message Subscription

# 3.3.10.1.1 Message Subscription Setup

### 3.3.10.1.1.1 Message Subscription Setup – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Message Subscription Setup.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Ac-	Collateral And Credit >> Setup >> Message Subscription >> Message Subscription Setup
cess	





Message Subscr	ription Setup – Search screen
Field	Description
Notification	Enter the type of the notification from possible values:
Туре	- Confirmation of Cash Collateral
	- Rejection of Cash Collateral
	- Collateral Report
	- Margin Call
	- Copnfirmation of Marginal Lending on Request
	- Rejection of Marginal Lending on Request
	- Settle Maximun Credit Line
	- Reject Maximun Credit Line
	- Credit Claim Processing Report
	- Reject Credit Freezing
	- Settle Credit Freezing
	- Execution of MLOR Cancellation
	- Rejection of MLOR Cancellation
Counterparty	Enter / Select the RIAD Code and Short Name that identifies the counterparty in the ECMS.
	Required format is: Max. 70 characters.
Creation Date	Enter the date and time of creation in the ECMS or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Message Subscription Setup.
	Next screen:
	- Message Subscription Setup - Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Message Subscription Setup - List Screen.

## 3.3.10.1.1.1. Message Subscription Setup – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral And Credit >> Setup >> Message Subscription >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed)





Message Subso	ription Setup – List Screen
Field	Description
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS.
	Displayed format is: Max. 30 characters.
Notification	Shows the type of the notification from possible values:
Type	- Confirmation of Cash Collateral
	- Rejection of Cash Collateral
	- Collateral Report
	– Margin Call
	- Copnfirmation of Marginal Lending on Request
	- Rejection of Marginal Lending on Request
	- Settle Maximun Credit Line
	- Reject Maximun Credit Line
	- Credit Claim Processing Report
	- Reject Credit Freezing
	- Settle Credit Freezing
	- Execution of MLOR Cancellation
	- Rejection of MLOR Cancellation
Notify	Shows if the flag Notify is activated, from possible values:
	- Yes
	- No
Update Date	Date and time when the instruction was last updated in the ECMS.
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting	a record, the following buttons are displayed
Button	Function
History	This function enables you to access to the historical information of a Message Subscription Setup.
	Next screen:
	- Message Subscription Setup - History Screen.
Edit	This function enables you to edit a Message Subscription Setup.
	Next screen:
	- Message Subscription Setup - Edit Screen.
View	This function enables you to access to the detailed information of a Message Subscription Setup.



		Next screen:
		– Message Subscription Setup – Details Screen.
Ι	Delete	This button allows you to delete the selected record.

### 3.3.10.1.1.1.1 Message Subscription Setup – History Screen

71 •			
This screen displays historical information that has changed on the selected Message SubscriptionSetup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.			
<ul> <li>Collateral And Credit &gt;&gt; Setup &gt;&gt; Message Subscription &gt;&gt; Message Subscription Setup &gt;&gt; Click on the search button (after inputting the relevant data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the History button</li> <li>Collateral And Credit &gt;&gt; Setup &gt;&gt; Message Subscription &gt;&gt; Message Subscription Setup &gt;&gt; Click on the search button (after inputting the relevant data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the View button (Message Subscription Setup - Details</li> </ul>			
	Call   Call		
P CC CC CC CC CC CC CC CC CC CC CC CC CC	ne changes,  - Collateral Setup >> Select a re - Collateral Setup >> Select a re Setup >> Select a re Setup >> Select a re Sel		

Message Subscription Setup – History Screen		
Field	Description	
Fields	Shows the fields whose information have been updated since the creation of the selected Message Subscription Setup.	
Timestamp	Shows the date and time when the fields have been updated	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Button	Description	
Compare	Shows the differences between the selected records whose data have been updated.	
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.	
Show All	Shows the information that existed before each modification of all the fields regarding the selected record.	
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.	
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.	

## 3.3.10.1.1.1. Message Subscription Setup – Details Screen

2		
	Context of	This screen displays detailed information on the selected Message Subscription
	Usage	Setup. You can check the data and proceed further by clicking on the buttons.



comment in its control comp	Collateral And Credit >> Setup >> Message Subscription >> Message Subscription	
•	, ,	reded) >> Se-
lect a record >> Click on the View b	utton	
MessageSubscriptionSR : ML Client Reporting SLA > View : ML Client Reporting SLA		History 🖊 🔨
context		
Counterparty	Notification Type	
38	Rejection of MLOR Cancellation	
result		
Norify	Notification Template	
Yes	Netification Template [1]	
FootPrint		
Update User Id	Update Date	
admin	26/08/2022 10:55:16:755	
Creation User Id	Creation Date 24/95/2021 (0.55:16:765	
	Setup >> Click on the search button lect a record >> Click on the View b  MessageSubscriptionSR: ML Client Reporting SLA > View: ML Client Reporting SLA  Contrapparly  result  Notify Nation  FootPrint  Lighters than 18  Makeist  Lighters than 18  Makeist	Setup >> Click on the search button (after inputting the relevant data, if ne lect a record >> Click on the View button    MessageGubscriptionSR: ML Client Reporting SLA > View: ML Client Reporting SLA   Notification Type   Notification Type   Notification Type   Notification Type   Notification Type   Notification Translater
Message Subs	Message Subscription Setup – Details Screen	
--------------------------	--	--
Button	Description	
History	This function enables you to access to the historical information of a Message Subscription Setup.	
	Next screen:	
	- Message Subscription Setup - History Screen.	
Context		
Field	Description	
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS.	
	Displayed format is: Max. 30 characters.	
Notification	Shows the type of the notification from possible values:	
Туре	<ul> <li>Confirmation of Cash Collateral</li> </ul>	
	<ul> <li>Rejection of Cash Collateral</li> </ul>	
	- Collateral Report	
	- Margin Call	
	- Copnfirmation of Marginal Lending on Request	
	- Rejection of Marginal Lending on Request	
	- Settle Maximun Credit Line	
	- Reject Maximun Credit Line	
	- Credit Claim Processing Report	
	- Reject Credit Freezing	
	- Settle Credit Freezing	
	- Execution of MLOR Cancellation	
	- Rejection of MLOR Cancellation	
Result		
Notifiy	Shows if the flag Notify is activated, from possible values:	
	- Yes	
	- No	
Button	Function	
Notification Template	This function enables you to access the subsection Notification Template.	



Footprint			
Creation Date	Shows the date and time when of the creation in the ECMS.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs		
Creation User Id	Shows the Identifier of the user responsible for the creation.		
Update Date	Shows the date and time of the last updated in the ECMS.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs		
Updater User Id	Shows the Identifier of the user responsible for the last update.		
Notification Template			
_	The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.		
Template	Shows the template to be used.		
	Displayed format: Freetext		
Message Re-	Shows Message Recipient from possible values:		
cipient	- Account Owner		
	- Refinancing NCB		
Purpose	Shows Purpose from possible values:		
	- Securities Settlement		

# 3.3.10.1.2 Pool Position Report Subscription

# 3.3.10.1.2.1 Pool Position Report Subscription - Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool Position Report Subscription.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral And Credit >> Setup >> Message Subscription >> Pool Position Report Subscription
Screenshot	Search Criteria: Pool Position Report Periodic Config  Pool Identifier **  Pool Identifier **  Custo Q: Stando

Pool Position Report Subscription – Search screen	
Field	Description
Pool Identifier	Enter / Select the Pool Identifier that identifies the pool in the ECMS.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool Position Report Subscription.
	Next screen:  - Pool Position Report Subscription - Create Screen.
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the List Screen.



1

Next screen:	
<ul> <li>Pool Position Report Subscription – List Screen.</li> </ul>	

#### 3.3.10.1.2.1. Pool Position Report Subscription - List Screen

This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.

Screen Access

Collateral And Credit >> Setup >> Message Subscription >> Pool Position Report Subscription >> Click on the search button (after inputting the relevant data, if needed)

Screenshot

Screenshot

Screenshot

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

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Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report Periodic Config

Search Result: Pool Position Report

<b>Pool Position R</b>	Report Subscription – List Screen
Field	Description
Pool Identifier	Shows the Pool Identifier that identifies the pool in the ECMS.
Start Date	Shows the date from which the Pool Position Report Subscription is valid.
Frequency	Shows the generation frequency for the Pool Position Report from possible values:
	- Yearly
	- Weekly
	- Daily
	- Monthly
	- Ad-hoc
Updater User Id	Identifier of the user responsible for the last update of the record.
Update Date	Date and time when the record was last updated in the ECMS.
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Function
Edit	This function enables you to edit a Pool Position Report Subscription.
	Next screen:
	– Pool Position Report Subscription – Edit Screen.
View	This function enables you to access to the detailed information of a Pool Position Report Subscription.
	Next screen:
	– Pool Position Report Subscription – Details Screen.
Delete	This button allows you to delete the selected record.



#### 3.3.10.1.2.1. Pool Position Report Subscription - Details Screen

This screen displays detailed information on the selected Pool Position Report
Subscription. You can check the data and proceed further by clicking on the buttons.

Screen Access

Collateral And Credit >> Setup >> Message Subscription >> Pool Position Report Subscription >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the View button

Screenshot

Serech Result: Pool Position Report Periodic Config > View: Pool Position Report Periodic Config

Select a record >> Click on the View button

Frequency
Subscription >> Pool Position Report Su

Pool Position Report Subscription – Details Screen	
Button	Description
Context	
Field	Description
Pool Identifier	Shows the Pool Identifier that identifies the pool in the ECMS.
Result	
Start Date	Shows the date from which the Pool Position Report Subscription is valid.
Frequency	Shows the generation frequency for the Pool Position Report from possible values:
	- Yearly
	- Weekly
	- Daily
	- Monthly
	- Ad-hoc

# 3.4 Corporate Actions

The ECMS stores the necessary information to process CA Events in its reference data.

CA Events needs to be created if and only if the Corporate Actions affects a Marketable Asset in position in a T2S Securities Account.

The responsible for maintaining the data of CA Event linked to a CSD is the NCB in relation with that CSD.

#### 3.4.1 CA Announcements

A Corporate Action Announcement is a message sent by the CSD to inform the owner of a Marketable Asset about an upcoming corporate action.

Once processed, the CA Announcements are sent to the Counterparties in order to inform them about the CA Events and its details.

The ECMS manages the following lists of CA Announcement:

Corporate Action Notification (CANO) to notify/remind/update the upcoming corporate action.



Corporate Action Cancellation Advice (CANC) to cancel a previously announced CA Event.

### 3.4.1.1 CA Events

CA Events needs to be created if and only if the Corporate Actions affects a Marketable Asset in position in a T2S Securities Account.

### 3.4.1.1.1 CA Event – Create / Display

#### 3.4.1.1.1 CA Event – Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Events.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	CA Announcements
Screen Access	Depending how the screen is accessed:
	<ul> <li>It can be used to search the valid information of the CA Event or the information that requires 4 eyes validation before they can be considered valid in the system.</li> </ul>
	For valid information:
	Corporate Actions >> Announcements >> CA Event Display
	For information subject to 4 eyes validation:
	Corporate Actions >> Announcements >> Validate / Reject CA Event
	- It can be used to search for updating or duplicating a CA Event:  For Update:
	Corporate Actions >> Announcements >> CA Events >> Update CA
	For Duplicate (is applicable only on events that are in status Closed or Cancelled):
	Corporate Actions >> Announcements >> CA Events >> Duplicate CA
	It can be used to search for Generate Actual Client Movements:
	Corporate Actions >> Client Movements >> Create >> Generate Actual
Screenshot	Search CA Event  Search CA Event
	GENERAL STARCH  CA ESSE Sent to   CA CASS SENT TO   CA CASS SENT T
	NCS Cuttodias ★ ▼
	Update Delet: from 🗀 to 🗀
	Q cont.

CA Event – Search screen	
Field	Description



G + GCT -	n				
CA CSD Event Ref.					
	Required format is: Max. 35 characters.				
CA ECMS Event Id.	t Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.				
	Required format is: Max. 35 characters.				
NCB Custodian	Enter / Select the RIAD Code of the NCB Custodian to which the CA Event is linked.				
	Required format is: Max. 30 characters.				
CA Event Type	Enter / Select the type of CA Event.				
	Required format is: Max. 4 characters.				
Participation	Enter / Select the CA Event Type from the possible values:				
Туре	MAND - Mandatory				
	CHOS - Mandatory with choice				
	VOLU - Voluntary				
ISIN	Enter / Select the ISIN of the security concerned by the CA Event.				
Record Date / Entitlement Fix- ing Date	Enter the date&time at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.				
	Required format is: DD/MM/YYYY hh:mm:ss:µs.				
CA Event Status	Enter / Select the status of the CA Event from the possible values:				
	– Waiting Validation				
	- Preliminary				
	- Cross Checked				
	- Activated				
	- Closed				
	- Cancelled				
Processing	Enter / Select the Processing Status of the CA Event from the possible values:				
Status	- Created				
	- Notified				
	- Executed				
	– Waiting Payment				
	- Partially Paid				
	– Paid				
	- Partially Confirmed				
	- Confirmed				
	Waiting Instruction				
	Receiving Instruction				
	Meeting Occurred				
	717 141 77 111 41				
	- Instructed				
	- Closed				



Update Date	Enter the reference date of the last update of the CA Event or use the calendation.			
	Required format is: DD/MM/YYYY hh:mm:ss:µs			
Button	Function			
Reset	This function enables you to clear the form.			
Search	This function enables you to start a search according to the filled in criteria.  The results are displayed in a list on the List Screen.			
	Next screen:  - CA Event – List Screen.			

## 3.4.1.1.1.1 CA Event – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.				
Business Case related	CA Announcements				
Screen Ac-	Depending how the screen is accessed:				
cess	<ul> <li>It can be used to see the list the valid information of the CA Event or the information that requires 4 eyes validation before they can be considered valid in the system.</li> </ul>				
	For valid information:				
	Corporate Actions >> Announcements >> CA Event Display >> Click on the search button (after inputting the relevant Data, if needed)				
	For information subject to 4 eyes validation:				
	Corporate Actions >> Announcements >> Validate / Reject CA Event >> Click on the search button (after inputting the relevant Data, if needed)				
	It can be used to see the list for updating or duplicating a CA Event.				
	For Update:				
	Corporate Actions >> Announcements >> CA Events >> Update CA >> Click on the search button (after inputting the relevant Data, if needed)				
	For Duplicate (is applicable only on events that are in status Closed or Cancelled):				
	Corporate Actions >> Announcements >> CA Events >> Duplicate CA >> Click or the search button (after inputting the relevant Data, if needed)				
	It can be used to see the list for Generate Actual Client Movements:				
	Corporate Actions >> Client Movements >> Create >> Generate Actual>> Click or the search button (after inputting the relevant Data, if needed)				





CA Event – List Screen					
Field	Description				
CA CSD Event	Shows the Reference of the CA Event given by the CSD.				
Ref.	Displayed format is: Max. 35 characters.				
CA ECMS Event	Shows the reference of the CA Event given by the ECMS to the CA event to be				
Id.	used by counterparties.				
	Displayed format is: Max. 35 characters.				
NCB Custodian	Shows the RIAD Code of the NCB Custodian to which the CA Event is linked.				
	Displayed format is: Max. 30 characters.				
CA Event Type	Shows the type of CA Event.				
	Displayed format is: Max. 4 characters.				
Participation	Shows the CA Event Type from the possible values:				
Туре	– MAND - Mandatory				
	- CHOS - Mandatory with choice				
	– VOLU - Voluntary				
ISIN	Shows the ISIN of the security concerned by the CA Event.				
CA Event Status	Shows the status of the CA Event from the possible values:				
	- Waiting Validation				
	- Preliminary				
	- Cross Checked				
	- Activated				
	- Closed				
	- Cancelled				
Processing	Shows the Processing Status of the CA Event from the possible values:				
Status	- Created				
	- Notified				
	– Executed				
	– Waiting Payment				
	- Partially Paid				
	– Paid				
	- Partially Confirmed				
	- Confirmed				
	Waiting Instruction				
	Receiving Instruction				
	Receiving monucuon				



	- Meeting Occurred				
	- Waiting Validation				
	- Instructed				
Placked Critical	<ul><li>Closed</li><li>Select from Possible Values:</li></ul>				
Diocked Citical					
	- Yes				
Creation Date	- No				
Creation Date	Shows the date and time of the creation of the CA Event.				
II. I.I. D.I.	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Update Date	Shows the date and time of the last update of the CA Event.				
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Updater User Id	Shows the identification of the user that made the last update of the CA Event.				
After selecting a	record, the following buttons are displayed				
Button	Function				
History	In Case of CA Event Display menu option:				
	This function enables you to access to the historical information of CA Event.				
	Next screen:				
	- CA Event - History Screen.				
Global View	In Case of CA Event Display menu option:				
	This function enables you to access to the global View of the CA Event.				
	Next screen:				
	– CA Event – Global View.				
View					
	In Case of CA Event Display menu option:				
	This function enables you to access to the detailed information of a CA Event.				
	Next screen:				
	- CA Event - Details Screen.				
Update	In Case of CA Events >> Update CA menu option:				
Opune	This function enables you to access to the edition of the CA Event.				
	Next screen:				
	CA Event – Edit				
Dunlicata					
Duplicate	In Case of CA Events >> Duplicate CA menu option:				
	This function enables you to access to the edition of the CA Event.				
	Next screen:				
C 1 1 1 P	CA Event – Edit				
Calculate Pay- ment	In Case of Movements >> Client Movements >> Create >> Generate Actual menu option:				
	This function enables you to calculate the payment of the Client Movement.				
If the screen is us when a record is	sed in the 4 eyes validation context, the following buttons are also displayed selected				



Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
	Next screen:
	- CA Event - History Screen.
View Draft	This function enables the user to view the draft record to be validated.
	Next screen:
	– CA Event – Details Screen.
Edit	This function allows the user to edit and modify the draft.
	Next screen:
	– CA Event – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record.  The draft will be deleted.

### 3.4.1.1.1.1. CA Event – History screen

	,							
Context of Usage	This screen Event. You proceed fur	can check	the data,	including	the user v	who made		
Screen Access		utton (af		ng the rel	evant Refe			
	search b	<ul> <li>Corporate Actions &gt;&gt; Announcements &gt;&gt; CA Event Display &gt;&gt; Click on the search button (after inputting the relevant Reference Data, if needed) &gt;&gt; Select a CA Event &gt;&gt; Click on the View button (CA Event – Details Screen) &gt;&gt; Click on the History button</li> </ul>						
	It can be used to see the History of a Generate Actual (Client Movements):							
	Click on	the searc		(after inpu	0			tual>> eded) >> Se
	Click on Select a	the seard record >>	ch button	(after inpu the <i>View</i> b	ents >> Creating the routton (CA	relevant D	ata, if nee	eded) >> >>
Screenshot	horizontalHistoryResult : CA History						Q 7 (c)	tpare Expand All Show All ★ 🔀
	Fields	PROX0000000193/2021-09-27-1	5.5\$\times PR000000000193(2021-09-27-1	5.5\$PR0X0000000193(2021-09-27 1	5:5\$ PR0X0000000193(2021-09-27-1	5:5♥ PROX000000193 2021-09-27-1	5:57 PR0X000000193/2021 09 27	15.5.7 PR0X0000000193/2021-09-27-15.5.
	CA Event Status Processing Status	Cancelled	Activated Created	CrossChecked Created	CrossChecked WaltingValidation	CrossChecked	Preliminary	WaitingValidation
	Creation Date	27/09/2021 15:52:15:052	27/09/2021 15:50:30:770	27/09/2021 15:50:29:014	27/09/2021 15:50:28:927	27/09/2021 15:50:28:831	27/09/2021 15:50:28:672	27/09/2021 15:50:28:581
	Creation User ID	STP	admin	atmin	admin	admin	admin	admin
	(4) difference(s) found.							

CA Event - History Screen		
Field	Description	
Fields	Shows the fields whose information have been updated since the creation of the	
	selected CA Event.	



Timestamp	Shows the date and time when the fields have been updated.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Description			
Compare	Shows the differences between the selected records whose data have been updated.			
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected CA Event.			
Show All	Shows the information that existed before each modification of all the fields regarding the selected CA Event.			
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected CA Event.			
Show Differ- ences Only	Shows only the fields whose information have been updated since the creation of the selected CA Event.			

#### 3.4.1.1.1.1. CA Event - Global View

CA Event - G	iodal view		
Context of Usage	This screen displays all the information stored in the system for the CA Event, via a set of buttons, that allows the user to access to the detailed information related.		
Screen Ac-	This screen can be accesed from following paths:		
cess	Corporate Actions >> Announcements >> CA Event Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Record and Click on Global View button		
	Corporate Actions >> Movements >> Client Movements >> Create >> Generate Actual>> Click on the search button (after inputting the relevant Data, if needed) >> Select a Record and Click on Global View button		
Screenshot	CACHIOLOGICAL CONTROL CONTROL CAT Type CAT Type NGC CAT T		
	The K Card		



CA Event – G	lobal View					
CA ECMS	Shows the reference of the CA Event given by the ECMS to the CA event to be					
Event Id.	used by counterparties.					
	Displayed format is: Max. 35 characters.					
CA Event	Shows the type of CA Event.					
Туре	Displayed format is: Max. 4 characters.					
CA Type	Shows the CA Event Type from the possible values:					
	- MAND - Mandatory					
	- CHOS - Mandatory with choice					
	- VOLU - Voluntary					
NCB Cus-	Shows the RIAD Code of the NCB Custodian to which the CA Event is linked.					
todian	Displayed format is: Max. 30 characters.					
ISIN	Shows the ISIN of the security concerned by the CA Event.					
CA Event	Shows the status of the CA Event from the possible values:					
Status	- Waiting Validation					
	- Preliminary					
	- Cross Checked					
	- Activated					
	- Closed					
	- Cancelled					
Processing	Shows the Processing Status of the CA Event from the possible values:					
Status	- Created					
	- Notified					
	– Executed					
	- Waiting Payment					
	- Partially Paid					
	- Paid					
	- Partially Confirmed					
	- Confirmed					
	– Waiting Instruction					
	Receiving Instruction					
	- Meeting Occurred					
	– Waiting Validation					
	- Instructed					
	- Closed					
CA Announce	I					
View CA In-	This function allows the user to access to CA Event details screen.					
formation	Next Screen:					
	Announcements >> CA Event Display >> CA Event – Details Screen					
List DATA	This function allows the user to access to List Data Record screen.					
Records	Next Screen:					



	Announcements >> Feed Management >> List Data Records – List Screen				
Cancel	If the CA Event status allows cancellation, this button will be displayed.				
	This function allows the user to access to Cancel list screen.				
	Next Screen:				
	Announcements >> CA Events >> Event Status Manual Update >> Cancel CA – List				
	Screen				
Close	If the CA Event status allows closing, this button will be displayed.				
	This function allows the user to access to Close list screen.				
	Next Screen:				
	Announcements >> CA Events >> Event Status Manual Update >> Close CA – List Screen				
Activate	If the CA Event status allows activation, this button will be displayed.				
	This function allows the user to access to Activate list screen.				
	Next Screen:				
	Announcements >> CA Events >> Event Status Manual Update >> Activate CA – List Screen				
Generate CA Notification	This function allows the user to access to Generate Client Notifications list screen.				
	Next Screen:				
	   Messages Monitoring >> Generate Client Notifications >> Generate by CA – List Screen				
Send CA No-	This function allows the user to access to Send CA Notification list screen.				
tification	Next Screen:				
	Messages Monitoring >> Send Client Notifications >> Send by CA – List Screen				
List Client	This function allows the user to access to Client Notifications list screen.				
Communica-	Next Screen:				
tions for	Messages Monitoring >> List Client Notifications – List Screen				
Sending seev.031 /					
seev.035 /					
seev.038					
MARKET VIE	W				
Market Eli- gible Position	This function allows the user to access to Market Eligible Position by CA list screen.				
	Next Screen:				
	CA Position >> Monitor Market Eligible Position by CA – List Screen				
Market Notifi	cation				
Notifications l	Notifications IN				
camt.054	This function allows the user to access to CA Movement Confirmation (camt.054) list screen.				
	Next Screen:				
	Messages Monitoring >> Incoming Messages >> CA Movement Confirmation (camt.054) – List Screen				
seev.001	In case CA Event is one of the meeting related this button will be displayed.				
	This function allows the user to access to List Data Record list screen.				



	Next Screen:
	Announcements >> Feed Management >> List Data Records– List Screen
seev.002	In case CA Event is one of the meeting related this button will be displayed.
	This function allows the user to access to List Received seev.002 list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen
seev.003	In case CA Event is one of the meeting related this button will be displayed.
	This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen
seev.006	In case CA Event is one of the meeting related this button will be displayed.
	This function allows the user to access to List Received Meeting Instruction Status seev.006.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Instruction Status seev.006– List Screen
seev.007	In case CA Event is one of the meeting related this button will be displayed.
	This function allows the user to access to List Received Meeting Vote Execution Confirmation seev.007.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Vote Execution Confirmation seev.007– List Screen
seev.008	In case CA Event is one of the meeting related this button will be displayed.
	This function allows the user to access to List Received Meeting Result Dissemination seev.008.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Result Dissemination seev.008– List Screen
seev.031	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to List Data Record list screen.
	Next Screen:
	Announcements >> Feed Management >> List Data Records– List Screen
seev.032	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Event Processing Status Advice Received (seev.032) list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Monitor CA Event Processing Status Advice Received (seev.032) – List Screen
seev.034	In case CA Event is not related to meeting ones and is a voluntary event this button will be displayed.



	This function allows the user to access to Monitor CA Instruction Status Advice Received (seev.034) list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Status Advice Received (seev.034) - List Screen
seev.035	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Movement Preliminary Advice Received (seev.035) list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Monitor CA Movement Preliminary Advice Received (seev.035) – List Screen
seev.036	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to List Received seev.036 list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> List Received seev.036 – List Screen
seev.037	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Movement Reversal Advice Received (seev.037) list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Monitor CA Movement Reversal Advice Received (seev.037)—List Screen
seev.039	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Cancellation Advice Received (seev.039) list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Monitor CA Cancellation Advice Received (seev.039) – List Screen
seev.041	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Instruction Cancellation Request Status Advice Received (seev.041) list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Status Advice Received (seev.041) – List Screen
seev.044	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Movement Preliminary Advice Cancellation Advice Received (seev.044) list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Monitor CA Movement Preliminary Advice Cancellation Advice Received (seev.044) – List Screen
Notification	ns Out
pacs.009	This function allows the user to access to List Sent pacs.009 list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> List Sent pacs.009 - List Screen



pacs.010	This function allows the user to access to List Outgoing pacs.010 list screen.  Next Screen:
	Messages Monitoring >> Outgoing Messages >> List Outgoing pacs.010 - List Screen
seev.004/	In case CA Event is related to meeting ones this button will be displayed.
seev.005	This function allows the user to access to List Outgoing Proxy Instruction (seev.004 / seev.005) list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> List Outgoing Proxy Instruction seev.004 / seev.005 - List Screen
seev.033	In case CA Event is not related to meeting ones and is a voluntary event this button will be displayed.
	This function allows the user to access to Monitor CA Instructions Sent (seev.033) list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> Monitor CA Instructions Sent (seev.033) - List Screen
seev.040	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Instruction Cancellation Request Sent (seev.040) list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Cancellation Request Sent (seev.040)– List Screen
Market Entitle	ement
List Calcu- lated Market	In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.
Entitlement	This function allows the user to access to Monitor Market Entitlement list screen.
	Next Screen:
	CA Entitlements >> Calculated Market Entitlements >> Monitor Market Entitlement - List Screen
Market Enti-	In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.
tlement	This function allows the user to access to Monitor Received Entitlements list screen.
	Next Screen:
	CA Entitlements >> Received Market Entitlements >> Monitor Received Entitlements - List Screen
Calculate Market Enti-	In case CA Event is in a Status different to (Preliminary, Cancelled, Closed and Cross-checked) this button will be displayed.
tlement	This function allows the user to access to Calculate ME FOR Elective Events or Calculate ME FOR Mandatory Events list screen.
	Next Screen:
	CA Entitlements >> Calculated Market Entitlements >> Calculate Market Entitlements >> Calculate ME For Elective Events - List Screen
	When the event is Mandatory:



	CA Entitlements >> Calculated Market Entitlements >> Calculate Market Entitlements >> Calculate ME For Mandatory Events - List Screen
lated Market	In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.
Entitlement	This function allows the user to access to Cancel Market Entitlement list screen.
	CA Entitlements >> Calculated Market Entitlements >> Cancel Market Entitlement - List Screen
Market Move	ments
List Market Movements	In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.
	This function allows the user to access to List Market Movements list screen.
	Movements >> Market Movements >> List Market Movement - List Screen
List Element- ary Cash/	In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.
Secu Market Movement	This function allows the user to access to List Elementary Cash/Secu Market Movement list screen.
	Movements >> Market Movements >> List Elementary Cash/Secu Market Movement - List Screen
Validate Mar- ket Move-	In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.
ment Re- versal	This function allows the user to access to Validate Market Movement Reversal list screen.
	Movements >> Market Movements >> Validate Market Movement Reversal - List Screen
Movement	In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.
Reversal	This function allows the user to access to Reject Market Movement Reversal list screen.
	Movements >> Market Movements >> Reject Market Movement Reversal - List Screen
Reconciliation	
List Recon- ciliation Res-	In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.
ult	This function allows the user to access to List Received Entitlement Reconciliation Result list screen and List Received Movement Reconciliation Result list screen (if there are results for Entitlements and Movements).
	CA Entitlements >> Received Market Entitlements >> Reconciliation >> List Received Entitlement Reconciliation Result - List Screen
	Movements >> Market Movements >> Reconciliation >> List Movement Reconciliation Result - List Screen
Manual Re- concile Secur-	In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.
ities Move- ments	This function allows the user to access to Manual Reconcile Secu Movement list screen.
	Movements >> Market Movements >> Reconciliation >> Manual Reconcile >> Manual Reconcile Secu Movement- List Screen



concile Cash Movements  This function allows the user to access to Manual Reconcile Cash Movement list screen.  Movements >> Market Movements >> Reconciliation >> Manual Reconcile >> Manual Reconcile Cash Movement list screen.  Market Instructions  List PV Market Instruction  List PV Market Instruction  List Process of Monitor PV Market Instruction will be displayed.  This function allows the user to access to Monitor PV Market Instruction    In case CA Event is not related to meeting ones and only in case of VOLU events this button will be displayed.  This function allows the user to access to Monitor market Instruction list screen.  Instructions >> Market Instructions >> Monitor Market Instruction - List Screen  List Market   In case CA Event is not related to meeting ones and only in case of VOLU events this button will be displayed.  This function allows the user to access to Monitor market Instruction - List Screen  List Notifications >> Market Instructions >> Monitor Market Instruction - List Screen  CLIENT VIEW  Client Eligible Position    This function allows the user to access to Monitor Client Eligible Position by CA    List Screen:  CA Position >> Monitor Client Eligible Position by CA - List Screen  Client Notifications IN  seev.001   In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Data Records - List Screen  Next Screen:  Announcements >> Feed Management >> List Data Records - List Screen  Next Screen:  Announcements >> Feed Management >> List Data Records - List Screen  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 - List Screen  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 - List Screen  In case CA Event is one of the meeting related this button will be displayed.		
This function allows the user to access to Manual Reconcile Cash Movement list screen.  Movements >> Market Movements >> Reconciliation >> Manual Reconcile State >> Manual Reconcile >> M		In case CA Event is in a Status different to (Preliminary and Cross-checked) this
Market Instructions  Movements >> Market Movements >> Reconciliation >> Manual Reconcile >> Manual Reconcile Cash Movements >> Reconciliation >> Manual Reconcile >> Manual Reconcile Cash Movement- List Screen  Market Instructions  List PV Market Instruction  In case CA Event is related to meeting ones this button will be displayed. This function allows the user to access to Monitor PV Market Instruction  > List Screen		
Reconcile Cash Movement- List Screen	Movements	
List PV Market Instruction  In case CA Event is related to meeting ones this button will be displayed. This function allows the user to access to Monitor PV Market Instruction >> Monitor PV Market Instruction >> Monitor PV Market Instruction >> Monitor PV Market Instruction >> Monitor PV Market Instruction >> Monitor PV Market Instruction >> Monitor PV Market Instruction >> Monitor PV Market Instruction >> In case CA Event is not related to meeting ones and only in case of VOLU events this button will be displayed.  This function allows the user to access to Monitor market Instruction - List Screen   Instructions >> Market Instructions >> Monitor Market Instruction - List Screen   Instructions >> Market Instructions >> Monitor Client Eligible Position by CA   Is function allows the user to access to Monitor Client Eligible Position by CA   Is function allows the user to access to Monitor Client Eligible Position by CA   In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Data Records - List Screen   Seev.002		
ket Instruction  This function allows the user to access to Monitor PV Market Instruction Secreen.  Instructions > Proxy Voting Instruction >> PV Market Instruction >> Monitor PV Market Instruction - List Screen  In case CA Event is not related to meeting ones and only in case of VOLU events this button will be displayed.  This function allows the user to access to Monitor market Instruction Ist screen.  Instructions >> Market Instructions >> Monitor Market Instruction - List Screen  CLIENT VIEW  Client Eligible Position  Instruction allows the user to access to Monitor Client Eligible Position by CA    In function allows the user to access to Monitor Client Eligible Position by CA    In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Data Records - List Screen  Seev.002	Market Instru	ctions
tion Instructions Serven.  Instructions > Proxy Voting Instruction > PV Market Instruction > Monitor PV Market Instruction > Monitor PV Market Instruction > List Screen  List Market In case CA Event is not related to meeting ones and only in case of VOLU events this button will be displayed.  This function allows the user to access to Monitor market Instruction List Screen.  Instructions > Market Instructions > Monitor Market Instruction - List Screen  CLIENT VIEW  CLIENT VIEW  Client Eligible Position   Instruction   Next Screen    CA Position   Next Screen    CA Position > Monitor Client Eligible Position by CA - List Screen  Client Notification  Notifications IN  seev.001   In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Data Records - List Screen  Announcements >> Feed Management >> List Data Records - List Screen  Next Screen:  Announcements >> Feed Management >> List Data Records - List Screen  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 - List Screen  Seev.003   In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement - List Screen  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement - List Screen  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement - List Screen  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement - List Screen	List PV Mar-	In case CA Event is related to meeting ones this button will be displayed.
List Market Instruction - List Screen List Market Instructions In case CA Event is not related to meeting ones and only in case of VOLU events this button will be displayed. This function allows the user to access to Monitor market Instruction - List Screen. Instructions >> Market Instructions >> Monitor Market Instruction - List Screen.  CLIENT VIEW Client Eligible Position  This function allows the user to access to Monitor Client Eligible Position by CA gible Position  Next Screen: CA Position >> Monitor Client Eligible Position by CA - List Screen  Client Notification  Notifications IN  seev.001  In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Data Records - List Screen  Next Screen: Announcements >> Feed Management >> List Data Records - List Screen  In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.002 list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement   List Screen  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		
Instructions this button will be displayed. This function allows the user to access to Monitor market Instruction List Screen.  CLIENT VIEW  Client Eligible Position This function allows the user to access to Monitor Client Eligible Position by CA list screen.  Next Screen:  CA Position >> Monitor Client Eligible Position by CA – List Screen  CA Position >> Monitor Client Eligible Position by CA – List Screen  Client Notification  Notifications IN  seev.001 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Data Records – List Screen  Next Screen:  Announcements >> Feed Management >> List Data Records – List Screen  seev.002 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.002 list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen  seev.003 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement List Screen  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement List Screen  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		
Instructions >> Market Instructions >> Monitor Market Instruction - List Screen		In case CA Event is not related to meeting ones and only in case of VOLU events this button will be displayed.
CLIENT VIEW  Client Eligible Position  This function allows the user to access to Monitor Client Eligible Position by CA list screen:  CA Position >> Monitor Client Eligible Position by CA – List Screen  Client Notification  Notifications IN  seev.001  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Data Record list screen.  Next Screen:  Announcements >> Feed Management >> List Data Records— List Screen  Seev.002  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.002 list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement — List Screen  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		This function allows the user to access to Monitor market Instruction list screen.
Client Eligible Position     Client Eligible Position    Seev.001		Instructions >> Market Instructions >> Monitor Market Instruction - List Screen
gible Position list screen. Next Screen: CA Position >> Monitor Client Eligible Position by CA – List Screen  Client Notification  Notifications IN  seev.001 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Data Record list screen. Next Screen: Announcements >> Feed Management >> List Data Records—List Screen  Seev.002 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.002 list screen. Next Screen: Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 — List Screen  seev.003 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.003 Meeting Entitlement list screen. Next Screen: Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement — List Screen  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement — List Screen  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement — List Screen  In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received Meeting Instruction Status seev.006.	CLIENT VIEV	V
Client Notification  Notifications IN seev.001 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Data Record list screen. Next Screen:  Announcements >> Feed Management >> List Data Records—List Screen  Seev.002 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.002 list screen. Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 — List Screen  Seev.003 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.003 Meeting Entitlement list screen. Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement List Screen  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement — List Screen  In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received Meeting Instruction Status seev.006.		This function allows the user to access to Monitor Client Eligible Position by CA list screen.
Client Notification  Notifications IN  seev.001		Next Screen:
Client Notification  Notifications IN  seev.001		CA Position >> Monitor Client Eligible Position by CA – List Screen
In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Data Record list screen.  Next Screen:  Announcements >> Feed Management >> List Data Records—List Screen  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.002 list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 — List Screen  Seev.003 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement — List Screen  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.	Client Notifica	
This function allows the user to access to List Data Record list screen.  Next Screen:  **Announcements" > Feed Management > List Data Records—List Screen**  Seev.002 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.002 list screen.  Next Screen:  **Messages Monitoring" > Incoming Messages >> Meeting Notification >> List Received seev.002 — List Screen**  Seev.003 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  **Messages Monitoring" > Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement — List Screen**  Seev.006 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.	Notifications l	N .
Next Screen:  Announcements >> Feed Management >> List Data Records—List Screen  seev.002 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.002 list screen. Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 — List Screen  seev.003 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.003 Meeting Entitlement list screen. Next Screen: Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement — List Screen  seev.004 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received Meeting Instruction Status seev.006.	seev.001	In case CA Event is one of the meeting related this button will be displayed.
Seev.002 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.002 list screen. Next Screen:  **Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen  **Seev.003 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  **Next Screen:** Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen  **Seev.006 In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received Meeting Instruction Status seev.006.		This function allows the user to access to List Data Record list screen.
In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.002 list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen  seev.003  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen  seev.003  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		Next Screen:
In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.002 list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen  seev.003  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen  seev.003  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		Announcements >> Feed Management >> List Data Records– List Screen
This function allows the user to access to List Received seev.002 list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen  seev.003 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen  seev.006 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.	seev.002	
Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen  seev.003 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen  seev.006 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		
seev.002 — List Screen  seev.003 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement — List Screen  seev.006 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		Next Screen:
In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen  seev.006  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received
This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.  Next Screen:  Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen  seev.006  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.	seev.003	In case CA Event is one of the meeting related this button will be displayed.
Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen  seev.006  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		This function allows the user to access to List Received seev.003 Meeting Entitle-
seev.003 Meeting Entitlement – List Screen  seev.006  In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		Next Screen:
seev.006 In case CA Event is one of the meeting related this button will be displayed.  This function allows the user to access to List Received Meeting Instruction Status seev.006.		
This function allows the user to access to List Received Meeting Instruction Status seev.006.	seev.006	
		This function allows the user to access to List Received Meeting Instruction
		Next Screen:



	Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Instruction Status seev.006– List Screen
seev.007	In case CA Event is one of the meeting related this button will be displayed.
	This function allows the user to access to List Received Meeting Vote Execution Confirmation seev.007.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Vote Execution Confirmation seev.007– List Screen
seev.008	In case CA Event is one of the meeting related this button will be displayed.
	This function allows the user to access to List Received Meeting Result Dissemination seev.008.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Result Dissemination seev.008– List Screen
seev.033	In case CA Event is not related to meeting ones and is a voluntary event this button will be displayed.
	This function allows the user to access to Monitor CA Instructions Received (seev.033) list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Monitor CA Instructions Received (seev.033) - List Screen
seev.040	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Instruction Cancellation Request Received (seev.040) list screen.
	Next Screen:
	Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Received (seev.040)—List Screen
Notification	ns Out
seev.031	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Notifications Sent (seev.031) list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> Monitor CA Notifications Sent (seev.031) – List Screen
seev.032	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to List Outgoing seev.032 CA Event Processing Status Advice list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> List Outgoing seev.032 CA Event Processing Status Advice (seev.032) – List Screen
seev.034	In case CA Event is not related to meeting ones and is a voluntary event this button will be displayed.
	This function allows the user to access to Monitor CA Instruction Status Advice Sent (seev.034) list screen.



	Next Screen:
	Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Status Advice Sent (seev.034) - List Screen
seev.035	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Movement Preliminary Advice Sent (seev.035) list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Preliminary Advice Sent (seev.035) – List Screen
seev.036	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to List Outgoing seev.036 list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> List Outgoing seev.036 – List Screen
seev.037	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Movement Reversal Advice Sent (seev.037) list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Reversal Advice Sent (seev.037)—List Screen
seev.039	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Cancellation Advice Sent (seev.039) list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> Monitor CA Cancellation Advice Sent (seev.039) – List Screen
seev.041	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Instruction Cancellation Request Status Advice Sent (seev.041) list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Cancellation Request Status Advice Sent (seev.041) – List Screen
seev.044	In case CA Event is not related to meeting ones this button will be displayed.
	This function allows the user to access to Monitor CA Movement Preliminary Advice Cancellation Advice Received (seev.044) list screen.
	Next Screen:
	Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Preliminary Advice Cancellation Advice Sent (seev.044) – List Screen
Client Entitle	ment
List Client	This function allows the user to access to Monitor Client Entitlement list screen.
Entitlement	Next Screen:
	CA Entitlements >> Client Entitlements >> Monitor Client Entitlement - List Screen



Calculate Client Entitle-	This function allows the user to access to Calculate ME FOR Elective Events or Calculate ME FOR Mandatory Events list screen.
ment	Next Screen:
	CA Entitlements >> Client Entitlements >> Calculate Client Entitlements >> Calculate ME For Elective Events - List Screen
	When the event is Mandatory:
	CA Entitlements >> Client Entitlements >> Calculate Client Entitlements >> Calculate ME For Mandatory Events - List Screen
Cancel Client	This function allows the user to access to Cancel Client Entitlement list screen.
Entitlement	CA Entitlements >> Client Entitlements >> Cancel Client Entitlement - List Screen
Client Movem	nent
List Generate Cash/Secur-	This function allows the user to access to List Generated client Movement list screen.
ity Client Movement	Movements >> Client Movements >> List Generated Client Movement - List Screen
Send Move-	This function allows the user to access to Confirm Movement list screen.
ment Con- firmation	Movements >> Client Movements >> List Generated Client Movement - List Screen
List Con-	This function allows the user to access to List Confirmed Movement list screen.
firmed Client Movement	Movements >> Client Movements >> List Confirmed Movement - List Screen
Allocation	
List Alloca-	This function allows the user to access to List Confirmed Movement list screen.
tion Results	Movements >> Market Movements >> Allocation >> List Allocation Result - List Screen
Manual Cash	This function allows the user to access to Manual Cash Allocation list screen.
Allocation	Movements >> Market Movements >> Allocation >> Manual Cash Allocation - List Screen
Manual Secu	This function allows the user to access to Manual Secu Allocation list screen.
Allocation	Movements >> Market Movements >> Allocation >> Manual Secu Allocation - List Screen
Client Instruc	tions
List Client In-	This function allows the user to access to Monitor Client Instructions list screen.
structions	Instructions >> CA Client Instructions >> Monitor >> Monitor Client Instructions
Create Client	This function allows the user to access to Input Instruction screen.
Instruction	Instructions >> CA Client Instructions >> Input Instruction >> Input Client Instruction
Generate De-	This function allows the user to access to Input Instruction screen.
fault Instruc- tions	Instructions >> CA Client Instructions >> Input Instruction >> Input Default Client Instruction
Confirm Cli-	This function allows the user to access to Confirm CI Rejection list screen.
ent Instruc- tions Rejec- tion	Instructions >> CA Client Instructions >> Confirm CI Rejection



Regenerate Market In- struction	This function allows the user to send a new Market instruction based on the Client instruction.
Uninstructed Clientes	This function allows the user to access to Monitor Uninstructed balance list screen.  Instructions >> CA Client Instructions >> Monitor >> Monitor Uninstructed Balance
Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel and return to the previous screen.

### 3.4.1.1.1.1.2 CA Event – Details screen

Context of Usage	This screen contains a number of fields regarding the CA Events. You can View detailed information of a selected CA Event.
Business Case re- lated	Custody >> Corporate Actions >> CA Announcements >> Search / List / View CA Event Information
Screen Access	Depending how the screen is accessed it can be used to search the valid information of the CA Event or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:
	Corporate Actions >> Announcements >> CA Event Display >> >> Click on Search button >> Select a record >> Click on View button
	For information subject to 4 eyes validation:
	Corporate Actions >> Announcements >> Validate / Reject CA Event >> Click on Search button >> Select a record >> Click on View button
	•It can be used to see the details for Generate Actual Client Movements:
	Corporate Actions >> Client Movements >> Create >> Generate Actual>> Click on the search button (after inputting the relevant Data, if needed) >> Select a record >> Click on View button
Screenshot	Content Design



	n find the fields that are going to be displayed for every Event, the com-	
mon ones.		
-	an find, the name of an event and the specific fields for this event.	
	on fields to the specific ones, the screen of the event is fully described.	
CA Event – Detail		
Field	Description	
Notification Infor		
Event Confirmation Status (CONF - Box Checked / UCON - Box Unchecked)	Shows whether the CA Event has been confirmed by the CSD or not from the possible values:  - Yes  - No	
Event Completeness Status (COMP - Box checked / INCO Box Unchecked)	Shows whether the message contains complete details of the CA Event at the time the event is communicated or not from the possible values:  - Yes - No	
CA Event Informa	ntion	
CA ECMS Event Id	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.	
Official CA Event Id	Shows the unique reference identifying the Corporate Action (COAF). Official and unique reference assigned by the official central body / entity within each market at the beginning of a CA Event.	
	Displayed format is: Max. 30 characters.	
NCB Custodian	Shows the RIAD Code of the NCB Custodian to which the CA Event is linked.	
	Displayed format is: Max. 30 characters.	
CA CSD Event	Shows the Reference of the CA Event given by the CSD.	
Ref.	Displayed format is: Max. 35 characters.	
CA Event Type	Shows the CA Event type selected in previous screen.	
	Displayed format is: Max. 4 characters.	
Participation	Shows code identifying whether participation in the CA event is:	
Туре	- mandatory (MAND)	
	- mandatory with options (CHOS)	
	- voluntary (VOLU)	
ISIN	Shows the ISIN of the security concerned by the CA Event.	
ISIN Description	Shows the description of the Marketable Asset concerned by the CA Event.	
Provider	Shows the provider of the CA Event from possible values:	
	- CSD	
	– Manual	
CA Additional In	formation	
Issuer Agent	Shows the Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.	



Paying Agent	Shows the Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/of-feror.
Comment	Shows the comments added previously.
Footprint	
Creation Date	Shows the date and time of the creation of the CA Event.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the CA Event.
Update Date	Shows the date and time of the update of the CA Event.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Event.

#### 3.4.1.1.1.2. ACTV-MAND

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ACI V-IVIAIND			
ACTV – Activatio	ACTV – Activation Event MAND		
CA Event Details			
Field	Description		
Ex-Dividend Date	Shows the ex-dividend date or use the calendar icon.		
	Required format is: DD/MM/YYYY.		
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon.		
	Displayed format is: DD/MM/YYYY.		
Button	Description		
Place of Listing	It shows the subsection where you can see the place where the referenced financial instrument is listed.		
Place of Listing			
Market	Shows the place where the referenced financial instrument is listed.		
Is Default Place of	Shows from possible values:		
Listing	- Yes		
	- No		

#### 3.4.1.1.1.2. BIDS-VOLU

BIDS – Repurchase Offer VOLU		
CA Event Details		
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.	
Button	Function	
Cash Option	This function enables you to display the subsection Cash Option in case is needed.	
No Action	This function enables you to display the subsection No Action.	



Cash Option	
Option Number	Shows the Number identifying the available CA options.
Subject to Prora-	Shows from possible values:
tion?	- Yes
	- No
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Change Allowed Indicator	Shows whether a change of instruction is allowed. Shows from possible values:
indicator	- Yes
Dla alvina a of Canan	- No
Blocking of Securities	Shows from Possible values:
	- MEET
	- MKDT
	- NARR
	- PAYD
	- PWAL
	- RDDT
	- RDTE
	- UKWN
Option Critical Dates	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Early Response deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.



	Displayed format is: DD/MM/YYYY.
Early Response deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Period of Action Begin	Shows the beginning of the Period for the specified option, or all options of the event, remains valid (e.g. offer period) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Period of Action End	Shows the ending of the Period for the specified option, or all options of the event, remains valid (e.g. offer period).
	Displayed format is: DD/MM/YYYY.
Earliest Payment	Shows the earliest date for Payment.
Date	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the last Trading date.
	Displayed format is: DD/MM/YYYY.
Security Out	
Credit Debit Indic-	Shows the Credit Debit Indicator from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	
Credit Debit Indic-	Shows the Credit Debit Indicator from possible values:
ator	- Credit
	– Debit
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows from possible values:
	- Amount
	- Percentage
No Action	
Option Number	Shows the Number identifying the available CA options.



Proration Rate (PROR)   Shows the Percentage of securities accepted by the offeror/issuer.		
Proration Rate (PROR)  OPTION CRITICAL DATES  Response Deadline Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date  Market Deadline Date  Market Deadline Time  Market Deadline Time  Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to ince	Default Option Indicator	Shows from possible values:
Proration Rate (PROR)  OPTION CRITICAL DATES  Response Deadline Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date  Market Deadline Date  Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Early Response deadline Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).		- Yes
(PROR)  OPTION CRITICAL DATES  Response Deadline Date  Bhows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Deadline Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date  Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.  Displayed format is: hh:mm:ss:µs.  Early Response deadline Date  Shows the Date that the account servicer has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Early Response deadline Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Early Response deadline Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).		- No
Response Deadline Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Deadline Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Early Response deadline Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Early Response deadline Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).	Proration Rate (PROR)	Shows the Percentage of securities accepted by the offeror/issuer.
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respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Early Response deadline Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Early Response deadline Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).		Displayed format is: hh:mm:ss:µs.
Market Deadline Time Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Early Response deadline Date Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Early Response deadline Time Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).	Market Deadline Date	respond, with an instruction, to an outstanding offer or privilege or use the
respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).		Displayed format is: DD/MM/YYYY.
Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Early Response deadline Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).	Market Deadline Time	
with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Early Response deadline Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).		Displayed format is: hh:mm:ss:µs.
Early Response deadline Time Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).	Early Response deadline Date	incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the
deadline Time with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).		Displayed format is: DD/MM/YYYY.
Displayed format is: hh:mm:ss:µs.	Early Response deadline Time	incentives. This time is dependent on the reference time zone of the ac-
		Displayed format is: hh:mm:ss:µs.

## 3.4.1.1.1.2. BPUT-VOLU

BF01-V0L0		
BPUT – Put redemption VOLU		
CA Event Details		
Field	Description	
tlement Fixing	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on pay-	
Date	ment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.	
Button	Function	



Cash Option	This function enables you to display the subsection Cash Option in case is needed.
No Action	This function enables you to display the subsection No Action.
Cash Option	
Option Number	Shows the Number identifying the available CA options.
Subject to Prora-	Shows from possible values:
tion?	- Yes
	- No
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Change Allowed Indicator	Shows whether a change of instruction is allowed. Shows from possible values:
	- Yes
	- No
Blocking of Secur-	Shows from Possible values:
ities	- MEET
	- MKDT
	– NARR
	– PAYD
	– PWAL
	- RDDT
	- RDTE
	- UKWN
Ontion Critical Dates	
Option Critical Dates	I .
Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.



	Displayed format is: hh:mm:ss:µs.
Early Response deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Early Response deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Election Begin	Shows the beginning of the Election Period for the specified option or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Election End	Shows the ending of the Election Period for the specified option or use the calendar Icon.
	Displayed format is: DD/MM/YYYY.
Earliest Payment	Shows the earliest date for payment or use the calendar Icon.
Date	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the last trading date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Quotation mode	Shows from possible values:
	- Amount
	- Percentage
No Action	



Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Proration Rate (PROR)	Shows the Percentage of securities accepted by the offeror/issuer.
Option Critical Dates	3
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
Pagnance Dandling	Displayed format is: DD/MM/YYYY.
Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Early Response deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Early Response deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.

## 3.4.1.1.1.2. BRUP-MAND

BRUP – Bankruptcy MAND		
CA Event Details	CA Event Details	
Field	Description	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.	
Filing Date	Shows the date on which the action was filed at the applicable court.	



	Displayed format is: DD/MM/YYYY.
Court Approval	Shows the Date on which the action was filed at the applicable court.
Date	Displayed format is: DD/MM/YYYY.

#### 3.4.1.1.1.2. CAPI-MAND

5

	CALL MANY	
CAPI – Capitalisa	CAPI – Capitalisation MAND	
CA Event Informa	CA Event Information	
Field	Description	
Previous Factor	Shows the previous factor of the Marketable Asset concerned by the CA Event.	
Next Factor	Shows the next factor of the Marketable Asset concerned by the CA Event.	
Annual Interest Rate	Shows the Annual Interest Rate	
CA Event Details	CA Event Details	
Field	Description	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Payment Date	Shows the date at which the movement is due to take place (cash and/or securities) or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	

#### 3.4.1.1.1.2. CERT-CHOS

CERT – Certification	on CHOS
CA Event Informati	ion
Certification	Shows the certification format Displayed from possible values:
Format Indicator	<ul> <li>PHYS – Physical Format – certification must be provided in physical format. –</li> </ul>
	<ul> <li>ELEC – Electronic Format – certification must be provided in electronic format.</li> </ul>
CA Event Details	
Field	Description
Record Date / Enti-	Shows the date at which positions are struck to note which parties will re-
tlement Fixing	ceive the relevant amount of entitlement, due to the distribution on pay-
Date	ment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.
Certification Dead-	Shows the Date Deadline by which the beneficial ownership of securities
line	must be declared or use the calendar icon.
	Displayed format is: DD/MM/YYYY.



Button	Function
Qualified Investor Option	This function enables you to display the subsection QINV - Qualified Investor Option in case is needed.
Non Qualified Investor Option	This function enables you to display the subsection NOQU – Non Qualified Investor Option in case is needed.
Qualified Investor (	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	5
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Certification	Shows the type of Certification Breakdown from possible values:
Breakdown Type	- ACCI
	- DOMI
	- NDOM
	- FULL
	- NCOM
	- QIBB
	- TRBD
	- PAPW
	– PABD
	- FRAC
Instruction Processin	
	Shows the Disclosure Needed from possible values:



Immediate Payment  No  Shows the Immediate Payment from possible values:  - Yes  - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No  Benef Owner Paper Work from possible values:  - Yes  - No  Non Qualified Investor Option  Option Number  Default Option Indicator  Option Indicator  - Yes  - No  Additional Text  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values:  - Yes  - No  Individual Process  Shows the Individual Process Of Inst from possible values:  - Yes  - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No  Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No		- Yes
Individual Process Of Inst  Shows the Individual Process Of Inst from possible values:  Yes  No  Shows the Immediate Payment from possible values:  Yes  No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  Yes  No  Benef Owner Paper Work  For No  Benef Owner Paper Work from possible values:  Yes  No  Non Qualified Investor Option Option Number  Default Option Indicator  Shows the Number identifying the available CA options.  Shows from possible values:  Yes  No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values:  Yes  No  Individual Process Of Inst  Yes  No  Shows the Immediate Payment from possible values:  Yes  No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No		
Of Inst	Individual Process	110
Immediate Payment  - No  Shows the Immediate Payment from possible values: - Yes - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values: - Yes - No  Benef Owner Paper Work  Benef Owner Paper Work from possible values: - Yes - No  Non Qualified Investor Option  Option Number  Default Option Indicator  Option Indicator  Shows the Number identifying the available CA options.  Shows from possible values: - Yes - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Individual Process Of Inst - Yes - No  Inmediate Payment  Instruction Processing Info  Shows the Disclosure Needed from possible values: - Yes - No  Shows the Individual Process Of Inst from possible values: - Yes - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values: - Yes - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values: - Yes - No  Benef Owner Paper Work  Shows the Benef Owner Paper Work from possible values: - Yes - No  Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No	Of Inst	-
Immediate Payment  Shows the Immediate Payment from possible values:  Yes  No  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No  Non Qualified Investor Option  Shows the Number identifying the available CA options.  Shows from possible values:  Yes  No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values:  Yes  No  Individual Process  Of Inst  Yes  No  Shows the Individual Process Of Inst from possible values:  Yes  No  Shows the Immediate Payment from possible values:  Yes  No  Shows the Immediate Payment from possible values:  Yes  No  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No		
Benef Owner Instr  - Yes - No  Benef Owner Instr  - Yes - No  Benef Owner Paper Work  Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Non Qualified Investor Option  Shows the Number identifying the available CA options.  Shows from possible values: - Yes - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values: - Yes - No  Individual Process Of Inst - Yes - No  Shows the Individual Process Of Inst from possible values: - Yes - No  Shows the Immediate Payment from possible values: - Yes - No  Benef Owner Instr - Yes - No  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No	Immediate Pay-	110
Benef Owner Instr  - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Non Qualified Investor Option  Option Number  Default Option Indicator  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values:  - Yes  - No  Individual Process  Of Inst  - Yes  - No  Shows the Individual Process Of Inst from possible values:  - Yes  - No  Shows the Inmediate Payment from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No	ment	1
Shows the Benef Owner Instr From possible values:  - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Non Qualified Investor Option  Option Number  Default Option Indicator  - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed Shows the Disclosure Needed from possible values: - Yes - No  Individual Process Of Inst - Yes - No  Shows the Individual Process Of Inst from possible values: - Yes - No  Shows the Individual Process Of Inst from possible values: - Yes - No  Shows the Immediate Payment from possible values: - Yes - No  Shows the Immediate Payment from possible values: - Yes - No  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No		
Benef Owner Paper Work	Benef Owner Instr	1.7
Benef Owner Paper Work  Benef Owner Paper Work  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Non Qualified Investor Option  Option Number  Default Option Indicator  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values:  - Yes  - No  Individual Process  Of Inst  - Yes  - No  Shows the Individual Process Of Inst from possible values:  - Yes  - No  Shows the Immediate Payment from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No	benef Owner mon	_
Shows the Benef Owner Paper Work from possible values:  - Yes - No  Non Qualified Investor Option  Option Number  Default Option Indicator  - Yes - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values:  - Yes - No  Individual Process  Of Inst - Yes - No  Shows the Individual Process Of Inst from possible values:  - Yes - No  Shows the Immediate Payment  No  Shows the Benef Owner Instr from possible values:  - Yes - No  Shows the Benef Owner Instr from possible values:  - Yes - No  Shows the Benef Owner Paper Work from possible values:  - Yes - No  Shows the Benef Owner Paper Work from possible values:  - Yes - No  Shows the Benef Owner Paper Work from possible values:  - Yes - No  Shows the Benef Owner Paper Work from possible values:  - Yes - No		
per Work	Renef Owner Pa-	
Non Qualified Investor Option		
Non Qualified Investor Option Option Number Default Option Indicator  Default Option Indicator  Shows the Number identifying the available CA options.  Shows from possible values:  Yes  No Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info Disclosure Needed Shows the Disclosure Needed from possible values:  Yes  No Individual Process Of Inst  Yes  No Shows the Individual Process Of Inst from possible values:  Yes  No Shows the Immediate Payment from possible values:  Yes  No Shows the Benef Owner Instr from possible values:  Yes  No Shows the Benef Owner Instr from possible values:  Yes  No Shows the Benef Owner Paper Work from possible values:  Yes  No Shows the Benef Owner Paper Work from possible values:  Yes  No		
Option Number  Default Option Indicator  Default Option Indicator  Shows the Number identifying the available CA options.  Shows from possible values:  Yes  No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values:  Yes  No  Individual Process  Shows the Individual Process Of Inst from possible values:  Yes  No  Immediate Payment  The process of Inst from possible values:  Yes  No  Shows the Immediate Payment from possible values:  Yes  No  Shows the Benef Owner Instr from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No  Shows the Benef Owner Paper Work from possible values:  Yes  No	Non Ovalified Inve	
Default Option Indicator  Shows from possible values:  - Yes  - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed Shows the Disclosure Needed from possible values:  - Yes  - No  Individual Process Of Inst  - Yes  - No  Immediate Payment  Shows the Immediate Payment from possible values:  - Yes  - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No		
Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed Shows the Disclosure Needed from possible values:  - Yes  - No  Individual Process Of Inst  - Yes  - No  Shows the Individual Process Of Inst from possible values:  - Yes  - No  Immediate Payment  - Yes  - No  Shows the Immediate Payment from possible values:  - Yes  - No  Benef Owner Instr  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No		
Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info Disclosure Needed Shows the Disclosure Needed from possible values:  - Yes  - No Individual Process Of Inst  - Yes  - No Shows the Individual Process Of Inst from possible values:  - Yes  - No Immediate Payment  - Yes  - No Shows the Immediate Payment from possible values:  - Yes  - No Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No Shows the Benef Owner Paper Work from possible values:  - Yes  - No Shows the Benef Owner Paper Work from possible values:  - Yes  - No	-	-
Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values:  - Yes  - No  Individual Process Of Inst  - Yes  - No  Shows the Individual Process Of Inst from possible values:  - Yes  - No  Shows the Immediate Payment from possible values:  - Yes  - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No	dicator	
sage. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info  Disclosure Needed  Shows the Disclosure Needed from possible values:  - Yes  - No  Individual Process Of Inst  - Yes  - No  Immediate Payment  Shows the Immediate Payment from possible values:  - Yes  - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No		- No
Disclosure Needed Shows the Disclosure Needed from possible values:  - Yes - No  Individual Process Of Inst - Yes - No  Immediate Payment  Shows the Individual Process Of Inst from possible values: - Yes - No  Immediate Payment  Shows the Immediate Payment from possible values: - Yes - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values: - Yes - No  Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No	Additional Text	sage. This field may only be used if the information to be transmitted can-
Disclosure Needed Shows the Disclosure Needed from possible values:  - Yes - No  Individual Process Shows the Individual Process Of Inst from possible values:  - Yes - No  Immediate Pay- ment Shows the Immediate Payment from possible values:  - Yes - No  Benef Owner Instr Shows the Benef Owner Instr from possible values:  - Yes - No  Shows the Benef Owner Instr from possible values:  - Yes - No  Shows the Benef Owner Paper Work from possible values:  - Yes - No  Shows the Benef Owner Paper Work from possible values:  - Yes - No	Instruction Processin	
Individual Process Of Inst  - Yes - No  Immediate Pay- ment  Shows the Immediate Payment from possible values: - Yes - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Instr from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No		
Individual Process Of Inst Of Inst - Yes - No Immediate Payment ment Shows the Immediate Payment from possible values: - Yes - No Benef Owner Instr Shows the Benef Owner Instr from possible values: - Yes - No Benef Owner Pa- per Work - Yes - No Shows the Benef Owner Paper Work from possible values: - Yes - No - No		- Yes
Individual Process Of Inst Of Inst - Yes - No Immediate Payment ment Shows the Immediate Payment from possible values: - Yes - No Benef Owner Instr Shows the Benef Owner Instr from possible values: - Yes - No Benef Owner Pa- per Work - Yes - No Shows the Benef Owner Paper Work from possible values: - Yes - No - No		- No
Of Inst  - Yes - No  Immediate Pay- ment  Shows the Immediate Payment from possible values:  - Yes - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes - No  Benef Owner Pa- per Work  Shows the Benef Owner Paper Work from possible values:  - Yes - No  Shows the Benef Owner Paper Work from possible values:  - Yes - No	Individual Process	
- No  Immediate Pay- ment  Shows the Immediate Payment from possible values:  - Yes  - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No  Benef Owner Pa- per Work  Shows the Benef Owner Paper Work from possible values:  - Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No	Of Inst	- Yes
Immediate Payment  Shows the Immediate Payment from possible values:  - Yes  - No  Benef Owner Instr  Shows the Benef Owner Instr from possible values:  - Yes  - No  Benef Owner Pa- per Work  Yes  - No  Shows the Benef Owner Paper Work from possible values:  - Yes  - No		
ment - Yes - No  Benef Owner Instr Shows the Benef Owner Instr from possible values: - Yes - No  Benef Owner Pa- per Work - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No	Immediate Pay-	
- No  Benef Owner Instr Shows the Benef Owner Instr from possible values: - Yes - No  Benef Owner Pa- per Work - Yes - No  Shows the Benef Owner Paper Work from possible values: - Yes - No	ment	1
Benef Owner Instr Shows the Benef Owner Instr from possible values:  - Yes - No  Benef Owner Pa- per Work - Yes - No  Shows the Benef Owner Paper Work from possible values:  - Yes - No		
- Yes - No  Benef Owner Pa- per Work - Yes - No  No	Benef Owner Instr	
- No  Benef Owner Pa- per Work  - Yes - No		_
Benef Owner Pa- per Work  - Yes No		
per Work – Yes – No	Benef Owner Pa-	
- No	per Work	
	Option Critical Dates	



Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Election Begin	Shows the beginning of the Election Period for the specified option or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Election End	Shows the ending of the Election Period for the specified option or use the calendar Icon.
	Displayed format is: DD/MM/YYYY.
Certification	Shows the type of Certification Breakdown from possible values:
Breakdown Type	- ACCI
	- DOMI
	- NDOM
	- FULL
	- NCOM
	– QIBB
	- TRBD
	- PAPW
	- PABD
	- FRAC

## 3.4.1.1.1.2. CHAN-MAND

CHAN – Change MAND	
CA Event Details	
Field	Description
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.
Change Type	Shows the type of change announced from possibel values:  - Certificates (CERT)  - Name (NAME)  - Terms (TERM)
New Name of Company	Shows the new name of a company following a name change.



Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Effective Date	Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR). Shows the date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Button	Function
SECU Option	This function enables you to display the subsection SECU Option in case is needed.
SECU Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Change Allowed	Shows from possible values:
Indicator	- Yes
	- No
Security Out	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Last Trading Time	Shows the Time at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: hh:mm:ss:µs.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Security In	
New ISIN	Shows the new ISIN of the CA Event.
L	



New ISIN description	Shows the description of the New ISIN.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Last Trading Time	Shows the Time at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: hh:mm:ss:µs.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.

# 3.4.1.1.1.2. CONS-VOLU

R

CONS - Consent R	equest VOLU	
General Information	General Information	
Field	Description	
Consent Type In-	Shows the type of consent sought from possible values:	
dicator	Change in Terms (CTRM) – change in the terms and conditions of the bond.	
	- Due and Payable (DUPY) - change in the due and payable conditions.	
CA Event Details		
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Effective Date	Shows the Effective date that is to be used in events where there is no concept of entitlement.	
	Displayed format is: DD/MM/YYYY.	
Button	Function	
Cash Option	This function enables you to display the subsection Cash Option in case is needed.	
Consent Granted Option	This function enables you to display the subsection Consent Granted Option in case is needed.	
Consent Denied Option	This function enables you to display the subsection Consent Denied in case is needed.	



Abstain Option	This function enables you to display the subsection Cash Option in case is needed.
No Action	This function enables you to display the subsection No Action.
Cash Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	ng Info
Disclosure Needed	Shows the Disclosure Needed from possible values:
	- Yes
	- No
Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Dates	S
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be
	used.



Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Consent Granted O	<u>ption</u>
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	eg Info
	Shows the Disclosure Needed from possible values:
	- Yes
	- No
Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
benef Owner histi	- Yes
Benef Owner Pa-	- No Shows the Bonef Owner Paper Work from possible values:
per Work	Shows the Benef Owner Paper Work from possible values:
F	- Yes
	- No
Option Critical Dates	3



Time used. Displayed format is: hh:mm:ss:µs.  Election End Date Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY  Election End Time Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.  Response Deadline Shows the Date that the account servicer has set as the deadline to respo with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.  Response Deadline Shows the time that the account servicer has set as the deadline to respon with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA). Displayed format is: hh:mm:ss:µs.  Market Deadline Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon. Displayed format is: DD/MM/YYYY.  Market Deadline Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.  Consent Denied Option  Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes - No  Additional Text Shows additional or more detailed information on the content of a mes-	Election Begin	Shows the date at which the election begins. The calendar icon can also be
Election Begin Time  Shows the Time at which the election begins. The calendar icon can also used.  Displayed format is: hh:mm:ss:µs.  Election End Date  Displayed format is: bb:mm:ss:µs.  Shows the date at which the election ends. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Election End Time  Bisplayed format is: bh:mm:ss:µs.  Response Deadline Date  Shows the Date that the account servicer has set as the deadline to respo with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Deadline Time  With instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date  Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon.  Displayed format is: DD/MM/YYYY.  Market Deadline Time  Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Consent Denied Option  Option Number  Default Option Indicator  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	Date	used.
Time used. Displayed format is: hh:mm:ss:µs.  Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY  Election End Time Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.  Response Deadline Shows the Date that the account servicer has set as the deadline to respowith instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.  Response Deadline Shows the time that the account servicer has set as the deadline to respow with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respow with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA). Displayed format is: hh:mm:ss:µs.  Market Deadline Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon. Displayed format is: hh:mm:ss:µs.  Consent Denied Option  Option Number Shows the Number identifying the available CA options.  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can not be coded. It could be more than one row		Displayed format is: DD/MM/YYYY
Election End Date Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY  Election End Time Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.  Response Deadline Date Shows the Date that the account servicer has set as the deadline to respon with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.  Response Deadline Time Shows the time that the account servicer has set as the deadline to respon with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA). Displayed format is: hh:mm:ss:µs.  Market Deadline Date Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon. Displayed format is: DD/MM/YYYY.  Market Deadline Time Displayed format is: DD/MM/YYYY. Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.  Consent Denied Option Option Number Default Option Indicator Shows the Number identifying the available CA options. Shows from possible values: - Yes - No Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	_	Shows the Time at which the election begins. The calendar icon can also be used.
used. Displayed format is: DD/MM/YYYY  Election End Time Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.  Response Deadline Date With instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to response Deadline Time Shows the time that the account servicer has set as the deadline to response Deadline Time Shows the time that the account servicer has set as the deadline to response Deadline Time With instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA). Displayed format is: hh:mm:ss:µs.  Market Deadline Date Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use is calendar icon. Displayed format is: DD/MM/YYYY.  Market Deadline Time Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.  Consent Denied Option Option Number Default Option Indicator  Poes No Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can not be coded. It could be more than one row  Instruction Processing Info		Displayed format is: hh:mm:ss:µs.
Election End Time Shows the Time at which the election ends. The calendar icon can also be used.  Displayed format is: hh:mm:ss:µs.  Response Deadline Date Shows the Date that the account servicer has set as the deadline to response with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Deadline Time Shows the time that the account servicer has set as the deadline to response with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon.  Displayed format is: DD/MM/YYYY.  Market Deadline Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Consent Denied Option  Option Number Shows the Number identifying the available CA options.  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes  - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
used. Displayed format is: hh:mm:ss:µs.  Response Deadline Date With instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.  Response Deadline Time Shows the time that the account servicer has set as the deadline to respon with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respon with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA). Displayed format is: hh:mm:ss:µs.  Market Deadline Date Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use is calendar icon. Displayed format is: DD/MM/YYYY.  Market Deadline Time Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.  Consent Denied Option Option Number Default Option Indicator Shows the Number identifying the available CA options.  Shows from possible values: - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info		Displayed format is: DD/MM/YYYY
Response Deadline Date  Shows the Date that the account servicer has set as the deadline to response with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Deadline Time  Shows the time that the account servicer has set as the deadline to response with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date  Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon.  Displayed format is: DD/MM/YYYY.  Market Deadline Time  Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Consent Denied Option  Option Number  Default Option Indicator  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Deadline Time  Shows the time that the account servicer has set as the deadline to responsive with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date  Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon.  Displayed format is: DD/MM/YYYY.  Market Deadline Time  Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Consent Denied Option  Option Number  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info		Displayed format is: hh:mm:ss:µs.
Response Deadline Time With instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon.  Displayed format is: DD/MM/YYYY.  Market Deadline Time Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Consent Denied Option Option Number Default Option Indicator  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes - No Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	-	with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level
with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Leve Agreement (SLA).  Displayed format is: hh:mm:ss:μs.  Market Deadline Date  Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon.  Displayed format is: DD/MM/YYYY.  Market Deadline Time  Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:μs.  Consent Denied Option Option Number  Default Option Indicator  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info		Displayed format is: DD/MM/YYYY.
Market Deadline Date Shows the Date which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege or use calendar icon. Displayed format is: DD/MM/YYYY.  Market Deadline Time Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:μs.  Consent Denied Option Option Number Shows the Number identifying the available CA options.  Shows from possible values: - Yes - No Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info		with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level
Date respond, with an instruction, to an outstanding offer or privilege or use calendar icon.  Displayed format is: DD/MM/YYYY.  Market Deadline Time Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Consent Denied Option  Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info		Displayed format is: hh:mm:ss:µs.
Market Deadline Time Shows the time which the issuer or issuer's agent has set as the deadline respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:μs.  Consent Denied Option Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes - No Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info		Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
Time respond, with an instruction, to an outstanding offer or privilege.  Displayed format is: hh:mm:ss:µs.  Consent Denied Option  Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes  - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info		Displayed format is: DD/MM/YYYY.
Consent Denied Option         Option Number       Shows the Number identifying the available CA options.         Default Option Indicator       Shows from possible values: <ul> <li>Yes</li> <li>No</li> </ul> Additional Text         Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row         Instruction Processing Info		Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
Consent Denied Option         Option Number       Shows the Number identifying the available CA options.         Default Option Indicator       Shows from possible values: <ul> <li>Yes</li> <li>No</li> </ul> Additional Text         Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row         Instruction Processing Info		Displayed format is: hh:mm:ss:µs.
Default Option Indicator  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	Consent Denied Op	
dicator  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	Option Number	Shows the Number identifying the available CA options.
Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	Default Option In-	Shows from possible values:
Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	dicator	- Yes
sage. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info		- No
	Additional Text	sage. This field may only be used if the information to be transmitted can-
Disclosure Needed   Shows the Disclosure Needed from possible values:	Instruction Processing Info	
	Disclosure Needed	Shows the Disclosure Needed from possible values:
– Yes		- Yes
- No		- No
Individual Process Shows the Individual Process Of Inst from possible values:	Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst – Yes		_
- No		- No



Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Dates	5
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Abstain Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No



Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	g Info
Disclosure Needed	Shows the Disclosure Needed from possible values:
	- Yes
	- No
	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Dates	3
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.



Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.	
No Action Ontion	Displayed format is: hh:mm:ss:µs.	
No Action Option	Character Number identifying the assisted CA antique	
Option Number	Shows the Number identifying the available CA options.	
Default Option Indicator	Shows from possible values:  - Yes	
	- No	
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	
Instruction Processin	eg Info	
Disclosure Needed	Shows the Disclosure Needed from possible values:	
	- Yes	
	- No	
Individual Process	Shows the Individual Process Of Inst from possible values:	
Of Inst	- Yes	
	- No	
Immediate Pay- ment	Shows the Immediate Payment from possible values:	
	- Yes	
	- No	
Benef Owner Instr	Shows the Benef Owner Instr from possible values:	
	- Yes	
	- No	
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:	
per Work	- Yes	
	- No	
Option Critical Dates		
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY	
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.	
Time	Displayed format is: hh:mm:ss:µs.	
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY	
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.	



	Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
Restriction	Displayed format is: hh:mm:ss:µs.
Button	Function
Restriction	
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.

## 3.4.1.1.1.2. CONV-MAND

CONV - Conversion	on MAND
CA Event Informati	ion
Field	Description
Market	Shows the Market.
CA Event Details	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Button	Function
SECU Option	This function enables you to display the subsection Security Option.
SECU Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values:
urcuto1	- Yes - No



Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Security In	not be coded. It could be more than one row
	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Last Trading Time	Shows the Time at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: hh:mm:ss:µs.
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Rounding In	Shows from Possible values:
	- Up
	- Down
	– Actual
	- Nearest
Rounding Entitled	Shows from Possible values:
	– Up
	- Down
	– Actual
	- Nearest
Security Out	
	Shows from possible values:
ator	- Credit



	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Last Trading Time	Shows the Time at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: hh:mm:ss:µs.
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

# 3.4.1.1.1.2. CONV-VOLU

CONV - Conversion	on VOLU	
CA Event Informati	CA Event Information	
Field	Description	
Market	Shows the Market.	
CA Event Details		
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Button	Function	
Cash Option	This function enables you to display the subsection Cash Option in case is needed.	
Case Option	This function enables you to display the subsection Case Option in case is needed.	
SECU Option	This function enables you to display the subsection Security Option.	



No Action	This function enables you to display the subsection No Action.
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.
Cash Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	eg Info
Disclosure Needed	Shows the Disclosure Needed from possible values:
	- Yes
	- No
Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Dates	5
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.



Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Security In	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Rounding In	Shows from Possible values:
	- Up
	- Down
	- Actual
	- Nearest
Rounding Entitled	Shows from Possible values:
	- Up
	– Down
	- Actual
	- Nearest
	I.



2 1 2 1	
Security Out	T
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN
	from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying se-
	curities.
Parity Out	Shows the Quantity of underlying securities.
Cash In	
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Quotation Mode	Shows from possible values:
	- Amount
	- Percentage
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Case Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	g Info
Disclosure Needed	Shows the Disclosure Needed from possible values:
	- Yes
	- No
	I



	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Dates	5
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline	Shows the time which the issuer or issuer's agent has set as the deadline to
Time	respond, with an instruction, to an outstanding offer or privilege.
Sagurity Out	Displayed format is: hh:mm:ss:μs.
Security Out ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event
1011N	Shows the ISIN that identifies the Asset concerned by the CA Event.



ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Cash In	
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Quotation Mode	Shows the the quotation mode, Shows from possible values:
	- Amount
	- Percentage
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination Currency
SECU Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	
	Shows the Disclosure Needed from possible values:
	- Yes
	- No
Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa- per Work	Shows the Benef Owner Paper Work from possible values:
	- Yes
	- No
Option Critical Dates	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
Date	usea.



	Displayed format is: DD/MM/YYYY
Election Begin	Shows the Time at which the election begins. The calendar icon can also be
Time	used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Security Out	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	I and the second
	- Quantity to Amount
Parity In	<ul> <li>Quantity to Amount</li> <li>Shows the Quantity of new securities for a given quantity of underlying securities.</li> </ul>



No Action Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	g Info
Disclosure Needed	Shows the Disclosure Needed from possible values:
	- Yes
	- No
Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Dates	5
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.



Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.

# 3.4.1.1.1.2. CREV-MAND

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CREV – Credit Ev	CREV - Credit Event MAND	
CA Event Details	CA Event Details	
Field	Description	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY	
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon.  Displayed format is: DD/MM/YYYY.	
Announcement Date	Shows the Announcement Date	

#### 3.4.1.1.1.2. DFLT-MAND

DIE! WATE		
DFLT - Bond Def	DFLT – Bond Default MAND	
CA Event Details	CA Event Details	
Field	Description	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY	
Effective Date	Shows the date at which the event is officially effective from the issuer's perspective. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	



#### 3.4.1.1.1.2. DLST-MAND

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DLST – Delisting MAND		
CA Event Details	CA Event Details	
Field	Description	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY	
Effective Date	Shows the date at which the event is officially effective from the issuer's perspective. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.	

#### 3.4.1.1.1.2. DRAW-MAND

DRAW - Drawing	MAND
CA Event Informati	ion
Affected Balance (AFFB)	Shows the Balance that has been affected by the process run through the event. Also known as the called position.
CA Event Details	
Field	Description
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.
Lottery Date	Shows the date on which the lottery is run and applied to the holder's positions. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Blocking Period Begin	Shows the beginning of the Period in which the security is blocked or use the calendar icon.
	Displayed format is: DD/MM/YYYY
Blocking Period End	Shows the ending of the Period in which the security is blocked or use the calendar icon.
	Displayed format is: DD/MM/YYYY
Results Publication	Shows the results publication date or use the calendar icon.
Date	Displayed format is: DD/MM/YYYY
Announcement	Shows the Announcement Date
Date	Displayed format is: DD/MM/YYYY
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Cash Option	
Field	Description
Option Number	Shows the Number identifying the available CA options.



Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Cacarrity Out	- NO
Security Out	Charte from massible values
ator	Shows from possible values:
	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Redemption Rate	Shows the redemption rate.
Quotation Mode	Shows the the quotation mode, Shows from possible values:
	- Amount
	– Percentage
Cash In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Quotation Mode	Shows the the quotation mode, Shows from possible values:
	- Amount
	- Percentage
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.

## 3.4.1.1.1.2. DSCL-MAND

DSCL – Disclosure Event MAND	
Field	Description
CA Event Details	S
Record Date / Entitlement Fix- ing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.



	Displayed format is: DD/MM/YYYY.
Button	Function
Consent Granted Option	This function enables you to display the subsection Consent Granted Option (CONY) in case is needed.
Consent Granted	l Option
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option	Shows from possible values:
Indicator	- Yes
	- No
Consent Type	Shows the type of consent sought from possible values:
	Change in Terms (CTRM) – change in the terms and conditions of the bond.
	- Due and Payable (DUPY) - change in the due and payable conditions.
Election Begin	Shows the Election Begin Date or use the calendar Icon.
	Displayed format is: DD/MM/YYYY.
Election Begin	Shows the Election Begin Time or use the calendar Icon.
Time	Displayed format is: hh:mm:ss:µs.
Election End	Shows the Election End Date or use the calendar Icon.
	Displayed format is: DD/MM/YYYY.
Election End	Shows the Election End Time or use the calendar Icon.
Time	Displayed format is: hh:mm:ss:µs.
Withdraw Begin	Shows the Withdrawal begin Date or use the calendar Icon.
	Displayed format is: DD/MM/YYYY.
Withdrawal Be-	Shows the Withdrawal Begin Time or use the calendar Icon.
gin Time	Displayed format is: hh:mm:ss:µs.
Withdraw End	Shows the Withdrawal End Date or use the calendar Icon.
	Displayed format is: DD/MM/YYYY.
Withdrawal End Time	Shows the Withdrawal End Time or use the calendar Icon.
	Displayed format is: hh:mm:ss:µs.
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row

# 3.4.1.1.1.2. DSCL-VOLU

DSCL – Disclosure Event VOLU		
Field	Description	
CA Event Information		
Consent Type	Shows the type of consent sought from possible values:	
	<ul> <li>Change in Terms (CTRM) – change in the terms and conditions of the bond.</li> </ul>	
	– Due and Payable (DUPY) – change in the due and payable conditions.	



CA Event Details	
Record Date /	Shows the date at which positions are struck at the end of the day to note
Entitlement Fixing Date	which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Certification	Shows the Certification Deadline Date or use the calendar icon.
Deadline Date	Displayed format is: DD/MM/YYYY.
Certification	Shows the Certification Deadline Time or use the calendar icon.
Deadline Time	Displayed format is: hh:mm:ss:µs.
Meeting Date	Shows the Meeting Date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Meeting Time	Shows the Meeting Time or use the calendar icon.
	Displayed format is: hh:mm:ss:µs.
Button	Function
Consent Gran- ted Option	This function enables you to display the subsection Consent Granted Option (CONY) in case is needed.
No Action	This function enables you to inform the details of the No Action of the CA Event.
Consent Granted	l Option
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option	Shows from possible values:
Indicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical De	ates
Response Dead- line Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
M I i D I	Displayed format is: hh:mm:ss:µs.
Market Dead- line Date	Shows the date of the market deadline. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY



Market Dead- line Time  Shows the Time of the market deadline. The calendar icon can also be used.  Displayed format is: hh.mmrss.;µs.  Election Begin Time  Shows the Election Begin Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Election End Shows the Election End Date or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Election End Shows the Election End Date or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Election End Shows the Election End Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdraw Begin Shows the Withdrawal begin Date or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdrawal Begin Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdrawal End Shows the Withdrawal End Date or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdrawal Begin Time Displayed format is: DD/MM/YYYY.  Withdrawal Begin Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdrawal Begin Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdrawal Begin Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdrawal Begin Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdrawal Begin Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdrawal Begin Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Withdrawal Begin Time or use the calendar Icon.  Displayed format is: DD/MM/YYYY.  Shows the Number identifying the available CA options.  Shows the Number identifying the available CA options.  Shows the Number identifying the available CA options.  Shows additional Text Shows additional or more detailed information on the content of a message.  This field may only be used if the information on the content of a message.  This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Option Critical Dates  Shows the Date that the account servicer has set as the deadline to respond, wi			
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Displayed format is: DD/MM/YYYY.  Withdrawal Shows the Withdrawal End Time or use the calendar Icon. Displayed format is: hh:mm:ss:µs.  No Action Option  Field Description  Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes - No  Additional Text This field may only be used if the information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Option Critical Dates  Response Dead-line Date Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond.  Shows the time that the account servicer has set as the deadline to respond.  Shows the time that the account servicer has set as the deadline to respond.  Shows the time that the account servicer has set	gin Time	Displayed format is: hh:mm:ss:µs.	
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End Time Displayed format is: hh:mm:ss:µs.  No Action Option  Pield Description Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Option Critical Dates  Response Dead- line Date Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Dead- line Time Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Shows the date of the market deadline. The calendar icon can also be used.		Displayed format is: DD/MM/YYYY.	
Displayed format is: Intrinin:ss:pis.		Shows the Withdrawal End Time or use the calendar Icon.	
Field         Description           Option Number         Shows the Number identifying the available CA options.           Default Option Indicator         Shows from possible values:	End Time	Displayed format is: hh:mm:ss:µs.	
Option Number			
Default Option Indicator  Shows from possible values:  Yes  No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Option Critical Dates  Response Deadline Date Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Deadline Time Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer has set as the deadline to respond, with instructions, to an outstanding event.  Shows the date of the market deadline. The calendar	Field	Description	
Indicator — Yes — No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Option Critical Dates  Response Dead-line Date Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Dead-line Time Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Dead-line Date Displayed format is: DD/MM/YYYY  Shows the Time of the market deadline. The calendar icon can also be used.	Option Number	Shows the Number identifying the available CA options.	
Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Option Critical Dates  Response Deadline Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Deadline Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date  Market Deadline Date  Shows the Time of the market deadline. The calendar icon can also be used.	_	Shows from possible values:	
Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Option Critical Dates  Response Dead- line Date Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.  Response Dead- line Time Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.  Market Dead- line Date Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY  Market Dead- line Time Shows the Time of the market deadline. The calendar icon can also be used.	Indicator	- Yes	
This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Option Critical Dates  Response Dead-line Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Dead-line Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Dead-line Date  Shows the date of the market deadline. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Market Dead-line Time  Shows the Time of the market deadline. The calendar icon can also be used.		- No	
Response Dead- line Date  Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Dead- line Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Dead- line Date  Shows the date of the market deadline. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Market Dead- line Time  Shows the Time of the market deadline. The calendar icon can also be used.	Additional Text	This field may only be used if the information to be transmitted cannot be	
line Date  with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Response Deadline Time  Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date  Shows the date of the market deadline. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Market Deadline Time  Shows the Time of the market deadline. The calendar icon can also be used.	Option Critical De	rates	
Response Dead- line Time Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the ref- erence time zone of the account servicer as specified in a Service Level Agree- ment (SLA).  Displayed format is: hh:mm:ss:µs.  Market Dead- line Date Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY  Market Dead- line Time Shows the Time of the market deadline. The calendar icon can also be used.	_	with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agree-	
line Time with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).  Displayed format is: hh:mm:ss:µs.  Market Deadline Date Shows the date of the market deadline. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Market Deadline Time Shows the Time of the market deadline. The calendar icon can also be used.		Displayed format is: DD/MM/YYYY.	
Market Dead- line Date Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY  Market Dead- Shows the Time of the market deadline. The calendar icon can also be used.	_	with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agree-	
line Date  Displayed format is: DD/MM/YYYY  Market Dead- Shows the Time of the market deadline. The calendar icon can also be used.		Displayed format is: hh:mm:ss:µs.	
Market Dead- Shows the Time of the market deadline. The calendar icon can also be used.		Shows the date of the market deadline. The calendar icon can also be used.	
ling Time	line Date	Displayed format is: DD/MM/YYYY	
line Time Displayed format is: hh:mm:ss:µs.		Shows the Time of the market deadline. The calendar icon can also be used.	
	lline Time		



Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.  Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.  Displayed format is: hh:mm:ss:µs.
Button	Function
Restriction	This button allows the user to access to restriction section, where restrictions can be managed.

## 3.4.1.1.1.2. DTCH-VOLU

DTCH - Dutch Au	DTCH – Dutch Auction VOLU	
CA Event Details		
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Payment Date	Shows the payment date or use the calendar Icon.	
	Displayed format is: DD/MM/YYYY.	
Event Details		
Min Price Amount	Shows the Min Price Amount	
Max Price Amount	Shows the Max Price Amount	
Min Price Cur- rency	Shows the Min Price Currency	
Max Price Cur- rency	Shows the Max Price Currency	
Bid Amount	Shows the Bid Amount	
Bid Currency	Shows the Bid Currency	
Quantity Sought	Shows the Quantity Sought	
Button	Function	
Cash Option	This function enables you to display the subsection Cash Option in case is needed.	
No Action	This function enables you to display the subsection No Action.	
Cash Option		
Option Number	Shows the Number identifying the available CA options.	
Default Option In-	Shows from possible values:	
dicator	- Yes	



	- No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values:
	- Yes
	- No
Offred Price Indic-	Shows the Offred Price Indicator from possible values:
ator	- Price Will not be paid
	- Prices is unknown
	- Price to be Specified
	Price is not Displayed to be specified
Price to be specified	Shows the Price to be specified
Odd Lot Quantity	Shows the Odd Lot Quantity
Proration Rate (PROR)	Shows the Proration Rate (PROR)
Conditional	Shows the Conditional from possible values:
	- Yes
	- No
Odd Lot Prefer-	Shows the Odd Lot Preference from possible values:
ence	- Yes
	- No
Subject to Prora-	Shows the Subject to Proration from possible values:
tion	- Yes
	- No
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Election Begin	Shows the Election Begin date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Election End	Shows the Election End date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Withdraw Begin	Shows the Withdraw Begin date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Withdraw End	Shows the Withdraw End date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Security Out	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.



ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows the quotation mode, Shows from possible values:
	- Percentage
	- Amount
No Action	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	lg Info
Disclosure Needed	Shows the Disclosure Needed from possible values:
	– Yes
	- No
Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
Option Critical Dates	- No



Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.

## 3.4.1.1.1.2. EXOF-VOLU

EXOF – Exchange Offer VOLU	
CA Event Details	
Field	Description
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.



Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Case Option	This function enables you to display the subsection Case Option in case is needed.
CEXC Option	This function enables you to display the subsection Case Option in case is needed.
No Action	This function enables you to display the subsection No Action.
Secu Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values:
	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	3
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Security Out	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN
(NEWO)	from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity In Parity Out	,



Currency	Shows the currency of the price of the option for the CA Event.
Percentage Price	Shows the type of percentage price, Shows from possible values:
Туре	- Discount - DISC
	- Premium - PREM
	- Percentage - PRCT
	- Yield - YIEL
Security In	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Price	Shows the price of the option for the CA Event.
Currency	Shows the currency of the price of the option for the CA Event.
Percentage Price	Shows the type of percentage price, Shows from possible values:
Туре	- Discount - DISC
	- Premium - PREM
	- Percentage - PRCT
	- Yield - YIEL
Cash In	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Option Critical Dates	s



Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit indic-	Shows the credit debit indicator from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	
Credit Debit indic-	Shows the credit debit indicator from possible values:
ator	- Credit
	- Debit



Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows Quotation mode from possible values:
	- Amount
	- Percentage
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency.
Case Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Option Critical Dates	5
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.



	Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit Indic-	Shows the Credit Debit indicator from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying se-
	curities.
Parity Out	Shows the Quantity of underlying securities.
Security In	T.
	Shows the Credit Debit indicator from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Cash In	



Credit Debit Indicator	Shows the Credit Debit indicator from possible values:
	- Credit
	- Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows the quotation mode, Shows from possible values:  - Amount
CEVC On tion	- Percentage
CEXC Option	Charge the Number identifying the available CA antions
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values:
	- Yes
Oution Cairiad Data	- No
Option Critical Dates	I
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Security Out	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Security In	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
No Action	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
	·



Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	
	Shows the Disclosure Needed from possible values:
	- Yes
	- No
Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Dates	5
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.



Election End Date	Shows the date at which the election ends. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY	
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.  Displayed format is: hh:mm:ss:µs.	
Restriction		
Button	Function	
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.	

## 3.4.1.1.1.2. EXOF-MAND

TYOP T 1			
EXOF – Exchange (	Offer MAND		
CA Event Details			
Field	Description		
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.		
	Displayed format is: DD/MM/YYYY.		
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.		
	Displayed format is: DD/MM/YYYY.		
Button	Function		
Secu Option	This function enables you to display the subsection Securities Option in case is needed.		
Cash Option	This function enables you to display the subsection Cash Option in case is needed.		
Case Option	This function enables you to display the subsection Case Option in case is needed.		
Secu Option			
Option Number	Shows the Number identifying the available CA options.		
Default Option In-	Shows from possible values:		
dicator	- Yes		
	- No		
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row		
Security Out			
Credit Debit Indic-	Shows from possible values:		
ator	- Credit		
	– Debit		
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.		



ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the
ion vaccempuon	CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Security In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Cash Option	
Option Number	Shows the Number identifying the available CA options.



Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Cash In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows the quotation mode, Shows from possible values:
	- Percentage
	- Amount
Case Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Security Out	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.



ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN
(NEWO)	from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Security In	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
-	
Cash In	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.



Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback,
	e.g. price of 95 indicates that the holder will receive a cash payment of 95c
	for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows the quotation mode, Shows from possible values:
	- Percentage
	- Amount

## 3.4.1.1.1.2. EXOF-CHOS

EXOF – Exchange Offer CHOS		
CA Event Details		
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Button	Function	
Secu Option	This function enables you to display the subsection Securities Option in case is needed.	
Cash Option	This function enables you to display the subsection Cash Option in case is needed.	
Case Option	This function enables you to display the subsection Case Option in case is needed.	
Secu Option		
Option Number	Shows the Number identifying the available CA options.	
Default Option In-	Shows from possible values:	
dicator	- Yes	
	- No	
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	
Option Critical Dates		
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).	



	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Security Out	
	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Security In	
	Shows from possible values:
ator	- Credit



	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Cash Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
A 1 1111 1 TO 1	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	
-	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.



	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values:
	- Percentage
	- Amount
Case Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Individual Process	Shows from possible values:
of Inst	- Yes
	- No
Option Critical Dates	5



Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Security Out	
	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount



	– Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Security In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Cash In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values:
	- Percentage
	- Amount

## 3.4.1.1.1.2. EXTM-MAND

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# **EXTM – Maturity Extension MAND**

CA Event Details



Field	Description
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.
Effective Date	Shows the date at which the event is officially effective from the issuer's perspective. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.
New Maturity Date	Shows the Date/time to which the maturity date of an interest bearing security is extended.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
Secu Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	S
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Security Out	
Credit Debit indic-	Shows from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
(NEWO)	_
(NEWO)	- Quantity to Quantity
(NEWO)	
(NEWO)	- Quantity to Quantity



	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Security In	
Credit Debit indic-	Shows from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

# 3.4.1.1.1.2. EXTM-CHOS

EXTM – Maturity Extension CHOS		
CA Event Details	CA Event Details	
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Effective Date	Shows the date at which the event is officially effective from the issuer's perspective. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
New Maturity Date	Shows the Date/time to which the maturity date of an interest bearing security is extended.	
Button	Function	
Secu Option	This function enables you to display the subsection Securities Option in case is needed.	
MPUT Option	This function enables you to display the subsection MPUT Option in case is needed.	



Secu Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	S
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
D D 11:	Displayed format is: DD/MM/YYYY.
Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.



Payment Date Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO)  Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: Quantity to Quantity Not Specified Rate Amount to Amount Amount to Quantity Quantity of Lournity Quantity of Lournity Quantity of Lournity Quantity of Lournity Shows the Quantity of underlying securities.  Security In Credit Debit Indicator Credit Debit Indicator Shows the JISIN that identifies the Asset concerned by the CA Event.  ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the Bate on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO)  Shows the Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: Quantity to Quantity Not Specified Rate Amount to Amount Amount to Quantity Not Specified Rate Amount to Quantity Shows the Quantity of new securities for a given quantity of underlying securities.  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  MPUT Option Option Number Default Option Indicator  Yes Not Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info Disclosure Needed Shows the Disclosure Needed from possible values:		
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Debit	Credit Debit Indic-	Shows from possible values:
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Option Number  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	-	, , , , ,
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Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Instruction Processing Info	dicator	- Yes
sage. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  *Instruction Processing Info*		- No
	Additional Text	sage. This field may only be used if the information to be transmitted can-
	Instruction Processin	



	- Yes
	- No
	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Dates	5
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the date of the market deadline. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Market Deadline Time	Shows the Time of the market deadline. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Restriction	
Button	Function
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.

# 3.4.1.1.1.2. INCR-MAND

men many	
INCR – Increase in Value MAND	
CA Event Details	
Field	Description
	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.



Effective Date	Shows the date at which an event is officially effective from the issuer's
	perspective or use the calendar icon.
	Displayed format is: DD/MM/YYYY.

## 3.4.1.1.1.2. INFO-MAND

24

INFO – Information MAND		
CA Event Details	CA Event Details	
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Conference Call (CONF)	Shows the Code specifying the information type being communicated:  - Conference Call (CONF) – information is about an informal call when an issuer, trustee or agent wishes to talk to note holders to discuss a certain is-	
	sue or potential change in the notes, with nothing said being binding.	

#### 3.4.1.1.1.2. INTR-MAND

INTR – Interest Payment MAND	
CA Event Details	
Field	Description
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon.  Displayed format is: DD/MM/YYYY.
Interest Start Date	Shows the interest start date or use the calendar icon.  Displayed format is: DD/MM/YYYY.
Interest End Date	Shows the interest end date or use the calendar icon.  Displayed format is: DD/MM/YYYY.
CA Detail Pay- ment Comment [n]	Enter the detailed comments about the payment.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Cash Option	
Field	Description
Option Number	Shows the Number identifying the available CA options.



_	Shows from possible values:
dicator	- Yes
	- No
Cash In	
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Interest Rate Used	Shows the actual interest rate used for payment of the interest for the spe-
for Payment	cified interest period. It is used to provide the applicable rate for the cur-
(INTP)	rent payment, after all calculations have been performed, i.e. application of
	period and method of interest computation.
Quotation Mode	Shows from possible values:
	- Amount
	- Percentage
Currency	Enter the currency of the price of the option for the CA Event.
Interest Start Date	Shows the Interest start date.
	Displayed format is: DD/MM/YYYY
Interest End Date	Shows the Interest end date.
	Displayed format is: DD/MM/YYYY

#### 3.4.1.1.1.2. INTR-CHOS

INTR – Interest Pa	INTR – Interest Payment CHOS		
CA Event Details			
Field	Description		
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.		
Ex Date	Displayed format is: DD/MM/YYYY.  Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.		
INTEREST INFORM	INTEREST INFORMATION		
Annual Interest Rate	Shows the Annual Interest Rate		
Interest Start Date	Shows the interest start date or use the calendar icon.  Displayed format is: DD/MM/YYYY.		
Interest End Date	Shows the interest end date or use the calendar icon.  Displayed format is: DD/MM/YYYY.		
Interest Shortfall	Shows the Interest Shortfall.		
Comments			



Additional Text	Shows additional or more detailed information on the content of a mes-
	sage. This field may only be used if the information to be transmitted can-
	not be coded. It could be more than one row
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Cash Option	
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	g Info
Disclosure Needed	Shows the Disclosure Needed from possible values:
	- Yes
	- No
Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Dates	
•	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the date of the market deadline. The calendar icon can also be used.



	Displayed format is: DD/MM/YYYY
Market Deadline Time	Shows the Time of the market deadline. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Cash In	
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values:
	- Amount
	- Percentage

## 3.4.1.1.1.2. LIQU-MAND

ingo mare		
LIQU – Liquidation	LIQU – Liquidation MAND	
CA Event Details		
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.	
Button	Function	
Cash Option	This function enables you to display the subsection Cash Option in case is needed.	
Secu Option	This function enables you to display the subsection Securities Option in case is needed.	
Case Option	This function enables you to display the subsection Case Option in case is needed.	
Cash Option		



Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Security Out	- 140
	Shows from possible values
ator	Shows from possible values:
	- Credit
TOTA I	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values:
	- Percentage
	- Amount
Earliest Payment	Shows the earliest date to do the Payment or use the calendar icon.
Date	Displayed format is: DD/MM/YYYY.
Secu Option	2
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a mes-
Additional Text	sage. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Security Out	
	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
IOII N	priows the form that identifies the rissel concerned by the CA Event.



ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Case Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Withdrawal End	Shows the end time for the Withdrawal or use the calendar icon.
Time	Displayed format is: hh:mm:ss:µs.
Security In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Cash In	· · · · · · · · · · · · · · · · · · ·



Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values:
	- Percentage
	- Amount

# 3.4.1.1.1.2. LIQU-CHOS

LIQU – Liquidation CHOS		
CA Event Details	CA Event Details	
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Announcement	Shows the Announcement Date or use the calendar icon.	
Date	Displayed format is: DD/MM/YYYY.	
Button	Function	
Cash Option	This function enables you to display the subsection Cash Option in case is needed.	
Secu Option	This function enables you to display the subsection Securities Option in case is needed.	
Case Option	This function enables you to display the subsection Case Option in case is needed.	
<u>Cash Option</u>		
Option Number	Shows the Number identifying the available CA options.	
Default Option In-	Shows from possible values:	
dicator	- Yes	
	- No	
Option Critical Dates		



Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	
	Shows from possible values:
ator	- Credit
	- Debit



Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values:
	- Percentage
	- Amount
Secu Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	5
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Security In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
I	I



	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying se-
	curities.
Parity Out	Shows the Quantity of underlying securities.
Case Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Withdrawal End	Shows the ending time for the Withdrawal or use the calendar icon.
Time	Displayed format is: hh:mm:ss:µs.
Option Critical Dates	3
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Security In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.



Cash In	Cash In	
Credit Debit Indic-	Shows from possible values:	
ator	- Credit	
	- Debit	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.	
	Displayed format is: DD/MM/YYYY.	
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).	
Currency	Shows the currency of the price of the option for the CA Event.	
Quotation Mode	Shows from possible values:	
	- Percentage	
	- Amount	

## 3.4.1.1.1.2. MCAL-MAND

MCAL – Full Call /	Early Redemption MAND		
CA Event Details			
Field	Description		
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.		
Ex Date	Displayed format is: DD/MM/YYYY.  Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.		
Button	Function		
Cash Option	This function enables you to display the subsection Cash Option in case is needed.		
Cash Option			
Field	Description		
Option Number	Shows the Number identifying the available CA options.		
Default Option Indicator	Shows from possible values:  - Yes  - No		
Security Out	Security Out		
Credit Debit Indicator	Shows from possible values:  - Credit  - Debit		
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.		
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.		



securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY  Redemption Rate Shows the redemption rate.  Shows from possible values: - Percentage - Amount  Credit Debit Indicator  Credit - Debit  Payment Date Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY  Quotation Mode Shows from possible values: - Percentage - Amount  Offer Price (OFFR) Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).		
Redemption Rate  Quotation Mode  Shows from possible values:  Percentage Amount  Cash In  Credit Debit Indicator  Credit Debit  Payment Date  Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY  Quotation Mode  Quotation Mode  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).	Payment Date	* .
Quotation Mode  Shows from possible values:  — Percentage — Amount  Cash In  Credit Debit Indicator  Credit — Debit  Payment Date  Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Quotation Mode  Shows from possible values: — Percentage — Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).		Displayed format is: DD/MM/YYYY
- Percentage - Amount  Cash In  Credit Debit Indicator  Credit - Debit  Payment Date  Shows from possible values: - Credit - Debit  Payment Date  Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Quotation Mode  Shows from possible values: - Percentage - Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).	Redemption Rate	Shows the redemption rate.
Credit Debit Indicator  Credit Debit Indicator  Credit  Debit  Payment Date  Shows from possible values:  Debit  Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Quotation Mode  Shows from possible values:  Percentage  Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).	Quotation Mode	Shows from possible values:
Credit Debit Indicator  Credit  Debit  Payment Date  Shows from possible values:  — Credit  — Debit  Payment Date  Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Quotation Mode  Shows from possible values:  — Percentage  — Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).		- Percentage
Credit Debit Indicator  Credit  — Credit  — Debit  Payment Date  Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Quotation Mode  Shows from possible values:  — Percentage  — Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).		- Amount
- Credit - Debit  Payment Date  Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Quotation Mode  Shows from possible values: - Percentage - Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).	Cash In	
- Credit - Debit  Payment Date  Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Quotation Mode  Shows from possible values: - Percentage - Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).	Credit Debit Indic-	Shows from possible values:
Payment Date  Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Quotation Mode  Shows from possible values:  Percentage  Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).	ator	- Credit
securities). The calendar icon can also be used.  Displayed format is: DD/MM/YYYY  Quotation Mode  Shows from possible values:  - Percentage  - Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).		– Debit
Quotation Mode  Shows from possible values:  - Percentage  - Amount  Offer Price (OFFR)  Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).	Payment Date	* .
<ul> <li>Percentage</li> <li>Amount</li> <li>Offer Price (OFFR)</li> <li>Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).</li> </ul>		Displayed format is: DD/MM/YYYY
<ul> <li>Amount</li> <li>Offer Price (OFFR)</li> <li>Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).</li> </ul>	Quotation Mode	Shows from possible values:
Offer Price (OFFR) Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).		- Percentage
e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).		- Amount
Currency Shows the denomination currency.	Offer Price (OFFR)	
	Currency	Shows the denomination currency.

## 3.4.1.1.1.2. OTHR-MAND

OTHR – Other B	vent MAND	
CA Event Details	CA Event Details	
Field	Description	
Record Date / Entitlement Fix- ing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.	
	Displayed format is: DD/MM/YYYY.	
Announcement	Shows the Announcement Date or use the calendar icon.	
Date	Displayed format is: DD/MM/YYYY.	
Additional Text	Shows additional or more detailed information on the content of a message.  This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	



#### 3.4.1.1.1.2. OTHR-VOLU

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OTHR – Other B	OTHR - Other Event VOLU	
CA Event Details	CA Event Details	
Field	Description	
Record Date / Entitlement Fix- ing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
Ex Date	Displayed format is: DD/MM/YYYY.  Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.	
Response Dead- line Date	Shows the date of the client deadline. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY	
Market Dead- line Date	Shows the date of the market deadline. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY	
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY	
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	

#### 3.4.1.1.1.2. OTHR-CHOS

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OTHR – Other I	OTHR – Other Event CHOS	
CA Event Details	5	
Field	Description	
Record Date / Entitlement Fix- ing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.	

## 3.4.1.1.1.2. PARI-MAND

PARI – Pari Passu MAND	
CA Event Details	
Field	Description



tlement Fixing	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on pay-
Date	ment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
Secu Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Security Out	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Security In	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.



#### 3.4.1.1.1.2. PCAL-MAND

PCAL – Partial Call MAND	
CA Event Details	
Field	Description
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Cash Option	
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Cash In	
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Redemption Rate	Shows the Rate applicable to the event announced, for example, redemption rate for a redemption event.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Quotation Mode	Shows the the quotation mode, Shows from possible values:
	- Amount
	- Percentage
Security Out	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.



#### 3.4.1.1.1.2. PINK-MAND

PINK – Payment Ir	n Kind MAND
CA Event Details	
Field	Description
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.
T. D.	Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Button	Function
OTHR Option	This function enables you to display the subsection Cash Option in case is needed.
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
OTHR Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Secu Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Security In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.



	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

#### 3.4.1.1.1.2. PLAC-MAND

PLAC – Place of In	corporation MAND	
CA Event Information		
New ISIN	Shows the Identifier of the financial instrument to be credited.	
CA Event Details		
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Effective Date	Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR). Shows the date or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
New Place of Incorporation	Shows the New company's place of incorporation.	
Button	Function	
SECU Option	This function enables you to display the subsection SECU Option in case is needed.	
SECU Option		
Option Number	Shows the Number identifying the available CA options.	
Default Option In-	Shows from possible values:	
dicator	- Yes	
	- No	
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	
Security Out		
Credit Debit Indic-	Shows from possible values:	
ator	- Credit	
	- Debit	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.	
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.	



Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Additional Quantit	y for Existing Securities
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
New to Old Ratio	
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	– Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Security In	, , , , ,
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
New ISIN	Shows the new ISIN of the CA Event.
New ISIN description	Shows the description of the New ISIN.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Additional Quantit	y for Existing Securities
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
New to Old Ratio	
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	•



	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

### 3.4.1.1.1.2. PPMT-CHOS

FFWIT-CHOS		
PPMT - Instalment	PPMT - Instalment Call	
CA CA Event Detail	ils	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.	
Options	Displayed format is. DD/MINI/1111.	
Button	Function	
SECU Option	This function enables you to inform the details of the Security Option of the CA Event.	
LAPS Option	This function enables you to inform the details of the LAPS Option of the CA Event.	
SECU Option		
Option Number	Shows the Number identifying the available CA options.	
Default Option Indicator	Shows from possible values:  - Yes  - No	
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	
Security In		
Credit Debit Indic-	Shows from possible values:	
ator	- Credit	
	– Debit	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.	
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.	
	Displayed format is: DD/MM/YYYY.	
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.	



Parity Out	Shows the Quantity of underlying securities.
LAPS Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Individual Process	Shows whether the instructions will be processed individually for the op-
Of Inst	tion of the CA Event or not from the possible values:
	- Yes
	- No
Is Option Restric-	Shows whether the option is restricted or not from the possible values:
ted	- Yes
	- No
Response Deadline	Shows the date of the client deadline. The calendar icon can also be used.
Date	Displayed format is: DD/MM/YYYY
Response Deadline	Shows the Time of the client deadline. The calendar icon can also be used.
Time	Displayed format is: hh:mm:ss:µs.
Market Deadline	Shows the date of the market deadline. The calendar icon can also be used.
Date	Displayed format is: DD/MM/YYYY
Market Deadline	Shows the Time of the market deadline. The calendar icon can also be used.
Time	Displayed format is: hh:mm:ss:µs.
Election Begin	Shows the date at which the election begins. The calendar icon can also be
Date	used.
	Displayed format is: DD/MM/YYYY
Election Begin	Shows the Time at which the election begins. The calendar icon can also be
Time	used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be
	used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Withdrawal Begin	Shows the date at which the withdrawal begins. The calendar icon can also
Date	be used.
	Displayed format is: DD/MM/YYYY
Withdrawal Begin	Shows the Time at which the withdrawal begins. The calendar icon can
Time	also be used.
	Displayed format is: hh:mm:ss:µs.
Withdrawal End	Shows the date at which the withdrawal ends. The calendar icon can also
Date	be used.
	Displayed format is: DD/MM/YYYY



Withdrawal End Time	Shows the Time at which the withdrawal ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Custodian Dead-	Shows the deadline date for Custodian or use the calendar icon.
line	Displayed format is: DD/MM/YYYY
Custodian Dead-	Shows the deadline Time for Custodian or use the calendar icon.
line Time	Displayed format is: hh:mm:ss:µs.
Restriction	
Button	Function
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.

### 3.4.1.1.1.2. PRED-MAND

PRED – Partial Rec	lemption With Pool Factor Reduction MAND		
CA Event Informati	CA Event Information		
Field	Description		
Previous Factor	Shows the previous factor of the Marketable Asset concerned by the CA Event.		
Next Factor	Shows the next factor of the Marketable Asset concerned by the CA Event.		
CA Event Details			
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.		
Effective Date	Shows the effective Date or use the calendar icon.		
	Displayed format is: DD/MM/YYYY.		
Button	Function		
Cash Option	This function enables you to display the subsection Cash Option in case is needed.		
Cash Option			
Field	Description		
Option Number	Shows the Number identifying the available CA options.		
Default Option In-	Shows from possible values:		
dicator	- Yes		
	- No		
Cash In			
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used.		
	Displayed format is: DD/MM/YYYY		
Rate	Shows the Rate applicable to the event announced, for example, redemption rate for a redemption event.		



Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Quotation Mode	Shows the the quotation mode, Shows from possible values:
	- Amount
	- Percentage
Security Out	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.

### 3.4.1.1.1.2. PROX-VOLU (BMET-VOLU, CMET-VOLU)

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Selecting this type of event you can Shows in field Meeting Type one of these values:

**BMET – Bond Holder Meeting VOLU** 

### **CMET - Court Meeting VOLU**

CME1 - Court Meeting VOLO	
General Informa	tion
Field	Description
Meeting Classi-	Shows from possible values:
fication	- AMET
	- CLAS
	- CORT
	- ISSU
	- OMET
	- VRHI
Shareholder	Shows from possible values:
Rights Directive Indicator	- Yes
marcator	- No
CA EVENT DETAILS	
Vote Mkt Dead- line	Shows the Vote Mkt Deadline
Vote Mkt Dead- line Time	Shows the Vote Mkt Deadline Time
Vote Deadline	Shows the Vote Deadline
Vote Deadline Time	Shows the Vote Deadline Time
Entitlement Fixing Date	Shows the Entitlement Fixing Date
Revocability Deadline	Shows the Revocability Deadline



Revocability Mkt Deadline	Shows the Revocability Mkt Deadline	
Registration Participation Deadline Date	Shows the Registration Participation Deadline Date	
Registration Participation Market Dead- line Date	Shows the Registration Participation Market Deadline Date	
Announcement Date	Shows the Announcement Date	
Meeting Date	Shows the Meeting Date	
MEETING DETA	AILS	
Button	Description	
Meeting Details	This button allows the user to access to Meeting Details section.	
Meeting Resolutions	This button allows the user to access to Meeting Resolutions section.	
MEETING INFO		
Partial Vote Al-	Shows the Partial Vote Allowed from possible values:	
lowed	- Yes	
	- No	
Split Vote Al-	Shows the Split Vote Allowed from possible values:	
lowed	- Yes	
	- No	
Beneficial	Shows the Beneficial Owner Disclosure from possible values:	
Owner Disclos-	- Yes	
ure	- No	
Qty Displayed	Shows the Quantity Displayed To Vote from possible values:	
To Vote	- Yes	
	- No	
Other Details		
Button	Function	
Participation Method	This button allows the user to access to Participation Method section.	
Additional Doc Url Address	This button allows the user to access to Additional Doc Url Address section.	
Meeting Details	I	
Once you click on Meeting Details button you see following fields:		
Meeting Date	Shows the Meeting Date	
Meeting Time	Shows the Meeting Time	
Quantity Type	Shows the Quantity Type	
Quorum Qty	Shows the Quorum Quantity	
Quorum Qty Prct	Shows the Quorum Quantity percentage	



Quorum Re-	Shows the Quorum Displayed from possible values:
quired	- Yes
	- No
Button	Description
Create	This function enables you to create a meeting details record.
	Next Screen:
	– Meeting Details – Create Screen
Delete	This function enables you to delete the selected meeting details record.
Edit	This function enables you to modify the selected meeting details record.
Lait	Next Screen:
¥7*	- Meeting Details - Edit Screen
View	This function enables you to view the details of the selected meeting details record.
	Next Screen:
)	– Meeting Details – Details Screen
Meeting Details –	
Meeting Date	Shows the Meeting Date
	Displayed format is: DD/MM/YYYY.
Meeting Time	Shows the Meeting Time
	Displayed format is: hh:mm:ss:µs.
Quantity Type	Shows the Quantity Type
Quorum Qty	Shows the Quorum Quantity
Quorum Qty Prct	Shows the Quorum Quantity percentage
Quorum Re-	Shows the Quorum Displayed from possible values:
quired	- Yes
	- No
Footprint	
Creation Date	Shows the date and time of the creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update.
Space Bate	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Undator Usor Id	Shows the identification of the user that made the last update
Button	Description
Meeting Loca-	This button allows the user to access meeting Location section
tion	This button anows the user to access meeting Location section
Meeting Location	
Once you click on Meeting Location button you see following fields:	
Address Line	Shows the Address Line of the meeting location.
Building Num- ber	Shows the Building Number of the meeting location.
_	I



Country Shows the Country Code of the meeting location.  Postal Code Shows the Postal Code of the meeting location.  Street Name Shows the Street Name of the meeting location.  Town Shows the Town of the meeting location.  Button Description	
Street Name Shows the Street Name of the meeting location.  Town Shows the Town of the meeting location.	
Town Shows the Town of the meeting location.	
IDUITON (Description	
1	
Create This function enables you to create a meeting location record.  Next Screen:	
- Meeting Location - Create Screen	
Delete This function enables you to delete the selected meeting Location record	
Edit This function enables you to modify the selected meeting Location recor	a.
Next Screen:	
– Meeting Location – Edit Screen	
View This function enables you to view the details of the selected meeting Loc record.	ation
Next Screen:	
- Meeting Location - Details Screen	
Meeting Location – Details Screen	
Address Line Shows the Address Line of the meeting location.	
Building Num- Shows the Building Number of the meeting location.	
ber	
Country Enter/Shows the Country Code of the meeting location.	
Postal Code Shows the Postal Code of the meeting location.	
Street Name Shows the Street Name of the meeting location.	
Town Shows the Town of the meeting location.	
Footprint	
Creation Date Shows the date and time of the creation.	
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id Shows the identification of the user that does the creation.	
Update Date Shows the date and time of the last update.	
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id Shows the identification of the user that made the last update	
Button Description	
Address Line This button allows you to access to address lines section.	
Address Lines	
Once you click on Address Lines button you see following fields:	
Address Lines Shows the Address Lines	
Button Description	
Create This function enables you to create an address lines record.	
Next Screen:	
– Address lines – Create Screen	
Delete This function enables you to delete the selected address lines record.	
Edit This function enables you to modify the selected address lines record.	



	Next Screen:
	– Address lines – Edit Screen
View	This function enables you to view the details of the selected address lines record.
	Next Screen:
	– Address lines – Details Screen
Address Lines – D	letails Screen
Address Line	Shows the Address Line of the meeting location.
Meeting Resolu	tions
Once you click o	n Meeting Resolutions button you see following fields:
Resolution Number	Shows the Resolution Number
Title	Shows the Title
Description	Shows the Description
Resolution	Shows the Resolution Status from possible values:
Status	- Active
	– Withdrawn
Resolution Type	Shows the Resolution Type from possible values:
	– Extraordinary
	– Special
Vote Type	Shows the Vote Type from possible values:
	- ADVI
	- BNDG
For Information	Shows the For Information Only from possible values:
Only	- Yes
	- No
Vote Recom-	Shows the Vote Recommendation from possible values:
mendation	– Against
	– Abstain
	- Withhold
	- No Action
	- For
	- No Recommendation
	– Chairman
	- OneYear
	- ThreeYears
	- TwoYears
	- Blank
Notifiving Party	Shows the notifying party recommendation from possible values:
Recommenda-	- Against
tion	- Abstain
	1 LOUMIII



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	– Withhold
	- No Action
	- For
	- No Recommendation
	– Chairman
	- OneYear
	- ThreeYears
	- TwoYears
	– Blank
Resolution Res-	Shows the Resolution Result from possible values:
ult	- Accepted
	- Rejected
	– Withdrawn
Button	Description
Create	This function enables you to create a meeting Resolution record.
	Next Screen:
	– Meeting Resolution – Create Screen
Delete	This function enables you to delete the selected meeting Resolution record.
Edit	This function enables you to modify the selected meeting Resolution record.
	Next Screen:
	– Meeting Resolution – Edit Screen
View	This function enables you to view the details of the selected meeting Resolu-
	tion record.
	Next Screen:
	– Meeting Resolution – Details Screen
Meeting Resolutio	n – Details Screen
Resolution	Shows the Resolution Number
Number	
Title	Shows the Title
Description	Shows the Description
Resolution	Shows the Resolution Status from possible values:
Status	- Active
	– Withdrawn
Resolution Type	Shows the Resolution Type from possible values:
	– Extraordinary
	- Special
Vote Type	Shows the Vote Type from possible values:
	- ADVI
	- BNDG
For Information	Shows the For Information Only from possible values:
Only	- Yes



	- No
Vote Recom-	Shows the Vote Recommendation from possible values:
mendation	- Against
	- Abstain
	- Withhold
	- No Action
	- For
	- No Recommendation
	- Chairman
	- OneYear
	- ThreeYears
	- TwoYears
	- Blank
Notifixing Party	Shows the notifying party recommendation from possible values:
Recommenda-	
tion	<ul><li>Against</li><li>Abstain</li></ul>
	- Withhold
	- No Action
	- For
	- No Recommendation
	– Chairman
	– OneYear
	- ThreeYears
	- TwoYears
	- Blank
Resolution Res-	Shows the Resolution Result from possible values:
ult	- Accepted
	- Rejected
	– Withdrawn
Footprint	
Creation Date	Shows the date and time of the creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update
Button	Description
Vote Instruction Type	This button allows the user to access to Vote Instruction Type
Document Url Link	This button allows the user to access to Document URL Link



Vote Instruction	Vote Instruction Type		
Once you click on Vote Instruction Type button you see following fields:			
Allowed Vote	Shows the allowed vote from possible values:		
	- For		
	- Against		
	- Abstain		
	- Withhold		
	- WithManagement		
	- Against Management		
	- No Action		
	- Discretionary		
	- Blank		
	- Chairman		
	- OneYear		
	- ThreeYears		
	- TwoYears		
Button	Description		
Create	This function enables you to create a Vote Instruction Type record.		
	Next Screen:		
	– Vote Instruction Type – Create Screen		
Delete	This function enables you to delete the selected Vote Instruction Type record.		
Edit	This function enables you to modify the selected Vote Instruction Type record.		
	Next Screen:		
	– Vote Instruction Type – Edit Screen		
View	This function enables you to view the details of the selected Vote Instruction Type record.		
	Next Screen:		
	– Vote Instruction Type – Details Screen		
Vote Instruction	type – Details Screen		
Allowed Vote	Shows the allowed vote from possible values:		
	- For		
	- Against		
	- Abstain		
	- Withhold		
	- WithManagement		
	- Against Management		
	- No Action		
	- Discretionary		
	- Blank		
	- Chairman		



	- OneYear		
	- ThreeYears		
	- TwoYears		
Document Url Link			
	Once you click on Document Url Link button you see following fields:		
URL Address	Shows the URL Address		
Button	Description		
Create	This function enables you to create a URL Address record.		
	Next Screen:		
	– URL Address – Create Screen		
Delete	This function enables you to delete the selected URL Address record.		
Edit	This function enables you to modify the selected URL Address record.		
	Next Screen:		
	– URL Address – Edit Screen		
View	This function enables you to view the details of the selected URL Address record.		
	Next Screen:		
	– URL Address – Details Screen		
Document URL L	ink – Details Screen		
Url Address	Shows the URL Address		
Participation Me	ethod		
Once you click o	n Participation Method button you see following fields:		
Issuer Deadline For Voting	Shows the Issuer Deadline date For Voting		
Issuer Deadline Time For Voting	Shows the Issuer Deadline Time For Voting		
Participation	Shows the Participation Method from Possible values:		
Method	- EVOT		
	– MAIL		
	- PHNV		
	- PHYS		
	- PRXY		
	- VIRT		
Button	Description		
Create	This function enables you to create a Participation Method Record.		
Delete	This function enables you to delete the selected Participation Method record.		
Edit	This function enables you to modify the selected Participation Method record.		
View	This function enables you to view the details of the selected Participation Method record.		
Additional Doc Url Address			
Once you click or	n Additional Doc Url Address button you see following fields:		
z , on			



Additional Doc Url Address	Shows the Additional Doc Url Address
Button	Description
Create	This function enables you to create an Additional Doc URL Address Record.
Delete	This function enables you to delete the selected Additional Doc URL Address record.
Edit	This function enables you to modify the selected Additional Doc URL Address record.
View	This function enables you to view the details of the selected Additional Doc URL Address record.

#### 3.4.1.1.1.2. REDM-MAND

REDM – Redemption MAND			
CA Event Details			
Field	Description		
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.		
	Displayed format is: DD/MM/YYYY.		
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.		
	Displayed format is: DD/MM/YYYY.		
Button	Function		
Secu Option	This function enables you to display the subsection Securities Option in case is needed.		
Cash Option	This function enables you to display the subsection Cash Option in case is needed.		
Secu Option			
Option Number	Shows the Number identifying the available CA options.		
Default Option In-	Shows from possible values:		
dicator	- Yes		
	- No		
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row		
Security Out	Security Out		
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.		
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.		
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.		
	Displayed format is: DD/MM/YYYY.		
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:		



	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Security In	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
Donitry In	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Cash Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Security Out	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.



	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values:  - Percentage
	- Amount

# 3.4.1.1.1.2. REDO-MAND

REDO-MAND	
REDO – Redenomi	ination MAND
CA Event Informat	ion
CA Event Details	
Field	Description
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.
D 11	Displayed format is: DD/MM/YYYY.
Button	Function
SECU Option	This function enables you to display the subsection SECU Option in case is needed.
SECU Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.



Additional Quantit	y for Existing Securities
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
New to Old Ratio	
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Security In	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	– Debit
New ISIN	Shows the new ISIN of the CA Event.
New ISIN descrip-	Shows the description of the New ISIN.
tion	
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Additional Quantit	y for Existing Securities
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
New to Old Ratio	
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.



#### 3.4.1.1.1.2. REMK-CHOS

REMK – Remarketing Agreement CHOS		
CA Event Details		
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	
Button	Function	
Secu Option	This function enables you to display the subsection Securities Option in case is needed.	
Cash Option	This function enables you to display the subsection Cash Option in case is needed.	
Secu Option		
Option Number	Shows the Number identifying the available CA options.	
Default Option In-	Shows from possible values:	
dicator	- Yes	
	- No	
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	
Option Critical Date	es	
Field	Description	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY	
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.	
	Displayed format is: hh:mm:ss:µs.	
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY	
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.	
	Displayed format is: hh:mm:ss:µs.	
Market Deadline	Shows the date of the market deadline. The calendar icon can also be used.	
Date	Displayed format is: DD/MM/YYYY	



Market Deadline	Shows the Time of the market deadline. The calendar icon can also be used.
Time	Displayed format is: hh:mm:ss:µs.
Response Deadline	Shows the date of the client deadline. The calendar icon can also be used.
Date	Displayed format is: DD/MM/YYYY
Response Deadline	Shows the Time of the client deadline. The calendar icon can also be used.
Time	Displayed format is: hh:mm:ss:µs.
Security In	1
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Cash Out	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows from possible values:
	- Percentage
	- Amount
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Cash Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Instruction Processin	eg Info
Disclosure Needed	Shows the Disclosure Needed from possible values:
	- Yes
	- No
Individual Process	Shows the Individual Process Of Inst from possible values:
Of Inst	- Yes
	- No
	- INO



Immediate Pay-	Shows the Immediate Payment from possible values:
ment	- Yes
	- No
Benef Owner Instr	Shows the Benef Owner Instr from possible values:
	- Yes
	- No
Benef Owner Pa-	Shows the Benef Owner Paper Work from possible values:
per Work	- Yes
	- No
Option Critical Date	es
Field	Description
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Market Deadline	Shows the date of the market deadline. The calendar icon can also be used.
Date	Displayed format is: DD/MM/YYYY
Market Deadline	Shows the Time of the market deadline. The calendar icon can also be used.
Time	Displayed format is: hh:mm:ss:µs.
Response Deadline	Shows the date of the client deadline. The calendar icon can also be used.
Date	Displayed format is: DD/MM/YYYY
Response Deadline	Shows the Time of the client deadline. The calendar icon can also be used.
Time	Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	- Credit
	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	



Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows from possible values:
	- Percentage
	- Amount
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.

### 3.4.1.1.1.2. RHDI-MAND

RHDI – Intermedia	RHDI – Intermediate Securities Distribution MAND	
CA Event Details	CA Event Details	
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.	
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row	
Button	Function	
SECU Option	This function enables you to display the subsection SECU Option in case is needed.	
SECU Option		
Option Number	Shows the Number identifying the available CA options.	
Default Option Indicator	Shows from possible values:  - Yes - No	
Security In		
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.	
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.	
	Displayed format is: DD/MM/YYYY.	
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.	
Parity Out	Shows the Quantity of underlying securities.	



Trade Begin	Shows the begin trade date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Trade End	Shows the end trade date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Expiry Date	Shows the expiry date or use the calendar icon.
	Displayed format is: DD/MM/YYYY.

### 3.4.1.1.1.2. SUSP-MAND

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SUSP - Trading Sta	SUSP - Trading Status: Suspended MAND	
CA Event Details		
Field	Description	
Trading Suspended Date	Shows the date at which trading of a security is suspended as the result of an event. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.	
	Displayed format is: DD/MM/YYYY.	
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Announcement	Date/time at which the issuer announced that a corporate action event will	
Date	occur.	

#### 3.4.1.1.1.2. TEND-VOLU

TEND - Tender / A	cquisition / Takeover / Purchase Offer VOLU	
CA Event Details	CA Event Details	
Field	Description	
Record Date / Enti- tlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.	
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.	
	Displayed format is: DD/MM/YYYY.	
Button	Function	
Secu Option	This function enables you to display the subsection Securities Option in case is needed.	
Cash Option	This function enables you to display the subsection Cash Option in case is needed.	
Case Option	This function enables you to display the subsection Case Option in case is needed.	
No Action	This function enables you to display the subsection No Action.	



Secu Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	s
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	– Debit
	- Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.



curities.  Parity Out Shows the Quantity of underlying securities.  Security In  Credit Debit Indicator Debit		
New to Old Ratio (NEWO)  Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:  Quantity to Quantity  Not Specified Rate  Amount to Amount  Amount to Quantity of new securities for a given quantity of underlying securities.  Parity Out  Shows the Quantity of underlying securities.  Security In  Credit Debit Indicator  Credit  ISIN  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the Bate on which the cash payment will be made and the security will be debited.  Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO)  New to Old Ratio (NEWO)  From possible values:  Quantity to Quantity  Not Specified Rate  Amount to Amount  Amount to Quantity  Not Specified Rate  Amount to Amount  Amount to Quantity  Quantity to Amount  Parity In  Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out  Shows the Quantity of underlying securities.  Shows the Quantity of underlying securities.  Shows the Quantity of underlying securities.  Shows the Quantity of underlying securities.  Shows the Number identifying the available CA options.  Shows from possible values:  Yes  No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	Payment Date	
(NEWO)  from possible values:  Quantity to Quantity  Not Specified Rate  Amount to Amount  Amount to Quantity  Quantity to Amount  Shows the Quantity of new securities for a given quantity of underlying securities.  Parity In  Shows the Quantity of underlying securities.  Security In  Credit Debit Indicator  Debit  Credit  ISIN  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the CA Event.  Shows the Date on which the cash payment will be made and the security will be debited.  Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO)  from possible values:  Quantity to Quantity  Nows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:  Quantity to Quantity  Not Specified Rate  Amount to Quantity  Not Specified Rate  Amount to Amount  Amount to Quantity  Quantity to Amount  Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out  Shows the Quantity of underlying securities.  Cash Option  Option Number  Default Option Indicator  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		Displayed format is: DD/MM/YYYY.
- Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Security In Credit Debit Indicator - Debit - Credit ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  ISIN description Shows the description of the ISIN that identifies the Asset concerned by the CA Event.  Payment Date Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO) Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option Option Number Shows the Number identifying the available CA options.  Shows from possible values: - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		
- Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Security In  Credit Debit Indicator - Debit - Credit  ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  ISIN description Shows the description of the ISIN that identifies the Asset concerned by the CA Event.  Payment Date Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO) Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option  Option Number Shows the Number identifying the available CA options.  Shows from possible values: - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		- Quantity to Quantity
- Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Security In Credit Debit Indicator - Debit - Credit Shows from possible values: - Debit - Credit Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the CA Event.  Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO)  Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		- Not Specified Rate
Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Security In Credit Debit Indicator - Debit - Credit Shows from possible values: - Debit - Credit Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the CA Event.  Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO) Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option  Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		- Amount to Amount
Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Security In Credit Debit Indicator  Credit Shows from possible values:  - Debit - Credit  ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the last on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO) Shows the Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:  - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option Option Number Default Option Indicator  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		- Amount to Quantity
Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Security In Credit Debit Indicator  Credit Shows from possible values:  - Debit - Credit  ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the last on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO) Shows the Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:  - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option Option Number Default Option Indicator  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		- Quantity to Amount
Security In  Credit Debit Indicator  Credit  Shows from possible values:  - Debit  - Credit  ISIN  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the CA Event.  Payment Date  Shows the Date on which the cash payment will be made and the security will be debited.  Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO)  Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:  - Quantity to Quantity  - Not Specified Rate  - Amount to Amount  - Amount to Quantity  - Quantity to Amount  Parity In  Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out  Shows the Quantity of underlying securities.  Cash Option  Option Number  Default Option Indicator  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	Parity In	Shows the Quantity of new securities for a given quantity of underlying se-
Credit Debit Indicator  Debit Credit  Shows from possible values: Debit Credit  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the CA Event.  Payment Date Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO) Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: Quantity to Quantity Not Specified Rate Amount to Amount Amount to Quantity Quantity to Amount Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option  Option Number Shows the Number identifying the available CA options.  Shows from possible values: Yes No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	Parity Out	Shows the Quantity of underlying securities.
ator	Security In	
Credit	Credit Debit Indic-	Shows from possible values:
ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  ISIN description Shows the description of the ISIN that identifies the Asset concerned by the CA Event.  Payment Date Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO) Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:  - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option  Option Number Shows the Number identifying the available CA options.  Default Option Indicator Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	ator	– Debit
ISIN description  Shows the description of the ISIN that identifies the Asset concerned by the CA Event.  Payment Date  Shows the Date on which the cash payment will be made and the security will be debited.  Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO)  Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:  Quantity to Quantity  Not Specified Rate  Amount to Amount  Amount to Quantity  Quantity to Amount  Parity In  Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out  Shows the Quantity of underlying securities.  Cash Option  Option Number  Shows the Number identifying the available CA options.  Shows from possible values:  Yes  No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		- Credit
CA Event.  Payment Date Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.  New to Old Ratio (NEWO) Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:  - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option Option Number Default Option Indicator Shows from possible values: - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
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New to Old Ratio (NEWO)  Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:  — Quantity to Quantity  — Not Specified Rate  — Amount to Amount  — Amount to Quantity  — Quantity to Amount  Parity In  Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out  Shows the Quantity of underlying securities.  Cash Option  Option Number  Default Option Indicator  Shows from possible values:  — Yes  — No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	Payment Date	
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- Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option  Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		
- Amount to Amount - Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option  Option Number Shows the Number identifying the available CA options.  Default Option Indicator - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		- Quantity to Quantity
- Amount to Quantity - Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option  Option Number Shows the Number identifying the available CA options.  Default Option Indicator Shows from possible values: - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		- Not Specified Rate
- Quantity to Amount  Parity In Shows the Quantity of new securities for a given quantity of underlying securities.  Parity Out Shows the Quantity of underlying securities.  Cash Option  Option Number Shows the Number identifying the available CA options.  Default Option Indicator Shows from possible values:  - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-		- Amount to Amount
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Default Option Indicator  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	Cash Option	
dicator - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	Option Number	Shows the Number identifying the available CA options.
- No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	Default Option In-	Shows from possible values:
Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted can-	dicator	- Yes
sage. This field may only be used if the information to be transmitted can-		- No
	Additional Text	sage. This field may only be used if the information to be transmitted can-
Option Critical Dates	Option Critical Dates	S S



Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	- Debit
	- Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Cash In	
	Shows from possible values:
ator	– Debit
	- Credit



Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values:
	- Percentage
	- Amount
Earliest Payment	Shows the earliest date for Payment.
Date	Displayed format is: DD/MM/YYYY.
Case Option	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	5
,	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.



Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit Indic-	Shows from possible values:
ator	- Debit
	- Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Security In	
Credit Debit Indic-	Shows from possible values:
ator	- Debit
	- Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:
	- Quantity to Quantity
	- Not Specified Rate
	- Amount to Amount
	- Amount to Quantity
	- Quantity to Amount
	Zamining to minorite



Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Cash In	
Credit Debit Indic-	Shows from possible values:
ator	- Debit
	- Credit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.
	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values:
	- Percentage
	- Amount
No Action	
Option Number	Shows the Number identifying the available CA options.
Default Option In-	Shows from possible values:
dicator	- Yes
	- No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	5
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).
	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon.
	Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.
	Displayed format is: hh:mm:ss:µs.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.



	Displayed format is: DD/MM/YYYY.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used.
	Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.
	Displayed format is: hh:mm:ss:µs.

#### 3.4.1.1.1.2. WRTH-MAND

Record Date / Enti- tlement Fixing Date Displayed format is: DD/MM/YYYY.  Ex Date Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.  Ex Date Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.  Button Function Laps Option This function enables you to display the subsection LAPS Option in case is needed.  Laps Option Option Number Default Option Indicator Shows the Number identifying the available CA options.  Shows from possible values: - Yes - No Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out Credit Debit Indicator - Debit - Credit Shows the ISIN that identifies the Asset concerned by the CA Event.			
Field Description  Record Date / Entitement Fixing Date   Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.  Ex Date   Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Button   Function    Laps Option   This function enables you to display the subsection LAPS Option in case is needed.  Laps Option   Shows the Number identifying the available CA options.  Default Option Indicator   Shows from possible values:  - Yes - No  Additional Text   Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator   Credit   Shows from possible values:  - Debit - Credit   Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description   Shows the description of the ISIN that identifies the Asset concerned by the cases and the part of the ISIN that identifies the Asset concerned by the cases and the content of the ISIN that identifies the Asset concerned by the cases and the content of the ISIN that identifies the Asset concerned by the cases and the content of the ISIN that identifies the Asset concerned by the cases and the content of the ISIN that identifies the Asset concerned by the cases and the content of the ISIN that identifies the Asset concerned by the cases and the content of the ISIN that identifies the Asset concerned by the cases and the content of the ISIN that identifies the Asset concerned by the cases and the case and the case and the case and the case and the case and the case and the case and the case and the case and the case and the case and the case and the case and the case and the case and the case and	WRTH - Worthless	WRTH – Worthless MAND	
Record Date / Entitlement Fixing Date Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.  Ex Date Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Button Function Laps Option This function enables you to display the subsection LAPS Option in case is needed.  Laps Option Option Number Default Option Indicator Shows the Number identifying the available CA options.  Shows from possible values:  - Yes - No Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out Credit Debit Indicator - Debit - Credit Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the	CA Event Details		
tlement Fixing Date ceive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used.  Displayed format is: DD/MM/YYYY.  Ex Date Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Button Function  Laps Option This function enables you to display the subsection LAPS Option in case is needed.  Laps Option  Option Number Shows the Number identifying the available CA options.  Shows from possible values:  - Yes - No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator Debit - Credit  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the	Field	Description	
Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Button  Function  Laps Option  This function enables you to display the subsection LAPS Option in case is needed.  Laps Option  Option Number  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator  Credit Debit Indicator  Shows from possible values:  - Debit  - Credit  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the	Record Date / Enti- tlement Fixing Date	ceive the relevant amount of entitlement, due to the distribution on pay-	
ing) occurs on the underlying security without the benefit. or use the calendar icon.  Displayed format is: DD/MM/YYYY.  Button  Function  Laps Option  This function enables you to display the subsection LAPS Option in case is needed.  Laps Option  Option Number  Shows the Number identifying the available CA options.  Default Option Indicator  - Yes - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator  - Debit - Credit  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the		Displayed format is: DD/MM/YYYY.	
Button  Laps Option  This function enables you to display the subsection LAPS Option in case is needed.  Laps Option  Option Number  Default Option Indicator  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator  Credit Debit Indicator  Shows from possible values:  Debit  Credit Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the	Ex Date	ing) occurs on the underlying security without the benefit. or use the calen-	
Laps Option This function enables you to display the subsection LAPS Option in case is needed.  Laps Option Option Number Option Number Shows the Number identifying the available CA options.  Shows from possible values:  - Yes - No Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out Credit Debit Indicator - Debit - Credit - Credit  ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the		Displayed format is: DD/MM/YYYY.	
needed.  Laps Option Option Number  Shows the Number identifying the available CA options.  Shows from possible values:  - Yes  - No  Additional Text  Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator  Credit Debit Indicator  Shows from possible values:  - Debit  - Credit  ISIN  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the	Button	Function	
Option Number  Default Option Indicator  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator  Credit Debit Indicator  Shows from possible values:  Debit Credit Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the	Laps Option		
Default Option Indicator  Shows from possible values:  Yes  No  Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator  Credit Debit Indicator  Debit  Credit  Shows from possible values:  Debit  Credit  Shows the ISIN that identifies the Asset concerned by the CA Event.  Shows the description of the ISIN that identifies the Asset concerned by the	Laps Option		
Additional Text Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator  - Debit - Credit  ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  ISIN description Shows the description of the ISIN that identifies the Asset concerned by the	Option Number	Shows the Number identifying the available CA options.	
sage. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row  Security Out  Credit Debit Indicator  - Debit - Credit  ISIN  Shows the ISIN that identifies the Asset concerned by the CA Event.  ISIN description  Shows the description of the ISIN that identifies the Asset concerned by the	Default Option Indicator	- Yes	
Credit Debit Indicator  - Debit - Credit  Shows from possible values: - Debit - Credit  ISIN  Shows the ISIN that identifies the Asset concerned by the CA Event.  ISIN description  Shows the description of the ISIN that identifies the Asset concerned by the	Additional Text	sage. This field may only be used if the information to be transmitted can-	
- Debit - Credit  ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  ISIN description Shows the description of the ISIN that identifies the Asset concerned by the	Security Out		
- Credit  ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  ISIN description Shows the description of the ISIN that identifies the Asset concerned by the	Credit Debit Indic- Shows from possible values:		
ISIN Shows the ISIN that identifies the Asset concerned by the CA Event.  ISIN description Shows the description of the ISIN that identifies the Asset concerned by the	ator	– Debit	
ISIN description Shows the description of the ISIN that identifies the Asset concerned by the		- Credit	
	ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.	
	ISIN description		



Shows the Date on which the cash payment will be made and the security will be debited.
Displayed format is: DD/MM/YYYY.

# 3.4.2 CA Positions

# 3.4.2.1 Monitor Client Eligible Position by CA

The Client Eligible Position by CA is determined each time a new CA Announcement is received from the CSD and each day until the record date is reached or upon an NCB user request.

# 3.4.2.1.1 Monitor Client Eligible Position by CA - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Client Eligible Positions by CA.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	CA Positions
Screen Access	Corporate Actions >> CA Position >> Monitor Client Eligible Position by CA
Screenshot	Montar Client Digitable Position by C    Client Eligibile Position by Event

Client Eligible Position by CA – Search screen	
Field	Description
CA ECMS Event Id*	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Required format is: Max. 35 characters.
ECMS Counterparty As-	Enter the identifier of the ECMS Counterparty Asset Account Id where the eligible position is held.
set Account Id.	Required format is: Max. 35 characters.
External As-	Enter / Select the Cross NCB or the T2S Security Account identifier.
set Account	Required format is: Max. 35 characters.
Record Type	Enter /Select the type of record from the possible values:
	- Position
	- Pending Movement
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.



Next screen:
<ul> <li>Monitor Client Eligible Position by CA – List Screen.</li> </ul>

## 3.4.2.1.1.1 Monitor Client Eligible Position by CA – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business	CA Positions
Case related	
Screen Ac-	Corporate Actions >> CA Position >> Monitor Client Eligible Position by CA >>
cess	Click on the search button (after inputting the relevant data, if needed)
Screenshot	Client Eligible Position by Event Result    State   Client Appel Position by Event Result

Client Elig	Client Eligible Position by CA – List Screen	
Field	Description	
ISIN	Shows the ISIN of the security concerned by the CA Event.	
Asset Account Id	Shows the identifier of the Account where the eligible position is held. The account type depends on the type of Movement (Client/Market) and can be:	
	- ECMS Counterparty Asset Account Id	
	- T2S Securities Account Id	
	- ECMS Cross NCB Asset Account	
	Displayed format is: Max. 35 characters.	
External	Shows the Cross NCB or the T2S Security Account identifier.	
Asset Account	Displayed format is: Max. 35 characters.	
Quantity	Shows the quantity expressed in face amount of the asset.	
Record	Shows the type of record from the possible values:	
Туре	- Position	
	- Pending Movement	
Position	Shows from possible Values:	
Nature	- Settled	

# 3.4.3 CA Instructions

In case of elective CA events, a Corporate Action Instruction contains all the relevant information conveyed by the relevant account holder concerning a Corporate Action Option. Based on that information, the ECMS may create or cancel the Corporate Action Choice to be sent to the CSD.

Both, mandatory CA Events with options and voluntary CA Events, offer the possibility to explicitly choose between one or more options or, on the contrary, follow the default option indicated in the CA Event.



Once the CA Choices have been processed, the ECMS forwards the CA Instructions to the corresponding CSD.

#### 3.4.3.1 CA Client Instructions

The ECMS receives from the entitled Counterparties account holders CA Instructions (CAIN) to inform of their CA Choice about a particular CA Option available for a CA Event.

# 3.4.3.1.1 CA Client Instructions – Create / Display

# 3.4.3.1.1.1 CA Client Instructions – Input screen

Context of Usage	This screen contains a number of fields regarding the CA Instructions Received. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.			
Business Case re- lated	Input a new CA Ins	struction		
Screen Access	Corporate Actions >> Instructions >> CA Client Instructions >> Input Instruction >> Input Client Instructions			
Screenshot	Venue Cent Instruction  Input : Clime Instruction  Pary's Instruction Reference  CA ECAS Seet to  Q.  NECE Consiste  Additional Informations  Instruction Seminal SEATT  Additional Informations  Instruction Seminal SEATT	Option Type and Number  ESN  Total Stiglish Soldence  0	CA best Type	ECMS Counterparty Asset Account

CA Client Instructions – Input screen		
CA Client Instructions		
Field	Description	
CA ECMS Event Id.*	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.	
	Required format is: Max. 35 characters.	
Party's Instruction Reference*	Enter the Reference of the instruction given by the Instructing Party.	
NCB Custodian <sup>#</sup>	Shows the RIAD Code of the NCB Custodian from which the announcement of the CA Event is received.	
	Displayed format is: Max. 30 characters	
CA Event Type <sup>#</sup>	Shows the type of CA Event.	
	Displayed format is: Max. 4 characters	
Party RIAD	Enter the RIAD Code of the Counterparty owning the position.	
Code	Displayed format is: Max. 30 characters.	
ISIN <sup>#</sup>	Shows the ISIN that identifies the Asset concerned by the CA Client Instruction.	
Option Type and Number*	Enter / Select the number identifying the option number and the type within the CA Event.	



ECMS Counterparty Asset Ac-	Enter / Select the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised.
count*	Required format is: Max. 35 characters
Quantity Instructed in FAMT*	Enter the quantity of securities to which this instruction applies.
Total Eligible Balance <sup>#</sup>	Shows the total Eligible balance calculated by ECMS
Remaining Quantity <sup>#</sup>	Shows the remaining quantity equal to Total Eligible Quantity – Total Quantity Instructed
Instruction	Enter the comment to be sent to the CSD.
Comment	Required format is: Max. 255 characters.
Button	Function
Reset	This function enables you to clear the form.
Save	This function enables you to save a new CA Client Instruction Received.

# 3.4.3.1.1.2 CA Client Instructions – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Client Instructions.		
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.		
Business Case related	Search / List / View CA Client Instructions		
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the CA Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.		
	For valid information:  Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor  Client Instructions		
	For information subject to 4 eyes validation:		
	Corporate Actions >> Instructions >> Validate / Reject CA Client Instructions		
	The following actions related to Client Instructions use this same definition of the screen for their own Search Screens:		
	Cancel Client Instruction: Corporate Actions >> Instructions >> CA Client Instructions >> Cancel Client Instructions		
Screenshot	Search Client Instruction		
	CA Client instruction •• • CA ECMS Event M •• • Party's Instruction •• • CA CSS Event Ref •• • Reference		
	NCE Controllar • • CA Evert Type • • • Option Type • • • Option Type • • • Option Names • • Option Names • • Option Names • • Option Names • • Option Names • • Option Names • Option		
	Asist Acoust  Business Status • ▼ Crestion Date • ▼		

CA Client Instructions – Search screen	
Field	Description



CA Client Instruction Id	Enter the reference of the CA Client Instruction given by the Counterparty for the CA event.
	Required format is: Max. 35 characters.
CA ECMS Event Id.	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Required format is: Max. 35 characters.
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
CA CSD Event	Enter the Reference of the CA Event given by the CSD.
Ref	Required format is: Max. 35 characters.
NCB Cus- todian	Enter / Select the RIAD Code of the NCB Custodian from which the announcement of the CA Event is received.
	Required format is: Max. 30 characters.
CA Event	Shows the type of CA Event.
Туре	Displayed format is: Max. 4 characters
Party RIAD	Shows the RIAD Code of the Counterparty owning the position.
Code	Displayed format is: Max. 30 characters.
ISIN	Enter / Select the ISIN that identifies the Asset concerned by the CA Client Instruction.
Option Type	Enter / Select the option type within the CA Event.
Option Num- ber	Enter / Select the number identifying the option number within the CA Event.
ECMS Counterparty Asset	Enter / Select the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised.
Account	Required format is: Max. 35 characters
Instruction	Enter / Select the Instruction Type from possible values:
Type	- Generated
	– Manual
	- Received
Business Status	Enter / Select the status of the CA Client Instruction from the possible values are:
	<ul> <li>Draft (in case of manual input, the client instruction will be created in status "Draft" in case of 4 eyes validation)</li> </ul>
	Rejected (The client instruction can be rejected automatically due to some business errors)
	Waiting MI Cancellation Confirmation (Cancellation of instruction waiting confirmation)
	<ul> <li>Pending CSD Confirmation (If the instruction is accepted without any error, it will be sent to the CSD and the status will be updated to "Pending CSD Confirmation")</li> </ul>
	Confirmed by CSD (if the acknowledgment is received from the CSD)
	<ul> <li>Cancelled (The client instruction could be cancelled by the client (seev.040)</li> <li>or manually by the user)</li> </ul>



Creation Date	Enter the date and time when the CA Instruction was created in the ECMS. To calendar icon can also be used.						
	Required format is: DD/MM/YYYY hh:mm:ss:µs.						
Update Date	Enter the reference date of the last update of the CA Instruction or use the calendar icon.						
	Required format is: DD/MM/YYYY hh:mm:ss:µs						
Button	Function						
Reset	This function enables you to clear the form.						
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.						
	Next screen:						
	- CA Client Instructions - List Screen.						

# 3.4.3.1.1.2.1 CA Client Instructions – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.							
Business Case related	Search / List / View CA Client Instructions							
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the CA Events or the information that requires 4 eyes validation before they can be considered valid in the system.							
	For valid information:  Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor Client Instructions >> Click on the search button (after inputting the relevant data, if needed)							
	For information subject to 4 eyes validation:  Corporate Actions >> Instructions >> Validate / Reject CA Client Instructions >> Click on the search button (after inputting the relevant data, if needed)  The following actions related to Client Instructions use this same definition of the screen for their own List Screens:							
	Cancel Client Instruction: Corporate Actions >> Instructions >> CA Client Instruc- tions >> Cancel Client Instructions >> Click on Search button							
Screenshot	Cat Committee   Cat Committe							

CA Client Instructions – List Screen						
Field	Description					
CA Client In-	Shows the reference of the CA Client Instruction given by the Counterparty					
struction Id.	for the CA event.					



	Displayed format is: Max. 35 characters.						
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.						
	Displayed format is: Max. 35 characters.						
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.						
CA CSD Event	Shows the Reference of the CA Event given by the CSD.						
Ref	Displayed format is: Max. 35 characters.						
NCB Custodian	Shows the RIAD Code of the NCB Custodian from which the announcement of the CA Event is received.						
	Displayed format is: Max. 30 characters.						
CA Event Type	Shows the type of CA Event.						
	Displayed format is: Max. 4 characters						
Party RIAD	Shows the RIAD Code of the Counterparty owning the position.						
Code	Displayed format is: Max. 30 characters.						
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Client Instruction.						
Option Type and Number	Shows the option type as ISO Code and the option number.						
ECMS Counter- party Asset Ac-	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised.						
count	Displayed format is: Max. 35 characters						
Quantity in- structed in FAMT	Shows the quantity of securities to which this instruction applies.						
Instruction	Shows the Instruction Type from possible values:						
Туре	- Generated						
	– Manual						
	– Received						
Business Status	Shows the status of the CA Client Instruction from the possible values are:  - Draft						
	- Rejected						
	Waiting MI Cancellation Confirmation						
	- Pending CSD Confirmation						
	- Confirmed by CSD						
	- Cancelled						
Action to valid-	Present only when the screen is used in the context of 4 eyes validations,						
ate	Shows if the validation is required following a creation or an update of the record.						
Creation Date	Shows the date and time of the creation of the CA Client Instruction.						
Creation Date	Shows the date and time of the creation of the CA Client Instruction.  Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation Date Update Date							

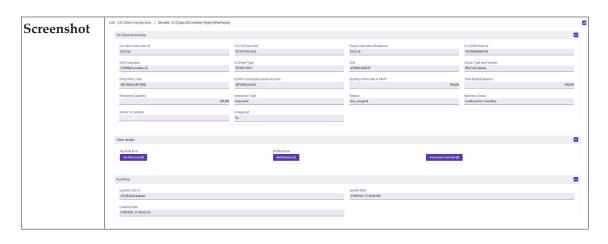


Shows the identification of the user that made the last update of the CA Client Instruction.						
record, the following buttons are displayed						
Function						
[Only in Cancel Client Instruction functionality]						
This function enables you to cancel the selected CA Client Instruction.						
This function enables you to access to the detailed information of a CA Client Instruction.						
Next screen:						
- CA Client Instruction - Details Screen.						
sed in the 4 eyes validation context, the following buttons are also displayed selected						
Function						
This function enables the user to view the draft record to be validated.						
Next screen:						
– CA Client Instruction – Details Screen.						
This function allows the user to validate the creation or update of the record so that they can be considered valid in the system						
This function allows the user to reject the creation or update of the record. The draft will be deleted.						

# 3.4.3.1.1.2.2 CA Client Instructions – Details Screen

Context of Usage	This screen displays detailed information on the selected CA Client Instructions. You can check the data and proceed further by clicking on the buttons.							
Business Case related	Search / List / View CA Client Instructions							
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the CA Events or the information that requires 4 eyes validation before they can be considered valid in the system.							
	For valid information:							
	Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor Client Instructions >> Click on the search button (after inputting the relevant data, if needed) >> Click on the View button							
	For information subject to 4 eyes validation:							
	Corporate Actions >> Instructions >> Validate / Reject CA Client Instructions >> Click on the search button (after inputting the relevant data, if needed) >> Click on the View button							
	The following actions related to Client Instructions use this same definition of the screen for their own Details Screens:							
	Cancel Client Instruction: Corporate Actions >> Instructions >> CA Client Instructions >> Cancel Client Instructions >> Click on the search button (after inputting the relevant data, if needed) >> Click on the View button							





CA Client Instru	actions – Details Screen							
CA Client Instructions								
Field	Description							
CA Client Instruction Id.	Shows the reference of the CA Client Instruction given by the Counterparty for the CA event.							
	Displayed format is: Max. 35 characters.							
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.							
	Displayed format is: Max. 35 characters.							
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.							
CA CSD Event	Shows the reference of the CA Event given by the Custodian to the CA event.							
Ref.	Required format is: Max. 35 characters.							
NCB Custodian	Shows the RIAD Code of the NCB Custodian from which the announcement of the CA Event is received.							
	Displayed format is: Max. 30 characters.							
CA Event Type	Shows the type of CA Event.							
	Displayed format is: Max. 4 characters							
Party RIAD	Shows the RIAD Code of the Counterparty owning the position.							
Code	Displayed format is: Max. 30 characters.							
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Client Instruction.							
Option Type and Number	Shows the option type as ISO Code and the option number.							
ECMS Counterparty Asset Ac-	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised.							
count	Displayed format is: Max. 35 characters							
Quantity Instructed in FAMT	Shows the quantity of securities to which this instruction applies.							
Total Eligible Balance	Shows the total Eligible balance calculated by ECMS							



Remaining Quantity	Shows the remaining quantity equal to Total Eligible Quantity – Total Quantity Instructed					
Instruction	Shows the Instruction Type from possible values:					
Туре	- Generated					
	– Manual					
	- Received					
Business Status	Shows the status of the CA Client Instruction from the possible values are:					
	– Draft					
	- Rejected					
	Waiting MI Cancellation Confirmation					
	Pending CSD Confirmation					
	- Confirmed by CSD					
	- Cancelled					
Reason	Shows the reason of the status.					
Instruction	Shows the comment to be sent to the CSD.					
Comment	Displayed format is: Max. 255 characters.					
Validation detai						
Action To Val-	Shows if there is any action waiting for validation.					
idate	one we have to daily decised warring for various of					
Rejected	Shows if any action subject to validation has been rejected for validation was					
	already rejected. The possible values are:					
	– True					
	- False					
Footprint						
Creation Date	Shows the date and time of the creation of the CA Client Instruction.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Creation User Id	Shows the identification of the user that created the CA Client Instruction.					
Update Date	Shows the date and time of the update of the CA Client Instruction.					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Updater User Id	Shows the identification of the user that made the last update of the CA Client Instruction.					
Button	Function					
Notifications	This function enables you to display the subsection "Notifications".					
His Rule Error	This function enables you to display the subsection "His Rule Error".					
Notifications						
	fications of the CA Client Instructions are displayed.					
	ad a copy of an existing notification of the CA Client Instructions by selecting notification the Download button.					
Field	Description					
Message Identi-	ti- Shows reference of the message received.					
fier						
Notification Name	Shows the description of the type of message received.					



Direction	Shows the direction of the message from the possible values:						
	Out						
	In						
Notification	Shows the status of the notification received. The possible values are:						
Status	Received						
	Rejected						
	Processed						
Error Descrip-	Shows the description of the error in case of error when receiving the mes-						
tion	sage.						
Update Date	Shows the date and time of the last update of the CA Client Instruction.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id Shows the identification of the user that made the last update of the							
	ent Instruction.						
Button	Function						
Download	This function enables you to download the message received from the Coun-						
terparty.							
His Rule Error							
The existing His	Rule Rule errors of the CA Client Instructions are displayed.						
If you click on V	iew button following fields are displayed:						
Field	Description						
Error Message	Shows error message.						
His Rule Id	Shows the identifier of the business rule.						
Status	Shows the current status.						
Footprint							
Creation Date	Shows the date and time of the creation.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation User Id	Shows the identification of the user that does the creation.						
Update Date	Shows the date and time of the last update.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id	Shows the identification of the user that made the last update.						

## 3.4.3.1.2 Monitor Uninstructed Balance

## 3.4.3.1.2.1 Monitor Uninstructed Balance – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Client Uninstructed Balance.						
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.						
Business	Search / List / View Monitor Uninstructed Balance						
Case related	Case related						
Screen Ac-	Corporate Actions >> Instructions >> CA Client Instructions >> Monitor Unin-						
cess	structed Balance						





Monitor Uninstructed Balance – Search screen								
Field	Description							
CA ECMS Event Id.*	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.  Required format is: Max. 35 characters.							
Button	Function							
Reset	This function enables you to clear the form.							
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.							
	Next screen:							
	- Monitor Uninstructed Balance - List Screen.							

## 3.4.3.1.2.1.1 Monitor Uninstructed Balance – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.								
Business Case related	Search / List / View Monitor Uninstructed Balance								
Screen Access	Corporate Actions >> Instructions >> CA Client Instructions >> Monitor Uninstructed Balance >> Click on the search button (after inputting the relevant data, if needed)								
Screenshot	Search: Uninstructed Balance  CA EDUS Event 8 ** V BATT000000725								

Monitor Uninstructed Balance– List Screen		
Field	Description	
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.	
	Displayed format is: Max. 35 characters.	
Is Instructed	Shows whether the Counterparty has sent instructions for part of the eligible position or not. The possible values are:	
	- Yes	
	- No	
Party RIAD	Shows the RIAD Code of the Counterparty owning the position.	
Code	Displayed format is: Max. 30 characters.	



ECMS Counterparty As-	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised.
set Account	Displayed format is: Max. 35 characters
Remaining Quantity	Shows quantity of securities of the Total Eligible Quantity that the Counterparty has not sent instructions.
Quantity Instructed in FAMT	Shows the quantity of securities to which instruction has been received.
Total Eligible Balance	Shows the total Eligible balance calculated by ECMS.
Generation Date	Shows the Generation Date
After selecting	g a record, the following buttons are displayed
Button	Function
Generate Reminder Notification	This function enables you to generate a message to remind to the Counterparty the uninstructed balance for the CA Event.
View	This function enables you to access to the detailed information of an Uninstructed Balance.
	Next screen:
	- Monitor Uninstructed Balance - Details Screen.

# 3.4.3.1.2.1.2 Monitor Uninstructed Balance – Details Screen

Context of	This screen displays detailed information on the selected Uninstructed Balance.			
Usage	You can check the data and	l proceed further by clickin	g on the buttons.	
Business	Search / List / View Monito	or Uninstructed Balance		
Case related				
Screen Ac-	Corporate Actions >> Instru	Corporate Actions >> Instructions >> CA Client Instructions >> Monitor Unin-		
cess	structed Balance >> Click on the search button (after inputting the relevant data,			
	if needed) >> Select a record >> Click on the View button			
Screenshot	List: Uninstructed Balance > Details : Uninstructed Balance CACEDS Sent to IRCTROMORPTS Total Digital balance 0	In Instructed Yes  Quantity Instructed in FAMT 380	Paray MAD code  TRANSMITERATIONS  Transming Quantity  330	
	ECMS Counterparty Asset Account TRAINMUNTACCOUNT	Generation Date 28/96/2022 14/95/24/759		

Monitor Uninstructed Balance – Details Screen		
Monitor Un	instructed Balance	
Field	Description	
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.	
	Displayed format is: Max. 35 characters.	
Is Instruc- ted	Shows whether the Counterparty has sent instructions for part of the eligible position or not. The possible values are:	
	- Yes	
	- No	

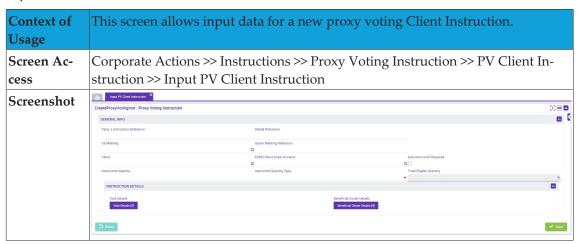


Party RIAD	Shows the RIAD Code of the Counterparty owning the Uninstructed Balance.
Code	Displayed format is: Max. 30 characters.
ECMS	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is
Counter-	mobilised.
party Asset	Displayed format is: Max. 35 characters
Account	
Remaining	Shows quantity of securities of the Total Eligible Quantity that the Counterparty
Quantity	has not sent instructions.
Quantity	Shows the quantity of securities to which instruction has been received.
Instructed	
in FAMT	
Total Eli-	Shows the total Eligible balance calculated by ECMS.
gible Bal-	
ance	
Generation	Shows the date and time of generation of the instructed balance.
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs

# 3.4.3.2 Proxy Voting Instruction

### 3.4.3.2.1 PV Client Instruction

## 3.4.3.2.1.1 Input PV Client Instruction



Input PV Client Instruction			
GENERAL INFO	GENERAL INFO		
Field	Description		
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.		
Global Reference	Enter the global reference.		
Ca Meeting	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. (Also called CA ECMS Event Id.)  Required format is: Max. 35 characters.		



Sesuer Meeting   Enter the reference of the Issuer Meeting. Reference		
menu.  ECMS Client Asset Account Kequired format is: Max. 35 characters  Execution Conf Required Instructed Quantity Instructed Quantity Type  □ FAMT □ UNIT □ AMOR  Shows the total Eligible quantity calculated by ECMS  Beneficial Owner Details  Beneficial Owner Details  Beneficial Owner Details  Beneficial Owner Details  Button  Function  Save This function enables you to clear the form.  Vote Details  Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):  Resolution Nows Against Management Blank Shows Against Information Against Management Blank Shows Blank Information Ca Meeting Code Quantity Shows the quantity instructed from possible values: □ FAMT □ UNIT □ AMOR  Shows the total Eligible quantity calculated by ECMS  Details Section  Function  Function  Function  Save This button allows to access to Vote Details section  Function  Save This function enables you to save the modified data.  Reset This function enables you to clear the form.  Vote Details  Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):  Resolution Number  Shows Abstain information  Shows Against Management information  Against Shows Against Management information  Shows Blank Information  Ca Meeting Shows Chairman information  Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.  Chairman Shows Chairman information  Shows the quantity Instructed,  Quantity No Action Shows No Action information		Enter the reference of the Issuer Meeting.
Asset Account the Asset is mobilised.  Required format is: Max. 35 characters  Execution Conf Required Instructed Quantity Instructed Quantity Type  FAMT  UNIT  AMOR  Total Eligible Quantity  INSTRUCTION DETAILS  Button  Function  Yote Details  Button  Function  Save  This function enables you to clear the form.  Vote Details  Once you click on Mote Details button you see following fields (data record depending on Ca Meeting field):  Resolution  Shows Resolution Number  Abstain  Against Management  Blank  Shows Blank Information  Ca Meeting Code Quantity Shows Details  Shows Describinary  Shows Discretionary  Shows Discretionary  Shows Discretionary  Shows Do Action  Shows No Action information  Shows Action information  Shows He quantity instructed,  Enter / Select whether the execution confirmation is required or not.  Required or not.  Required  Enter / Select whether the execution confirmation is required or not.  Required or not.	Client	
Execution Conf Required Instructed Quantity Instructed Quantity Type Instructed Quantity Type  Enter / Select the type of the quantity instructed from possible values:  - FAMT - UNIT - AMOR  Total Eligible Quantity*  INSTRUCTION DETAILS  Button Function Vote Details  Beneficial Owner Details  Button Function Save This function enables you to save the modified data. Reset This function enables you to clear the form.  Vote Details  Conce you click on Vote Details button you see following fields (data record depending on Ca Meeting field): Resolution Number Abstain Against Management Blank Shows Against information Against Management Blank Shows Blank Information Ca Meeting Code Used Details Shows Discretionary Shows Discretionary information Instructed Quantity No Action Shows No Action information Instructed Quantity No Action Shows No Action information Shows Discretionary information Instructed Quantity No Action Shows No Action information Shows How Power		
Required Instructed Quantity Instructed Quantity Type Instructed Quantity Type  - FAMT - UNIT - AMOR  Total Eligible Quantity*  INSTRUCTION DETAILS  Button Vote Details  Once you click on Vote Details button enables you to clear the form.  Vote Details Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):  Resolution  Nows Abstain information Against Management Blank Shows Blank Information Ca Meeting Code Use More Power Details Shows Chairman information Discretionary Shows Discretionary information Discretionary Shows Discretionary information Instructed Quantity  Enter ( select the type of the quantity instructed, from possible values: Enter / Select the type of the quantity instructed from possible values:  Enter / Select the type of the quantity instructed, from possible values:  Enter / Select the type of the quantity instructed from possible values:  Enter / Select the type of the quantity instructed from possible values:  - FAMT - UNIT - AMOR  Shows the total Eligible quantity calculated by ECMS  Shows the values:  - FAMT - UNIT - AMOR  Shows the total Eligible quantity calculated by ECMS   Shows Possible values:  - FAMT - UNIT - AMOR  Shows the values:  - FAMT - UNIT - AMOR  Shows the values:  - FAMT - UNIT - AMOR  Shows Details putched putched by ECMS   Shows Possible values:  - FAMT - UNIT - AMOR  Shows Discretionary information  Shows Discretionary information  Shows the quantity Instructed,  Quantity  No Action  Shows No Action information		Required format is: Max. 35 characters
Quantity Instructed Quantity Type  Enter / Select the type of the quantity instructed from possible values:  FAMT  UNIT  AMOR  Total Eligible Quantity#  INSTRUCTION DETAILS  Button  Function  Vote Details  Beneficial Owner Details  Button  Function  Save  This button allows to access to Vote Details section  Beneficial Owner Details  Button  Function  Save  This function enables you to save the modified data.  Reset  This function enables you to clear the form.  Vote Details  Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):  Resolution  Number  Abstain  Shows Resolution Number  Number  Abstain  Shows Abstain information  Against Management  Blank  Shows Blank Information  Ca Meeting Code  used by counterparties.  Chairman  Shows Discretionary information  Discretionary  Instructed Quantity  No Action  Shows No Action information		Enter / Select whether the execution confirmation is required or not.
Quantity Type		Enter the quantity of securities to which this instruction applies.
Quantity# INSTRUCTION DETAILS Button Function Vote Details This button allows to access to Vote Details section Beneficial Owner Details Button Function Save This function enables you to save the modified data. Reset This function enables you to clear the form.  Vote Details Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field): Resolution Shows Resolution Number Number Abstain Shows Abstain information Against Shows Against information Against Management Blank Shows Blank Information Ca Meeting Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Chairman Shows Discretionary information Discretionary Shows the quantity Instructed, Quantity No Action Shows No Action information		- FAMT - UNIT
Button         Function           Vote Details         This button allows to access to Vote Details section           Beneficial Owner Details         This button allows to access to Beneficial Owner Details section           Button         Function           Save         This function enables you to save the modified data.           Reset         This function enables you to clear the form.           Vote Details         Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):           Resolution Number         Shows Resolution Number           Number         Abstain           Abstain         Shows Abstain information           Against         Shows Against information           Against Management         Shows Blank Information           Blank         Shows Blank Information           Ca Meeting         Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.           Chairman         Shows Chairman information.           Discretionary         Shows Discretionary information           Instructed         Shows the quantity Instructed,           Quantity         Shows No Action information	U	Shows the total Eligible quantity calculated by ECMS
Vote Details This button allows to access to Vote Details section  Beneficial Owner Details  Button Function  Save This function enables you to save the modified data.  Reset This function enables you to clear the form.  Vote Details  Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):  Resolution Shows Resolution Number  Number Abstain Shows Abstain information  Against Shows Against information  Against Management Shows Against Management information  Blank Shows Blank Information  Ca Meeting Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.  Chairman Shows Discretionary information  Discretionary Shows the quantity Instructed,  Quantity  No Action Shows No Action information	INSTRUCTION	DETAILS
Beneficial Owner Details  Button Function Save This function enables you to save the modified data. Reset This function enables you to clear the form.  Vote Details Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field): Resolution Shows Resolution Number Number Abstain Shows Abstain information Against Shows Against information Against Management Blank Shows Blank Information Ca Meeting Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Chairman Shows Chairman information Discretionary Shows the quantity Instructed, Quantity No Action Shows No Action information	Button	Function
Owner Details         Function           Save         This function enables you to save the modified data.           Reset         This function enables you to clear the form.           Vote Details           Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):           Resolution         Shows Resolution Number           Number         Shows Abstain information           Against         Shows Against information           Against Management         Shows Against Management information           Blank         Shows Blank Information           Ca Meeting         Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.           Chairman         Shows Chairman information.           Discretionary         Shows Discretionary information           Instructed         Shows the quantity Instructed,           Quantity         Shows No Action information	Vote Details	This button allows to access to Vote Details section
This function enables you to save the modified data.  Reset This function enables you to clear the form.  Vote Details  Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):  Resolution Shows Resolution Number  Abstain Shows Abstain information  Against Shows Against information  Against Management Shows Against Management information  Blank Shows Blank Information  Ca Meeting Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.  Chairman Shows Chairman information  Discretionary Shows Discretionary information  Instructed Quantity  No Action Shows No Action information		This button allows to access to Beneficial Owner Details section
Reset This function enables you to clear the form.  Vote Details  Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):  Resolution Shows Resolution Number  Abstain Shows Abstain information  Against Shows Against information  Against Management Shows Against Management information  Blank Shows Blank Information  Ca Meeting Code Used by counterparties.  Chairman Shows Chairman information.  Discretionary Shows Discretionary information  Instructed Quantity  No Action Shows No Action information	Button	Function
Vote Details Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field): Resolution Number Abstain Shows Resolution Number Abstain Shows Against information Against Management Blank Shows Blank Information Ca Meeting Code Used by counterparties. Chairman Shows Chairman information Discretionary Instructed Quantity No Action Shows No Action information	Save	This function enables you to save the modified data.
Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):  Resolution Shows Resolution Number  Abstain Shows Abstain information  Against Shows Against information  Against Management Shows Against Management information  Blank Shows Blank Information  Ca Meeting Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.  Chairman Shows Chairman information  Discretionary Shows Discretionary information  Instructed Quantity  No Action Shows No Action information	Reset	This function enables you to clear the form.
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Against Shows Against information  Against Management Shows Against Management information  Blank Shows Blank Information  Ca Meeting Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.  Chairman Shows Chairman information.  Discretionary Shows Discretionary information  Instructed Shows the quantity Instructed,  Quantity  No Action Shows No Action information		Shows Resolution Number
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Code used by counterparties.  Chairman Shows Chairman information.  Discretionary Shows Discretionary information  Instructed Shows the quantity Instructed,  Quantity  No Action Shows No Action information	Blank	Shows Blank Information
Discretionary Shows Discretionary information  Instructed Shows the quantity Instructed,  Quantity  No Action Shows No Action information	U	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
Instructed Quantity Shows the quantity Instructed,  Quantity Shows No Action information	Chairman	Shows Chairman information.
Quantity  No Action Shows No Action information		Shows Discretionary information
		Shows the quantity Instructed,
One Year Shows One Year information	No Action	Shows No Action information
	One Year	Shows One Year information



Remaining Quantity	Shows Remaining Quantity
Three Years	Shows Three Years information
Total Instructed Quantity	Shows the Total Instructed Quantity
Two Years	Shows Two Years information
Vote For	Shows Vote For information
With Manage- ment	Shows With Management information
Withhold	Shows Withhold information
Button	Function
Edit	This function enables you to modify the selected vote details record.
	Next Screen:
	– Vote Details – Edit Screen
View	This function enables you to view the details of the selected vote details record.
	Next Screen:
	– Vote Details – Details Screen
Vote Details – Edi	t Screen
Resolution	Enter Resolution Number
Number	
Ca Meeting	Enter the reference of the CA Event given by the ECMS to the CA event to be
Code	used by counterparties.
Instructed Quantity	Enter the quantity Instructed,
Total Instructed Quantity#	Shows the Total Instructed Quantity
Remaining Quantity#	Shows Remaining Quantity
Abstain	Enter Abstain information
Against#	Shows Against information
Against Man- agement#	Shows Against Management information
Blank#	Shows Blank Information
Chairman#	Shows Chairman information.
Discretionary#	Shows Discretionary information
No Action#	Shows No Action information
One Year#	Shows One Year information
Two Years#	Shows Two Years information
Three Years#	Shows Three Years information
Vote For	Enter Vote For information
With Manage- ment#	Shows With Management information
Withhold#	Shows Withhold information



Button	Function		
Reset	This function enables you to clear the form.		
Cancel	This function enables you to cancel the creation of data.		
Vote Details – Det	Vote Details – Details Screen		
Resolution	Shows Resolution Number		
Number			
Abstain	Shows Abstain information		
Against	Shows Against information		
Against Man- agement	Shows Against Management information		
Blank	Shows Blank Information		
Ca Meeting Code	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.		
Chairman	Shows Chairman information.		
Discretionary	Shows Discretionary information		
Instructed Quantity	Shows the quantity Instructed,		
No Action	Shows No Action information		
One Year	Shows One Year information		
Remaining Quantity	Shows Remaining Quantity		
Three Years	Shows Three Years information		
Total Instructed Quantity	Shows the Total Instructed Quantity		
Two Years	Shows Two Years information		
Vote For	Shows Vote For information		
With Manage- ment	Shows With Management information		
Withhold	Shows Withhold information		
Beneficial Owne	er Details		
Once you click o	n Beneficial Owner Details button you see following fields:		
Quantity	Shows Quantity		
Alternate ID	Shows Alternate ID		
Beneficial Identifier Code	Shows the identifier code of the Beneficial		
Beneficial Name and Address	Shows Beneficial Name and Address		
Bic Code	Shows Bic Code		
Certification Type	Shows Certification Type		
Country Code	Shows Country Code		
Country of Domicile	Shows Country of Domicile		



Shows Country of Non Domicile	
Shows Data Source Scheme	
Shows Legal Entity Identifier	
Shows Tax Rate	
Shows from possible values:	
- ARNU	
- CCPT	
- CORP	
- DRLC	
- FIIN	
- TXID	
Function	
This function enables you to create a Beneficial Owner Details record.	
Next Screen:	
– Beneficial Owner Details – Create Screen	
This function enables you to delete the selected Beneficial Owner Details re-	
cord.	
This function enables you to modify the selected Beneficial Owner Details record.	
Next Screen:	
– Beneficial Owner Details – Edit Screen	
This function enables you to view the details of the selected Beneficial Owner Details record.	
Next Screen:	
– Beneficial Owner Details – Details Screen	
Details – Create Screen	
Enter the Quantity	
Enter the Alternate ID	
Enter the identifier code of the Beneficial	
Enter the Beneficial Name and Address	
Enter the Bic Code	
Enter the Certification Type	
Enter the Country Code	
Enter/Select the Country of Domicile	
Enter/Select the Country of Non Domicile	



Data Source Scheme	Enter the Data Source Scheme	
Legal Entity Identifier	Enter the Legal Entity Identifier	
Tax Rate	Enter the Tax Rate	
Type Of ID	Enter/Select from possible values:	
	- ARNU	
	- CCPT	
	- CORP	
	- DRLC	
	- FIIN	
	- TXID	
Button	Function	
Reset	This function enables you to clear the form.	
Cancel	This function enables you to cancel the creation of data.	
Beneficial	This button/tab allows the user to access to Beneficial Owner Details Narrat-	
Owner Details	ive.	
Narrative		
Beneficial Owne	r Details Narrative	
Once you click o	on Beneficial Owner Details Narrative button/tab you see following fields:	
Narrative	Shows the Narrative of the Beneficial Owner.	
Button	Function	
Create	This function enables you to create a Beneficial Owner Details Narrative record.	
	Next Screen:	
	– Beneficial Owner Details Narrative – Create Screen	
Delete	This function enables you to delete the selected Beneficial Owner Details Narrative record.	
Edit	This function enables you to modify the selected Beneficial Owner Details Narrative record.	
	Next Screen:	
	– Beneficial Owner Details Narrative – Edit Screen	
View	This function enables you to view the details of the selected Beneficial Owner Details Narrative record.	
	Next Screen:	
	- Beneficial Owner Details Narrative - Details Screen	
Beneficial Owner	Details Narrative – Create Screen	
Narrative	Enter the Narrative	
Button	Function	
Reset	This function enables you to clear the form.	
Cancel	This function enables you to cancel the creation of data.	
Beneficial Owner	Details – Edit Screen	
Quantity*	Enter the Quantity	



Alternate ID	Enter the Alternate ID
Beneficial Identifier Code	Enter the identifier code of the Beneficial
Beneficial Name and Address	Enter the Beneficial Name and Address
Bic Code	Enter the Bic Code
Certification	Enter the Certification Type
Туре	
Country Code	Enter the Country Code
Country of Domicile	Enter/Select the Country of Domicile
Country of Non Domicile	Enter/Select the Country of Non Domicile
Data Source Scheme	Enter the Data Source Scheme
Legal Entity Identifier	Enter the Legal Entity Identifier
Tax Rate	Enter the Tax Rate
Type Of ID	Enter/Select from possible values:
	- ARNU
	- CCPT
	- CORP
	- DRLC
	- FIIN
	- TXID
Button	Function
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the creation of data.
Beneficial	This button/Tab allows the user to access to Beneficial Owner Details Narrat-
Owner Details	ive.
Narrative	
	Details Narrative – Edit Screen
Narrative	Enter the Narrative
Button	Function
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the creation of data.
Beneficial Owner	Details – Details Screen
Quantity	Shows the Quantity
Alternate ID	Shows the Alternate ID
Beneficial Identifier Code	Shows the identifier code of the Beneficial
Beneficial Name and Address	Shows the Beneficial Name and Address
Bic Code	Shows the Bic Code



Certification Type	Shows the Certification Type
Country Code	Shows the Country Code
Country of Domicile	Shows the Country of Domicile
Country of Non Domicile	Shows the Country of Non Domicile
Data Source Scheme	Shows the Data Source Scheme
Legal Entity Identifier	Shows the Legal Entity Identifier
Tax Rate	Shows the Tax Rate
Type Of ID	Shows from possible values:  - ARNU  - CCPT  - CORP  - DRLC  - FIIN
-	- TXID
Button	Function
Beneficial	This button/tab allows the user to access to Beneficial Owner Details Narrat-
Owner Details Narrative	ive
Beneficial Owner	Details Narrative – Details Screen
Narrative	Shows the Narrative

## 3.4.3.2.1.2 Monitor PV Client Instruction

# 3.4.3.2.1.2.1 Monitor PV Client Instruction - Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the PV Client Instructions.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the PV Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:
	Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruc- tion >> Monitor PV Client Instruction
	For information subject to 4 eyes validation:
	Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Validate / Reject – Waiting User Validation >> Validate / Reject PV CI Creation
	The following actions related to PV Client Instructions use this same definition of the screen for their own Search Screens:



	Cancel PV Client Instruction:	
	Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Cancel PV Client Instruction	
	Rejected Confirmed:	
	Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Rejected Confirmed	
	Confirm Vote Execution:	
	Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Confirm Vote Execution	
Screenshot	Search Criteria - Proxy Volonia phastruction	_
	C.A.E.D.M.S.Even M	ē
	Party's Instruction   ✓ Global Reference   ✓ Status   ✓ V	
	Client → ▼    ■ EOMS Client Asset Account → ▼    Q Enternal Asset Account → ▼    Q	
	Counting Date: from 10 to 10 CO Search	

Monitor PV Clie	ent Instruction – Search Screen
Field	Description
CA ECMS Event Id.	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. (Also called CA ECMS Event Id.)
	Required format is: Max. 35 characters.
Meeting Reference	Enter the reference of the Meeting.
App Reference	Enter the App reference.
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Global Refer- ence	Enter the global reference.
Status	Enter/Select from possible values:
	- WaitingPositionControl
	- WaitingPVMICancellationConfirmation
	- Cancelled
	- FreezeAccepted
	- FreezeRejected
	- WaitingFreezeConfirmation
	- Created
	- Rejected
	- PossibleRejected
	- Executed
	– Draft
	– InvalidData
	- WaitingPVMIConfirmation
	- WaitingUpdate



0	
	- BlockedForCutOffTime
	- WaitingUnfreezeConfirmation
	- UnfreezeAccepted
	- UnfreezeRejected
	- WaitingCancellationValidation
Client	Enter or to Select the RIAD code of the Counterparty from a drop down menu.
ECMS Client Asset Account	Enter / Select the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised.
	Required format is: Max. 35 characters
External Asset	Enter / Select the External Asset Account.
Account	Required format is: Max. 35 characters.
Creation Date	Enter the date and time when the Instruction was created in the ECMS. The calendar icon can also be used.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Monitor PV Client Instruction - List Screen.
Reset	This function enables you to clear the form.

# 3.4.3.2.1.2.2 Monitor PV Client Instruction - List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the PV Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.
	For valid information:
	Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Monitor PV Client Instruction >> Click on Search button (after filling in appropriate data if needed)
	For information subject to 4 eyes validation:
	Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Validate / Reject – Waiting User Validation >> Validate / Reject PV CI Creation >> Click on Search button (after filling in appropriate data if needed)
	The following actions related to PV Client Instructions use this same definition of the screen for their own List Screens:
	Cancel PV Client Instruction:
	Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Cancel PV Client Instruction >> Click on Search button (after filling in appropriate data if needed)
	Rejected Confirmed:



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<b>Monitor PV Cli</b>	ent Instruction – List Screen
Field	Description
Ca Meeting	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. (Also called CA ECMS Event Id.)
	Displayed format is: Max. 35 characters.
Meeting Reference	Shows the reference of the Meeting.
App Reference	Shows the App Reference.
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Global Reference	Shoes the global reference.
Client	Shows the RIAD code of the Counterparty from a drop down menu.
ECMS Client Asset Account	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised.
	Displayed format is: Max. 35 characters
Business Status	Shows the status of the PV Client Instruction from the possible values are:
	- Confirmed by Custodian
	- Execution Confirmed
	- Invalid Data
	- Pending Custodian Confirmation
	- Pending Rejection
	- Rejected
	- Waiting PVMI Cancellation Confirmation
Reason	Shows the reason from possible values:
	- Inst_Accepted
	- Inst_Rejected_InsufficientPosition
	- Inst_Rejected
	- Canc_Accepted_FromClient



Instructed Quantity	Shows the quantity of securities to which this instruction applies.			
Instructed	Shows the type of the quantity instructed from possible values:			
Quantity Type	- FAMT			
	- UNIT			
	- AMOR			
Update Date	Shows the date and time of the last update of the PV Client Instruction.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Updater User Id	Shows the identification of the user that made the last update of the PV Clie Instruction.			
After selecting a	record, the following buttons are displayed:			
Button	Function			
View	This function enables you to access to the detailed information of a PV Client Instruction.			
	Next screen:			
	- Monitor PV Client Instruction - Details Screen.			
requestClient-	[Only in case of Cancel PV Client Instruction]			
Cancellation	This function enables you to request the cancellation of a PV Client Instruction.			
rejectedCon-	[Only in case of Rejected Confirmed]			
firmed	This function enables you to confirm the rejection of a PV Client Instruction.			
editSear-	[Only in case of Confirm Vote Execution]			
chResultItem	This function enables you to confirm the vote execution of a PV Client Instruction.			
	Next Screen:			
	– Confirm Vote Execution – Edit Screen			
If the screen is us when a record is	sed in the 4 eyes validation context, the following buttons are also displayed selected			
Button	Function			
View Draft	This function enables the user to view the draft record to be validated.			
	Next screen:			
	- Monitor PV Client Instruction - Details Screen.			
Validate	This function allows the user to validate the creation of the record so that they can be considered valid in the system			
Reject	This function allows the user to reject the creation or update of the record.  The draft will be deleted.			

# 3.4.3.2.1.2.3 Monitor PV Client Instruction - Details Screen

Context of Usage	This screen displays detailed information on the selected PV Client Instructions. You can check the data and proceed further by clicking on the buttons.
cess	Depending how the screen is accessed, it can be used to see the detailed valid information of the PV Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.



#### For valid information:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Monitor PV Client Instruction >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

For information subject to 4 eyes validation:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Validate / Reject – Waiting User Validation >> Validate / Reject PV CI Creation >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

The following actions related to PV Client Instructions use this same definition of the screen for their own Details Screens:

#### Cancel PV Client Instruction:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Cancel PV Client Instruction >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

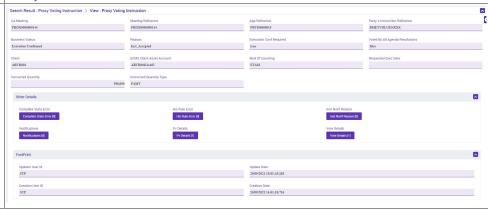
#### Rejected Confirmed:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Rejected Confirmed >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

#### Confirm Vote Execution:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Confirm Vote Execution >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

#### Screenshot



Monitor PV Client Instruction – Details Screen			
Field	Description		
Ca Meeting	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. (Also called CA ECMS Event Id.)		
	Displayed format is: Max. 35 characters.		
Meeting Reference	Shows the reference of the Meeting.		
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.		
App Reference	Shows the App Reference.		



Global Refer-	Shows the global reference.
ence	
Business Status	Shows the status of the PV Client Instruction from the possible values are:
	- Confirmed by Custodian
	- Execution Confirmed
	- Invalid Data
	- Pending Custodian Confirmation
	- Pending Rejection
	- Rejected
	Waiting PVMI Cancellation Confirmation
Reason	Shows the reason from possible values:
	- Inst_Accepted
	- Inst_Rejected_InsufficientPosition
	– Inst_Rejected
	- Canc_Accepted_FromClient
Execution Conf Required	Shows whether the execution confirmation is required or not.
Voted By All Agenda Resolu- tions	Shows whether the Voted By All Agenda Resolutions is required or not.
Client	Shows the RIAD code of the Counterparty from a drop down menu.
ECMS Client Asset Account	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised.
	Displayed format is: Max. 35 characters
Mod Of Count-	Shows the model of counting from possible values:
ing	– EVAM
	– EVBM
	- PVBM
	- PVAM
Requested Exec	Shows the Requested Execution Date.
Date	Displayed format is: DD/MM/YYYY
Instructed Quantity	Shows the quantity of securities to which this instruction applies.
Instructed	Shows the type of the quantity instructed from possible values:
Quantity Type	- FAMT
	- UNIT
	- AMOR
Other Details	
Button	Function
Complete State Error [n]	This function enables you to display the subsection "Complete State Error".



His Rule Error [n]	This function enables you to display the subsection "His Rule Error".
Inst Notif Reason [n]	This function enables you to display the subsection "Inst Notif Reason".
Notifications [n]	This function enables you to display the subsection "Notifications".
PV Details [n]	This function enables you to display the subsection "PV Details".
Vote Details	This function enables you to display the subsection "Vote Details".
Footprint	
Creation Date	Shows the date and time of the creation of the PV Client Instruction.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the PV Client Instruction.
Update Date	Shows the date and time of the last update of the PV Client Instruction.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the PV Client Instruction.
Complete State	Error
The existing Con	nplete State errors of the PV Client Instructions are displayed.
Field	Description
Error Message	Shows error message.
Field Name	Shows the name of the field.
His Rule Error	
The existing Busi	iness Rule errors of the PV Client Instructions are displayed.
If you click on Vi	iew button following fields are displayed:
His Rule Error – I	
Field	Description
Error Message	Shows error message.
His Rule Id	Shows the identifier of the business rule.
Status	Shows the current status.
Footprint	
Creation Date	Shows the date and time of the creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Inst Notif Reaso	-
The existing Inst	ruction Notifications Reasons of the PV Client Instructions are displayed.
Field	Description
Identifier	Shows the Identifier.
Description	Shows the description.
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update.



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation User ID	Shows the identification of the user that does the creation.						
Notifications							
The existing noti	fications of the PV Client Instructions are displayed.						
	ad a copy of an existing notification of the PV Client Instructions by selecting it he Download button.						
Field	Description						
Message Identi- fier	Shows reference of the message received.						
Notification Name	Shows the description of the type of message received.						
Direction	Shows the direction of the message from the possible values:						
	- Out						
	– In						
Notification	Shows the status of the notification received. The possible values are:						
Status	- Received						
	- Rejected						
	- Processed						
Error Descrip-	Shows the description of the error in case of error when receiving the mes-						
tion	sage.						
Update Date	Shows the date and time of the last update of the PV Client Instruction.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id	Shows the identification of the user that made the last update of the PV Cli Instruction.						
Button	Function						
Download	This function enables you to download the message received from the Counterparty.						
PV Details							
The existing PV	Details of the PV Client Instructions are displayed.						
If you click on V	iew button following fields are displayed:						
Consolidated Status	Shows the Consolidated Status						
Quantity	Shows the Quantity						
Quantity Type	Shows the Quantity Type						
External Asset Account	Shows the External Asset Account						
Eligible Quant- ity	Shows the Eligible Quantity						
Position Nature	Shows the Position Nature						
Acked	Shows from possible values:						
	- Yes						
	- No						
Nacked	Shows from possible values:						



	– Yes							
	- No							
Consolidation (PV Market Instruction View Screen)	This is a link to PV Market Instruction -View Screen.							
Footprint								
Creation Date	Shows the date and time of the creation.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Creation User Id	Shows the identification of the user that does the creation.							
Update Date	Shows the date and time of the last update.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Updater User Id	Shows the identification of the user that made the last update.							
Button	Description							
Beneficial Owner Details [n]	This function enables you to view the selected Beneficial Owner Details record.  Next Screen:  — Beneficial Owner Details — Details Screen							
PV Details by Option [n]	This function enables you to view the details of the selected PV Details by Option record.							
	Next Screen:							
	– PV Details by Option – Details Screen							
PV Details by Opt	ion – Details Screen							
Allowed Vote	Shows the Allowed Vote							
Quantity	Shows the Quantity							
Quantity Type	Shows the Quantity Type							
Consolidated Status	Shows the Consolidated Status							
External Asset	Shows the External Asset Account							
Account								
Acked	Shows from possible values:							
	- Yes							
	- No							
Nacked	Shows from possible values:							
	- Yes							
	- No							
Vote Details								
The existing Vote	e Details of the PV Client Instructions are displayed.							
	iew button following fields are displayed:							
Meeting Resolutions	Shows the concatenation of CA ECMS Event Id, "  " and the Resolution Number							
Is Global Vote	Shows from possible values:							
	- Yes							



	- No						
Vote Option	Shows information about the Vote Option						
Vote Option by	Shows information about the Vote Option by Resolution.						
Resolution							
Footprint							
Creation Date	Shows the date and time of the creation.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation User Id	Shows the identification of the user that does the creation.						
Update Date	Shows the date and time of the last update.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id	Shows the identification of the user that made the last update.						
Button	Description						
•	This function enables you to view the selected Complete State Error record.						
Error [n]	Next Screen:						
	– Complete State Error – Details Screen						
His Rule Error [n]	This function enables you to view the details of the selected His Rule Error record.						
	Next Screen:						
	– His Rule Error – Details Screen						
Vote by Resolution [n]	This function enables you to view the details of the selected Vote by Resolution record.						
	Next Screen:						
	– Vote by Resolution – Details Screen						
Vote by Resoluti	ion						
The existing Vote	e by Resolution of the PV Client Instructions are displayed.						
If you click on Vi	ew button following fields are displayed:						
Vote Instruction Type	Shows the concatenation of CA ECMS Event Id, " $\mid$ ", the Resolution Number, " $\mid$ ", and the Vote.						
Instructed Quantity	Shows the Instructed Quantity						
Footprint							
Creation Date	Shows the date and time of the creation.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation User Id	Shows the identification of the user that does the creation.						
Update Date	Shows the date and time of the last update.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
	Shows the identification of the user that made the last update.						
Button	Description						
PV Details by	This function enables you to view the selected PV Details by Option record.						
	This function enables you to view the selected PV Details by Option record.						
Option [n]	Next Screen:						



## 3.4.4 CA Entitlements

A Corporate Action Entitlement represents the upcoming cash and security postings due at payment date when a Corporate Action Event is processed.

The ECMS calculates the entitlements when the Record Date is reached or when a CA Movement Preliminary Advice (CAPA) is received from the CSD.

Each time a CA Movement Preliminary Advice received is processed, the ECMS sends the corresponding CA Movement Preliminary Advice message to the Counterparties to advise them about the entitled cash or securities movements.

Corporate Action Movement Preliminary Advice can also be used by the CSD to pre-advice the account owner of the reversal of the security and/or cash postings.

### 3.4.4.1 CA Entitlements – Search Screens

Context of	This screen is used to search Corporate Action Entitlements. Depending on the					
Usage	context, it can be used to search CA Market Entitlement Received in a CA Movement Preliminary Advice (Monitor information or Cancelling), CA Market Entitlements Calculated internally by the ECMS (Monitor information or Cancelling) or CA Client Entitlements also calculated by the ECMS (Monitor information or Cancelling). It can also be used to search the valid information of the CA Client Entitlement or the information that requires 4 eyes validation before they can be considered valid in the system.					
	It contains the fields that can be used as search criteria. The user captures the fields that correspond to its criteria for searching CA Entitlements.					
	If a field is left blank, the field is not considered as a search criterion.					
	Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.					
Business	Search/List/View CA Market Entitlements Received					
Case related	Search/List/View CA Market Entitlements Calculated					
	Search/List/View Client CA Entitlements					
Screen Ac-	For Monitoring data CA Market Entitlements Received:					
cess	Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Monitor Received Entitlements					
	For Cancelling CA Market Entitlements Received:					
	Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Cancel Received Entitlements >> Cancel Received Entitlement					
	For Monitoring data CA Market Entitlements Calculated:					
	Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Monitor Market Entitlement					
	For Cancelling CA Market Entitlements Calculated:					
	Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Cancel Market Entitlement					
	For Monitoring data CA Client Entitlements:					
	Corporate Actions >> CA Entitlement >> Client Entitlements >> Monitor Client Entitlement					



	For information subject to 4 eyes validation -> Monitor data CA Client Entitlements:						
	Corporate Actions >> CA Entitlement >> Client Entitlements >> Calculate Client Entitlement >> Validate Client Entitlement	-					
	For Cancelling CA Client Entitlements:  Corporate Actions >> CA Entitlement >> Client Entitlements >> Cancel Client Entitlement						
Screenshot	Monto Core Entitlements	*					
	Q.	Search					

CA Entitlemen	ts – Search screen					
Field	Description					
CA ECMS Event Id	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.					
	Required format is: Max. 35 characters.					
CA CSD Event Ref.	Enter/Select the reference of the CA Event given by the Custodian					
NCB Cus- todian	Enter/Select the RIAD Code of the Custodian (i.e the CSD or the NCB in relation with the CSD)					
CA Event	Enter/Select the Type of CA Event.					
Туре	Required format is: Max. 4 characters.					
Participation	Enter / Select the code identifying whether participation in the CA event is:					
Type	- mandatory (MAND)					
	- mandatory with options (CHOS)					
	- voluntary (VOLU)					
Option Type	Enter / Select the option type within the CA Event.					
Option Num- ber	Enter / Select the number identifying the option type within the CA Event.					
ISIN	Enter/Select the identification of the security concerned by the corporate action.					
Asset Account	[Only for client Entitlements]					
Id.	Enter/Select the identifier of the Account where the eligible position is held.  The account type depends on the type of entitlement (Client/Market) and can be:					
	– a ECMS Counterparty Asset Account Id					
	– a T2S Securities Account Id					
	– a ECMS Cross NCB Asset Account Id.					
External Asset	Enter / Select the External Asset Account.					
Account	Required format is: Max. 35 characters.					
Status	Select from possible values:					
	– Cancelled					
	- Confirmed					



	- NotConfirmed					
	- PartiallyConfirmed					
	– WaitingValidation					
Creation Date	ate and time when the CA Entitlement was created in the ECMS.					
Button	Function					
Reset	This function enables the user to clear the form.					
Search	This function enables the user to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.					
	Next screen:					
	- CA Entitlements - List Screen.					

## 3.4.4.1.1 CA Entitlements – List Screen

Context of	This screen contains a list of CA Entitlements.						
Usage	The entitlement listed correspond to the search criteria captured in the <i>CA Entitlements – Search</i> screen.						
	The list displayed can be sorted and filter by the field displayed.						
	The list can be exported.						
	By clicking on the "View" button or double clicking on the selected line, the user can display the <i>CA Entitlements – Details screen</i> with the details of the selected entitlement.						
Business	Search/List/View CA Market Entitlements Received						
Case related	Search/List/View CA Market Entitlements Calculated						
	Search/List/View Client CA Entitlements						
Screen Ac-	For Monitoring data CA Market Entitlements Received:						
cess	Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Monitor Received Entitlements >> Search CA Entitlements						
	For Cancelling CA Market Entitlements Received:						
	Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Cancel Received Entitlements >> Cancel Received Market Entitlement >> Search CA Entitlements						
	For Monitoring data CA Market Entitlements Calculated:						
	Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Monitor Market Entitlement >> Search CA Entitlements						
	For Cancelling CA Market Entitlements Calculated:						
	Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Cancel Market Entitlement >> Search CA Entitlements						
	For Monitoring data CA Client Entitlements:						
	Corporate Actions >> CA Entitlement >> Client Entitlements >> Monitor Client Entitlement >> Search CA Entitlements						
	For information subject to 4 eyes validation -> Monitor data CA Client Entitlements:						
	Corporate Actions >> CA Entitlement >> Client Entitlements >> Calculate Client Entitlement >> Validate Client Entitlement >> Search CA Entitlements						



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Screenshot		t : CA Client Entitle	ements				K < Page	, 1 ores > >						Q 641 expor	Search Result 🗱 🖓
		CA ECMS Event Id	CA CSD Event Ref	₽ ISIN 0	CA Event Type 0	Participation Typ	NCB Custodian © S	Option Type and N.;	External Asset Acc.	Asset Account Id. \$	Status 0	Allocation Source S	Update Date • 9	Updater User ID 6	Creation Date • 🔻
	В	PCAL0000001295	PCALMAND255	AT0000A1ASR4	PCAL	MAND	AT9900	CASH-001	ABVR2T2SSAC002	ABVR001IAA02	NotConfirmed	WaitAllocation	17/10/2022 13:33:3	STP	17/10/2022 13:33:3
	13	PCAL0000001295	PCALMAND255	AT0000A1ASR4	PCAL	MAND	AT9900	CASH-001	ATOMNIBUS	ABVR008IAA01	NotConfirmed	WaitAllocation	17/10/2022 13:33:3	STP	17/10/2022 13:33:3
	B	PCAL0000001295	PCALMAND255	AT0000A1ASR4	PCAL	MAND	AT9900	CASH-001	ABVRT2SSAC005	ABVR005IAA01	NotConfirmed	WaltAllocation	17/10/2022 13:33:3	STP	17/10/2022 13:33:3
	B	PCAL0000001295	PCALMAND255	AT0000A1ASR4	PCAL	MAND	AT9900	CASH-001	ATOMNIBUS	ABVR009IAA01	NotConfirmed	WaltAllocation	17/10/2022 13:33:3	STP	17/10/2022 13:33:3
	<b>22</b>	PCAL0000001295	PCALMAND255	AT0000ATASR4	PCAL	MAND	AT9900	CASH-001	ABVR001	ABVR001UA401	NotConfirmed	WattAllocation	17/10/2022 13:33:3	STP	17/10/2022 13:33:3
	B	PCAL0000001295	PCALMAND255	AT0000A1ASR4	PCAL	MAND	AT9900	CASH-001	ABVR1T2SSAC003	ABVR001LAA03	NotConfirmed	WaitAllocation	17/10/2022 13:33:3	STP	17/10/2022 13:33:3
	B	PCAL0000001295	PCALMAND255	AT0000A1ASR4	PCAL	MAND	AT9900	CASH-001	ABVRT2SSAC003	ABVR003IAA01	NotConfirmed	WaltAllocation	17/10/2022 13:33:3	STP	17/10/2022 13:33:3
	10	PCAL0000001295	PCALMAND255	AT0000A1ASR4	PCAL	MAND	AT9900	CASH-001	ABVRT2SSAC004	ABVR004IAA01	NotConfirmed	WaitAllocation	17/10/202213:33:3	STP	17/10/2022 13:33:3
	B	PCAL0000001295	PCALMAND255	AT0000A1ASR4	PCAL	MAND	AT9900	CASH-001	ABVRT2SSAC005	ABVR005IAA018IS	NotConfirmed	WaitAllocation	17/10/2022 13:33:2		17/10/2022 13:33:2
	В	RHDI0000001287	RHDIMAND310	AT0000A1ASR4	RHD)	MAND	AT9900	SECU-001	ABVR2T2SSAC002	ABVR001IAA02	NotConfirmed	WaitAllocation	13/10/2022 18:00:1	ATCBJobScheduler	13/10/2022 18:00:1
	L														<b>◆</b> View

CA Entitlemen	ts – List Screen				
Field	Description				
CA ECMS Event Id	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.				
	Displayed format is: Max. 35 characters.				
CA CSD Event Ref.	Shows the reference of the CA Event given by the Custodian				
NCB Cus- todian	Shows the RIAD Code of the Custodian (i.e the CSD or the NCB in relation with the CSD)				
CA Event Type	Shows the type of CA Event.				
Participation Type	Shows the code identifying whether participation in the CA event is:  - mandatory (MAND)				
	- mandatory with options (CHOS)				
Option Type and Number	- voluntary (VOLU) Shows the option type as ISO Code and the option number.				
ISIN	Shows the identification of the security concerned by the corporate action.				
Asset Account	[For client entitlements only]				
Id	Shows the identifier of the Account where the eligible position is held. The account type depends on the type of entitlement (Client/Market) and can be:				
	- a ECMS Counterparty Asset Account Id				
	– a T2S Securities Account Id				
	– a ECMS Cross NCB Asset Account				
External Asset Account	Shows the External Asset Account.				
Status	Shows from possible values:				
	- Created				
	- Cancelled				
Allocation	[For Client Entitlement only]				
Source Status	Shows from Possible Values:				
	- Allocated				
	- Wait Allocation				



	- Partially Allocated					
	- Not Applicable					
REPE Recon-	[For Calculated Market Entitlement only]					
ciliation Status						
	- Not reconciled					
	- ReconciledTotally					
	- Reconciled Partially					
Dagan siliation	- Not Applicable					
Status	[For Market Entitlement only]					
Status	Shows the reconciliation status :					
	- Not reconciled					
	- ReconciledTotally					
	- ReconciledPartially					
	- Not Applicable					
Creation Date	Date and time when the CA Entitlement was created in the ECMS.					
Updater User Id.	Identifier of the user responsible for the last update of the CA Entitlement.					
Update Date	Date and time when the CA Entitlement was last updated in the ECMS.					
After selecting	a record, the following buttons are displayed					
Button	Function					
View	This function enables the user to access to the detailed information of a CA Entitlement.					
	Next screen:					
	- CA Entitlements - Details Screen.					
Cancel	[Only for Cancel Client Entitlement, Cancel Received Market Entitlement, Cancel Calculated Market Entitlement]					
	This button allows the user to cancel the selected entitlement.					

## 3.4.4.1.2 CA Entitlements – Details Screen

Context of Usage	This screen displays the details of a CA Entitlements.
Business Case related	Search/List/View CA Market Entitlements Received Search/List/View CA Market Entitlements Calculated
	Search/List/View CA Market Entitlements Calculated  Search/List/View Client CA Entitlements
Screen Ac-	For Monitoring data CA Market Entitlements Received:
cess	Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Monitor Received Entitlements >> Search CA Entitlements >> Select an entitlement >> Click on View button
	For Cancelling CA Market Entitlements Received:
	Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Cancel Received Entitlements >> Cancel Received Market Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button



#### For Monitoring data CA Market Entitlements Calculated:

Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Monitor Market Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

#### For Cancelling CA Market Entitlements Calculated:

Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Cancel Market Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

#### For Monitoring data CA Client Entitlements:

Corporate Actions >> CA Entitlement >> Client Entitlements >> Monitor Client Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

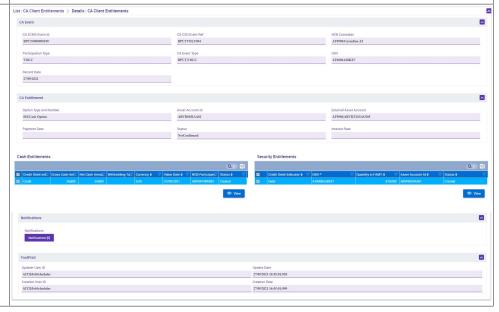
# For information subject to 4 eyes validation -> Monitor data CA Client Entitlements:

Corporate Actions >> CA Entitlement >> Client Entitlements >> Calculate Client Entitlement >> Validate Client Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

#### For Cancelling CA Client Entitlements:

Corporate Actions >> CA Entitlement >> Client Entitlements >> Cancel Client Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

## Screenshot



CA Entitlement – Details Screen	
Field	Description
CA Event	
CA ECMS Event Id	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Required format is: Max. 35 characters.
CA CSD Event Ref.	Shows the reference of the CA Event given by the Custodian
NCB Custodian	Shows the RIAD Code of the Custodian (i.e the CSD or the NCB in relation with the CSD)



CA Event Type	Shows the type of CA Event.
Participation	Shows the code identifying whether participation in the CA event is:
Туре	- mandatory (MAND)
	- mandatory with options (CHOS)
	- voluntary (VOLU)
ISIN	Shows the identification of the security concerned by the corporate action.
Asset Account	[For Client Entitlements only]
Id.	Shows the identifier of the Account where the eligible position is held. The account type depends on the type of entitlement (Client/Market) and can be:
	- a ECMS Counterparty Asset Account Id
	– a T2S Securities Account Id
	– a ECMS Cross NCB Asset Account
External Asset Account	Shows the External Asset Account.
Status	Shows from possible values:
	- Created
	- Cancelled
Reversal Reason	[For Monitor Client Entitlements only]
Code	Shows from possible values:
	– Day Count Basis Difference - DCBD
	- Incorrect Value Date - IVAD
	- Incorrect Record Date - IRED
	- Incorrect Price - IPRI
	– Undue Payment - UPAY
	– Incorrect Event Level Tax Rate - IETR
	- Funds Not Received - FNRC
	- Payment Outside Clearing System - POCS
	- Incorrect Payment Currency - IPCU
Record Date / Entitlement Fix- ing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date.
	Displayed format is: DD/MM/YYYY.
Payment Date	Shows the Payment Date.
	Displayed format is: DD/MM/YYYY.
CA Entitlement	
Option Type and Number	Shows the option type as ISO Code and the option number.
Reconciliation	[For Market Entitlement only]
Status	Shows the reconciliation status :
	- Not reconciled
	- ReconciledTotally
	- ReconciledPartially



	– Not Applicable
Notification	[For Monitor Client Entitlements only]
Status	Shows if the entitlements have been sent to the client:
	- Created
	- Confirmed
Cash Entitlemen	
	the list of cash entitlements
Field	Description
Credit/Debit in-	Shows whether the cash payment is a debit or a credit.
Gross Cash Amount	Shows the amount of cash due before deductions and allowance
Net Cash Amount	Shows the net cash amount to be paid at the payment date
Currency	Show the currency of the payment
Withholding	[Only for Client Entitlements]
Tax Amount	Shows the Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.
Value Date	Shows the date when calculating economic benefit for a cash amount.
Cash Account Id	Shows the reference of the cash account where the payment is to be paid
Status	Shows from possible values:
	- Created
	- Waiting Payment Confirmation
	- Payment Confirmation Rejected
	Waiting Pool Confirmation
	Reversal Waiting Payment Confirmation
	Reversal Payment Confirmation Rejected
	- Sent
After selecting a	record, the following button is displayed
Button	Function
View	This function enables the user to view the details of the CA Cash Entitlement.
	Next screen:
	– CA Cash Entitlements – Details Screen.
Security Entitler	
	the list of security entitlements
Field	Description
Credit/Debit indicator	Shows whether the security payment is a debit or a credit
ISIN	Shows the identifier of the entitled financial instrument.
Quantity in FAMT	Shows the entitled quantity in FAMT



Status	Shows the status from possible values:
	- Sent
	- Created
	– Waiting Valuation
Asset Account	[For Client Entitlements only]
Id.	Shows the reference of the security account where the movement is to be done
After selecting a	record, the following button is displayed
Button	Function
View	This function enables the user to access to the detailed information of a CA Security Entitlement.
	Next screen:
	- CA Security Entitlements - Details Screen.
Foot Print	
Creation Date	Shows the date and time of the creation of the CA Entitlement.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the CA Entitlement.
Update Date	Shows the date and time of the update of the CA Entitlement.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Entitlement.
Notifications	
The existing noti	fications of the CA Entitlements are displayed.
	ad a copy of an existing notification of the CA Entitlement by selecting it and ownload button.
Field	Description
Message Identi- fier	Shows reference of the message received.
Notification Name	Shows the description of the type of message received.
Direction	Shows the direction of the message from the possible values:
	- Out
	– In
Notification	Shows the status of the notification received. The possible values are:
Status	- Received
	– Rejected
Error Descrip- tion	Shows the description of the error in case of error when receiving the message.
Update Date	Shows the date and time of the last update of the CA Movement.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Entitlement.
Button	Function



Download	This function analyses you to download the massage received
Dowinoad	This function enables you to download the message received.

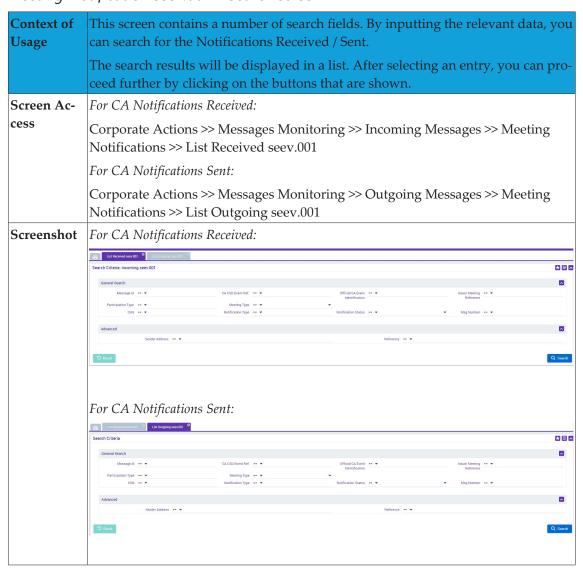
# 3.4.5 CA Message Monitoring

## 3.4.5.1 Incoming / Outgoing Messages

## 3.4.5.1.1 Meeting Notification

### 3.4.5.1.1.1 Meeting Notification seev.001

## 3.4.5.1.1.1 Meeting Notification seev.001 - Search screen



<b>Meeting Notifi</b>	Meeting Notification seev.001 - Search screen	
Field	Description	
General Search		



Message id	Enter the identifier of the message.
	Required format is: Max. 35 characters.
CA CSD Event	Enter the Reference of the CA Event given by the CSD.
Ref.	Required format is: Max. 35 characters.
Official CA Event Identi- fication	Enter the official CA Event Identification.
Issuer Meeting Reference	Enter the reference of the Issuer meeting
Participation	Enter if the CA Event is:
Туре	– MAND – Mandatory
	- CHOS - Mandatory with Choice
	– VOLU - Voluntary
Meeting Type	Enter / select the type of the meeting from possible values:
	- BMET
	- CMET
ISIN	Enter the ISIN of the security concerned by the CA Event.
Notification Type	Enter the information specifying the type of notification from the possible values:
	– NEWM – New notification
	REPL – notification replacing a previously sent notification
	- RMDR - notification sent as a reminder of an event taking place
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	– Archived
	- Processed
	– Processed with Failure
Msg Number	Enter the number of the message
Advanced	
Sender Ad- dress	Enter the BIC address of the sender of the message.
Reference	Enter the reference.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	– Meeting Notification seev.001 – List Screen.
	Next screen:



# 3.4.5.1.1.1.2 Meeting Notification seev.001 – List Screen

Context of	This screen contains the list of Notifications.													
Usage	The seev.001 listed correspond to the search criteria capture in the search screen.													
	The list displayed can be sorted and filter using by the field displayed.													
	The l	ist can	be expo	rted.										
Screen Ac-	For C	'A Noti	fications	Recei	ved:									
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.001>> Click on the search button (after inputting the relevant data, if needed)													
	For CA Notifications Sent:													
	Notif	ication	Actions > as >> List evant dat	Out	going	see		0	,	_	,	0		0
Screenshot	For C	'A Noti	fications	Recei	ved:									
	Search Result:	incoming seev.001					V / -	> >						Q«
		CA CSD Event R	Official CA Ever© Issuer Meeti	ng 🔛 Msg Numbe	r ¢ 💎 Notification 1		ype 🗢 Meeting Cla	e 1 of 4 > > ssifi2 Participation T	Entitled Sec Isin	Sender Address	Receiver Address	Notification Stat Err	or Descriptio Updater L	ser Id 🕏 Creation Date 4
	MA/811 006116	MAIS11 BMETVOLU033		seex.001	NEWM REPL	BMET	CLAS	VOLU	AT0000A1CEU4	OCSDATWWXXX	NABAATWWXXX NABAATWWXXX	Processed With F CA Processed	Management admin admin	26/11/2021 12: 27/09/2021 16:
	D 006112	BMETVOLU033		seex.001	NEWM	BMET	CLAS	VOLU			NABAATWWXXX		admin	27/09/2021 16
	© 006110	BMETVOLU032 BMETVOLU031		seev.001	NEWM	BMET	CLAS	VOLU	AT0000A1CEU4 AT0000A1CEU4	OCSDATWWXXX		Processed Processed	admin admin	27/09/2021 16
	006105	BMETVOLU030		seex 001	NEWM	BMET	CLAS	VOLU	AT0000A1CEU4	OCSDATWWXX		Processed	admin	27/09/2021 15
	□ 006052		BMETVOLU029 BMETVOLU03		NEWM	BMET	CLAS	VOLU	AT0000A1CEU4		NABAATWWXXX	Processed	admin	22/09/2021 11
	006050 006026	BMETVOLU028 BMETVOLU027	BMETVOLU028 BMETVOLU0	28 serx.001 serx.001	NEWM	BMET	CLAS	VOLU	ATGGGGATCEU4	OCSDATWWXXX	NABAATWWXXX NABAATWWXXX	Processed Processed	admin admin	22/09/2021 11 21/09/2021 17
	006026	BMETVOLU026		seex.001	NEWM	BMET	CLAS	AOTRI			NABAATWWXXX	Processed Processed	admin admin	21/09/2021 16:
	List	'A Noti	fications	Sent:										
	10 💌						K < Page	1 or6 > >						<b>№</b> = '
		Message id ♥ 886	▼ CA ECMS Event id.   PR000000000196	Heceiver Address		n Type ♥ ▽	Notifications Status ¢	Asset Account ld.  ABVR002IAA01	Total Eligible	Balance 🐶 Error	Description ©	27/09/2021 16:32:09 800	Update Date • \$27/09/2021 16:32:14:228	Updater User Id   ECMSOperationalDaxSc
	<b></b>	815	PR000000000163		REPL		Processed	ABVR002IAA01				23/09/2021 11:47:27:389	23/09/2021 11:47:31:975	ECMSOperationalDaySo
	6	814	PR0X0000000162		REPL		Processed	ABVR002IAA01					23/09/2021 11:47:26:426	ECMSOperationalDaySci
	E .	799 798	PR00000000163		NEWM REPL		Processed Processed	ABVR002IAA01 ABVR002IAA01					22/09/2021 18:17:21:019 22/09/2021 18:17:14:264	ECMSOperationalDaySo
	E	797	PR000000000162		NEWM		Processed Processed	ABVR002IAA01					22/09/2021 18:17:14:264 22/09/2021 11:42:04:340	ECMSOperationalDaySc ECMSOperationalDaySc
	E	794	PR0X0000000152		REPL		Processed	ABVR002IAA01					22/09/2021 10:52:15:212	
	0	793 792	PR000000000153 PR000000000155		REPL REPL		Processed Processed	ABVR002IAA01 ABVR002IAA01					22/09/2021 10:52:14:210 22/09/2021 10:52:13:203	
	6	790	PR0X0000000141		REPL		Processed Processed	ABVR002JAA01 ABVR002JAA01					22/09/2021 10:52:10:690	

<b>Meeting Notifi</b>	Meeting Notification seev.001 - List screen				
Field	Description				
Message id	Shows the identifier of the message.				
	Displayed format is: Max. 35 characters.				
CA CSD Event	[Only for notifications Received]				
Ref.	Shows the Reference of the CA Event given by the CSD.				
	Displayed format is: Max. 35 characters.				
CA ECMS	[Only for notifications Sent]				
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.				
	Displayed format is: Max. 35 characters.				
Sender Ad-	[Only for notifications Received]				
dress	Shows the BIC address of the sender of the message.				



Receiver Address	Shows the BIC address of the receiver of the message.
Official CA	[Only for notifications Received]
Event Identi- fication	Shows the official CA Event Identification.
Issuer Meeting	[Only for notifications Received]
Reference	Shows the reference of the Issuer meeting
Msg Number	[Only for notifications Received]
	Shows the number of the message
Notification Type	Shows the information specifying the type of notification from the possible values:
	- NEWM - New notification
	REPL – notification replacing a previously sent notification
	RMDR – notification sent as a reminder of an event taking place
Meeting Type	[Only for notifications Received]
	Shows the type of the meeting from possible values:
	- BMET
	- CMET
Meeting Clas-	[Only for notifications Received]
sification	Shows the classification of the meeting
Participation	[Only for notifications Received]
Туре	Shows if the CA Event is:
	– MAND – Mandatory
	- CHOS - Mandatory with Choice
	- VOLU - Voluntary
Entitled Sec	[Only for notifications Received]
Isin	Shows the ISIN of the security concerned by the CA Event.
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Asset Account	[Only for notifications Sent]
Id.	Shows the Account number where the security concerned by the CA Event is hold.
Total Eligible	[Only for notifications Sent]
Balance	Shows the total balance of securities eligible on the Account for the CA Event.
Error Descrip-	Shows the description of the error (if it has occurred)
tion	
Update Date	Shows the date and time of the last update of the CA Notification.



	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation Date	Shows the date and time of the creation of the CA Notification.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User	Shows the identification of the user that made the last update of the CA Noti-	
Id	fication.	
After selecting a record, the following buttons are displayed		
Button	Function	
Download	This function enables you to download a copy of the message received / sent.	

#### 3.4.5.1.1.2 Meeting Cancellation seev.002

# 3.4.5.1.1.2.1 Meeting Cancellation seev.002 - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Notifications Received / Sent.						
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.						
Screen Ac-	For CA Notifications Received:						
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.002						
	For CA Notifications Sent:						
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing seev.002						
Screenshot	For CA Notifications Received:						
	Search Criteria  General Search  Message id ***  CA CSD Event Ref. **  Description Type ***  Needication Type						
	For CA Notifications Sent:  Service Criteria  General Search  Message is **						

Meeting Cancellation seev.002 - Search screen		
Field	Description	
General Search		



Message id	Enter the identifier of the message.
	Required format is: Max. 35 characters.
CA CSD Event	Enter the Reference of the CA Event given by the CSD.
Ref.	Required format is: Max. 35 characters.
Official CA Event Identi- fication	Enter the official CA Event Identification.
Issuer Meeting Reference	Enter the reference of the Issuer meeting
Participation	Enter if the CA Event is:
Туре	– MAND – Mandatory
	- CHOS - Mandatory with Choice
	– VOLU - Voluntary
Meeting Type	Enter / select the type of the meeting from possible values:
	- BMET
	- CMET
ISIN	Enter the ISIN of the security concerned by the CA Event.
Notification Type	Enter the information specifying the type of notification from the possible values:
	– NEWM – New notification
	REPL – notification replacing a previously sent notification
	- RMDR - notification sent as a reminder of an event taking place
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	– Processed with Failure
Msg Number	Enter the number of the message
Advanced	
Sender Ad- dress	Enter the BIC address of the sender of the message.
Reference	Enter the reference.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Meeting Cancellation seev.002 - List Screen.



# 3.4.5.1.1.2.2 Meeting Cancellation seev.002 – List Screen

Context of	This screen contains the list of Notifications.
Usage	The seev.002 listed correspond to the search criteria capture in the search screen.
	The list displayed can be sorted and filter using by the field displayed.
	The list can be exported.
Screen Ac-	For CA Notifications Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.002 >> Click on the search button (after inputting the relevant data, if needed)
	For CA Notifications Sent:
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing seev.002 >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	For CA Notifications Received:
	Search: seev.002    Manager is   CACO teams of country   Country

<b>Meeting Cance</b>	llation seev.002 - List screen
Field	Description
Message id	Shows the identifier of the message.
	Displayed format is: Max. 35 characters.
CA CSD Event	[Only for notifications Received]
Ref.	Shows the Reference of the CA Event given by the CSD.
	Displayed format is: Max. 35 characters.
CA ECMS	[Only for notifications Sent]
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Displayed format is: Max. 35 characters.
Receiver Ad-	[Only for notifications Sent]
dress	Shows the BIC address of the receiver of the message.
Official CA	[Only for notifications Received]
Event Identi- fication	Shows the official CA Event Identification.
Issuer Meeting	[Only for notifications Received]
Reference	Shows the reference of the Issuer meeting



Msg Number	[Only for notifications Received]
	Shows the number of the message
Notification	Shows the information specifying the type of notification from the possible val-
Туре	ues:
	- NEWM - New notification
	REPL – notification replacing a previously sent notification
	- RMDR - notification sent as a reminder of an event taking place
Meeting Type	[Only for notifications Received]
	Shows the type of the meeting from possible values:
	- BMET
	- CMET
Meeting Clas-	[Only for notifications Received]
sification	Shows the classification of the meeting
Participation	[Only for notifications Received]
Туре	Shows if the CA Event is:
	– MAND – Mandatory
	- CHOS - Mandatory with Choice
	– VOLU - Voluntary
ISIN	[Only for notifications Received]
	Shows the ISIN of the security concerned by the CA Event.
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Direction	[Only for notifications Received]
	Shows the direction of the message from possible values:
	- In
	- Out
Cancellation	[Only for notifications Received]
Reason Code	Shows the Cancellation Reason Code from possible values:
	– QORM - Quorum
	- PROC - Processing
	- WITH - Withdrawal
Cancellation	[Only for notifications Received]
Reason Nar-	Shows the Cancellation Reason narrative.
rative	ono de Carcenation reason narrative.
	[Only for notifications Sent]
ld.	



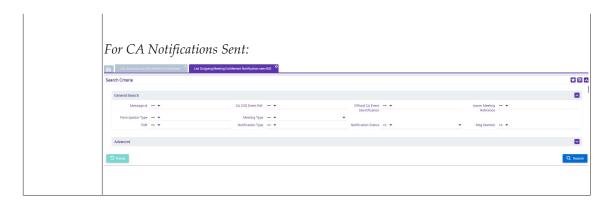
	Shows the Account number where the security concerned by the CA Event is hold.
Total Eligible	[Only for notifications Sent]
Balance	Shows the total balance of securities eligible on the Account for the CA Event.
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the date and time of the creation of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User	Shows the identification of the user that made the last update of the CA Noti-
Id	fication.
After selecting	a record, the following buttons are displayed
Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available
	for users with appropriate rights).
Reprocess All	[Only for notifications Received]
	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

# 3.4.5.1.1.3 Meeting Entitlement Notification seev.003

#### 3.4.5.1.1.3.1 Meeting Entitlement Notification seev.003 - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Notifications Received / Sent.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Ac-	For CA Notifications Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.003 Meeting Entilement
	For CA Notifications Sent:
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Meeting Entitlement Notification seev.003
Screenshot	For CA Notifications Received:
	Search Criteria: Meeting Entitlement Hostification   Calculation   Cal
	Message Ref. • • Issuer Meeting Reference • • Meeting Reference • • Meeting Reference • • Meeting Type • • • Meeting Type • • • Meeting Type • • • Meeting Type • • • • Meeting Type • • • • • Meeting Type • • • • • • • • • • • • • • • • • • •
	© Rest





Field	Description					
General Search	-					
Message id	Enter the identifier of the message.					
	Required format is: Max. 35 characters.					
CA CSD Event	[Only for notifications Sent]					
Ref.	Enter the Reference of the CA Event given by the CSD.					
	Required format is: Max. 35 characters.					
Official CA	[Only for notifications Sent]					
Event Identi- fication	Enter the official CA Event Identification.					
Issuer Meeting Reference	Enter the reference of the Issuer meeting					
Participation	[Only for notifications Sent]					
Туре	Enter if the CA Event is:					
	– MAND – Mandatory					
	- CHOS - Mandatory with Choice					
	– VOLU - Voluntary					
Meeting Refer-	[Only for notifications Received]					
ence	Enter the reference of the meeting.					
Meeting Type	Enter / select the type of the meeting from possible values:					
	- BMET					
	- CMET					
Meeting Clas-	[Only for notifications Received]					
sification	Enter the classification of the meeting from possible values:					
	- AMET					
	- OMET					
	- CLAS					
	- ISSU					
	– VRHI					
	- CORT					
Meeting Date	[Only for notifications Received]					



	Enter the date of the meeting.					
ISIN	[Only for notifications Sent]					
	Enter the ISIN of the security concerned by the CA Event.					
Notification	[Only for notifications Sent]					
Туре	Enter the information specifying the type of notification from the possible values:					
	- NEWM - New notification					
	- REPL - notification replacing a previously sent notification					
	- RMDR - notification sent as a reminder of an event taking place					
Notification	[Only for notifications Sent]					
Status	Enter / Select the status of the notification from possible values:					
	- Impacted					
	- Impact Failed					
	- Rejected					
	- Archived					
	- Processed					
	- Processed with Failure					
Msg Number	[Only for notifications Sent]					
	Enter the number of the message					
Advanced						
Sender Ad-	[Only for notifications Sent]					
dress	Enter the BIC address of the sender of the message.					
Reference	[Only for notifications Sent]					
	Enter the reference.					
Button	Function					
Reset	This function enables you to clear the form.					
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.					
	Next screen:					
	- Meeting Entitlement Notification seev.003 - List Screen.					

# 3.4.5.1.1.3.2 Meeting Entitlement Notification seev.003 – List Screen

Context of	This screen contains the list of Notifications.
Usage	The seev.002 listed correspond to the search criteria capture in the search screen.
	The list displayed can be sorted and filter using by the field displayed.
	The list can be exported.
Screen Ac-	For CA Notifications Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.003 Meeting Entilement >> Click on the search button (after inputting the relevant data, if needed)
	For CA Notifications Sent:



eenshot	For C				. (4110	- 11.P		5	- C1C V		,	- 1100				
ensnot		on the search button (after inputting the relevant data, if needed)  For CA Notifications Received:														
	0.000		nijicu	uons	Recei	eu.										
	SearchFalled	AbstractNotificati	ion : Meeting Ent	titlement Notifice	ntion											
	10 🕶												Qo			
	Message Ref. ¢ 5	Meeting Referent	Issuer Meeting R.	Meeting Type # 5	Meeting Classift	Meeting Date # 🕏	Msg Function # 5	Security Identifié	Security Descript2	Sender Address 🕏	Receiver Address	Direction #	Notifications Sta	Error Description	Creation Date ©	Creatio
	SP991220220707.	. PR0X0000000505		BMET	CLAS	28/09/2022 18:00	NEWM	AT0000A1CEU4		OCSDATWWXXX	NABAATWWXXX	In	Rejected	Access Rights#A	07/07/2022 17:07	_ ATCB-AG
	GR2906202201B	GR2906202201A	GR29062022010	BMET	ISSU	16/05/2022 18:00		ATG000000129		OCSDATWWXXX	NABAATWWXXX	In	Impacted		29/06/2022 10:15	
	GR27052212A52	GR2705202201B	GR2705202201D	BMET	ISSU	30/03/2022 11:00		ATG000000129		OCSDATWWXXX	NABAATWWXXX	In	Impact Failed	ORA-00904: "HAS	27/05/2022 12:58	
	GR27052212A51	GR2705202201B	GR2705202201D	BMET	ISSU	30/03/2022 11:00		ATG000000129		OCSDATWWXXX	NABAATWWXXX	In	Impact Failed	ORA-00904: "HAS	27/05/2022 12:46	
	SP99AARR0005 gr0804001as	PR0X0000000505 GR310302c	GR290302d	BMET	CLAS	28/09/2022 18:00 30/03/2022 11:00		ATG00000129		OCSDATWWXXX	NABAATWWXXX NABAATWWXXX	in In	Rejected	Access Rights#A UNKNOWN_SEND	12/04/2022 11:44	
	gr0804001as SP97AARR010	GR310302c PR0X0000000505	9H29U9U20	BMET	CLAS	28/09/2022 11:00		ATG000000129 ATG0000A1CEU4		OCSDATWWXXX	NABAATWWXXX	in in	Impact Failed	Access Rights#A	21/02/2022 10:24	
	GR024112021001	GRBMETVOLUZZI_		BMET		05/12/2021 02:00		ATG000000129		OCSDATWWXXX	NABAATWWXXX	In .	Impact Failed	MegaCor-0142: N	24/11/2021 14:39	
	006127	BMFTVDLUBS5		BMFT		28/09/2021 18:00		AT000001CFU4		OCSDATWWXXX	NARAATWWXXX		Impact Failed	MegaCor-0142: N.,	28/09/2021 12:25	
	For C	CA No	otifica	tions	Sent:											
	For C	CA No	otifica	tions	Sent:											
		CA No	otifica	tions	Sent:			⟨ ⟨ Page 1	ot 2893 > >							
	List	CA No		tions	Sent:	▼ Notificatio		√ Page 1     fications Status \$	of 289 > X	∇ Total Eligi	sile Balance ◆	τοr Description Φ	Creation Date ©	♥ Update D	ane≑ ▽ u	
	List		d≑ ∇ CAE			Notificatio				▼ Total Eligit	ele Balance 🖘 🛭 E	ror Description 9	© Creation Date • 08/07/2022 12:52			lpdater Use
	List	Message id	d	ECMS Event Id. ¢					Asset Account Id.	● ▽ Total Eligit	ele Balance 💞 🛭 E	ror Description #		03:791 08/07/202	212:52:03:791 B	pdater Use CMSOperati
	List	Message id	de V CAE	ECMS Event Id. © (0.0000000854		NEWM			Asset Account ld. ABVR104(AA01	0 ▽ Total Eligit	ile Balance € / E	τοι Description <b>Φ</b>	08/07/2022 12:52	03:791 08/07/202 03:789 08/07/202	212:52:03:791 E 212:47:03:789 E	pdater Use CMSOperati CMSOperati
	List	Message id 7295 7294 7293 7292	PRO: PRO: PRO: PRO: PRO: PRO: PRO: PRO:	ECMS Event Id.   CC000000954  CC00000954  CC00000954  CC00000954  CC00000954		NEWM NEWM NEWM			Asset Account Id.  ABVR104(AA01  ABVR104(AA01  ABVR104(AA01  ABVR104(AA01	<b>ः</b> 🗸 च्टाम सिन्दे	ile Balance <b>9</b> √ E	τοι Description Φ	08/07/2022 12:52 08/07/2022 12:47 08/07/2022 12:42 08/07/2022 12:37	03.791 08/07/202 03.789 08/07/202 03.810 08/07/202 03.792 08/07/202	212:52:03:791 E 212:47:03:789 E 212:42:03:810 E 212:37:03:792 E	pdater Use CMSOperati CMSOperati CMSOperati
	List	Message id 7295 7294 7293 7292 7290	PROS PROS PROS PROS PROS PROS	ECMS Event Id.   X000000054  X000000054  X000000054  X000000054  X000000054		NEWM NEWM NEWM NEWM			Asset Account 1d. ABVR104(AA01 ABVR104(AA01 ABVR104(AA01 ABVR104(AA01 ABVR104(AA01	<b>्र</b> राज्य छन्ने	ile Balance € ⁄ E	TO Description 8	08/07/2022.12:52 08/07/2022.12:47 08/07/2022.12:42 08/07/2022.12:37 08/07/2022.12:27	03:791 08/07/202 03:789 08/07/202 03:810 08/07/202 03:792 08/07/202 03:797 08/07/202	212:52:03:791 E 212:47:03:789 E 212:42:03:810 E 212:37:03:792 E 212:27:03:797 E	pdater Use CMSOperati CMSOperati CMSOperati CMSOperati
	List	Message id 7295 7294 7293 7292 7290 7289	PRO: PRO: PRO: PRO: PRO: PRO: PRO: PRO:	ECMS Event Id.   X0000000654  X0000000654  X0000000654  X0000000654  X0000000654  X0000000654		NEWM NEWM NEWM NEWM NEWM			ASSET ACCOUNT IS ABVR104(AA01 ABVR104(AA01 ABVR104(AA01 ABVR104(AA01 ABVR104(AA01 ABVR104(AA01	s ♥ Total Eligh	ile Balance € / E	TO DESCRIPTION 8	08/07/2022 12:52 08/07/2022 12:47 08/07/2022 12:47 08/07/2022 12:37 08/07/2022 12:27	03.791 08/07/202 03.789 08/07/202 03.810 08/07/202 03.792 08/07/202 03.797 08/07/202 03.797 08/07/202	212.52.03.791 B 212.47.03.789 B 212.42.03.810 B 212.37.03.792 B 212.27.03.797 B 212.22.03.804 B	pdater Use CMSOperati CMSOperati CMSOperati CMSOperati CMSOperati
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<b>Meeting Entitle</b>	ement Notification seev.003 - List screen
Field	Description
Message id	Shows the identifier of the message.
	Displayed format is: Max. 35 characters.
Meeting Refer-	[Only for notifications Received]
ence	Shows the reference of the meeting
CA ECMS	[Only for notifications Sent]
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Displayed format is: Max. 35 characters.
Sender Ad-	[Only for notifications Received]
dress	Shows the BIC address of the sender of the message.
Receiver Address	Shows the BIC address of the receiver of the message.
Official CA	[Only for notifications Received]
Event Identi- fication	Shows the official CA Event Identification.
	[Only for notifications Received]
Reference	Shows the reference of the Issuer meeting
Msg Number	[Only for notifications Received]
	Shows the number of the message
Notification	Shows the information specifying the type of notification from the possible val-
Туре	ues:
	- NEWM - New notification



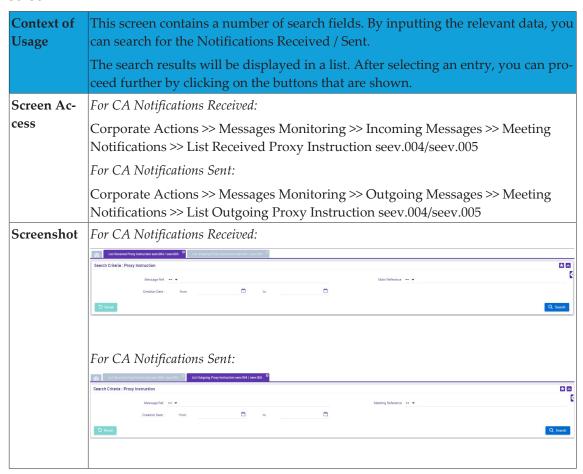
	REPL – notification replacing a previously sent notification
	RMDR – notification sent as a reminder of an event taking place
Meeting Type	[Only for notifications Received]
	Shows the type of the meeting from possible values:
	- BMET
	- CMET
Meeting Clas-	[Only for notifications Received]
sification	Shows the classification of the meeting
Meeting Date	[Only for notifications Received]
	Shows the date of the meeting
Msg Function	[Only for notifications Received]
	Shows the function of the message.
Participation	[Only for notifications Received]
Туре	Shows if the CA Event is:
	– MAND – Mandatory
	- CHOS - Mandatory with Choice
	- VOLU - Voluntary
Security Iden-	[Only for notifications Received]
tifier	Shows the ISIN of the security concerned by the CA Event.
Security De-	[Only for notifications Received]
scription	Shows the ISIN description of the security concerned by the CA Event.
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	- Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Direction	[Only for notifications Received]
	Shows the direction of the message from possible values:
	- In
	- Out
Asset Account	[Only for notifications Sent]
Id.	Shows the Account number where the security concerned by the CA Event is
	hold.
- C	[Only for notifications Sent]
Balance	Shows the total balance of securities eligible on the Account for the CA Event.
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification.
i e	



Shows the date and time of the creation of the CA Notification.
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Shows the identification of the user that made the last update of the CA Noti-
fication.
a record, the following buttons are displayed
Function
This function enables you to download a copy of the message received / sent.
[Only for notifications Received]
This function enables the user to reprocess the selected message (only available
for users with appropriate rights).
[Only for notifications Received]
This function enables the user to reprocess all the messages in List screen (only
available for users with appropriate rights).

#### 3.4.5.1.1.4 Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005

# 3.4.5.1.1.4.1 Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005 - Search screen



Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005 - Search screen



Field	Description						
General Search	1						
Message id	Enter the identifier of the message.						
	Required format is: Max. 35 characters.						
Main Refer-	[Only for notifications Received]						
ence	Enter the Main Reference of the CA Event.						
	Required format is: Max. 35 characters.						
Meeting Refer-	[Only for notifications Sent]						
ence	Enter the reference of the Meeting.						
	Required format is: Max. 35 characters.						
Creation Date	Enter the creation Date or use the calendar icon.						
	Required format is: DD/MM/YYYY hh:mm:ss:µs						
Button	Function						
Reset	This function enables you to clear the form.						
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.						
	Next screen:						
	- Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005 - List Screen.						

# 3.4.5.1.1.4.2 Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005 – List Screen

Context of	This screen contains the list of Notifications.  The notifications listed correspond to the search criteria capture in the search												
Usage	The no		ons liste	d corr	espono	d to the sea	rch crite	ria ca	ipture i	n the sea	rch		
	The lis	The list displayed can be sorted and filter using by the field displayed.											
	The list can be exported.												
Screen Ac-	For CA Notifications Received:												
	search		(after in	nputtii		xy Instruct relevant da				5>> Click	on the		
	Corpo Notifi the sea	cations arch but	>> List ( ton (aft	Outgoi er inp	ing Pro utting	onitoring oxy Instruc the relevar	tion seev	7.004/	seev.00		_		
Screenshot	Corpo Notifi the sea	cations	>> List ( ton (aft	Outgoi er inp	ing Pro utting	xy Instruc	tion seev	7.004/	seev.00		_		
Screenshot	Corpo Notificathe sea	cations arch but A <i>Notific</i>	>> List ( ton (aft	Outgoi er inp	ing Pro utting	xy Instruc the relevar	tion seev	7.004/	seev.00		_		
Screenshot	Corpo Notifi the sea	cations arch but A <i>Notific</i>	>> List ( ton (aft	Outgoi er inp	ing Pro utting	xy Instruc	tion seev	7.004/	seev.00		_		
Screenshot	Corpo Notifit the sea	cations arch but A Notific	>> List ( ton (aft ations R	Outgoi er inpreceived	ing Pro utting	oxy Instruction relevanted the relevanted to the	tion seev	7.004/ f need	(seev.00 ded)	05 >> Clic	Reprocess All Space Spac		
Screenshot	Corpo Notifit the sea For CA Search: Proxy Int	cations arch but  A Notification  Processor Pr	>> List ( tton (aft ations R	Outgoiner inpreceived	ing Pro utting	the relevan	tion seevent data, if	7.004/ f need	(seev.00 ded)	05 >>> Clic  Three Description 8   Megacor 0151: CORP-000	Pick on		
Screenshot	Corpo Notifit the sea For CA Search: Proxy Int Monago Int 3 COMMODIFIA COMMODIFIA COMMODIFIA COMMODIFIA COMMODIFIA COMMODIFIA COMMODIFIA COMMODIFIA COMMODIFIA COMMODIFIA COMMODIFIA COMMODIFICACION COMMODIFIA C	cations 2 arch but A Notifica truction  Representation Proceedings	>> List ( tton (aft ations R  Mainteners PROMOSOCORD PROMOSOCORD PROMOSOCORD	Outgoiner inpresentation	ing Pro utting	k rul at > 3	tion seev nt data, if	7.004/ f need	(seev.00 ded)	05 >> Clic	insponses Al		
Screenshot	Corpo Notifit the sea For CA Search: Proxy Int	cations arch but  A Notification  Processor Pr	>> List ( tton (aft ations R	Outgoiner inpreceived	ing Pro utting	the relevan	tion seev nt data, if	7.004/ f need	(seev.00 ded)	05 >>> Clic  Three Description 8   Megacor 0151: CORP-000	Pick on		
Screenshot	Corpo Notification Section 1997 (Notification 1997) (Notification	cations 2 arch but  A Notific  truction    A Notific   Principologogue	>> List ( ation (aft ations R    Main Informace 1	Outgoiner inpreceived	ing Pro utting	the relevan	tion seevent data, if	7.004/ f need	(seev.00 ledd)  * Nordications Status & Impacts Failed Impacted Im	05 >>> Clic  Three Description 8   Megacor 0151: CORP-000	Reported 20 1 20 2 20 2 2 2 2 2 2 2 2 2 2 2 2 2		
Screenshot	Corpo Notifit the sea For CA  Search: Proxy Int  Mensage Ref 3  Concord Corposic Control  Composition Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Consocial Control  Control	cations 2 arch but  A Notificaturation    Notificaturation   Notificaturation	>> List ( tton (aft  ations R  Mannelmers 9  PROCESSORED  PROCESSORED  PROCESSORED  PROCESSORED	Outgoiner inpreceded as seron	ing Pro utting	k   Pup 3 of a N	tion seevent data, if	7.004/ f need	Seev.00  led)  Morficamon Status 6  Impact Pake Impact	05 >>> Clic  Three Description 8   Megacor 0151: CORP-000	Insportant Al V Consideration (Mark 1997)  Consi		
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Screenshot	Corpo Notification Section 1997 (Notification Section 1997) (Notification 1997) (Notif	cations arch but A Notifica A Notifica Continuous Conti	>> List ( ation (aft ations R  Man Helmots 1 PROCESSOOGHE) PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE	Outgoiner inpresentation of the control of the cont	ing Pro utting	the relevant state of the relevant state of	tion seevent data, if	7.004/f need	Seev.00  led)  **Monficantions Statute & Impacts of Imp	\$\frac{1}{2} \text{treal descriptions 8}\$  \$\text{Views descriptions 8}\$  Magazine of 151, COMP 475  Megazine of 152, COMP 475	Pick on Pick o		
Screenshot	Corpo Notification Section 1997 (Notification Section 1997) (Notification 1997) (Notif	cations arch but A Notifica A Notifica Continuous Conti	>> List ( ation (aft ations R  Man Helmots 1 PROCESSOOGHE) PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE	Outgoiner inpresentation of the control of the cont	ing Pro utting	the relevant state of the relevant state of	tion seevent data, if	7.004/f need	Seev.00  led)  **Monficantions Statute & Impacts of Imp	\$\frac{1}{2} \text{treal descriptions 8}\$  \$\text{Views descriptions 8}\$  Magazine of 151, COMP 475  Megazine of 152, COMP 475	Pick on Pick o		
Screenshot	Corpo Notification Section 1997 (Notification Section 1997) (Notification 1997) (Notif	cations arch but A Notifica A Notifica Continuous Conti	>> List ( ation (aft ations R  Man Helmers 1 PROCESSOOGHE) PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE	Outgoiner inpresentation of the control of the cont	ing Pro utting	the relevant state of the relevant state of	tion seevent data, if	7.004/f need	Seev.00  led)  **Monficantions Statute & Impacts of Imp	\$\frac{1}{2} \text{treal descriptions 8}\$  \$\text{Views descriptions 8}\$  Magazine of 151, COMP 475  Megazine of 152, COMP 475	Pick on Pick o		
Screenshot	Corpo Notification Section 1997 (Notification Section 1997) (Notification 1997) (Notif	cations arch but A Notifica A Notifica Continuous Conti	>> List ( ation (aft ations R  Man Helmers 1 PROCESSOOGHE) PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE PROCESSOOGHE	Outgoiner inpresentation of the control of the cont	ing Pro utting	the relevant state of the relevant state of	tion seevent data, if	7.004/f need	Seev.00  led)  **Monficantions Statute & Impacts of Imp	\$\frac{1}{2} \text{treal descriptions 8}\$  \$\text{Views descriptions 8}\$  Magazine of 151, COMP 475  Megazine of 152, COMP 475	Pick on Pick o		
Screenshot	Corpo Notifit the sea For CA  Search: Proxy Int  Se	cations arch but A Notifica A Notifica Continuous Conti	>> List ( eton (aft ations R    Man Halmers 8     PPROCESSORIAN   PROCESSORIAN   Outgoi er inp ecceived	ing Pro utting	the relevant state of the relevant state of	tion seevent data, if	7.004/f need	Seev.00  led)  **Monficantions Statute & Impacts of Imp	\$\frac{1}{2} \text{treal descriptions 8}\$  \$\text{Views descriptions 8}\$  Magazine of 151, COMP 475  Megazine of 152, COMP 475	Pick on Pick o			





Meeting Instru	ction seev.004 / Meeting Inst Cancel Request seev.005 - List screen
Field	Description
Message id	Shows the identifier of the message.
	Displayed format is: Max. 35 characters.
App Reference	Shows the App Reference.
	Displayed format is: Max. 35 characters.
Main Refer-	[Only for notifications Received]
ence	Shows the Main Reference of the CA Event.
	Displayed format is: Max. 35 characters.
Meeting Refer-	[Only for notifications Sent]
ence	Shows the reference of the Meeting.
	Displayed format is: Max. 35 characters.
Msg Number	Shows the number of the message
Direction	Shows the direction of the message from possible values:
	- Yes
	- No
Meeting Type	Shows the type of the meeting from possible values:
	- BMET
	- CMET
Meeting Classification	Shows the classification of the meeting
Security	Shows the ISIN of the security concerned by the CA Event.
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	- Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Error Descrip-	Shows the description of the error (if it has occurred)
tion	
Creation Date	Shows the date and time of the creation of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting	a record, the following buttons are displayed



Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received]
	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

### 3.4.5.1.1.5 Meeting Instruction Status seev.006

# 3.4.5.1.1.5.1 Meeting Instruction Status seev.006 - Search screen

Context of	This screen contains	a number of search fields	By inputting the relevant	data vou			
Usage		otifications Received / Sent		uata, you			
Usage	can search for the INC	diffications Received / Sem	•				
	The search results wi	ll be displayed in a list. A	fter selecting an entry, you	can pro-			
	ceed further by clicki	ceed further by clicking on the buttons that are shown.					
Screen Ac-	For CA Notifications Received:						
cess	*	• Messages Monitoring >> Received Meeting Instruct	Incoming Messages >> Metion Status seev.006	eeting			
	For CA Notifications S	ent:					
	_	• Messages Monitoring >> Outgoing Meeting Instruc	Outgoing Messages >> Metion Status seev.006	eeting			
Screenshot	For CA Notifications I	Received:					
	List Received Meeting Instruction Status seev.005	ating listruction Status seev.006					
	Search Criteria : Meeting Instruction Status			<b>23 25</b>			
	Ack Reference ■■ ▼	Additional Info ■■ ▼	Asynchronous Receiving == ▼	* *			
	Asynchronous Sending •• ▼  Correspondant Type •• ▼	Business Reference ** *  Country Code ** *	Code MUR ▼	-			
	Message Ref. == ▼	South y South					
	つ Reset			Q Search			
	For CA Notifications S	ont.					
		etring Instruction Status serv 006					
	Search Criteria : Meeting Instruction Status			* ~			
	Ack Reference → ▼	Additional Info 🕶	Asynchronous Receiving ▼	Ų E			
	Asynchronous Sending ▼	▼ Business Reference ▼	Code MUR ▼				
	Correspondant Type ▼	▼ Country Code ▼	Direction 🔻	•			
	Message Ref ▼						
	"D Reset			Q Search			

Meeting Instruction Status seev.006 - Search screen				
Field	ield Description			
General Search				



Message id	Enter the identifier of the message.
	Required format is: Max. 35 characters.
Ack Reference	Enter the Ack Reference.
Additional Info	Enter the additional information.
Asynchronous	Select from possible values:
Receiving	- Yes
	- No
Asynchronous	Select from possible values:
Sending	- Yes
	- No
Business Reference	Enter the business reference.
Code MUR	Enter the Code MUR
Correspondent	Enter the type of the correspondent from possible valus:
Туре	- Client
	– Market
	- Other
Country Code	Enter the country of location.
	Required/Displayed format is: Max. 2 characters (SWIFT-x).
Direction	Enter the direction of the message from possible values:
	– In
	- Out
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Meeting Instruction Status seev.006 - List Screen.
	·

# 3.4.5.1.1.5.2 Meeting Instruction Status seev.006 – List Screen

Context of Usage	This screen contains the list of Notifications.  The seev.002 listed correspond to the search criteria capture in the search screen.  The list displayed can be sorted and filter using by the field displayed.
	The list can be exported.
Screen Ac-	For CA Notifications Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Meeting Instruction Status seev.006 >> Click on the search button (after inputting the relevant data, if needed)
	For CA Notifications Sent:
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Meeting Instruction Status seev.006 >> Click on the search button (after inputting the relevant data, if needed)



SearchFailed	AbstractNotification	n: Meeting Instructi	ion Status										
10 💌												Reproces	
	ce♥ Message Ref. ♥		€ Sender Address €		Msg Function		Error Description ©		Instruction Cancells	_			
GRJ3105BA GRZ305202201A	GRJP01Z GR2305220123A	ATG100000129 ATG100000128	OCSDATWWXXX	NABAATWWXXX NABAATWWXXX	STAT	Impacted Impacted		32978410	24138410	seev.006	MIST000000000000000000000000000000000000	31/05/2022 15:45:05.	
GR2504202201A	GR2501220123A GR25042209A	ATG000000129	OCSDATWWXXX	NABAATWWXXX	STAT	Rejected	SwiftIntegrator-0004:	202420	24138410	seev.006	MIST0000000000000		
GR2504202201A	GR25042209A	ATG000000129	OCSDATWWXXX	NABAATWWXXX	STAT	Impacted	Swittingsator-0004:	292430		seev.006	MIST0000000000049	25/04/2022 12:17:43.	
PROX000000050		AT00000A1DDM1	OCSDATWWXXX	NABAATWWXXX	STAT	Rejected	Access Rights#AAR			seev.006		12/04/2022 12:28:26	
PR0X000000056		AT0000384128	OCSDATWWXXX	NABAATWWXXX	STAT	Impact Failed	PALM-42008: Error w.	206930		seev.006	MIST00000000000043	24/03/2022 12:08:23	
PR0X000000050		AT0000A1DDM1	OCSDATWWXXX	NABAATWWXXX	STAT	Impact Failed	Access Rights#AAR			seev.006		21/02/2022 16:24:44	
PROX000000050	SP97AARR009	AT0000ATCEU4	OCSDATWWXXX	NABAATWWXXX	STAT	Impacted	Access rights warn.	206930		seev.006	MIST00000000000042	21/02/2022 10:24:44.	
PROXIDOGGGGGGG	SP97AARHUU9 SP97AARROOR	ATOOOGATCEGA ATOOOGATCEGA	OCSDATWWXXX	NABAATWWXXX	STAT	Impacted Impact Failed	MegaCor11-0015: No.			seev.006		21/02/2022 12:10:17.	
	006323	ATGGGGATNWG1	OCSDATWWXXX	NABAATWWXXX	STAT	impact Failed	PALM-42008 Error w.			seev.006		08/10/2021 16:46:01	
				NADAGLIMINAAA	SIAI	Impact rated	PALM-42000: EITO W.	72010		3447.000	MIS10000000000033		
For (	CA No	tificatio	ons Sei	ıt:									
	CA Not	tificatio	ons Sei	ıt:									
For (	CA Not	-	ons Sei	ıt:									
For (		-	ons Ser	1t:	K «	( Puge 1 of3 )	K					Q 75	<b>★ 科 □ (</b>
For (	t : Meeting Instructi	on Status	ons Ser		Msg Function \$	Notifications Statu	· X  Brer Description €°	Instruction M 9	7 Instruction Cancella			Creation Date	Creation User I
For ( Search Resu  Meeting Referent PPG20000000116	t : Meeting Instructi	on Status  Security Identifier   ATG00000129	·		Msg Function ¢	Notifications Statuly Processed		Instruction Id &	Instruction Cancell GRZCG1	serv.005_InstrSts	MIST0000000000074	Creation Date © 20/06/2022 18:00:12	Creation User I
For ( Search Resu  Neering Riferen PPANNOOCOOCO	t : Meeting Instructi	on Status	·		Msg Function © CANC STAT	Processed Processed				seev.006_InstrSts seev.006_InstrSts	MIST00000000000074 MIST00000000000073	Creation Date \$ \times 20/06/2022 18:00:12 20/06/2022 17:59:07	Creation User I STP
For (	t: Meeting Instructi	on Status  Security Identifier   ATG00000129	·		Msg Function  CANC STAT STAT	Notifications Statuly Processed		GRM003		serv.005_InstrSts	MIST0000000000074 MIST00000000000073 MIST00000000000072	Creation Date © 20/06/2022 18:00:12 20/06/2022 17:59:07 16/06/2022 15:37:54	Creation User I STP STP ABVR004-A2AC
For ( Search Resu  Meeting Referent PROXIDOCOCOCO 11  METERMINION COMMETERMINION COMME	t: Meeting Instructi	Security Identifier a  ATG100000128	·		Meg Function \$  CANC STAT STAT	Processed Processed Processed Processed Processed		GRM003 GRH003		seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts	MIST0000000000074 MIST0000000000073 MIST0000000000072 MIST000000000000071	Creation Date © 20/06/2022 18:00:12 20/06/2022 17:50:07 16/06/2022 15:37:54 16/06/2022 15:34:00	STP STP ABVR004-A2ACA ABVR004-A2ACA
For (  Search Resu  10   Meeting Referent  PROXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	t: Meeting Instructi	Security Identifier of ATG000000128  ATG000000129	·		Msg Function © CANC STAT STAT STAT STAT	Processed Processed Processed Processed Processed Processed Processed		GRM003 GRH003 GRU001		seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts	MIST0000000000074 MIST0000000000073 MIST00000000000072 MIST00000000000071 MIST000000000000077	Creation Date © 20/06/2022 18:00 12. 20/06/2022 17:59:07. 16/06/2022 15:37:54. 16/06/2022 15:34:00. 15/06/2022 19:13:57.	STP STP ABVR004-A2AC ABVR004-A2AC ABVR004-A2AC
For ( Search Resu  Messing Referen Philosopoocoati BMETECM/S00000 BMETECM/S00000 BMETECM/S000000 BMETECM/S00000001 PPIOX/S0000000011 PPIOX/S0000000011	t: Meeting Instructi	Security Identifier 4  ATG000000129  ATG100000128  ATG000000129  ATG000000129	·		Mag Function © CANC STAT STAT STAT STAT STAT STAT STAT STA	Processed Processed Processed Processed Processed Processed Processed Processed Processed		GRM003 GRH003 GRU001 GRI001		seex.006_instrSts seex.006_instrSts seex.006_instrSts seex.006_instrSts seex.006_instrSts	MIST000000000074  MIST0000000000073  MIST0000000000072  MIST0000000000071  MIST00000000000077  MIST000000000000077	Creation Date © 20/06/2022 18:90:12_ 20/06/2022 17:59:07_ 16/06/2022 15:37:54_ 16/06/2022 15:34:00_ 15/06/2022 19:13:57_ 15/06/2022 19:21:01_	STP STP ABYR004-A2ACA ABYR004-A2ACA STP
For ( Search Resu  Mesing Reference PROXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	t: Meeting Instructi c'\$ Message Ref. \$ 199 158 00. 156 00. 155 151 154 153	On Status  Security Identifier 1  ATG000000129  ATG100000128  ATG00000129  ATG00000129  ATG00000129	·		Mag Function & CANC STAT STAT STAT STAT STAT STAT STAT STA	Processed Processed Processed Processed Processed Processed Processed Processed Processed Processed		GRM003 GRH003 GRU001 GRI001 GRI001		seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts	MIST000000000074 MIST0000000000073 MIST00000000000072 MIST00000000000071 MIST00000000000070 MIST00000000000070 MIST00000000000000	Oreation Date   20/06/2022 18:00:12. 20/06/2022 17:50:07. 16/06/2022 15:37:54. 16/06/2022 15:38:57. 15/06/2022 19:13:57. 15/06/2022 19:21:01. 15/06/2022 19:20:56.	Greation User I STP STP ABVR004-A2ACI ABVR004-A2ACI STP STP
For (  Search Result  10  PROXIDEDGES OF THE THE THE THE THE THE THE THE THE THE	t: Meeting Instructi c'  Message Ref. e 199 198 198 198 198 198 198 198	Security Identifier of ATG00000129 ATG00000129 ATG00000129 ATG00000129 ATG00000129 ATG00000129	·		Mog Function & CANC STAT STAT STAT STAT STAT STAT STAT STA	Processed Processed Processed Processed Processed Processed Processed Processed Processed Processed Processed Processed		GRM003 GRH003 GRU001 GRI001 GRI001		seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts seev.006_instrSts	MIST000000000074 MIST000000000072 MIST0000000000072 MIST0000000000071 MIST0000000000076 MIST000000000000000000000000000000000000	Oreation Date   20/06/2022 18:00:12_ 20/06/2022 17:50:07_ 16/06/2022 15:37:54_ 16/06/2022 15:38:57_ 15/06/2022 19:13:57_ 15/06/2022 19:20:56_ 15/06/2022 19:15:50_	Creation User III STP STP ABVR004-A2ACA ABVR004-A2ACA ABVR004-A2ACA STP STP STP
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Meeting Instru	action Status seev.006 - List screen
Field	Description
Message id	Shows the identifier of the message.
	Displayed format is: Max. 35 characters.
Meeting Reference	Shows the reference of the meeting
Security Identifier	Shows the ISIN of the security concerned by the CA Event.
Sender Ad- dress	Shows the BIC address of the sender of the message.
Receiver Ad- dress	Shows the BIC address of the receiver of the message.
Msg Function	Shows the function of the message.
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Instruction Id	Shows the identifer of the instruction.
Instruction Cancellation Id	Shows the identifier of the cancellation Instruction.
Msg Number	Shows the number of the message



App Reference	Shows the App Reference.
Creation Date	Shows the date and time of the creation of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User	Shows the identification of the user that made the creation of the CA Notifica-
Id	tion.
After selecting	a record, the following buttons are displayed
Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received]
	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

### 3.4.5.1.1.6 Meeting Vote Execution Confirmation seev.007

### 3.4.5.1.1.6.1 Meeting Vote Execution Confirmation seev.007 - Search screen

	mber of search field ations Received / Se		tting the relev	vant data,	, you	
	* **		cting an entry	, you can	pro-	
For CA Notifications Receiv	ped:					
•	0		0	•	_	
For CA Notifications Sent:						
•	0	_	0		g	
For CA Notifications Receiv	red:					
List Received Meeting Vote Execution Confirmation sees 007     List Guigaling Meeting Vote	e Execution Confirmation seev 007					
Search Criteria : Meeting Vote Exe Conf					<b>8</b> 8	
Message Ref ▼	Party's Instruction → ▼ Reference		Meeting Reference → ▼		E	
Issuer Meeting Reference *** ▼	Meeting Type ■■ ▼	•	Meeting Classification == ▼		•	
Security Identifier ▼	Notifications Status → ▼	*	Creation Date : from	to to		
♥ Reset					Q Search	
For CA Notifications Sent:						
Search Criteria : Meeting Vote Exe Conf	Creconon Commission sees 007				**	
Message Ref ▼	Perty's Instruction ▼ Reference		Meeting Reference ▼		E	
Issuer Meeting Reference ••• ▼	Meeting Type ▼	•	Meeting Classification → ▼		•	
Security Identifier •• •	Notifications Status → ▼	•	Creation Date : from	<b>□</b> to		
"D Reset					Q Search	
	The search results will be ceed further by clicking of For CA Notifications Received Corporate Actions >> Mess Notifications >> List Received For CA Notifications Sent:  Corporate Actions >> List Received For CA Notifications Sent:  Corporate Actions >> Mess Notifications Sent:  Corporate Actions >> List Outget For CA Notifications Received For CA Notifications Received For CA Notifications Received For CA Notifications Received For CA Notifications Sent:  Search Citeria: Messaging Natl. East Visual Message Path In Visual	The search results will be displayed in a list. ceed further by clicking on the buttons that a For CA Notifications Received:  Corporate Actions >> Messages Monitoring a Notifications >> List Received Meeting Vote For CA Notifications Sent:  Corporate Actions >> Messages Monitoring a Notifications >> List Outgoing Vote Execution Sent:  Corporate Actions >> Messages Monitoring a Notifications >> List Outgoing Vote Execution Security Sent Sent Sent Sent Sent Sent Sent Sent	ceed further by clicking on the buttons that are shown.  For CA Notifications Received:  Corporate Actions >> Messages Monitoring >> Incoming Notifications >> List Received Meeting Vote Execution  For CA Notifications Sent:  Corporate Actions >> Messages Monitoring >> Outgoing Notifications >> List Outgoing Vote Execution Confirm  For CA Notifications Received:  Search Citeria: Meeting Vote Execution  For CA Notifications Sent:  For CA Notifications Sent:  Search Citeria: Meeting Vote Execution  For CA Notifications Sent:  Search Citeria: Meeting Vote Execution  For CA Notifications Sent:  Search Citeria: Meeting Vote Execution  For CA Notifications Sent:  Search Citeria: Meeting Vote Execution  For CA Notifications Sent:	The search results will be displayed in a list. After selecting an entry ceed further by clicking on the buttons that are shown.  For CA Notifications Received:  Corporate Actions >> Messages Monitoring >> Incoming Messages > Notifications >> List Received Meeting Vote Execution Confirmation For CA Notifications Sent:  Corporate Actions >> Messages Monitoring >> Outgoing Messages > Notifications >> List Outgoing Vote Execution Confirmation seev.00  For CA Notifications Received:	The search results will be displayed in a list. After selecting an entry, you can ceed further by clicking on the buttons that are shown.  For CA Notifications Received:  Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Meeting Vote Execution Confirmation seev.007  For CA Notifications Sent:  Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Vote Execution Confirmation seev.007  For CA Notifications Received:  **TOTAL Monitorial Confirmation Sent:**  **TOTA	



Meeting Vote	Execution Confirmation seev.007 - Search screen
Field	Description
General Search	n
Message id	Enter the identifier of the message.
	Required format is: Max. 35 characters.
Party's Instruction Reference	Reference given by the counterparty of the instruction.
Meeting Reference	Enter the reference of the meeting
Issuer Meeting Reference	Enter the reference of the Issuer meeting
Meeting Type	Enter / select the type of the meeting from possible values:
	- BMET
	- CMET
Meeting Clas-	Enter the classification of the meeting from possible values:
sification	- AMET
	- OMET
	- CLAS
	- ISSU
	- VRHI
	- CORT
Security Iden- tifier	Enter the ISIN of the security concerned by the CA Event.
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Creation Date	Enter the date and time of the creation of the notification.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- Meeting Vote Execution Confirmation seev.007 – List Screen.



# 3.4.5.1.1.6.2 Meeting Vote Execution Confirmation seev.007 – List Screen

Context of	This screen contains the list of Notifications.					
Usage	The seev.007 listed correspond to the search criteria capture in the search screen.					
	The list displayed can be sorted and filter using by the field displayed.					
	The list can be exported.					
Screen Ac-	For CA Notifications Received:					
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Vote Execution Confirmation seev.007 >> Click on the search button (after inputting the relevant data, if needed)  For CA Notifications Sent:  Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Vote Execution Confirmation seev.007 >> Click on the search button (after inputting the relevant data, if needed)					
Screenshot	For CA Notifications Received:  SearchFalledAbetractNotification: Meeting Vote Eue Conf					
	Pour securities   Pour secur					

Meeting Vote l	Execution Confirmation seev.007 - List screen
Field	Description
Message id	Shows the identifier of the message.
	Displayed format is: Max. 35 characters.
Party's Instruction Reference	Shows the Reference given by the counterparty of the instruction.
Meeting Reference	Shows the reference of the meeting
Issuer Meeting Reference	Shows the reference of the Issuer meeting
Meeting Type	Shows the type of the meeting from possible values:
	- BMET
	- CMET
Meeting Clas-	Shows the classification of the meeting from possible values:
sification	- AMET
	- OMET
	- CLAS



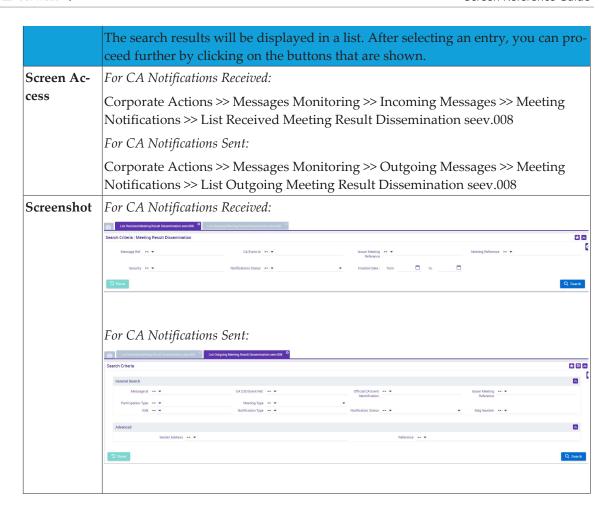
	- ISSU
	- VRHI
	- CORT
Meeting Type	Shows the type of the meeting from possible values:
Treeting Type	- BMET
	- CMET
Security Iden- tifier	Shows the ISIN of the security concerned by the CA Event.
Sender Ad- dress	Shows the BIC address of the receiver of the message.
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the date and time of the creation of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting	a record, the following buttons are displayed
Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received]
	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

# 3.4.5.1.1.7 Meeting Result Dissemination seev.008

#### 3.4.5.1.1.7.1 Meeting Result Dissemination seev.008 - Search screen

<b>Context of</b>	This screen contains a number of search fields. By inputting the relevant data, you
Usage	can search for the Notifications Received / Sent.





<b>Meeting Resul</b>	t Dissemination seev.008 - Search screen							
Field	Description							
General Search	1							
Message id	Enter the identifier of the message.							
	Required format is: Max. 35 characters.							
CA Event Id	[Only for notifications Received]							
	Enter the Reference of the CA Event.							
	Required format is: Max. 35 characters.							
CA CSD Event	[Only for notifications Sent]							
Ref.	Enter the Reference of the CA Event given by the CSD.							
	Required format is: Max. 35 characters.							
Official CA	[Only for notifications Sent]							
Event Identi- fication	Enter the official CA Event Identification.							
Issuer Meeting Reference	Enter the reference of the Issuer meeting							
Meeting Refer-	[Only for notifications Received]							
ence	Enter the reference of the meeting.							
Security / ISIN	Enter the ISIN of the security concerned by the CA Event.							



Status  Enter / Select the status of the notification from post  Impacted  Impact Failed  Rejected  Archived  Processed  Processed with Failure  Creation Date  [Only for notifications Received]  Enter the date and time of the creation of the notific Required format is: DD/MM/YYYY hh:mm:ss:μs.  Participation  [Only for notifications Sent]  Type  Enter if the CA Event is:	sible values:
- Impacted  - Impact Failed  - Rejected  - Archived  - Processed  - Processed with Failure  Creation Date [Only for notifications Received]  Enter the date and time of the creation of the notific Required format is: DD/MM/YYYY hh:mm:ss:µs.  Participation [Only for notifications Sent]	
- Rejected - Archived - Processed - Processed with Failure  Creation Date [Only for notifications Received] Enter the date and time of the creation of the notific Required format is: DD/MM/YYYY hh:mm:ss:µs.  Participation [Only for notifications Sent]	
- Archived - Processed - Processed with Failure  Creation Date [Only for notifications Received] Enter the date and time of the creation of the notific Required format is: DD/MM/YYYY hh:mm:ss:µs.  Participation [Only for notifications Sent]	
- Processed - Processed with Failure  Creation Date [Only for notifications Received] Enter the date and time of the creation of the notific Required format is: DD/MM/YYYY hh:mm:ss:µs.  Participation [Only for notifications Sent]	
- Processed with Failure  Creation Date [Only for notifications Received]  Enter the date and time of the creation of the notific Required format is: DD/MM/YYYY hh:mm:ss:μs.  Participation [Only for notifications Sent]	
Creation Date [Only for notifications Received]  Enter the date and time of the creation of the notific Required format is: DD/MM/YYYY hh:mm:ss:µs.  Participation [Only for notifications Sent]	
Enter the date and time of the creation of the notific Required format is: DD/MM/YYYY hh:mm:ss:µs.  Participation [Only for notifications Sent]	
Required format is: DD/MM/YYYY hh:mm:ss:µs.  Participation [Only for notifications Sent]	
Participation [Only for notifications Sent]	cation.
Torre	
Type Enter if the CA Event is:	
- MAND - Mandatory	
- CHOS - Mandatory with Choice	
- VOLU - Voluntary	
Meeting Type [Only for notifications Sent]	
Enter / select the type of the meeting from possible	values:
- BMET	
- CMET	
Notification [Only for notifications Sent]	
Type Enter the information specifying the type of notifications:	ation from the possible val-
– NEWM – New notification	
REPL – notification replacing a previously sent	notification
<ul> <li>RMDR – notification sent as a reminder of an ex</li> </ul>	vent taking place
Msg Number [Only for notifications Sent]	
Enter the number of the message	
Advanced	
Sender Ad- [Only for notifications Sent]	
dress Enter the BIC address of the sender of the message.	
Reference [Only for notifications Sent]	
Enter the reference.	
Button Function	
Reset This function enables you to clear the form.	
Search This function enables you to start a search according results are displayed in a list on the List Screen.	g to the filled in criteria. The
Next screen:	
– Meeting Result Dissemination seev.008 – List Scree	279



# 3.4.5.1.1.7.2 Meeting Result Dissemination seev.008 – List Screen

Context of	This screen contains the list of Notifications.										
Usage	The seev.002 listed correspond to the search criteria capture in the search screen.										
	The li	st displa	yed car	n be sorte	d and fil	ter using	g by the	field disp	olayed.		
	The li	st can be	expor	ted.							
Screen Ac-	For CA	4 Notifica	tions F	Received:							
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Meeting Result Dissemination seev.008 >> Click on the search button (after inputting the relevant data, if needed)  For CA Notifications Sent:										
	Corpo Notifi	orate Act cations >	ions >> > List	Message Outgoing (after inp	Meeting	g Result	Dissemi	ination se	ev.008 >	U	
Screenshot						10 1010 70		11 1100000	-)		
	For CA Notifications Received:  Search Failed Abatrasco Notification: Meeting Result Dissemination										
	10 W Message Ref. ©			K -	< Page 1 of 2 > >	Sender Address 0	Notifications Status 6	□ □ Update Date ●	Q15 * # =	() Reprocess Reprocess All	
	SP9112022061606	GRBMETVOLUZZ1102	CLAS	BMET	Lecores	OCSDATWWXXX	Impacted	16/05/2022 12:31:01:034	CSDUser	16/06/2022 12:31:01:034	
	SP9112022061605 20220422008002	GRBMETVOLUZZ1102 GRBMETVOLUZZ1102	CLAS	BMET BMET	Lecones	OCSDATWWXXX	Impacted Impacted	16/05/2022 11:48:38:985 22/04/2022 10:01:44:683	CSDUser CSDUser	16/06/2022 11:48:38:986 22/04/2022 10:01:44:683	
	20220422008001	GRBMETVOLU221102	CLAS	BMET	Lecorec	OCSDATWWXXX	Impacted	22/04/2022 09:56:04:390	CSDUser	22/04/2022 09:56:04:390	
	20220419008001	GRBMETVOLU221102	CLAS	BMET	Leccee	OCSDATWWXXX	Impacted	19/04/2022 15:33:55:656	CSDUser	19/04/2022 15:33:55:656	
	SP99AARR010 SP99AARR011	GRBMETVOLUZZ1109 GRBMETVOLUZZ1109	CLAS	BMET BMET	Lecente	OCSDATWWXXX	Impact Failed	12/04/2022 15:18:04:864	CSDUser ATCB-A2A001	12/04/2022 15:18:04:854	
	SP99AARR011 GR241120210130	GRBMETVOLUZZ1109 GRBMETVOLUZZ1102	CLAS	BMET	Leccese	OCSDATWWXXX	Rejected Impacted	12/04/2022 15:20:14:920 04/04/2022 16:48:20:640	ATCB-A2A001 CSDUser	12/04/2022 15:20:14:920 04/04/2022 16:48:20:640	
	GR241120210130	GRBMETVOLUZZ1102	CLAS	BMET	Lecone	OCSDATWWXXX	Impact Failed	04/04/2022 16:43:28:690	CSDUser	04/04/2022 16:43:28:690	
	SP97AARR013	PROX000000505	CLAS	BMET		OCSDATWWIOOX	Impact Falled	24/02/2022 09:99:43:999	ATCB-A24001	24/02/2022 09:59:43:999	
		A Notifica		Sent:	K < Page 1	_ or 2 > X				Q13 * @ □	
	List 10 ▼				Type 🗢 🦁 Notifications Sta	tus 🕈 🗸 Asset Account ld. 🗢	▽ Total Eligible Balance Ф?	Error Description \$  \text{Creation}	Date		
			ECMS Event ld.   Inverserances	necewer Address + V Notification	Propagas'						
		4359 PI	ECMS Event ld.   IOX0000000366  IOX000000366	REPL REPL	Processed Processed	ABVRID4IAA01 ABVRID04IAA01			12 12:37:05:477 16/06/2022 1	2:37:08:645 ECMSOperationalD.	
	10	4359 PI 4358 PI 4357 PI	10X0030000366 10X0030000366	REPL REPL	Processed Processed	ABVR104IAA01 ABVR104IAA01		16/06/202 16/06/202	12 11:57:14:627 16/06/2022 1	1:57:17:002 ECMSOperationalD	
	10	4359 PI 4358 PI 4357 PI 4356 PI	10X0030000366 10X0030000366 10X0030000366	REPL REPL	Processed Processed Processed	ABVR104LA01 ABVR104LA01 ABVR004LA01		16/06/202 16/06/202 16/06/202	12 11:57:14:627 16/06/2022 1 12 11:57:08:906 16/06/2022 1	1.57:17:002 ECMSOperationalDo 1:57:12:933 ECMSOperationalDo	
		4359 PI 4358 PI 4357 PI 4356 PI 4192 PI	10X000000366 10X000000366 10X000000366 10X000000366	REPL REPL REPL	Processed Processed Processed Processed	ABVROO4IAA01 ABVRO04IAA01 ABVRO04IAA01 ABVROO4IAA01		16/06/202 16/06/202 16/06/202 22/04/202	12 11:57:14:627 16:06/2022 1 12 11:57:08:906 16:06/2022 1 12 10:07:06:160 22:04/2022 1	1.57.17.002 ECMSOperationalD 1.57.12.933 ECMSOperationalD 0.07.08.006 ECMSOperationalD	
		4359 PI 4358 PI 4357 PI 4356 PI 4192 PI 4191 PI	10X003000366 10X0030003166 10X0030003366 10X0030003366 10X00300003166	REPL REPL REPL REPL	Processed Processed Processed Processed Processed	ABVROOHAAOT ABVROOHAAOT ABVROOHAAOT ABVROOHAAOT ABVROOHAAOT		16/06/202 16/06/202 16/06/202 22/04/202 22/04/202	22 11:57:14:627 16:06/2022 1 22 11:57:08:906 16:06/2022 1 12 10:07:06:160 22:04/2022 1 12 10:07:03:965 22:04/2022 1	1:57:17:002 ECMSOperationalD 1:57:12:933 ECMSOperationalD 0:07:00:006 ECMSOperationalD 0:07:05:754 ECMSOperationalD	
		4359 P1 4358 P1 4357 P1 4356 P1 4352 P1 4192 P1 4190 P1 4189 P1	10X500000366 10X500000366 10X500000366 10X500000366 10X500000366 10X500000366 10X500000366	REPL REPL REPL REPL REPL REPL REPL REPL	Processed Processed Processed Processed Processed Processed Processed	ABVPDOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT		16/06/202 16/06/202 14/06/202 22/04/202 22/04/202 22/04/202 22/04/202	12.11.57.14.627 16/06/2022.1 12.11.57.98.996 16/06/2022.1 12.10.07.96.160 22/04/2022.1 12.10.07.93.965 22/04/2022.1 12.10.02.07.341 22/04/2022.1 12.10.02.07.341 22/04/2022.1	1.57.17.002 ECMSOperationalD. 1.57.12.933 ECMSOperationalD. 0.07.00.006 ECMSOperationalD. 0.07.05.754 ECMSOperationalD. 0.02.00.152 ECMSOperationalD. 0.02.00.154 ECMSOperationalD.	
		4359 P1 4350 P1 4357 P1 4356 P1 4192 P1 4191 P1 4190 P1 4189 P1 4187 P1	10X000000386 10X000000366 10X000000366 10X000000366 10X000000366 10X000000366 10X000000366 10X000000366 10X000000366	REPL REPL REPL REPL REPL REPL REPL	Processed Processed Processed Processed Processed Processed Processed Processed	ABVPDO-HAADT ABVPTO-HAADT		16/06/20: 16/06/20: 16/06/20: 22/04/20: 22/04/20: 22/04/20: 19/04/20:	12.11.57.14.627 16/06/2022 12.11.57.08.906 16/06/2022 12.11.57.08.906 16/06/2022 12.10.07.06.160 22/04/2022 12.10.07.03.865 22/04/2022 12.10.02.07.341 22/04/2022 12.10.02.07.341 22/04/2022 12.10.02.04.613 22/04/2022 12.15.42.12.528 19/04/2022 12.15.42.12.528 19/04/2022 12.15.42.12.528	1.57.17.002         ECMSOperationalD.           1.57.12.933         ECMSOperationalD.           0.97.00.006         ECMSOperationalD.           0.97.00.754         ECMSOperationalD.           0.02.09.152         ECMSOperationalD.           0.02.09.714         ECMSOperationalD.           5.42.14.212         ECMSOperationalD.	
		4359 P1 4350 P1 4357 P1 4356 P1 4192 P1 4191 P1 4190 P1 4189 P1 4187 P1	10X500000366 10X500000366 10X500000366 10X500000366 10X500000366 10X500000366 10X500000366	REPL REPL REPL REPL REPL REPL REPL REPL	Processed Processed Processed Processed Processed Processed Processed	ABVPDOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT ABVPTOULAGOT		16/06/20: 16/06/20: 16/06/20: 22/04/20: 22/04/20: 22/04/20: 19/04/20:	12.11.57.14.627 16/06/2022.1 12.11.57.98.996 16/06/2022.1 12.10.07.96.160 22/04/2022.1 12.10.07.93.965 22/04/2022.1 12.10.02.07.341 22/04/2022.1 12.10.02.07.341 22/04/2022.1	1.57.17.002 ECMSOperation. 1.57.17.002 ECMSOperation. 0.07.00.006 ECMSOperation. 0.07.05.754 ECMSOperation. 0.02.09.152 ECMSOperation. 0.02.06.714 ECMSOperation. 5.42.14.212 ECMSOperation.	

Meeting Result Dissemination seev.008 - List screen							
Field	Description						
Message id	Shows the identifier of the message.						
	Displayed format is: Max. 35 characters.						
Meeting Refer-	[Only for notifications Received]						
ence	Shows the reference of the meeting						
CA ECMS	[Only for notifications Sent]						
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.						
	Displayed format is: Max. 35 characters.						
Sender Ad-	[Only for notifications Received]						
dress	Shows the BIC address of the sender of the message.						



Receiver Ad-	[Only for notifications Sent]							
dress	Shows the BIC address of the receiver of the message.							
Meeting Clas-	[Only for notifications Received]							
sification	Shows the classification of the meeting							
Meeting Type	[Only for notifications Received]							
	Shows the type of the meeting from possible values:							
	- BMET							
	- CMET							
Security De-	[Only for notifications Received]							
scription	Shows the ISIN description of the security concerned by the CA Event.							
Notification	Shows the status of the notification from possible values:							
Status	- Impacted							
	- Impact Failed							
	- Rejected							
	- Archived							
	- Processed							
	- Processed with Failure							
Notification	Shows the information specifying the type of notification from the possible val-							
Туре	ues:							
	- NEWM - New notification							
	- REPL - notification replacing a previously sent notification							
	- RMDR - notification sent as a reminder of an event taking place							
	[Only for notifications Sent]							
Id.	Shows the Account number where the security concerned by the CA Event is hold.							
Total Eligible	[Only for notifications Sent]							
Balance	Shows the total balance of securities eligible on the Account for the CA Event.							
Error Description	Shows the description of the error (if it has occurred)							
Update Date	Shows the date and time of the last update of the CA Notification.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Creation Date	Shows the date and time of the creation of the CA Notification.							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Updater User	Shows the identification of the user that made the last update of the CA Noti-							
Id	fication.							
	a record, the following buttons are displayed							
Button	Function							
Download	This function enables you to download a copy of the message received / sent.							
Reprocess	[Only for notifications Received]							
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).							
Reprocess All	[Only for notifications Received]							



This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

#### 3.4.5.1.2 CA Notifications (seev.031)

The ECMS receives from the CSDs Corporate Action Notifications (CANO) to inform about upcoming CA Events.

Depending on the function of the message, the ECMS processes the notification in a different way.

NEWM: the ECMS processes the creation of the CA Event and afterwards forwards the message to the entitled Counterparties.

REPL or RMDR: when applicable, the ECMS processes the update of the CA Event and afterwards forwards the message to the entitled Counterparties.

Once processed, the CA Announcements are sent to the Counterparties in order to inform them about the CA Events and its details.

#### 3.4.5.1.2.1 CA Notifications (seev.031) - Search screen

	1									
Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Notifications Received / Sent.									
<i>3 3 3 3 3 3 3 3 3 3</i>										
	The search results will be displayed in a list. After selecting an entry, you can pro-									
	ceed further by clicking on the buttons that are shown.									
Business	CA Notifications									
Case related										
Screen Ac-	For CA Notifications Received:									
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Notifications Received (seev.031)									
	For CA Notifications Sent:									
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Notifications Sent (seev.031)									
Screenshot	For CA Notifications Received:									
	Monitor CA Modifications Recovered (power CII) 9									
	Search: Incoming seev.031									
	Message Id. •• ▼ CA CSD Event Ref. •• ▼ Notification Type •• ▼ ▼ Previous Notification •• ▼ Mentification									
	CA Event Type I - PROX Participation Type Asset Account Id Notifications Status									
	Sender Address •• • Payment Date •• • 🗎 15N •• • Creation Date •• • 🗎									
	Q Seath									
	For CA Notifications Sent:									
	Montar (A horifurators Seri (per (III))									
	Search: Outgoing seer.031									
	Message Id. •• ▼ CA EXMS Event Id. •• ▼ Notification Type •• ▼ Personal Notification • ▼ Notification Type •• ▼									
	CA Bent Type ••   Asset Account M. ••  Notifications Status ••  Receive Address ••   Favored Date ••   Formed									
	Receive Address •   Physical Disk •   Control Date •   Co									
	V. 5000									

CA Notifications (seev.031) - Search screen						
Field	Description					



Message	Enter the identifier of the message.								
Identifier	Required format is: Max. 35 characters.								
CA CSD	[Only for notifications Received]								
Event Ref.	Enter the Reference of the CA Event given by the CSD.								
	Required format is: Max. 35 characters.								
CA ECMS	[Only for notifications Sent]								
Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.								
	Required format is: Max. 35 characters.								
Sender Ad-	[Only for notifications Received]								
dress	Enter the BIC address of the sender of the message.								
Receiver Ad-	[Only for notifications Sent]								
dress	Enter the BIC address of the receiver of the message.								
Notification Type	Enter the information specifying the type of notification from the possible values:								
	– NEWM – New notification								
	<ul> <li>REPL – notification replacing a previously sent notification</li> </ul>								
	- RMDR - notification sent as a reminder of an event taking place								
Previous Notification Identification	Enter the identification of the previous notification in case the Notification Type is REPL or RMDR.								
CA Event	Enter the type of CA Event.								
Туре	Required format is: Max. 4 characters.								
Participation	*								
Туре	– MAND – Mandatory								
	- CHOS - Mandatory with Choice								
	– VOLU - Voluntary								
ISIN	Enter the ISIN of the security concerned by the CA Event.								
Asset Account Id.	Enter the Account number where the security concerned by the CA Event is hold.								
Payment Date	Enter the date at which the movement is due to take place (cash and/or securities) or use the calendar icon.								
	Required format is: DD/MM/YYYY								
Notification	Enter / Select the status of the notification from possible values:								
Status	– Impacted								
	- Impact Failed								
	– Rejected								
	- Archived								
	- Processed								
	- Processed with Failure								
Creation Date	Enter the reference date of the creation of the CA Notification or use the calendar icon.								



	Required format is: DD/MM/YYYY hh:mm:ss:µs						
Button	Function						
Reset	This function enables you to clear the form.						
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.						
	Next screen:						
	- CA Notifications (seev.031) - List Screen.						

# 3.4.5.1.2.2 CA Notifications (seev.031) – List Screen

Context of	This scre	en contair	ns the list	of CA	Notifica	ations.			
Usage	The seev	.031 listed	correspo	nd to	the sear	ch criteria	capture in	the se	earch screen.
	The list displayed can be sorted and filter using by the field displayed.								
					101 111001			Proje	
Business		The list can be exported.  CA Notifications							
Case related	CA Notifications								
Screen Ac-	For CA Notifications Received:								
cess	Corporate CA Notice ting the Description of the Description of the Description of the Corporate	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Notifications Received (seev.031) >> Click on the search button (after inputting the relevant data, if needed)  For CA Notifications Sent:  Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Notifications Sent (seev.031) >> Click on the search button (after inputting the							
Screenshot		lotification							
Screenshot	List	GROSS V CACGO Event Ref 8 OFF	100 CA tweet 62 CA tweet Type 8 1005 1005 1005 1005 1005 1005 1000 1005 10000 1000 1000 1000 1000 1000 1000 1000 1000 1000 1000 1000 1000 100	Participation Type ( VOLU VOLU VOLU VOLU VOLU VOLU VOLU VOLU	Raps 1 or	ACOUNTY Processed With Falls.  870,000 Processed With Falls.  870,000 Processed With Falls.	Core Description 6 1 Oceanion Date 8 COMPASS T- The specie. 12 3995/2021 19 170 COMPASS T- The specie. 12 3995/2021 19 170 COMPASS T- The specie. 12 3995/2021 19 170 COMPASS T- THE SPECIE SPE	7. admin 3. admin 0. admin 1. admin 6. admin 5. admin 2. admin	Compared C
	For CA N	Octification  Octification  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla  Promonocolla	Nacrous Address \$ 100   DA COLOR   PROJECTION   PROJECT	00163 REPL 00162 REPL 00163 NEWM 00162 REPL 00162 NEWM 00152 REPL	C   Page 1.	ore > > Income to a visual the American to a visual the American to a visual the American to a visual the American to a visual the American to a visual the American to a visual the American to a visual to a vis	Placetived seev 039 with	27/09/2021 16:32:09:8. 27/09/2021 15:07:28:6. 23/09/2021 11:47:27:3. 23/09/2021 11:47:21:7. 22/09/2021 11:47:21:7. 22/09/2021 18:17:08:2. 22/09/2021 11:42:04:0. 22/09/2021 16:52:14:9.	District base 8

CA Notifications (seev.031) - List screen					
Field	Description				



Message	Shows the identifier of the message.
Identifier	Displayed format is: Max. 35 characters.
CA CSD	[Only for notifications Received]
Event Ref.	Shows the Reference of the CA Event given by the CSD.
	Displayed format is: Max. 35 characters.
CA ECMS	[Only for notifications Sent]
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Displayed format is: Max. 35 characters.
Sender Ad-	[Only for notifications Received]
dress	Shows the BIC address of the sender of the message.
Receiver Ad- [Only for notifications Sent]	
dress	Shows the BIC address of the receiver of the message.
Notification Type	Shows the information specifying the type of notification from the possible values:
	– NEWM – New notification
	REPL – notification replacing a previously sent notification
	- RMDR - notification sent as a reminder of an event taking place
CA Event	Shows the type of CA Event.
Туре	Displayed format is: Max. 4 characters.
Participation	Enter if the CA Event is:
Туре	- MAND - Mandatory
	- CHOS - Mandatory with Choice
	– VOLU - Voluntary
ISIN	Shows the ISIN of the security concerned by the CA Event.
Asset Account Id.	Shows the Account number where the security concerned by the CA Event is hold.
Total Eligible Balance	Shows the total balance of securities eligible on the Account for the CA Event.
Notification	Shows the status of the notification from possible values:
Status	– Impacted
	- Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Error De- scription	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.



After selecting	g a record, the following buttons are displayed
Button	Function
Download	This function enables you to download a copy of the message received / sent.

#### 3.4.5.1.3 CA Instruction (seev.033)

The ECMS may receive CA Instructions (CAIN) from the Counterparties to inform of their CA Choice about a particular CA Option available for a CA Event.

Once processed, the ECMS sends a Corporate Action Instruction (CAIN) message to the CSD in order to inform of its counterparty's CA Choice about a particular CA Option available for an elective CA Event.

#### 3.4.5.1.3.1 CA Instruction (seev.033) - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Instructions Received / Sent.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Ac-	For CA Instructions Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instructions Received (seev.033)
	For CA Instructions Sent:
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA
	Instructions Sent (seev.033)
Screenshot	For CA Instructions Received:
	Search: Incoming seev.033
	Message Id. •• ▼ CA COMS Event Id. •• ▼ Notifications Status •• ▼ Sende Address •• ▼  ISTN •• ▼ Asset Account Id. •• ▼ CA Event Type •• ▼ Option Type •• ▼  Centrion Size •• ▼ □
	C) tiess
	For CA Instructions Sent:
	Months CA Immunitions Seria (see CEI3)
	Search Outgoing seev.033  Message id **   CA CSD Event Ref. **   Notifications Status **   Receiver Address **   Receiver Address **   **
	ISIN ** ▼ Asset Account id. ** ▼ Casen Type ** ▼ Casen Type ** ▼ Coston Date ** ▼
	Creation Date 4 V Q Staurin

<b>CA Instructio</b>	on (seev.033) - Search screen
Field	Description
Message	Enter the identifier of the message.
Identifier	Required format is: Max. 35 characters.
CA CSD	[Only for notifications Sent]
Event Ref.	Enter the Reference of the CA Event given by the CSD.
	Required format is: Max. 35 characters.
CA ECMS	[Only for notifications Received]
Event Id.	



	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Required format is: Max. 35 characters.
Sender Ad-	[Only for notifications Received]
dress	Enter the BIC address of the sender of the message.
Receiver Ad-	[Only for notifications Sent]
dress	Enter the BIC address of the receiver of the message.
Asset Account Id.	Enter the Account number where the security concerned by the CA Event is hold.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event	Enter the type of CA Event.
Туре	Required format is: Max. 4 characters.
Option Type	Enter the CA Option Type chosen by the Counterparty.
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	- Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Creation Date	Enter the reference date of the creation of the CA Notification or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- CA Instruction (seev.033) – List Screen.

### 3.4.5.1.3.2 CA Instruction (seev.033) – List Screen

Context of	This screen contains the list of CA Instructions.
Usage	The seev.033 listed correspond to the search criteria capture in the search screen.
	The list displayed can be sorted and filter using by the field displayed.
	The list can be exported.
Screen Ac-	For CA Instructions Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instructions Received (seev.033) >> Click on the search button (after inputting the relevant data, if needed)
	For CA Instructions Sent:



		ata, if nee	/									
creenshot	For CA Ins	For CA Instructions Received:										
	List											
	10 💌				V.	< Page 1 of 7	V V					
	■ Message Id ◆ ▽ CA CSD E	vent Ref. 💝 CA ECMS Event Id. 🍫	Msq Function #	Sender Address ¢	CA Event Type •	Option Type	▽ ISIN ¢	Notifications Statu:	Error Description	Update Date •	Creation Date • 🔻	Updater Use
	GR20052022012A	BIDS000000715	NEWM	ABVRATWW004	BIDS	CASH	ATG900000005	Impacted		20/05/2022 08:51:48		ABVR004-A2
	☐ GR120522016A	>GR12052202.JQ	NEWM	ABVRATWW004	BIDS	CASH	ATG200000002	Impacted		12/05/2022 16:46:26:	12/05/2022 16:46:26:	A8VR004-A2
	☐ GR2104202201B	INTR0000000631	NEWM	ABVRATWW004	INTR	CASH	ATG100000128	Impacted		21/04/2022 09:23:33	21/04/2022 09:23:33:	ABVR004-A2
	☐ CR058333	TEND0000000590	NEWM	ABVRATWW001	TEND	CASE	AT0000A0DXH1	Impacted		06/04/2022 17:59:58	06/04/2022 17:59:58:	ABVR001-A2
	E CR058332	TEND0000000589	NEWM	ABVRATWW001	TEND	CASE	AT0000A00XH1	Impacted		06/04/2022 17:42:55:	. 05/04/2022 17:42:55:	A8VR001-A2
	☐ CR058331	TEND0000000589	NEWM	ABVRATWW001	TEND	CASE	AT0000A0DXH1	Impacted		06/04/2022 17:41:53	06/04/2022 17:41:53:	ABVR001-A2
	CRHR117gff807	TEND0000000575	NEWM	ABVRATWW001	TEND	CASH	AT0000A0DXH1	Impacted		25/03/2022 17:36:50	25/03/2022 17:36:50:	ABVR001-A2
	CRIMIT Inglive	8/050000000555	NEWM	ASSYSATWW001	BIDS		гихоолохота	Impacted		17/03/2022 09:50:46:	17/03/2022 09:50:46:	A8WR001-A2
										16/03/2022 17:57:00	. 16/03/2022 17:57:00	ABVR001-A2
	☐ CRHR11fg8g	BIDS000000554	NEWM	ABVRATWW001	BIDS	CASH	AT0000A0DXH1	Impacted				
	CRHRITINGS CRHRITINGS	8IDS000000554 BIDS000000545	NEWM NEWM	ASVRATIWW001 ASVRATIWW008	BIDS BIDS	CASH NOAC	AT0000A400XH1 AT0000A400XH1	Impacted Impacted		09/03/2022 17:41:20	. 09/03/2022 17:41:20:	STP
		BID5000000545	NEWM								. 09/03/2022 17:41:20:	STP
	For CA Ins	BID5000000545	NEWM		BIDS	NOAC	AT0000M20X41				. 09/03/2022 17:41:20:	STP
	For CA Ins	tructions S	Sent:	AEVPATWWOOS	EIDS	NOAC	THXIQADOOTA K	Impacted		09/03/2022 17:41:20	09/03/2022 17-41-22 Oo	STP 4 minoral 4
	For CA Ins	tructions S	Sent:	ABYPATWWOOS	BIDS  K	NOAC  Page 1 or a cut type s   Opinion	ATOOOGAGUSHI     K   C   C   C   C   C   C   C   C   C	Impacted Impacted	ntheatons Status 9	99/09/2922 17:41:29	09/03/2022 17-41-22	STP  www.load
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	For CA Ins	######################################	Sent:  Mos Puncion NEWM NEWM NEWM	ABOPRATWWOOD  1 PROGRAM A  OCSDATW  OCSDATW  OCSDATW	ddiess © CATVICOX BOSOXX BOSOXX	NOAC  Page 1 of a  out Type 6	> X   S   S   S   S   S   S   S   S   S	Impacted	ocessed ocessed ocessed	09/03/2022 17:41:20	Update Date \$	Updater Updater ABVR001-622 ABVR001-622 ABVR001-626
	For CA Institute  In the Mentage of the CA Cold  1 122  1 123  1 127  1 125	tructions S	Sent:  Sent:  NEMM NEMM NEMM NEMM NEMM NEMM NEMM NE	ABYRATWWOOS  1 PICCHAR A  CESSATW  CESSATW  CESSATW  CESSATW  CESSATW  CESSATW	### Address \$ 10 CATO ### CATO	Page 1 eta cost Type 6 © Option CAS CAS CAS AND AND AND AND AND AND AND AND AND AND	AT0000MdDixH1	Impacted	ocessed ocessed ocessed	99/03/2022 17:41:20	Update Date 6 2000/2022 17.41 22 Do	STP  Updater theorem ABVR004-A2 ABVR001-A2 STP
	For CA Ins	######################################	Sent:  Sent:  NOOM NOOM NOOM NOOM NOOM NOOM	ASPIRATIVINOSI  ASPIRATIVINOSI  DISSATIVI  COSSATIVI  C	805	Year 1 or 3  ✓ Prop. 1 or 3  OA Type 8 ○ OA CAS  CAS  ACL  CAS  ACL  CAS  CAS  CAS	AT00000A0T000H1	Impacted	ocessed ocessed ocessed ocessed ocessed	99/93/2922 17/41:29 Erner Description ®	Update Date 6 2000/2022 17.41 22 Do	Updater Uses ABVR001-62 STP ABVR001-62 ABVR001-62
	For CA Insi	tructions S	Sent:  Sent:  NEMM NEMM NEMM NEMM NEMM NEMM NEMM NE	ABYRATWWOOS  1 PICCHAR A  CESSATW  CESSATW  CESSATW  CESSATW  CESSATW  CESSATW	### Address # 17 OAT 17 TAN 17	Page 1 eta cost Type 6 © Option CAS CAS CAS AND AND AND AND AND AND AND AND AND AND	AT00000A0T000H1	tepacted	ocessed ocessed ocessed	6949 2022 1741 20	Update name # 2000/2022 17.41 20 Do  Update name # 2000/2022 17.57 20 428 17/00/2022 17.57 20 428 17/00/2022 17.52 20 43 16 45 30	Updatev Uses ABVR001-A2 ABVR001-A2 STP
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CA Instruction	on (seev.033) - List screen
Field	Description
Message	Shows the identifier of the message.
Identifier	Displayed format is: Max. 35 characters.
CA CSD	[Only for notifications Sent]
Event Ref.	Shows the Reference of the CA Event given by the CSD.
	Displayed format is: Max. 35 characters.
CA ECMS	[Only for notifications Received]
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Displayed format is: Max. 35 characters.
Sender Ad-	[Only for notifications Received]
dress	Shows the BIC address of the sender of the message.
Receiver Ad-	[Only for notifications Sent]
dress	Shows the BIC address of the receiver of the message.
Asset Account Id.	Shows the Account number where the security concerned by the CA Event is hold.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event	Shows the type of CA Event.
Туре	Displayed format is: Max. 4 characters.
Option Type	Shows the CA Option Type chosen by the Counterparty.



Quantity In-	Shows the quantity of securities to which the instruction applies.
structed in	onows the quantity of securities to which the histraction applies.
FAMT	
Notification	Shows the status of the notification from possible values:
Status	– Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Error De-	Shows the description of the error (if it has occurred)
scription	
Update Date	Shows the date and time of the last update of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting	g a record, the following buttons are displayed
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess	[Only for notifications Received]
All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

#### 3.4.5.1.4 CA Instruction Status Advice (seev.034)

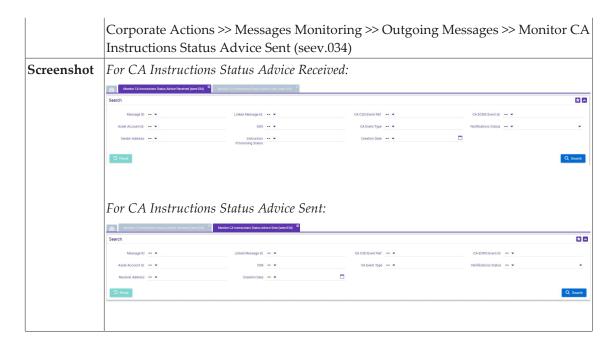
The ECMS sends a CA Instruction Status Advice to the Counterparty to inform about the status of a CA Instruction.

The CA Instruction Status Advice message could be received from CSD in response to the message (seev.033) previously sent to the CSD, and then forwarded to the Counterparty in response to their seev.033.

#### 3.4.5.1.4.1 CA Instruction Status Advice (seev.034) - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Instructions Status Advice Received / Sent.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	For CA Instructions Status Advice Received:  Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instructions Status Advice Received (seev.034)
	For CA Instructions Status Advice Sent:





CA Instruction	n Status Advice (seev.034) - Search screen
Field	Description
Message	Enter the identifier of the message.
Identifier	Required format is: Max. 35 characters.
CA CSD	[Only for notifications Received]
Event Ref.	Enter the Reference of the CA Event given by the CSD.
	Required format is: Max. 35 characters.
CA ECMS	[Only for notifications Sent]
Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Required format is: Max. 35 characters.
Sender Ad-	[Only for notifications Received]
dress	Enter the BIC address of the sender of the message.
Receiver Ad-	[Only for notifications Sent]
dress	Enter the BIC address of the receiver of the message.
Asset Account Id.	Enter the Account number where the security concerned by the CA Event is hold.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event	Enter the type of CA Event.
Туре	Required format is: Max. 4 characters.
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed



	- Processed with Failure
Creation	Enter the reference date of the creation of the CA Notification or use the calendar
Date	icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- CA Instruction Status Advice (seev.034) – List Screen.

### 3.4.5.1.4.2 CA Instruction Status Advice (seev.034) – List Screen

Context of	This so	creen c	ontain	s the l	ist of (	CA In	structi	on Sta	tus Adv	vice.				
Usage	The seev.034 listed correspond to the search criteria capture in the search screen.													
	The list displayed can be sorted and filter using by the field displayed.													
	The list can be exported.													
Screen Ac-	For CA Instructions Status Advice Received:													
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor													
	CA Instructions Status Advice Received (seev.034) >> Click on the search button													
	(after inputting the relevant data, if needed)													
	For CA Instructions Status Advice Sent:													
	Corpo	rate A	ctions	>> Me	ssages	Mon	itoring	; >> Oı	ıtgoing	Mess	ages >	> Mor	itor	
	1 *				0			,	Click or		0			
						`		-)	011011 01			2 011103	(61700	
0 1 1	inputting the relevant data, if needed)  For CA Instructions Status Advice Received:													
Screenshot	For CA	1 Instru	ictions	Status	Aavic	е кесе	rivea:							
	List 10 ▼										Q4	Reprocess All Repr	xess □  ★ <sup>©</sup>	
	Message ID ♥ □ 1006014	CA CSD Event Ref. (	Sender Address © DCSDATWWXXX	Asset Account Id : ABVRT2SSAC003	♦ SIN ♦ AT0000A28KX7	CA Event Type of BPUT	© Option Type ◆ CASH	Notifications State	MegaCor11-0015: No	Instruction Processi	Updater User Id \$ CSDUser	7 Creation Date \$ 27/09/2021 13:27:03:	Update Date \$ 27/09/2021 13:27:03:	
	005102 006095	TENDVOLU042 TENDVOLU040	OCSDATWWXXX OCSDATWWXXX	ABVRT2SSAC002 ABVRT2SSAC002	AT0000ATCEU4	TEND	CASH	Impact Failed Impact Failed	MegaCor11-0015: No MegaCor11-0015: No		STP	27/09/2021 13:08:32 27/09/2021 10:57:46	27/09/2021 13:08:32 27/09/2021 10:57:46:	
	005062	TENDVOLU024	OCSDATWWXXX	ABVHT25SAC002	A10000ATCEU4	TEND	Secu	Impact Fared	MegaCorII-0015: No		SIP	10/09/2021 12:04:52	lownload	
	For CA	A Instru	ıctions	Status	Advic	e Sent	·•							
	List	A Instru	ıctions	Status	Advic	e Sent	:							
	List	A Instru	uctions	Status	Advic		Page 1 of 2     Page 1 of 3     Page	K <	Notifications Status*	Error Description 9	Clodate Date 9	Updainer Uner fol 9	Instruction Process	
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	List  10  Message 10 0  64  63	A Instru	CA ECMS Ewent ld. TEND0000000187 TEND0000000195	CA Event Type 6 TEND TEND TEND TEND TEND TEND TEND	Option Type 9  CASH  CASH  CASH  CASH  CASH  CASH	Coption Number	Page 1 of 2  Asset Account Id.  ABVR001IAA01  ABVR001IAA01	● ▼ ISIN ● AT0000A28KX7 AT0000A28KX7	Processed With Failure		27/09/2021 17:40:01:			
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	List  10 W Message 10 0  64  63  62  61  72  55  55  55	A Instru	CAECMS Event 55. TEND000000187 TEND000000197 TEND000000197 TEND000000195 BPUT000000190	TEND TEND TEND TEND TEND TEND TEND TEND	© Option Type ◆ CASH CASH CASH CASH CASH CASH SECU SECU	Cption Number   001		● ▼ ISIN ●	Processed With Failure Processed With Failure Processed With Failure Processed With Failure Processed With Failure Processed With Failure	The address [Swift, Off. The address [Swift, Off. The address [Swift, Off. The address [Swift, Off. The address [Swift, Off. The address [Swift, Off.	27/09/2021 17:40:01: 27/09/2021 17:40:00: 27/09/2021 16:55:05: 27/09/2021 16:55:01: 27/09/2021 14:13:50: 27/09/2021 13:00:07:	ATCBJobScheduler ATCBJobScheduler ATCBJobScheduler ATCBJobScheduler ATCBJobScheduler ATCBJobScheduler ATCBJobScheduler	Created Created Created	
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	List  10  Whitesape 17 0  64  63  62  62  65  65  65  65  65  65  65  65  65  65	A Instru	CATCMS Event 85 TEN0000000187 TEN0000000195 TEN0000000195 TEN0000000190 BPUT000000190 TEN0000000190 TEN0000000185	67 CA (vent type 0 TENO TENO TENO TENO TENO TENO BRUT BRUT TENO TENO TENO	Option Type © CASH CASH CASH CASH CASH CASH CASH CASH	Cption Number   001	Page 1 of 2  ASSISTANCIONE IS ASSISTANCIONE ASSISTANCIONE IS ASSISTANCIONE IS ASSISTANCIONE IS ASSISTANCIONE	● ▼ ISIN ●           AT6000A28KXT           AT6000A28KXT           AT6000A28KXT           AT6000A28KXT           AT6000A28KXT           AT6000A28KXT           AT6000A28KXT           AT6000A1CELM           AT6000A1CELM           AT6000A1CELM	Processed With Failure Processed With Failure Processed With Failure Processed With Failure Processed With Failure Processed With Failure Processed With Failure	The address [Swift, Off. The address [Swift, Off. The address [Swift, Off. The address [Swift, Off. The address [Swift, Off. The address [Swift, Off. The address [Swift, Off. The address [Swift, Off.	27/09/2021 17:40:01: 27/09/2021 17:40:00 27/09/2021 16:55:01: 27/09/2021 16:55:01: 27/09/2021 14:13:50 27/09/2021 14:10:00	ATCBJobScheduler ATCBJobScheduler ATCBJobScheduler ATCBJobScheduler ATCBJOBScheduler ATCBJOBScheduler ATCBJOBScheduler ATCBJObScheduler ATCBJobScheduler	Created Created Created Created Rejected Created Created Created Created	

CA Instruction Status Advice (seev.034) - List screen				
Field	Description			
	Shows the identifier of the message.			
Identifier	Displayed format is: Max. 35 characters.			



CA CSD	[Ouls for ratifications Passimal]
Event Ref.	[Only for notifications Received]
	Shows the Reference of the CA Event given by the CSD.
CA FOLIO	Displayed format is: Max. 35 characters.
CA ECMS Event Id.	[Only for notifications Sent]
Eventia.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Displayed format is: Max. 35 characters.
Sender Ad-	[Only for notifications Received]
dress	Shows the BIC address of the sender of the message.
Receiver Ad-	[Only for notifications Sent]
dress	Shows the BIC address of the receiver of the message.
Asset Account Id.	Shows the Account number where the security concerned by the CA Event is hold.
Quantity In-	Shows the quantity instructed in FAMT.
structed in	Shows the quantity histracted in PAW1.
FAMT	
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event	Shows the type of CA Event.
Туре	Displayed format is: Max. 4 characters.
Option Type	Shows the CA Option Type chosen by the Counterparty.
Option Number	Shows the CA Option Number chosen by the Counterparty.
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Instruction	[Only for notifications Sent]
Processing Status	Shows the Instruction Status combining Processing Status / Reason Code values.
Error De- scription	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification.
Spanic Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User	Shows the identification of the user that made the last update of the CA Notifica-
Id	tion.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received]



	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess	[Only for notifications Received]
All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

# 3.4.5.1.5 CA Movement Preliminary Advice (seev.035)

# 3.4.5.1.5.1 CA Movement Preliminary Advice (seev.035) - Search screen

Context of	This screen is used to search CA Movement Preliminary Advice Received or Sent
Usage	by the ECMS.
J	It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria.
	The search results will be displayed in a list in the <i>CA Movement Preliminary Advice</i> (seev.035) – <i>List Screen</i> .
Business Case Re-	Custody >> Corporate Actions >> CA Entitlements >> Search / List CA Movement Pre- liminary Advice Received
lated	Custody >> Corporate Actions >> CA Entitlements >> Search / List CA Movement Pre- liminary Advice Sent
Screen Ac-	For CA Movement Preliminary Advice Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Movement Preliminary Advice Received seev.035
	For CA Movement Preliminary Advice Sent:
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Preliminary Advice Sent seev.035
Screenshot	For CA Movement Preliminary Advice Received:
	Search Incoming seev 035  Message Id. **
	For CA Movement Preliminary Advice Sent:  Search  General Search  General Search  General Search  General Search  General Search  Residentiations Status **  General Search  G
	O Stant D

CA Movement Preliminary Advice (seev.035) - Search screen	
Field	Description
	Enter the identifier of the message.
Identifier	Required format is: Max. 35 characters.



CA CSD	[Only for notifications Received]
Event Ref.	Enter the Reference of the CA Event given by the CSD.
	Required format is: Max. 35 characters.
CA ECMS	[Only for notifications Sent]
Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be
	used by counterparties.
	Required format is: Max. 35 characters.
Advice Type	Enter / Select the function of the message from possible values:
	- CAST
	- NEWM
	- REPL
	- REVR
Sender Ad-	[Only for notifications Received]
dress	Enter the BIC address of the sender of the message.
Receiver Ad-	[Only for notifications Sent]
dress	Enter the BIC address of the receiver of the message.
Asset Ac-	Enter the Account number where the security concerned by the CA Event is
count Id.	hold.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event	Enter the type of CA Event.
Туре	Required format is: Max. 4 characters.
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Creation	Enter the reference date of the creation of the CA Notification or use the calendar
Date	icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	[Only for notifications Sent]
	Enter the identification of the user that made the creation of the CA Notification.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	– CA Movement Preliminary Advice (seev.035) – List Screen.



# 3.4.5.1.5.2 CA Movement Preliminary Advice (seev.035) – List Screen

Context of	This screen contains the list of CA Movement Preliminary Advices.
Usage	The seev.035 listed correspond to the search criteria capture in the search screen.
	The list displayed can be sorted and filter using by the field displayed.
	The list can be exported.
Business Case Re- lated	Custody >> Corporate Actions >> CA Entitlements >> Search / List CA Movement Pre- liminary Advice Received
lated	Custody >> Corporate Actions >> CA Entitlements >> Search / List CA Movement Pre- liminary Advice Sent
Screen Ac-	For CA Movement Preliminary Advice Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> List Received seev.035 >> Click on the search button
	For CA Movement Preliminary Advice Sent:
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> List Outgoing seev.035 >> Click on the search button
Screenshot	For CA Movement Preliminary Advice Received:
	*** *** *** *** *** *** *** *** *** **
	List    N   Message list   CACOMS (rever is \$   CACOMS rever is \$
	Control Viv

CA Movement Preliminary Advice (seev.035) - List screen	
Field	Description
Message	Shows the identifier of the message.
Identifier	Displayed format is: Max. 35 characters.
CA CSD	[Only for notifications Received]
Event Ref.	Shows the Reference of the CA Event given by the CSD.
	Displayed format is: Max. 35 characters.
CA ECMS	[Only for notifications Sent]
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Displayed format is: Max. 35 characters.



Advice Type	Shows the function of the message from possible values:
	- CAST
	- NEWM
	- REPL
	- REVR
Eligibility Indicator	Shows whether the message is final [Yes] or not [No]
Sender Ad-	[Only for notifications Received]
dress	Shows the BIC address of the sender of the message.
Receiver Ad-	[Only for notifications Sent]
dress	Shows the BIC address of the receiver of the message.
Asset Account Id.	Shows the Account number where the security concerned by the CA Event is hold.
ISIN	Shows the ISIN of the security concerned by the CA Event.
Eligible Bal- ance	Shows the balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance
Reversal	Shows the reason for the reversal from possible values:
Reason Code	– Day Count Basis Difference - DCBD
	- Incorrect Value Date - IVAD
	- Incorrect Record Date - IRED
	- Incorrect Price - IPRI
	– Undue Payment - UPAY
	– Incorrect Event Level Tax Rate - IETR
	- Funds Not Received - FNRC
	- Payment Outside Clearing System - POCS
	- Incorrect Payment Currency - IPCU
CA Event	Shows the type of CA Event.
Туре	Displayed format is: Max. 4 characters.
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Error De- scription	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting	g a record, the following buttons are displayed



Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received]  This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

# 3.4.5.1.6 CA Movement Confirmation (seev.036)

# 3.4.5.1.6.1 CA Movement Confirmation (seev.036) - Search screen

Context of	This screen is used to search CA Movement Confirmation Received or Sent by the
Usage	ECMS.
	It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria.
	The search results will be displayed in a list in the <i>CA Movement Confirmation</i> (seev.036) – <i>List Screen</i> .
Business Case Re-	Custody >> Corporate Actions > CA Movements >> Search / List CA Movement Confirmation Received
lated	Custody >> Corporate Actions > CA Movements >> Search / List CA Movement Confirmation Sent
Screen Ac-	For CA Movement Confirmation Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> List Received seev.036
	For CA Movement Confirmation Sent:
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> List Outgoing seev.036
Screenshot	For CA Movement Confirmation Received:
	Search  Message Ref. ** CA CSD Event Ref. ** Sender Address ** Nestfantion Status **  Alster Account 15. ** CA CHI Type ** Caster Type ** Update Date ***  Destin Date ** Update Date ***  Onein Date **  Onein Date
	For CA Movement Confirmation Sent:
	Search  CA EXIST Event lat. **  Message fiel. **  App finderence **  Instruction **  Nonfications Status **  Update Date : from ::  to ::: Nonfications Status **  Update Date :: **  Update Date :: **  Update Date :: **  Instruction **  In
	○ Read D

CA Movement Confirmation (seev.036) - Search screen	
Field	Description



Message	Enter the identifier of the message.
Identifier	Required format is: Max. 35 characters.
CA CSD	[Only for notifications Received]
Event Ref.	Enter the Reference of the CA Event given by the CSD.
	Required format is: Max. 35 characters.
CA ECMS	[Only for notifications Sent]
Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Required format is: Max. 35 characters.
Sender Ad-	[Only for notifications Received]
dress	Enter the BIC address of the sender of the message.
Receiver Ad-	[Only for notifications Sent]
dress	Enter the BIC address of the receiver of the message.
Asset Account Id.	Enter the Account number where the security concerned by the CA Event is hold
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event	Enter the type of CA Event.
Type	Required format is: Max. 4 characters.
Option Type	Enter the CA Option Type chosen by the Counterparty.
Option Num- ber	Enter the CA Option Number chosen by the Counterparty.
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	– Impact Failed
	- Rejected
Creation Date	Enter the reference date of the creation of the CA Movement Confirmation Received / Sent or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Enter the date and time of the last update of the CA Movement Confirmation Received / Sent or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	- CA Movement Confirmation (seev.036) - List Screen.

# 3.4.5.1.6.2 CA Movement Confirmation (seev.036) – List Screen

Context of	This screen contains the list of CA Movement Confirmations.
Usage	The CACO listed correspond to the search criteria capture in the search screen.
	The list displayed can be sorted and filter using by the field displayed.



	The list can be exported.							
Business Case Re-	Custody >> Corporate Actions > CA Movements >> Search / List CA Movement Confirmation Received							
lated	Custody >> Corporate Actions > CA Movements >> Search / List CA Movement Confirmation Sent							
Screen Ac-	For CA Movement Confirmation Received:							
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> List Received seev.036 >> Click on the search button							
	For CA Movement Confirmation Sent:							
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> List Outgoing seev.036 >> Click on the search button							
Screenshot	For CA Movement Confirmation Received:    List							
	D x   Q 7   Q 1							
	COMPANS   1,000   COMPANS							
	Comitod							

CA Movemen	CA Movement Confirmation (seev.036) – List screen				
Field	Description				
Message	Shows the identifier of the message.				
Identifier	Displayed format is: Max. 35 characters.				
CA CSD	[Only for notifications Received]				
Event Ref.	Shows the Reference of the CA Event given by the CSD.				
	Displayed format is: Max. 35 characters.				
CA ECMS	[Only for notifications Sent]				
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be				
	used by counterparties.				
	Displayed format is: Max. 35 characters.				
Sender Ad-	[Only for notifications Received]				
dress	Shows the BIC address of the sender of the message.				
Receiver Ad-	[Only for notifications Sent]				
dress	Shows the BIC address of the receiver of the message.				
Option Type	Shows the CA Option Type chosen by the Counterparty.				
Option Num-	m-Shows the CA Option Number chosen by the Counterparty.				
ber					
Asset Ac-	Shows the Account number where the security concerned by the CA Event is				
count Id.	hold				



Shows the ISIN of the security concerned by the CA Event.					
Shows the type of CA Event.					
Displayed format is: Max. 4 characters.					
hows the Total balance of securities eligible for this corporate action event. The					
entitlement calculation is based on this balance.					
Shows the status of the notification from possible values:					
- Impacted					
- Impact Failed					
- Rejected					
Shows the description of the error (if it has occurred)					
Shows the date and time of the last update of the CA Notification.					
Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Shows the identification of the user that made the last update of the CA Notification.					
g a record, the following buttons are displayed					
Function					
This function enables the user to download a copy of the message.					
[Only for notifications Received]					
This function enables the user to reprocess the selected message (only available for users with appropriate rights).					
[Only for notifications Received]					
This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).					

#### 3.4.5.1.7 CA Movement Reversal Advice (seev.037)

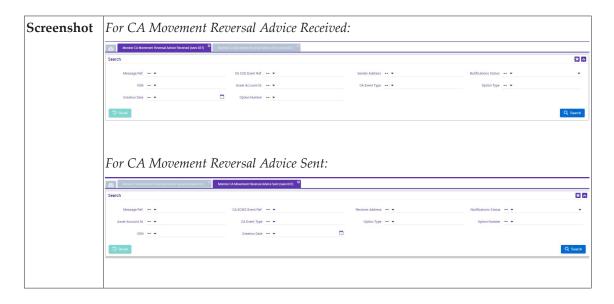
The ECMS receives from the CSDs Corporate Action Movement Reversal Advice (CARE) to confirm the reversal of the postings sent in the seev.035 (cash and/or securities) made to the account owner's safekeeping and / or cash accounts.

The ECMS processes the reversal of the posting and afterwards forwards the message to the entitled Counterparties.

### 3.4.5.1.7.1 CA Movement Reversal Advice (seev.037) - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Movement Reversal Advice Received / Sent.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Ac-	For CA Movement Reversal Advice Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Movement Reversal Advice Received (seev.037)
	For CA Movement Reversal Advice Sent:
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Reversal Advice Sent (seev.037)





CA Movemer	nt Reversal Advice (seev.037) – Search screen				
Field	Description				
Message	Enter the identifier of the message.				
Identifier	Required format is: Max. 35 characters.				
CA CSD	[Only for notifications Received]				
Event Ref.	Enter the Reference of the CA Event given by the CSD.				
	Required format is: Max. 35 characters.				
CA ECMS	[Only for notifications Sent]				
Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.				
	Required format is: Max. 35 characters.				
Sender Ad-	[Only for notifications Received]				
dress	Enter the BIC address of the sender of the message.				
Receiver Ad-	[Only for notifications Sent]				
dress	Enter the BIC address of the receiver of the message.				
Asset Account Id.	Enter the identifier of the T2S Securities Account where financial instruments are maintained.				
	Required format is: Max. 35 characters.				
ISIN	Enter the ISIN of the security concerned by the CA Event.				
CA Event	Enter the type of CA Event.				
Type	Required format is: Max. 4 characters.				
Option Type	Enter the CA Option Type chosen by the Counterparty.				
Option Number	Enter the CA Option Number chosen by the Counterparty.				
Notification	Enter / Select the status of the notification from possible values:				
Status	- Impacted				
	- Impact Failed				
	- Rejected				



	- Archived				
	- Processed				
	- Processed with Failure				
Creation Date	Enter the reference date of the creation of the CA Instruction Cancellation Request Received / Sent or use the calendar icon.				
	Required format is: DD/MM/YYYY hh:mm:ss:µs				
Button	Function				
Reset	This function enables you to clear the form.				
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.				
Search	This function enables you to start a search according to the filled in criteria. The				

## 3.4.5.1.7.2 CA Movement Reversal Advice (seev.037) – List Screen

Context of	This screen contains the list of CA Movement Reversal Advice.										
Usage	The seev.037 listed correspond to the search criteria capture in the search screen.										
	The list displayed can be sorted and filter using by the field displayed.										
	The list	•	•					, ,		1 7	
Screen Ac-					Advice 1	Receiv	ed:				
cess	1 1	For CA Movement Reversal Advice Received:  Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor  CA Movement Reversal Advice Received (seev.037) >> Click on the search button									
	(after ir	(after inputting the relevant data, if needed)									
	For CA	For CA Movement Reversal Advice Sent:									
	CA Mo	vemen	t Rev	ersal A	0	ent (s	eev.037)		0	essages >> M the search b	
Screenshot	For CA	Movem	ent R	eversal	Advice l	Receiv	ed:				
	List: Incoming seev.03	37									
	10 ▼ Message Id. 0	CA CSD Event Ref. 6	Option Type •	CA Event Type 6		Page 1 of 2		Asset Account Id. ©	Notifications Sta	Q, 14 tus ♦▽ Error Description ♦ ▽ Update Date	exportSearchPlesult 🔣 🛨 🖓
	☐ GS76202JJHJJJJ ☐ GS220876202JJI	GRB2809202201A GRB2809202201A	CASH	INTR INTR	ATG551012127 ATG551012127	001	OCSDATWWXXX OCSDATWWXXX	ABVRT2SSAC104 ABVRT2SSAC104	Impact Failed Impact Failed	MegaCor-0104: BR-MCO 30/09/2022: 1 MegaCor-0104: BR-MCO 28/09/2022: 1	
	GS2208762022JJH GS220876202205 GS220876202205	GRB2809202201A GRB2809202201A GS220876202201	CASH CASH	INTR INTR REDM	ATG551012127 ATG551012127 ATG630000002	001	OCSDATWWXXX OCSDATWWXXX	ABVRT2SSAC104 ABVRT2SSAC104 ABVRT2SSAC104	Rejected Impacted	SwiftIntegrator 4004: #R. 28/09/2022 1	7:53:51:238 CSDUser 8:44:11:039 CSDUser
	ES1006202205	GS1006202201	CASH	REDM	ATG900000005	001	OCSDATWWXXX	ABVRT2SSAC004	Impacted	10/06/2022 1	5:09:32:723 CSOUser
	G090620220P5 GR10052212w	GS0906202201 GR1005220123C	CASH	REDM	ATG900000005 ATG200000002	001	OCSDATWWXXX OCSDATWWXXX	ABVRT2SSAC004 ABVRT2SSAC004	Impacted Impacted		5:57:11:226 CSDUser 5:37:54:975 CSDUser
	GRJ0204202201L	GR1005220122Y GRJ2204202201A	CASH	INTR	ATG900000005	001	OCSDATWWXXX OCSDATWWXXX	ABVRT2SSAC004 ABVRT2SSAC004	Impact Failed Impacted	MegeCor-0101: No CA to 10/05/2022 1 22/04/2022 1	5:33:24:172 CSDUser 7:10:22:389 CSDUser
	F C A	14	( D		A I	2				Download	Reprocess All
	For CA	Movem	ent K	eversal	Aavice S	sent:					_
	10 🕶					K < Pa	ge 1 of 2 > >				
	Message Ref.   111	CA ECMS Event Ref.	Receiver Addres	S CA Event Type C	Ca Type ¢	Option Number		Asset Account Id.   ABVR004(AA01	Notifications Sta	us 💠 Update Date 🕈 💛 Updater User 10/05/2022 16:33:40:203 STP	ld 🗢 😾 Error Description 🗢 💝
	E 109	INTR000000547		INTR	mand mand	001	AT0900000005 AT0000384144	ABVR004IAA01 ABVR005IAA01BIS	Processed Processed	22/04/2022 17:51:09:654 STP 23/03/2022 12:46:52 167 STP	
	<b>☑</b> 106	INTR0000000568		INTR	mand	001	AT0000384144	ABVH002IAA02	Processed	23/03/2022 12:46:14:907 STP	
	105 104	INTR0000000568 INTR0000000568		INTR	mand mand	001	AT0000384144 AT0000384144	ABVR005IAA018IS ABVR002IAA02	Processed Processed	23/03/2022 12:29:56:079 STP 23/03/2022 12:28:34:766 STP	
	93 91	INTR0000000482		INTR	mand mand	001	AT0000A1EEY2 AT0000A26853	ABVR001IAA03 ABVR003IAA03	Processed Processed	24/02/2022 12:18:00:502 ATCB034 22/02/2022 16:15:14:215 STP	
	E 90	INTR000000505		INTR	mand	001	AT0000A26853	ABVR003IAA03	Processed	22/02/2022 16:08:10:747 STP	
	E 96	INTR0000000482		INTR	mand	001	AT0000A1EEY2	ABVR001IAA03	Processed	15/02/2022 12:41:40:952 STP	Download



CA Movemer	nt Reversal Advice (seev.037) – List screen						
Field	Description						
Message	Shows the identifier of the message.						
Identifier	Displayed format is: Max. 35 characters.						
CA CSD	[Only for notifications Received]						
Event Ref.	Shows the Reference of the CA Event given by the CSD.						
	Displayed format is: Max. 35 characters.						
CA ECMS	[Only for notifications Sent]						
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.						
	Displayed format is: Max. 35 characters.						
Sender Ad-	[Only for notifications Received]						
dress	Shows the BIC address of the sender of the message.						
Receiver Ad-	[Only for notifications Sent]						
dress	Shows the BIC address of the receiver of the message.						
Option Type	Shows the CA Option Type chosen by the Counterparty.						
Option Number	Shows the CA Option Number chosen by the Counterparty.						
Asset Account Id.	Shows the identifier of the T2S Securities Account where financial instruments are maintained.						
	Displayed format is: Max. 35 characters.						
ISIN	Shows the ISIN of the security concerned by the CA Event.						
CA Event	Shows the type of CA Event.						
Type	Displayed format is: Max. 4 characters.						
Notification	Shows the status of the notification from possible values:						
Status	- Impacted						
	- Impact Failed						
	- Rejected						
	- Archived						
	- Processed						
	- Processed with Failure						
Error De- scription	Shows the description of the error (if it has occurred)						
Update Date	Shows the date and time of the last update of the CA Notification.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.						
After selecting	g a record, the following buttons are displayed						
Button	Function						
Download	This function enables the user to download a copy of the message.						
Reprocess	[Only for notifications Received]						



	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess	[Only for notifications Received]
All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

### 3.4.5.1.8 CA Cancellation Advice (seev.039)

The ECMS receives from the CSDs Corporate Action Cancellation Advice (CANC) to inform on the cancellation of upcoming CA Events.

The ECMS processes the cancellation of the CA Event and afterwards forwards the message to the entitled Counterparties.

#### 3.4.5.1.8.1 CA Cancellation Advice (seev.039)- Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Cancellation Advice Received / Sent.							
	The search results will be ceed further by clicking		After selecting an entry, you re shown.	ı can pro-				
Screen Ac-	For CA Cancellation Adv	ice Received:						
cess	Corporate Actions >> M Cancellation Advice Re	· ·	> Incoming Messages >> Mo	onitor CA				
	For CA Cancellation Adv	ice Sent:						
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Cancellation Advice Sent (seev.039)							
Screenshot	For CA Cancellation Advice Received:							
	Mentar CA Cancelation Advant Received (peer 079)  Mannar CA Cancelation (pee							
	Search: Incoming seev.039			*^				
	Message Reference → ▼	CA CSD Event Ref ▼	Notifications Status == ▼	Ų E				
	Sender Address ▼	ISIN V	CA Event Type ■■ ▼					
	Participation Type ▼	Creation Date •	☐ Cancellation Reason Code == ▼					
	"> Resut			Q Search				
	For CA Cancellation Advice Sent:							
	Monitor CA Cancellation Advice Received (seex 635)	vice Sem (seex 039)						
	Search: Outgoing seev.039			원조				
	Message Id. ■■ ▼	CA EOMS Event Id. ■■ ▼	Notifications Status 💴 💌	_ E				
	Receiver Address ■■ ▼	ISIN •• ▼	CA Event Type ••• •					
	Participation Type → ▼	Cancellation Reason Code •• ▼	Creation Date ▼					
	つ Reset			Q Search				

CA Cancellation Advice (seev.039) – Search screen				
Field	Description			
Message	Enter the identifier of the message.			
Identifier	Required format is: Max. 35 characters.			
CA CSD	[Only for notifications Received]			
Event Ref.	Enter the Reference of the CA Event given by the CSD.			



	Required format is: Max. 35 characters.							
CA ECMS	[Only for notifications Sent]							
Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.							
	Required format is: Max. 35 characters.							
Sender Ad-	[Only for notifications Received]							
dress	Enter the BIC address of the sender of the message.							
Receiver Ad-	[Only for notifications Sent]							
dress	Enter the BIC address of the receiver of the message.							
ISIN	Enter the ISIN of the security concerned by the CA Event.							
CA Event	Enter the type of CA Event.							
Type	Required format is: Max. 4 characters.							
Option Type	Enter the CA Option Type chosen by the Counterparty.							
Option Number	Enter the CA Option Number chosen by the Counterparty.							
Notification	Enter / Select the status of the notification from possible values:							
Status	*							
	- Impacted							
	- Impact Failed							
	- Rejected							
	- Archived							
	- Processed							
	- Processed with Failure							
Participation Type	Enter if the CA Event is:							
Туре	– MAND – Mandatory							
	- CHOS - Mandatory with Choice							
	- VOLU - Voluntary							
Cancellation Reason Code	Enter the code of the Cancellation Reason of the CA Event from the possible values:							
	WITH – Withdrawal							
	PROC - Processing							
Creation	Enter the reference date of the creation of the CA Instruction Cancellation Re-							
Date	quest Received / Sent or use the calendar icon.							
	Required format is: DD/MM/YYYY hh:mm:ss:µs							
Button	Function							
Reset	This function enables you to clear the form.							
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.							
	Next screen:							
	- CA Cancellation Advice (seev.039) - List Screen.							



# 3.4.5.1.8.2 CA Cancellation Advice (seev.039) – List Screen

Usage	This	screen	contai	ns the	list of	CA C	ancella	ition A	dvice.					
Usage	The	seev.03	9 liste	d corre	espon	d to the	e searc	h crite	ria cap	ture in	the se	arch s	creen.	
	The list displayed can be sorted and filter using by the field displayed.													
	The list can be exported.													
Screen Ac-		CA Can				eived:								
cess	Corp CA C	orate A Cancell tting th	Actions ation A	s >> M Advice	essage Recei	es Mon ived (s	eev.03	_	,	_	0			
	1	CA Can					~)							
	CAC	orate A Cancell ing the	ation A	Advice	Sent	(seev.0		-	-	-	_			
Screenshot	-	CA Can												
Jercensnot	List: Incoming		ceimio	11 211101	cc Acc	ciocu.								
	10 🕶	Message Reference	e ♦7 GA CSD Event Ref. ◆	Sender Address \$	CA Event Type \$		Page 1 of 3     SIN ≠     SIN ≠	Notification Type \$	Notifications Status		Creation Date	Update Date #	Updater User Id #	
	B	BPUT06150041 BPUT06150041	GRI14072022018 GRI14072022018	ABVRATWW004 OCSDATWWXXX	BPUT BPUT	VOLU	ATG551012127 ATG551012127	WITH	Impacted Rejected	#RUCT#INTFO05 The s.	26/07/2022 19:32:34:6. 26/07/2022 19:28:35:5.	26/07/2022 19:32:34:6.		
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	Ø	BPUT06150G41	GRI14072022018	OCSDATWWXXX	BPUT	VOLU	ATG551012127	WITH	Rejected	SwiftIntegrator-0004; #	26/07/2022 19:20:38:0.	26/07/2022 19:20:38:0	. CS0User	
	E	BPUT05150041 GRH0707202201T	GRH0707202201B GRH0707202201A	OCSDATWWXXX OCSDATWWXXX	BPUT EXOF	VOLU	ATG620000004	WITH	Impacted Impacted			14/07/2022 17:23:35:6. 07/07/2022 15:14:09:7.		
	В	xkssHt1	HREV983	OCSDATWWXXX	BIDS	VOLU	AT0000A0DXH1	WITH	Impacted			09/03/2022 14:16:22:1.		
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CA Cancellat	ion Advice (seev.039) – List Screen						
Field	Description						
Message	Shows the identifier of the message.						
Identifier	Displayed format is: Max. 35 characters.						
CA CSD	[Only for notifications Received]						
Event Ref.	Shows the Reference of the CA Event given by the CSD.						
	Displayed format is: Max. 35 characters.						
CA ECMS	[Only for notifications Sent]						
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.						
	Displayed format is: Max. 35 characters.						
Sender Ad-	[Only for notifications Received]						
dress	Shows the BIC address of the sender of the message.						



	[Only for notifications Sent]						
dress	Shows the BIC address of the receiver of the message.						
ISIN	Shows the ISIN of the security concerned by the CA Event.						
CA Event	Shows the type of CA Event.						
Туре	Displayed format is: Max. 4 characters.						
Notification	Shows the status of the notification from possible values:						
Status	- Impacted						
	– Impact Failed						
	- Rejected						
	- Archived						
	- Processed						
	- Processed with Failure						
Participation	Shows if the CA Event is:						
Туре	- MAND - Mandatory						
	- CHOS - Mandatory with Choice						
	- VOLU - Voluntary						
Cancellation	Enter the code of the Cancellation Reason of the CA Event from the possible val-						
Reason Code	ues:						
	- WITH - Withdrawal						
	- PROC - Processing						
Error De- scription	Shows the description of the error (if it has occurred)						
Creation	Shows the date and time of the creation of the CA Notification.						
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Update Date	Shows the date and time of the last update of the CA Notification.						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Updater User	Shows the identification of the user that made the last update of the CA Notifica-						
Id	tion.						
After selecting	g a record, the following buttons are displayed						
Button	Function						
Download	This function enables the user to download a copy of the message.						
Reprocess	[Only for notifications Received]						
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).						
Reprocess	[Only for notifications Received]						
All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).						

### 3.4.5.1.9 CA Instruction Cancellation Request (seev.040)

The ECMS receives Corporate Action Instruction Cancellation Request (CAIC) from the Counterparty to request the cancellation of a previously sent corporate action instruction.



The ECMS sends a Corporate Action Instruction (CAIC) message to the CSD in order to cancel a previously sent corporate action instruction.

## 3.4.5.1.9.1 CA Instruction Cancellation Request (seev.040) - Search screen

Context of Usage	This screen contains a num can search for the CA Instru		s. By inputting the relevant dat n Request Received / Sent.	ta, you
	The search results will be d ceed further by clicking on		After selecting an entry, you care shown.	n pro-
Screen Ac-	For CA Instruction Cancellati	ion Request Receive	d:	
cess	Corporate Actions >> Messa Instruction Cancellation Re	0	> Incoming Messages >> Moniteev.040)	tor CA
	For CA Instruction Cancellati	ion Request Sent:		
	Corporate Actions >> Messa Instruction Cancellation Re	0	> Outgoing Messages >> Monit	tor CA
Screenshot	For CA Instruction Cancellati	ion Request Receive	d:	
	Monitor CA Instruction Cuncellation Request Received (seev 040) Monitor CA Instruction Cuncel	liation Request Sent (serv 040)		
	Search			**
	Message Reference ▼	CA CSD Event Ref ▼	Linkage Ref 🔻	E
	Sender Address ▼	Asset Account Id ▼	ISIN ▼	
	CA Event Type ▼	Option Type •	Option Number •	
	Notifications Status •	Creation Date ▼		
	"D Renat			Q Search
	For CA Instruction Cancellati	ion Paguast Cant		
		,		
	Montor CA Instruction Cancellation Request Received (seer/\$40) Montor CA Instruction Cancel Search	Bistion Request Sent (seev 040)		# 2
	Message Id	Linkage Ref ▼	CA ECMAS Event id	E
	Receiver Address ▼	Asset Account Id •	ISIN ▼	
	CA Event Type ♥	Option Type •	Option Number 🕶	
	Notifications Status → ▼	Creation Date ▼	0	
	© Resse			Q Search

CA Instruction	on Cancellation Request (seev.040) – Search screen						
Field	Description						
Message	Enter the identifier of the message.						
Identifier	Required format is: Max. 35 characters.						
Linkage Ref.	Enter the identifier of the CA Instruction previously sent by the Counterparty.						
	Required format is: Max. 35 characters.						
CA CSD	[Only for notifications Received]						
Event Ref.	Enter the Reference of the CA Event given by the CSD.						
	Required format is: Max. 35 characters.						
CA ECMS	[Only for notifications Sent]						
Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be						
	used by counterparties.						
	Required format is: Max. 35 characters.						



Sender Ad-	[Only for notifications Received]						
dress	Enter the BIC address of the sender of the message.						
Receiver Ad-	[Only for notifications Sent]						
dress	Enter the BIC address of the receiver of the message.						
Asset Account Id.	Enter the identifier of the T2S Securities Account where financial instruments are maintained.						
	Required format is: Max. 35 characters.						
ISIN	Enter the ISIN of the security concerned by the CA Event.						
CA Event	Enter the type of CA Event.						
Type	Required format is: Max. 4 characters.						
Option Type	Enter the CA Option Type chosen by the Counterparty.						
Option Num- ber	Enter the CA Option Number chosen by the Counterparty.						
Notification	Enter / Select the status of the notification from possible values:						
Status	– Impacted						
	- Impact Failed						
	- Rejected						
	- Archived						
	- Processed						
	- Processed with Failure						
Creation Date	Enter the reference date of the creation of the CA Instruction Cancellation Request Received / Sent or use the calendar icon.						
	Required format is: DD/MM/YYYY hh:mm:ss:µs						
Button	Function						
Reset	This function enables you to clear the form.						
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.						
	Next screen:						
	- CA Instruction Cancellation Request (seev.040)— List Screen.						

# 3.4.5.1.9.2 CA Instruction Cancellation Request (seev.040) – List Screen

Context of	This screen contains the list of CA Instruction Cancellation Request.
Usage	The seev.040 listed correspond to the search criteria capture in the search screen.
	The list displayed can be sorted and filter using by the field displayed.
	The list can be exported.
Screen Ac-	For CA Instruction Cancellation Request Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Received (seev.040) >> Click on the search button (after inputting the relevant data, if needed)
	For CA Instruction Cancellation Request Sent:



			ion Ca ing the			•			v.040	) >> Cl	ick on	the se	earch	butt
eenshot	For C	A Instr	uction	Cancel	llatio	ı Reau	est Rec	reived						
	1 0, 01	1 1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		· · · · · · · · · · · · · · · · · · ·			007 2101		•					
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	Message Refere		CA CSD Event Ref. 9	Sender Address 🗢 🖓	CA Event Type 0	▽ Option Type Φ	Asset Account ld. 9	Option Number ©	♥ ISIN #	Notifications State	Error Description 🖘		Updater User id \$	Creation Dar
	AARRAZACA889	AZAAARR0229z	INTR0000000165	ABVRATWW008	TEND	SECU	ABVR008(AA01	002	AT0000A1MCA9	Impact Failed	MegaCor-0137: BR	25/10/2021 13:12:3.		25/10/2021
	☐ g10220210001 ☐ AARRA2ACA882	6102021134005 42444RR02292	BIDS0000000291	ABVRATWW004 ABVRATWW008	BIDS	CASH	OCSDTPAREFERENC.	. 001	AT0000A1ASR4	Impact Failed	MegaCor-0086: 8R MegaCor-0137: 8R	09/10/2021 00:09:1. 27/09/2021 12:20:0		27/09/2021 0
	AARRAZACABBY  AARRAZACABBY	A2AAAHHU2292 A2AAAHH0229y	INTRO000000165	ABVIRATIWWOOS ARVIRATIWWOOS	TEND	SECU	ABVHOUSIAADI ABVBOOSIAADI	002	ATOOOGATMCA9	Impact Failed	MegaCor-0137: BR MegaCor-0086: BR	27/09/2021 12:200.		27/09/2021
	AARRAZACA88	AZAAARROZ8	INTR0000000175	ABVRATIWW008	TEND	SECU	ABVR008IAA01	002	AT0000A1MCA9	Impact Failed	PALM-01247: A probl.	24/09/2021 09:18:5.		24/09/2021 0
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	☑ AARRAZACA884	1234a2a	INTR0000000175	ABVRATWW008	TEND	SECU	ABVROSEAADI	002	ATTOOOGA1MCA9	Impact Failed	MegaCor 0137: BR	24/09/2021 09:09:4.	ABVR A2A001	24/09/2021 (
	AARRAZACA4	1234a2a 1002050	TEND00000000005	ABVRATWW008	TEND	SECU	ABVROOBIAA01 ABVROOBIAA01	002	AT0000A1MCA9	Impact Failed	MegaCor-0137: BR	08/09/2021 16:08:2.		08/09/2021
	For CA	A Instr	uction	Cancel	llatio	ı Requ	est Ser	1t:						
	For CA	A Instr	uction	Cancel	llatio	ı Requ	est Sei	ıt:						
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	List  10   Message Id. 0	▽ Linkage Ref. ¢	▽ CA ECMS Event	id Ф⊽ Receiver Addre	ss o 💎 CA Event	fype ◆ ▽ Cption Ty	pe •	umber 0 🔻 Asse			ooffications Status <b>V</b> Err		Update Date 9 5	Updater User I
	List  10   Message id. 0	© Linkage Pef. ◆	CA ECMS Event	id ♦▽ Receiver Addre	ss • 👽 CA Event	Type •	pe ● ♥ Option N	tumber © Asse	MNIBUS A	T0000A0DXH1 Pr	ocessed		09/03/2022 17:49:46:	Updater User I ATCB034
	List  10   Message Id. 6  125  124	♥ Linkage flef, ♦ 123 122	CA ECMS Event BID5000000545 BID5000000545	id ♦♥ Receiver Addre OCSDATWWXXXX OCSDATWWXXXX	ss • 💎 CA Event OX BIDS OX BIDS	Type •	pa ♦	tumber • 🔻 Associ	INIBUS A	T0000A0DXXH1 Pr T0000A0DXXH1 Pr	ocessed ocessed		09/03/2022 17:49:46: 09/03/2022 17:49:15:	Updater User ATCB034 ATCB034
	List  10   10   10   125  124  121	© Linkuge Ref. 9 123 122 120	CA ECMS Event BID5000000545 BID500000545	Receiver Addres OCSDATWWXXX OCSDATWWXXX OCSDATWWXXX	as 0 V CA Event  X BIDS  X BIDS  X BIDS	Fype •	per ♥	Aron Aron Aron	INIBUS A INIBUS A INIBUS A	T0000A0DXH1 Pr T0000A0DXH1 Pr	ocessed ocessed ocessed		09/03/2022 17:49:46: 09/03/2022 17:49:15: 09/00/2022 14:22:27:	Updater User ATCB034 ATCB034 ATCB034
	List  10   Message Id. 6  125  124	♥ Linkage flef, ♦ 123 122	CA ECMS Event BID5000000545 BID5000000545	id ♦♥ Receiver Addre OCSDATWWXXXX OCSDATWWXXXX	CA Event  CX BIDS  CX BIDS  CX BIDS  CX BIDS  CX BIDS	Type •	pa ♦	ATON ATON ATON ATON ATON ATON ARVE	INIBUS A INIBUS A INIBUS A INIBUS A INIBUS A	T0000A0DXH1 P1 T0000A0DXH1 P1 T0000A0DXH1 P2 T0000A1EEY2 P1	ocessed ocessed		09/03/2022 17:49:46: 09/03/2022 17:49:15:	ATCB034 ATCB034 ATCB034 STP
	List  10   Message Id 0  125  124  129  100  100  104	© Linkuago Ref. © 123 122 120 107	CA ECMS Event BID5000000545 BID5000000545 BID5000000545	Receiver Addres  OCSDATWIXXXX  OCSDATWIXXXX  OCSDATWIXXXX  OCSDATWIXXXX  OCSDATWIXXXX	SS ♦ ♥ CA Event  X 8DS  X 8DS  X 8DS  X 8DS  X 8DS  X 8DS  X 8DS	Fype •	per •	Aumber • V Association Action	INIBUS A INI	T0000A0DXH1 PI T0000A0DXH1 PI T0000A10DXH1 PI T0000A1EEY2 PI T0000A1EEY2 PI	ocessed ocessed ocessed		09/03/2022 17:49:46: 09/03/2022 17:49:15: 09/03/2022 14:22:27: 15/02/2022 13:45:58: 15/02/2022 13:10:25:	ATCB034 ATCB034 ATCB034 STP STP
	List  10   Message Id. 6  125  124  2	© Linkuago Ref. © 123 122 120 107	CA ECMS Event BID5000000545 BID5000000545 BID5000000546 BID50000000484 BID50000000483	Receiver Addres  OCSDATWIXXXX  OCSDATWIXXXX  OCSDATWIXXXX  OCSDATWIXXXX  OCSDATWIXXXX	SS ♦ ♥ CA Event  X 8DS  X 8DS  X 8DS  X 8DS  X 8DS  X 8DS  X 8DS	Fype •	per •	Aumber • V Association Action	INIBUS A INI	T0000A0DXH1 PI T0000A0DXH1 PI T0000A10DXH1 PI T0000A1EEY2 PI T0000A1EEY2 PI	ocessed  ocessed  ocessed  ocessed  ocessed		09/03/2022 17:49:45: 09/03/2022 17:49:15: 09/03/2022 18:25:71 15/02/2022 18:45:58: 15/02/2022 18:10:25: 08/02/2022 09:21:02:	ATCB034 ATCB034 ATCB034 ATCB034 STP STP

CA Instruction	n Cancellation Request (seev.040) – List screen						
Field	Description						
Message	Shows the identifier of the message.						
Identifier	Displayed format is: Max. 35 characters.						
Linkage Ref.	Shows the identifier of the CA Instruction previously sent by the Counterparty.						
	Displayed format is: Max. 35 characters.						
CA CSD	[Only for notifications Received]						
Event Ref.	Shows the Reference of the CA Event given by the CSD.						
	Displayed format is: Max. 35 characters.						
CA ECMS	[Only for notifications Sent]						
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.						
	Displayed format is: Max. 35 characters.						
Sender Ad-	[Only for notifications Received]						
dress	Shows the BIC address of the sender of the message.						
Receiver Ad-	[Only for notifications Sent]						
dress	Shows the BIC address of the receiver of the message.						
Asset Account Id.	Shows the identifier of the T2S Securities Account where financial instruments are maintained.						
	Displayed format is: Max. 35 characters.						
ISIN	Shows the ISIN of the security concerned by the CA Event.						
CA Event	Shows the type of CA Event.						
Туре	Displayed format is: Max. 4 characters.						
Option Type	Shows the CA Option Type chosen by the Counterparty.						



Ontion Num	Charge the CA Ontion Number shoon by the Countements
ber	Shows the CA Option Number chosen by the Counterparty.
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	- Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Error De- scription	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting	g a record, the following buttons are displayed
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received]
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess	[Only for notifications Received]
All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

#### 3.4.5.1.10 CA Instruction Cancellation Request Status Advice (seev.041)

The ECMS receives a Corporate Action Instruction Cancellation Request Status Advice from the CSD to receive status updates of a Corporate Action Instruction Cancellation Request message previously sent from ECMS to the CSD.

The ECMS sends a Corporate Action Instruction Cancellation Request Status Advice to the Counterparty to inform about the current status of a Corporate Action Instruction Cancellation Request message (seev.040) previously sent to the CSD or about the rejection of it by the ECMS.

### 3.4.5.1.10.1 CA Instruction Cancellation Request Status Advice (seev.041)- Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Instruction Cancellation Request Status Advice Received / Sent.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Ac-	For CA Instruction Cancellation Request Status Advice Received:
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Status Advice Received (seev.041)
	For CA Instruction Cancellation Request Status Advice Sent:





CA Instruction	Cancellation Request Status Advice (seev.041)– Search screen
Field	Description
Message Iden-	Enter the identifier of the message.
tifier	Required format is: Max. 35 characters.
Linkage Ref.	Enter the identifier of the CA Instruction Cancellation Request previously sent by the Counterparty.
	Required format is: Max. 35 characters.
CA CSD Event	[Only for notifications Received]
Ref.	Enter the Reference of the CA Event given by the CSD.
	Required format is: Max. 35 characters
CA ECMS	[Only for notifications Sent]
Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Required format is: Max. 35 characters.
Sender Ad-	[Only for notifications Received]
dress	Enter the BIC address of the sender of the message.
Receiver Ad-	[Only for notifications Sent]
dress	Enter the BIC address of the receiver of the message.
Account Account Id.	Enter the identifier of the T2S Securities Account where financial instruments are maintained.
	Required format is: Max. 35 characters.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event	Enter the type of CA Event.
Туре	Required format is: Max. 4 characters.
Option Type	Enter the CA Option Type chosen by the Counterparty.



Option Num- ber	Enter the CA Option Number chosen by the Counterparty.
Notification	Enter / Select the status of the notification from possible values:
Status	– Impacted
	– Impact Failed
	– Rejected
	- Archived
	- Processed
	- Processed with Failure
Creation Date	Enter the reference date of the creation of the CA Instruction Cancellation Request Status Advice Received / Sent or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	– CA Instruction Cancellation Request Status Advice (seev.041) – List Screen.

# 3.4.5.1.10.2 CA Instruction Cancellation Request Status Advice (seev.041) – List Screen

Context of	This screen contains the list of CA Instruction Cancellation Request Status Ad-						
Usage	vice.  The seev.041 listed correspond to the search criteria capture in the search screen.						
	The list displayed can be sorted and filter using by the field displayed.						
	The list can be exported.						
Screen Ac-	For CA Instruction Cancellation Request Status Advice Received:						
cess	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Status Advice Received (seev.041) >> Click on the search button (after inputting the relevant data, if needed)						
	For CA Instruction Cancellation Request Status Advice Sent:						
	Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Cancellation Request Status Advice Sent (seev.041) >> Click on the search button (after inputting the relevant data, if needed)						
Screenshot	For CA Instruction Cancellation Request Status Advice Received:						
	List						
	E   000319132   GT   0005049971   0010ATHW0XXX   005   0.5494   0.055759A2003   001   10004 Falet   Mappior 1 0015 M, 00150221 0 00052.   STP   0.055027 1 0015 M, 00150221 0 0015 M, 00150221 M, 0015022 M, 00150221 M, 00150221 M, 00150221 M, 00150221 M, 00150221 M, 00150221 M, 0015022 M, 00150221 M, 0015022 M, 00150221 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 0015022 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M, 001502 M,						
	For CA Instruction Cancellation Request Status Advice Sent:						
	20         Message M €         V   Lested Me						



CA Instruction	on Cancellation Request Status Advice (seev.041) – List screen
Field	Description
Message	Shows the identifier of the message.
Identifier	Displayed format is: Max. 35 characters.
Linkage Ref.	Shows the identifier of the CA Instruction Cancellation Request previously sent by the Counterparty.
	Displayed format is: Max. 35 characters.
CA CSD	[Only for notifications Received]
Event Ref.	Shows the Reference of the CA Event given by the CSD.
	Displayed format is: Max. 35 characters
CA ECMS	[Only for notifications Sent]
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Displayed format is: Max. 35 characters.
Sender Ad-	[Only for notifications Received]
dress	Shows the BIC address of the sender of the message.
Receiver Ad-	[Only for notifications Sent]
dress	Shows the BIC address of the receiver of the message.
Account Account Id.	Shows the identifier of the T2S Securities Account where financial instruments are maintained.
	Displayed format is: Max. 35 characters.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event	Shows the type of CA Event.
Туре	Displayed format is: Max. 4 characters.
Option Type	Shows the CA Option Type chosen by the Counterparty.
Option Num- ber	Shows the CA Option Number chosen by the Counterparty.
Error De- scription	Shows the description of the error (if it has occurred)
Notification	Shows the status of the notification from possible values:
Status	- Impacted
	- Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Update Date	Shows the date and time of the last update of the CA Instruction Cancellation Request Status Advice Received / Sent.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.



Updater User Id	Shows the identification of the user that made the last update of the CA Instruction Cancellation Request Status Advice Received / Sent.	
After selecting	g a record, the following buttons are displayed	
Button	Function	
Download	This function enables the user to download a copy of the message.	
Reprocess	[Only for notifications Received]	
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).	
Reprocess	[Only for notifications Received]	
All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).	

### 3.4.5.1.11 CA Movement Preliminary Advice Cancellation Advice (seev.044)

The ECMS receives from the CSDs Corporate Action Movement Preliminary Advice Cancellation Advice to cancel a Corporate Action Movement Preliminary Advice (CAPA) previously sent to the ECMS.

The ECMS processes the cancelation of the posting and afterwards forwards the message to the entitled Counterparties.

### 3.4.5.1.11.1 CA Movement Preliminary Advice Cancellation Advice (seev.044) - Search screen

Context of Usage	This screen contains a you can search for the Received / Sent.			·		
	The search results wi	1 2			can	
Screen Ac-	For CA Movement Pre	liminary Advice	Cancellation Advi	ice Received:		
cess	Corporate Actions >> CA Movement Prelim	0	O	0		
	For CA Movement Pre	liminary Advice	Cancellation Advi	ice Sent:		
	Corporate Actions >> CA Movement Prelim	0	0	0	nitor	
Screenshot	For CA Movement Preliminary Advice Cancellation Advice Received:					
	Monitor CA Movement Proliminary Advice Carcellation Advice Received (seek Search		History Advice Settl (seets D44)		* ~	
	Message Id ▼	CA CSD Event Ref.  CA Event Type		Asset Account Id   Mandatory Voluntary  Indicator	•	
	Notifications Status ♥	▼ Sender Address	• •	Creation Date ▼		
	□ Reset				Q Search	
	For CA Movement Pre	liminaru Advice	Cancellation Adm	ice Sout		
	Tor Critiviovement i re	Mention CA Movement Professionary Advisor Canacill	uton Advice Seet (seev.Odd). O	ece Seiii.		
	Search				# △	
	Message Id ▼.  CA Event Type ▼	CA ECMS Event Id. •• ▼  Participation Type •• ▼	Asset Account Id ▼  Notifications Status ▼	ISIN ▼  Receiver Address ▼		
	Creation Date ••• ▼	Mandatory Voluntary → ▼ Indicator				
	□ Reset				Q Search	



	ent Preliminary Advice Cancellation Advice (seev.044) – Search screen
Field	Description
Message	Enter the identifier of the message.
Identifier	Required format is: Max. 35 characters.
CA CSD	[Only for notifications Received]
Event Ref.	Enter the Reference of the CA Event given by the CSD.
	Required format is: Max. 35 characters.
CA ECMS	[Only for notifications Sent]
Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
	Required format is: Max. 35 characters.
Asset Account Id.	Enter the identifier of the T2S Securities Account where financial instruments are maintained.
	Required format is: Max. 35 characters.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event	Enter the type of CA Event.
Туре	Required format is: Max. 4 characters.
Participa-	Enter if the CA Event is:
tion Type	– MAND – Mandatory
	- CHOS - Mandatory with Choice
	- VOLU - Voluntary
Notification	Enter / Select the status of the notification from possible values:
Status	- Impacted
	- Impact Failed
	- Rejected
	- Archived
	- Processed
	- Processed with Failure
Sender Ad-	[Only for notifications Received]
dress	Enter the BIC address of the sender of the message.
Receiver	[Only for notifications Sent]
Address	Enter the BIC address of the receiver of the message.
Creation Date	Enter the reference date of the creation of the CA Movement Preliminary Advice Cancellation Advice Received / Sent or use the calendar icon.
	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.



Next screen:

- CA Movement Preliminary Advice Cancellation Advice (seev.044)— List Screen.

## 3.4.5.1.11.2 CA Movement Preliminary Advice Cancellation Advice (seev.044) – List Screen

Usage	This screen contains the list of CA Movement Preliminary Advice Cancellation Advice.								
	The see	v.044 listed	d corresp	ond to t	he searc	h criteri	ia capture	in the search	screen.
	The list	displayed	can be so	orted an	d filter u	ising by	the field (	displayed.	
	The list	can be exp	orted.						
Screen Ac-	For CA	Movement	Prelimina	ry Advi	ce Cancell	lation A	dvice Recei	ved:	
cess	CA Mor Click on For CA	vement Pront the search Movement ate Actions vement Pro	eliminary h button <i>Prelimina</i> s >> Mess eliminary	Advice (after in <i>ry Advie</i> ages Ma Advice	e Cancell putting ce Cancell onitoring e Cancell	lation A the rele lation A g >> Out lation A	Advice Recovant data, dvice Sent: tgoing Me	ssages >> Mo eived (seev.04 if needed) ssages >> Mo t (seev.044) >>	14) >> nitor
Screenshot		search butt Movement	•						
Screenshot	List  18 V  IX Message federence IX 1300001	CACOUTOMINE S CALDERING S CALD	Type 9 V ISBN 9 ALIXIMATERIAL	Asset Account to 9 AnnitrassAccou	Perversal Pleason Code \$   Sen	der Address \$ \$ \$ Northical series of the Months of	ntions Status 6.1 tree Omerapion 8  Magicar 0153 No seal		Q Q Q Q Q Q Q Q Q Q Q Q Q Q Q Q Q Q Q
	List: Outgoing seev.04-	4		K (	Page 1 of2 > >			Q 15	exportSearchitesut 🕅 🖓
		CA (CMM) (word id.	CAEvent Type © SE'UT INTR INTR INTR SE'UT	Notification Type REPE REPE REPE REPE REPE REPE REPE REP	Nover Account M.      ABVPIONIAADI     ABVPIONIAADI	TSRN 6 AT6551012127 AT6600000006 AT6100000128 AT610000128 AT6551012127 AT6551012127 AT650000128 AT6300011115 AT6100000128	Notifications Steam 9	or Cescription 8 7 Uspidate Date 8 18:00:00:2022 17:20:207-800 18:00:00:2022 00:95 17:0487 28:07:00:202 00:95 17:0487 28:07:00:202 14:221-1775 18:07:2022 00:20:20:20 18:07:00:202 14:20:202 18:07:00:202 14:20:202 28:07:00:202 14:20:202 28:07:00:202 14:20:202 28:07:00:202 14:20:202 28:07:00:202 14:20:202 28:07:00:202 14:20:202 28:07:00:202 14:20:202 28:07:00:202 14:20:202 28:07:00:202 00:202 14:30:202 28:06:202 14:30:202 28:06:202 14:30:202 28:06:202 14:30:202	Dynaster User Id   CEMSOperational DaySched.  EEMSOperational DaySched.

CA Movement Preliminary Advice Cancellation Advice (seev.044) – List screen		
Field	Description	
Message	Shows the identifier of the message.	
Identifier	Displayed format is: Max. 35 characters.	
CA CSD	[Only for notifications Received]	
Event Ref.	Shows the Reference of the CA Event given by the CSD.	
	Displayed format is: Max. 35 characters.	
CA ECMS	[Only for notifications Sent]	
Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.	
	Displayed format is: Max. 35 characters.	



Asset Account Id.	Shows the identifier of the T2S Securities Account where financial instruments are maintained.	
	Displayed format is: Max. 35 characters.	
ISIN	Shows the ISIN of the security concerned by the CA Event.	
CA Event	Shows the type of CA Event.	
Туре	Displayed format is: Max. 4 characters.	
Notification	Shows the status of the notification from possible values:	
Status	– Impacted	
	– Impact Failed	
	- Rejected	
	- Archived	
	- Processed	
	- Processed with Failure	
Sender Ad-	[Only for notifications Received]	
dress	Shows the BIC address of the sender of the message.	
Receiver	[Only for notifications Sent]	
Address	Shows the BIC address of the receiver of the message.	
Error De- scription	Shows the description of the error (if it has occurred)	
Update	Shows the date and time of the last update of the CA Notication.	
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.	
After selecti	ng a record, the following buttons are displayed	
Button	Function	
Download	This function enables the user to download a copy of the message.	
Reprocess	[Only for notifications Received]	
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).	
Reprocess	[Only for notifications Received]	
All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).	

# 3.4.6 MegaCor Setup

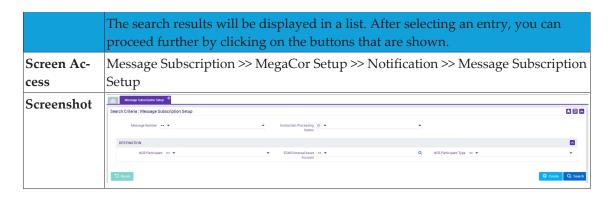
### 3.4.6.1 Notification

# 3.4.6.1.1 Message Subscription Setup

# 3.4.6.1.1.1 Message Subscription Setup – Search Screen

Context of	This screen contains a number of search fields. By inputting the relevant data,
Usage	you can search for the Message Subscription Setup.





Message Subscri	iption Setup – Search screen
Field	Description
Message Num-	Enter / Select the type of the notification from possible values:
ber	- Seev.001
	- Seev.002
	- Seev.003
	- Seev.006_InstrSts
	- Seev.006_CxlSts
	- Seev.007
	- Seev.008
	- Seev.031
	- Seev.032
	- Seev.034
	- Seev.035
	- Seev.035 Reversal
	- Seev.036
	- Seev.037
	- Seev.039
	- Seev.041
	- Seev.044
Instruction Pro-	Enter / Select one or more of the following options:
cessing Status	- Accepted
	Accepted for further processing
	- Cancellation Completed
	- Cancellation Rejected
	- Cancelled
	- Default Action
	- Pending
	- Pending Cancellation
	- Rejected
	- Standing Instruction



DESTINATION					
NCB Participant	Enter / Select the RIAD Code that identifies the counterparty in the ECMS.				
	Required format is: Max. 30 characters.				
ECMS Internal	Enter the unique ECMS Counterparty Asset Account reference.				
Asset Account	Required format is: Max. 35 characters.				
NCB Participant	Enter / Select the type of the NCB Participant from possible values:				
Type	- Counterparties				
	- Cross NCBs				
	– Non-euro Area CBs				
Button	Function				
Reset	This function enables you to clear the form.				
Create	This function enables you to create a new Message Subscription Setup.				
	Next screen:				
	– Message Subscription Setup – Create Screen.				
Search	This function enables you to start a search according to the filled in criteria.				
	The results are displayed in a list on the List Screen.				
	Next screen:				
	– Message Subscription Setup – List Screen.				

# 3.4.6.1.1.1.1 Message Subscription Setup – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.								
Screen Access	tion Set	Message Subscription >> MegaCor Setup >> Notification >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed)							
Screenshot	Search Result: Message		Context  Context  Ternal Asset Accounty NCB Participant \$	C Page 1 of 2 >	Notify ♥	Result  ☐ Creation User ID ◆	□ Creation Date ♥	Q 1  □ Usdane Date 9	7) * 🖾 🖟 🖯 () 🖓
					true true true true true true true true	admin admin admin admin admin admin admin admin admin admin admin admin admin admin admin admin admin	27/01/2022 17:29:51-434 27/01/2022 17:29:51-434 27/01/2022 17:29:50 0878 27/01/2022 17:29:50 0878 27/01/2022 17:29:50 075 27/01/2022 17:29:50 0424 27/01/2022 17:29:50 0424 27/01/2022 17:29:50 0424 27/01/2022 17:29:40 541	27/01/2021 7:29 51 484 27/01/2021 7:29 51 484 27/01/2021 7:29 51 681 27/01/2021 7:29 50 678 27/01/2021 7:29 50 678 27/01/2021 7:29 50 735 27/01/2021 7:29 50 424 27/01/2021 7:29 50 669 27/01/2021 7:29 40 541 Makeyy  ■ Deb	Corporate Action Interfaction Corporate Action Confirmation Corporate Action Confirmation Corporate Action Interfaction Corpor

Message Subscription Setup – List Screen				
Field	Description			
Message Num-	Shows the type of the notification from possible values:			
ber	- Seev.001			
	- Seev.002			
	- Seev.003			
	- Seev.006_InstrSts			
	- Seev.006_CxlSts			
	- Seev.007			
	- Seev.008			



1					
	- Seev.031				
	- Seev.032				
	- Seev.034				
	- Seev.035				
	- Seev.035 Reversal				
	- Seev.036				
	- Seev.037				
	- Seev.039				
	- Seev.041				
	- Seev.044				
Instruction	Shows from the following options:				
Processing	- Accepted				
Status	Accepted for further processing				
	- Cancellation Completed				
	- Cancellation Rejected				
	- Cancelled				
	- Default Action				
	- Pending				
	- Pending Cancellation				
	- Rejected				
	- Standing Instruction				
Context					
ECMS Internal	Shows the unique ECMS Counterparty Asset Account reference.				
Asset Account	Displayed format is: Max. 35 characters.				
NCB Parti-	Shows the RIAD Code that identifies the counterparty in the ECMS.				
cipant	Displayed format is: Max. 30 characters.				
NCB Parti-	Shows the type of the NCB Participant from possible values:				
cipant Type	- Counterparties				
	- Cross NCBs				
	– Non-euro Area CBs				
Result					
Notify	Shows if the flag Notify is activated, from possible values:				
	- Yes				
	- No				
Creation User Id	Identifier of the user responsible for the creation of the instruction.				
Creation Date	Date and time when the instruction was created in the ECMS.				
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.				
Update Date	Date and time when the instruction was last updated in the ECMS.				
	Shows format is: DD/MM/YYYY hh:mm:ss:µs.				



Updater User Id	Identifier of the user responsible for the last update of the instruction.					
After selecting a record, the following buttons are displayed						
Button	Function					
History	This function enables you to access to the historical information of a Message Subscription Setup.					
	Next screen:					
	– Message Subscription Setup – History Screen.					
Edit	This function enables you to edit a Message Subscription Setup.					
	Next screen:					
	– Message Subscription Setup – Edit Screen.					
View	This function enables you to access to the detailed information of a Message Subscription Setup.					
	Next screen:					
	– Message Subscription Setup – Details Screen.					
Delete	This button allows you to delete the selected record.					

## 3.4.6.1.1.1. Message Subscription Setup – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Message SubscriptionSetup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul> <li>Message Subscription &gt;&gt; MegaCor Setup &gt;&gt; Notification &gt;&gt; Message Subscription Setup &gt;&gt; Click on the search button (after inputting the relevant data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the <i>History</i> button</li> </ul>
	<ul> <li>Message Subscription &gt;&gt; MegaCor Setup &gt;&gt; Notification &gt;&gt; Message Subscription Setup &gt;&gt; Click on the search button (after inputting the relevant data, if needed) &gt;&gt; Select a record &gt;&gt; Click on the View button (Message Subscription Setup – Details Screen) &gt;&gt; Click on the History Button</li> </ul>
Screenshot	horizontalHistoryResult : CA Client Reporting SLA History  Q

Message Subscription Setup – History Screen						
Field	Description					
Fields	Shows the fields whose information have been updated since the creation of the selected Message Subscription Setup.					
Timestamp	Shows the date and time when the fields have been updated					
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.					
Button	Description					
Compare	Shows the differences between the selected records whose data have been updated.					
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.					



Show All	Shows the information that existed before each modification of all the fields regarding the selected record.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.

# 3.4.6.1.1.1.2 Message Subscription Setup – Details Screen

Context of Usage	This screen displays detailed information on the selected Message Subscription Setup. You can check the data and proceed further by clicking on the buttons.					
Screen Access	Message Subscription >> MegaCor Setup >> Notification >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button					
Screenshot	Search Result: Message Subscription Setup ) Edit : Message Subscript context  Message Humber  Investig  DESTINATION	ion Setup		Hazory Z &		
	ESAIS Internal Asset Account  result  Nontransor Tempine ()	NCE Pericipent Norty Norty Norty Norty	NCB Participant Type	×		

Message Subscription Setup – Details Screen								
Button	Description							
History	This function enables you to access to the historical information of a Message Subscription Setup.							
	Next screen:							
	- Message Subscription Setup - History Screen.							
Context								
Field	Description							
_	Shows the type of the notification from possible values:							
ber	- Seev.001							
	- Seev.002							
	- Seev.003							
	- Seev.006_InstrSts							
	- Seev.006_CxlSts							
	- Seev.007							
	- Seev.008							
	- Seev.031							
	- Seev.032							
	- Seev.034							
	- Seev.035							
	- Seev.035 Reversal							
	- Seev.036							



	- Seev.037					
	- Seev.039					
	- Seev.041					
	- Seev.044					
DESTINATION	N					
NCB Parti-	Shows the RIAD Code that identifies the counterparty in the ECMS.					
cipant	Displayed format is: Max. 30 characters.					
ECMS Internal	Shows the unique ECMS Counterparty Asset Account reference.					
Asset Account	Displayed format is: Max. 35 characters.					
NCB Parti-	Enter / Select the type of the NCB Participant from possible values:					
cipant Type	– Counterparties					
	- Cross NCBs					
	– Non-euro Area CBs					
Result						
Notifiy	Shows if the flag Notify is activated, from possible values:					
	- Yes					
	- No					
Button	Function					
Notification Template	This function enables you to access the subsection Notification Template.					
Notification Te	emplate					
_	ditional Notification Template are displayed, if you select one record and click following fields are displayed.					
Template	Shows the template to be used.					
	Displayed format: Freetext					
Message Re- cipient	Shows Message Recipient from possible values:					
	- Account Owner					
	- Refinancing NCB					
Purpose	Shows Purpose from possible values:					
	- Securities Settlement					
-						

# 3.5 Support Functionalities

## 3.5.1 Pool Position

The ECMS provides the Counterparty and the NCB user with information on the Counterparty Pool positions. The Pool position is either the actual position based on the current value of the Counterparty Pool or is a position at a specific ECMS Business date in the past (the maximum business days in the past is set as a system parameter).

The Pool position can be viewed via several queries:

Pool Overview, for the current ECMS Business Date, available to the Counterparty or NCB user:



- Provides an overview of all the Counterparty Pools for an NCB user
- Provides an overview of all its Pools for a Counterparty.
- Pool Position History, for the current ECMS Business Date or Past ECMS Business Date, available to the Counterparty or NCB user:
- Provides an overview of all the Counterparty Pools on a specific Business Date for an NCB user.
- Provides an overview of all its Pools on a specific Business Date for a Counterparty.
- Actual / Past Pool Position, for the current ECMS Business Date or Past ECMS Business
   Date, available to the Counterparty or NCB user:
- Provides an overview of a single Counterparty Pool on a specific Business Date for a Counterparty or an NCB user.
- Consolidated Pool Position, for the current ECMS Business Date or Past ECMS Business Date, available to the NCB user.
- Consolidated Pool Position on Banking Group level, for the current ECMS Business Date or Past ECMS Business Date, available to the Counterparty designated as the Manager of the Banking Group or the NCB of the Counterparty designated as the Manager of the Banking Group.

#### 3.5.1.1 Pool Overview – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Pool Overview for a specific Pool for the current ECMS Business Date.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.						
Screen Access	Collateral and Cı	redit >> Po	ol Overview >> 1	Pool Overvi	iew >> Display	y	
Screenshot	Display: Counterparty Pool  Pool Identifier   Pool Type   Valety Start Date   Thesast	Ď	Pool in Margin Call  Vallety End Date •• •	Ů	Counterparty • • • Is Active • • • •	Q Search	

Pool Overview – Search screen					
Field	Description				
Pool Identifier	Enter/Select the unique identifier of the Pool provided by the system				
	Required format is: Max. 16 characters.				
Pool Reference	Enter/Select the reference of the Pool given by the refinancing NCB.Required format is: Max. 255 characters.				
Counterparty	Enter/Select the unique RIAD identifier of the Counterparty				
RIAD Id*	Required format is: Max. XX characters.				
Pool Type	Enter/Select the type of Pool				
	Either "ELA" or "SCP"				
Pool in Margin	Enter/Select whether the Pool is subject to a Margin Call				
Call	Displayed format is: "YES" or "NO"				
Is Active	Enter/Select whether the Pool is active or not.				



	Displayed format is: "YES" or "NO"
Validity Start	Enter the Validity Start Date of the Pool. The calendar icon can also be used.
Date	Required format is: DD/MM/YYYY
Validity End	Enter the Validity End Date of the Pool. The calendar icon can also be used.
Date	Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	• Pool Overview – List Screen.

### 3.5.1.1.1 Pool Overview – List screen

Context of Usage										riew disp king on			
Screen Access										w >> Di f needed		>> C	lick
Screenshot	List Pools Overville    Pool Identifies:   Pools Overville   Pool Identifies:   Pools Overville   Pool 0000000	Pool Type  SCP SCP SCP SCP SCP SCP SCP SCP SCP SCP	Counterparty © FRIB129 FRIB129 FRIB129 FRIB129 FRIB129 FRIB129 FRIB129 FRIB129 FRIB129 FRIB129 FRIB129	Total Collate(  10002777.78 11466777.78 0 10000000 0 0 10000000 10000000 100000000	SCP Pool Total Credit © 7 00000000 54306730.78 0 21043599.74 42461538.46 4500000 0 150000 3000000	ECL •	SCP Pool   Poo	ool in Margic? ELA:	ELA Poc Collaterato  0  0  0  0  0  0  0  0  0  0	# 15 Active # 15 Active # 15 Active # 2 16 A	SCP Pool Is Valuated © Ves Ves Ves Ves Ves Ves Ves Ves Ves Ves	7 Update Date \$7 16/05/2022 14 16/05/2022 13 16/05/2022 07 16/05/2022 07 16/05/2022 07 16/05/2022 07	FRCBJobSche ECMS OPERAT ECMSOperatio ECMSOperatio ECMSOperatio ECMSOperatio ECMSOperatio ECMSOperatio ECMSOperatio ECMSOperatio

Pool Overview – List screen					
Field	Description				
Pool Identifier	Shows the unique identifier of the Pool provided by the system				
	Displayed format is: Max. 16 characters.				
Pool Type	Shows the type of Pool				
	Either "ELA" or "SCP"				
Is Active	Shows whether the Pool is active or not.				
	Displayed format is: "YES" or "NO"				
Counterparty	Shows the unique RIAD identifier of the Counterparty				
RIAD Id	Displayed format is: Max. XX characters.				
SCP					
Total Collat- eral Value	Shows the Total Pool Collateral value				
	Displayed format is: Max. XX characters.				
Total Credit Value	Shows the Total Credit value				
	Displayed format is: Max. XX characters.				

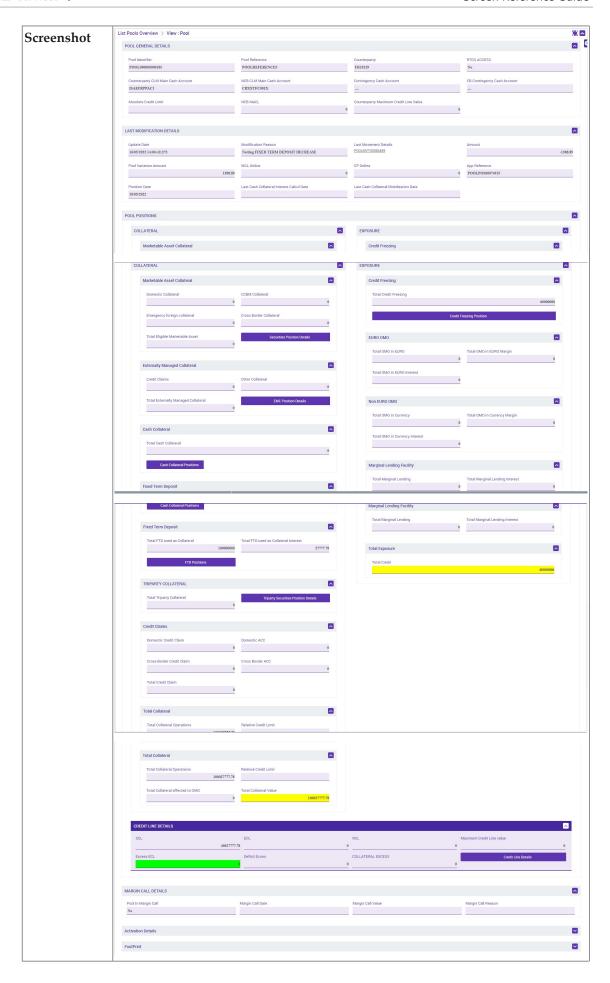


Total Collat-	Shows the Total Collateral Excess value .This corresponds to the collateral ex-						
eral Excess	cess in case the Credit Line is capped by a MaCL or an Absolute Credit limit.						
	The collateral not used following the cap is stocked in this field.						
	Displayed format is: Max. XX characters.						
SCL	Shows the Suggested Credit Line						
	Displayed format is: Max. XX characters.						
Pool in Margin	Shows whether the Pool is subject to a Margin Call						
Call	Displayed format is: "YES" or "NO"						
Is Valuated	Flag to show whether the Pool is valuated. Shows if the position was successfully valuated or not during the valuation process.						
	Displayed format is: "YES" or "NO"						
Is Locked	Flag to show whether the Pool is locked.						
	Displayed format is: "YES" or "NO"						
ELA							
Total ELA Col-	Shows the Total ELA Pool Collateral value						
lateral Value	Displayed format is: Max. XX characters.						
Total ELA	Shows the Total ELA Credit value						
Credit Value	Displayed format is: Max. XX characters.						
Counterparty	Shows Counterparty CLM Cash Account linked to the Pool.						
CLM Cash Account	Displayed format is: Max. XX characters.						
Update Date	Shows the Updated Date of the Position						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs						
Updater User	Shows the Updater User Id						
Id	Displayed format is: Max. XX characters.						
After selecting a	a record, the following buttons are displayed						
Button	Description						
View	This function enables you to access to the detailed information of a Pool Overview.						
	Next screen:						
	Pool Overview – Details Screen.						

# 3.5.1.1.1.1 Pool Overview – Details Screen

Context of	This screen displays the details of the Pool View for a selected Pool.
Usage	
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool Overview &gt;&gt; Pool Overview &gt;&gt; Click on the</li> </ul>
	search button (after inputting the relevant fields, if needed) >> Select a Pool
	>> Click on the View button







Pool Overview	– Details screen
Field	Description
Pool Position I	*
Pool Identifier	Shows the unique identifier of the Pool provided by the system
	Displayed format is: Max. 16 characters.
Pool Reference	Shows the reference of the Pool given by the refinancing NCB.
	Displayed format is: Max. 255 characters.
Counterparty	Shows the unique RIAD identifier of the Counterparty
RIAD Id	Displayed format is: Max. XX characters.
Counterparty	Shows the Counterparty BIC code to whom the Pool belongs
BIC	Displayed format is: Max. XX characters.
Counterparty	Shows the Name of the Counterparty to whom the Pool belongs
Name	Displayed format is: Max. XX characters.
Counterparty	Shows the Counterparty Blocked Status.
Blocked Status	In case the Counterparty Blocked Status is "YES" and the Projection Date is between the Blocked Start Date and the Blocked End Date, the field has "Blocked", otherwise blank.
	Displayed format is: Max. XX characters
CLM Access	Flag indicating use of CLM
	Either "YES" or "NO"
Counterparty CLM Main	Shows the linked Counterparty CLM Main Cash Account Displayed format is: Max. XX characters.
Cash Account	
NCB CLM	Shows the linked NCB CLM Main Cash Account
Main Cash Account	Displayed format is: Max. XX characters.
	Shows the Relative Credit Limit
Limit	Displayed format is: Max. XX characters.
Absolute	Shows the Absolute Credit Limit
Credit Limit	Displayed format is: Max. XX characters.
NCB MaCL	Shows the NCB Maximum Credit Limit
	Displayed format is: Max. XX characters.
Counterparty	Shows the Counterparty Maximum Credit Limit
MaCL	Displayed format is: Max. XX characters.
Position Date	Shows the Position Date of the Pool
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Last Modificati	on Details
Update Date	Shows the Updated Date of the Pool
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Modification Reason	Shows the event that has resulted in the update of the Pool Position. Possible values:



	- Add Cash Collateral
	- Add Cash Collateral  - Cancel Credit Freezing
	- Cash Collateral Interest
	- Cash Collateral Interest Decrease
	- Cash Collateral Interest Increase
	- Cash Collateral Out
	- Collateral Settlement
	- Credit Freezing Decrease
	- Credit Freezing Increase
	- Delivery of Collateral
	Displayed format is: Max. XX characters.
Last Move- ment Details	Shows the details on the event that has resulted in the update of the Pool Position.
ment Details	
Last Move-	Displayed format is: Max. XX characters.  Shows the amount of the Last Movement.
ment Amount	
Pool Variation	Displayed format is: Max. XX characters.  Shows the amount of the Pool Variation.
Amount	
MCL Online	Displayed format is: Max. XX characters.  Shows the amount of the Modify Credit Line
WICE Offinie	Shows the amount of the Modify Credit Line.  Displayed format is: Max. XX characters.
CP Online	Shows the amount of the Connected Payment.
Ci Omne	Displayed format is: Max. XX characters.
Application	Shows the application reference that uniquely identifies the instruction.
Reference	Displayed format is: Max. XX characters.
Number	Displayed format is. Max. 707 characters.
Position Date	Shows the Position Date of the Pool
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Last Cash Col-	Shows the Last Cash Collateral Interest Calculation Date
lateral Interest Calcul Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Last Cash Col-	Shows the Last Cash Collateral Mobilisation Date
lateral Mobil- isation Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Collateral Deta	ils
Domestic Eli-	Shows the Domestic Eligible Marketable Asset value.
gible Market- able Asset	Displayed format is: Max. XX characters.
CCBM Eligible	Shows the CCBM Eligible Marketable Asset value.
Marketable Asset	Displayed format is: Max. XX characters.
Emergency	Shows the Emergency Eligible Marketable Asset value.
Eligible Mar- ketable Asset	Displayed format is: Max. XX characters.



Total Market- able Assets	Shows the Total Mobilised Marketable Assets Collateral value.
Collateral	Displayed format is: Max. XX characters.
	Shows the Total Domestic Standard Credit Claims value
Standard Credit Claims	Displayed format is: Max. XX characters.
Total CCBM	Shows the Total CCBM Standard Credit Claims value
Standard Credit Claims	Displayed format is: Max. XX characters.
	Shows the Total Domestic Additional Credit Claims value
Additional Credit Claims	Displayed format is: Max. XX characters.
Total CCBM	Shows the Total CCBM Additional Credit Claims value
Additional Credit Claims	Displayed format is: Max. XX characters.
Total Extern-	Shows Total Externally Managed Credit Claims value
ally Managed Credit Claims	Displayed format is: Max. XX characters.
Total Extern-	Shows the Total Externally Managed Collateral apart from Credit Claims value
ally Managed Collateral apart from	Displayed format is: Max. XX characters.
Credit Claims	
Total Extern-	Shows Total Externally Managed Additional Credit Claims
ally Managed Additional Credit Claims	Displayed format is: Max. XX characters.
Total Credit	Shows Total Credit Claims value
Claims	Displayed format is: Max. XX characters.
Total Triparty	Shows the Total Triparty Collateral value
Collateral	Displayed format is: Max. XX characters.
Total Cash	Shows Total mobilised Cash Collateral value
Collateral	Displayed format is: Max. XX characters.
Total Fixed-	Shows the Total Fixed-Term Deposit (FTD) collateral value
Term Deposit used as Collateral	Displayed format is: Max. XX characters.
Total Interest	Shows the Total Interest Fixed-Term Deposit (FTD) used as collateral value
for Fixed-Term	Displayed format is: Max. XX characters.
Deposit used as Collateral	
Total Collat-	Total Collateral affected to OMO value =
eral affected to OMO	sum of the Collateral affected to OMO
	Displayed format is: Max. XX characters.
Relative Credit	Relative Credit Limit in percentage (%)
Limit in per- centage (%)	Displayed format is: Max. XX characters.
certage (70)	



Total Collateral Value before Relative Credit Limit	Shows Total Collateral Value before Relative Credit Limit Displayed format is: Max. XX characters.
Total Collat- eral Value	Shows Total Collateral Value after application of the Relative Credit Limit Displayed format is: Max. XX characters.
Collateral Ratio	Collateral Ratio =  Total Collateral / Total Collateral Used (Open Market Operations + Marginal Lending operations + Credit Freezing operations) * 100  Displayed format is: Max. XX characters.
Credit Details	
Total Credit Freezing	Shows Total Credit Freezing Displayed format is: Max. XX characters.
Total Open Market Credit Operation (Euro)	Shows Total Open Market Credit Operations (OMO) – Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation Margin (Euro)	Shows Total Open Market Credit Operations (OMO) Margin – Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation In- terest (Euro)	Shows Total Open Market Credit Operations (OMO) Interest – Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation (non-Euro)	Shows Total Open Market Credit Operations (OMO) – non-Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation Margin (non- Euro)	Shows Total Open Market Credit Operations (OMO) Margin – non-Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation In- terest (non- Euro)	Shows Total Open Market Credit Operations (OMO) Interest – non-Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Fixed- Term Deposit not used as Collateral	Shows the Total Fixed-Term Deposit (FTD) not used as Collateral value Displayed format is: Max. XX characters.
Total Interest for Fixed-Term Deposit not used as Collat- eral	Shows the Total Interest Fixed-Term Deposit (FTD) not used as Collateral value Displayed format is: Max. XX characters.



T . 11 G OD	CI T - LVG OD
Total MLOR	Shows Total MLOR
	Displayed format is: Max. XX characters.
Total MLOR	Shows Total MLOR Interest
Interest	Displayed format is: Max. XX characters.
Total AML	Shows Total AML
	Displayed format is: Max. XX characters.
Total AML In-	Shows Total AML Interest
terest	Displayed format is: Max. XX characters.
Total Credit	Shows sum of the Total Credit
	Displayed format is: Max. XX characters.
Credit Line De	tails
Suggested	Shows Suggested Credit Line (SCL)
Credit Line	Displayed format is: Max. XX characters.
Expected	Shows Expected Credit Line (ECL)
Credit Line	Displayed format is: Max. XX characters.
Real Credit	Shows Real Credit Line (RCL)
Line	Displayed format is: Max. XX characters.
NCB Max-	Shows Optional Maximum Credit line value authorised set by the Refinancing
imum Credit	Central Bank
Line Value	Displayed format is: Max. XX characters.
Counterparty	Shows Optional Maximum Credit line value set by the Counterparty
Maximum	Displayed format is: Max. XX characters.
Credit Line Value	
Amount of	In case the NCB has set an Absolute Limit as a discretionary measure
Absolute	Displayed format is: Max. XX characters.
Credit Limit	Displayed format is. Max. AX characters.
Result	Shows Description of the result: "Sufficient collateral" or "Insufficient collat-
	eral"
	Displayed format is: Max. XX characters
Margin Call Do	etails
O	Shows the Pool in the Margin Call
Call	Displayed format is: Max. XX characters.
Total Pool De-	Shows the Total Pool Deficit
ficit	Displayed format is: Max. XX characters.
Margin Call	Shows the Date/hour of the Margin Call.
Date & Time	Displayed Format is: YYYYMMDD hh:mm:ss:µs
Margin Call Value	Value of the Margin Call
	Displayed format is: Max. XX characters.
Margin Call	Shows the reason of the Margin Call
Reason	Displayed format is: Max. XX characters.
Activation deta	
Is Active	Shows whether the Party is active or not. The possible values are:
	^



	- YES
	- NO
(De)Activation Reason	Shows the reason informed when the Party was (de)activated.
Validity Start	Shows the date from which the Party is active.
Date	Displayed format is: DD/MM/YYYY.
	If the Party is not active (Is Active field = "NO"), this field is empty.
Validity End	Shows the date from which the Party is closed.
Date	Displayed format is: DD/MM/YYYY.
	The Validity End Date must be equal to or later than the current date and later than the Validity Start Date. If the Party is active, this field is empty.
Footprint	
Creation Date	Shows the date and time of the creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created.
Update Date	Shows the date and time of the update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.

<b>Section Button</b>	Description
Credit Freez- ing Position	Section to view the list of the Credit Freezing Position of the Pool.
	Section to view the list of the Securities Position of the Pool.
EMC Position	Section to view the list of the EMC Position of the Pool.
Cash Collateral Positions	Section to view the list of the Cash Collateral Position of the Pool.
FTD Positions	Section to view the list of the FTD Positions of the Pool.
Credit Claims Positions	Section to view the list of the Credit Claims Position of the Pool.
Triparty Securities Positions	Section to view the list of the Triparty Securities Position of the Pool.
Credit Line Details	Section to view the list of the Credit Line Details of the Pool.
Credit Freezing	g Position List - Tab
Field	Description
Process Reference	Shows the Process Reference.  Displayed format is: Max. XX characters.
Pool Id	Shows the identifier that uniquely identifies the Pool.  Displayed format is: Max. 16 characters.



Counterparty	Shows the unique RIAD identifier of the Counterparty
RIAD Id	Displayed format is: Max. XX characters.
Position Date	Shows the Position Date of the Pool
l	Displayed format is: DD/MM/YYYY
Validity Date	Shows the Validity Date of the Pool
l	Displayed format is: DD/MM/YYYY
Position Status	Shows the status of the Position.
Credit Freez-	Shows the Credit Freezing Type.
ing Type	Displayed format is: Max. XX characters.
Amount	Shows the amount.
l	Displayed format is: Max. XX characters.
Currency	Shows "EUR".
Creation Date	Shows the Creation Date of the Pool
l	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the Update Date of the Pool
l	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting	a record, the following buttons are displayed
Button	Description
View	This function enables you to access to the detailed information of a Credit Freezing Position.
l	Next screen:
l	Credit Freezing Position View – Details Screen.
Credit Freezin	g Position View – Details Screen
Field	Description
Position Date	Shows the Position Date of the Pool
1	Displayed format is: DD/MM/YYYY
Cash Position	Shows the Cash Position Id of the Credit Freezing Position.
Id	Displayed format is: Max. XX characters.
Amount	
	Shows the amount.
l	Shows the amount.  Displayed format is: Max. XX characters.
Being Can-	
Being Can- celled	Displayed format is: Max. XX characters.
	Displayed format is: Max. XX characters.  Shows whether the Position is cancelled or not from the possible values:
	Displayed format is: Max. XX characters.  Shows whether the Position is cancelled or not from the possible values:  - YES
celled	Displayed format is: Max. XX characters.  Shows whether the Position is cancelled or not from the possible values:  - YES  - NO
celled	Displayed format is: Max. XX characters.  Shows whether the Position is cancelled or not from the possible values:  - YES  - NO  Shows the identifier that uniquely identifies the Pool.  Displayed format is: Max. 16 characters.
celled Pool Id	Displayed format is: Max. XX characters.  Shows whether the Position is cancelled or not from the possible values:  - YES  - NO  Shows the identifier that uniquely identifies the Pool.  Displayed format is: Max. 16 characters.  Shows the Position Owner Type.
celled Pool Id Position	Displayed format is: Max. XX characters.  Shows whether the Position is cancelled or not from the possible values:  - YES  - NO  Shows the identifier that uniquely identifies the Pool.  Displayed format is: Max. 16 characters.  Shows the Position Owner Type.  Displayed format is: Max. XX characters.
Pool Id Position Owner Type	Displayed format is: Max. XX characters.  Shows whether the Position is cancelled or not from the possible values:  - YES  - NO  Shows the identifier that uniquely identifies the Pool.  Displayed format is: Max. 16 characters.  Shows the Position Owner Type.
Pool Id Position Owner Type Position	Displayed format is: Max. XX characters.  Shows whether the Position is cancelled or not from the possible values:  - YES  - NO  Shows the identifier that uniquely identifies the Pool.  Displayed format is: Max. 16 characters.  Shows the Position Owner Type.  Displayed format is: Max. XX characters.  Shows the nature of the Position from the possible values:



	Displayed format is: Max. XX characters.
	Shows the Position Type.
31	Displayed format is: Max. XX characters.
onco	Shows the Process Reference.
	Displayed format is: Max. XX characters.
Adjustment Rate	Shows the Adjustment Rate.
Amount	Shows the amount.
Currency	Shows "EUR".
Counterparty	Shows the unique RIAD identifier of the Counterparty
RIAD Id	Displayed format is: Max. XX characters.
Convertable	Shows if the Position is convertable from the possible values:
	- YES
	- NO
Conversion Failure Reason	Shows if the reasons for the conversion failure, if this is the case.
Validity Date	Shows the Validity Date of the Pool
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
FTD Identifier	Shows the FTD Identifier.
	Displayed format is: Max. XX characters.
FX	Shows the FX, if this is the case.
	Displayed format is: Max. XX characters.
FX Date	Shows the FX Date of the Pool, if this is the case.
	Displayed format is: DD/MM/YYYY
Is Converted	Shows if the Position is converted from the possible values:
	- YES
	- NO
Is Direct FX	Shows if the Position is Direct FX from the possible values:
	- YES
	- NO
Margin	Shows the Margin amount.
Amount	
Margin Rate	Shows the Margin Rate.
Movement	Shows the Movement Reference.
Reference	Displayed format is: Max. XX characters.
Original Cur- rency	Shows Original Currency.
Process Valuation Type	Shows Process Valuation Type.
Search Date	Shows the Search Date of the Pool
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Simulation Id	Shows the Simulation Id of the Credit Freezing Position.



Valuation Fail- ure Reason Displate Value Date Displate Footprint Creation Date Displate Creation Date Displate Displate Displate Displate Displate Displate	ayed format is: Max. XX characters. s the Valuation Failure Reason of the Credit Freezing Position ayed format is: Max. XX characters. s the Value Date of the Pool ayed format is: DD/MM/YYYY hh:mm:ss:µs
ure Reason Displa Value Date Show Displa Footprint Creation Date Show Displa	ayed format is: Max. XX characters. s the Value Date of the Pool ayed format is: DD/MM/YYYY hh:mm:ss:µs
Footprint Creation Date Show Displa	ayed format is: DD/MM/YYYY hh:mm:ss:μs
Footprint Creation Date Show Displa	
Creation Date Show Displa	
Displa	
	s the date and time of the creation.
Casalian II Cl	ayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User   Show Id	s the identification of the user that created.
Update Date Show	s the date and time of the update.
Displa	ayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Show Id	s the identification of the user that made the last update.
Securities Position D	etails - Tab
Field Descr	iption
Security Show	s the ISIN of the Security
Displa	ayed format is: Max. XX characters.
Quantity Show	s the quantity of the Security
Displa	ayed format is: Max. XX characters.
Value Show	s the value of the Security.
Displa	ayed format is: Max. XX characters.
Is Eligible Show	s if the asset is Eligible or not from the possible values:
- Y	ES
- N	0
Is Matured Show	s if the asset is matured or not from the possible values:
- Y	ES
- N	0
Subject to DL Show	s if the asset is matured or not from the possible values:
- Y	ES
- N	O
Subject to CL Show	s if the asset is matured or not from the possible values:
- Y	ES
- N	O
Position Date Show	s the Position Date of the Pool
Displa	ayed format is: DD/MM/YYYY
Place of Settle- Show	s the CSD of the Place of Settlement
ment Displa	ayed format is: Max. XX characters.
Borrower Nos- Show	s the Borrower Nostro Security Account in the CSD
tro Security Account  Displa	ayed format is: Max. XX characters.
After selecting a recor	rd, the following buttons are displayed



Button	Description
View	This function enables you to access to the detailed information of a Securities
	Position.
	Next screen:
	Securities Position View – Details Screen.
Securities Posi	tion View – Details Screen
Field	Description
Position Date	Shows the Position Date of the Pool
	Displayed format is: DD/MM/YYYY
Amount	Shows the amount.
	Displayed format is: Max. XX characters.
Position	Shows the Position Owner Type.
Owner Type	Displayed format is: Max. XX characters.
Position	Shows the nature of the Position from the possible values:
Nature	– Initiation
	- Return
Position Status	Shows the status of the Position.
	Displayed format is: Max. XX characters.
Position Type	Shows the Position Type.
	Displayed format is: Max. XX characters.
Process Refer-	Shows the Process Reference.
ence	Displayed format is: Max. XX characters.
Value	Shows the value.
Currency	Shows "EUR".
Counterparty	Shows the unique RIAD identifier of the Counterparty
RIAD Id	Displayed format is: Max. XX characters.
Footprint	
Creation Date	Shows the date and time of the creation.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created.
Update Date	Shows the date and time of the update.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
External Manag	ged Position Details - Tab
Field	Description
Process Refer-	Shows the Process Reference.
ence	Displayed format is: Max. XX characters.
Pool Id	Shows the identifier that uniquely identifies the Pool.
	Displayed format is: Max. 16 characters.



Counterparty	Shows the unique RIAD identifier of the Counterparty
RIAD Id	Displayed format is: Max. XX characters.
Position Date	Shows the Position Date of the Pool
	- Displayed format is: DD/MM/YYYY
Validity Date	Shows the Validity Date of the Pool
	- Displayed format is: DD/MM/YYYY
Position Status	- Shows the status of the Position.
Credit Freez-	Shows the Credit Freezing Type.
ing Type	– Displayed format is: Max. XX characters.
Amount	Shows the amount.
	Displayed format is: Max. XX characters.
Currency	Shows "EUR".
Creation Date	Shows the Creation Date of the Pool
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting	a record, the following buttons are displayed
Button	Description
View	This function enables you to access to the detailed information of an Externally Managed Collateral Position.
	Next screen:
	Externally Managed Collateral Position View – Details Screen.
<b>Externally Man</b>	naged Collateral Position View – Details Screen
Field	Description
Position Date	Shows the Position Date of the Pool
2 00142011 2 4.00	Displayed format is: DD/MM/YYYY
Amount	Shows the amount.
	Displayed format is: Max. XX characters.
Position	Shows the Position Owner Type.
Owner Type	Displayed format is: Max. XX characters.
Position	Shows the nature of the Position from the possible values:
Nature	– Initiation
	– Return
Position Status	Shows the status of the Position.
	Displayed format is: Max. XX characters.
Position Type	Shows the Position Type.
	Displayed format is: Max. XX characters.
Process Refer-	Shows the Process Reference.
ence	Displayed format is: Max. XX characters.
Value	Shows the value.
Currency	Shows "EUR".
Counterparty	Shows the unique RIAD identifier of the Counterparty
	Shows the unique RIAD identifier of the Counterparty  Displayed format is: Max. XX characters.



Footprint									
Creation Date	Shows the date and time of the creation.								
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.								
Creation User	Shows the identification of the user that created.								
Id	onows the identification of the user that created.								
Update Date	Shows the date and time of the update.								
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.								
Updater User Id	Shows the identification of the user that made the last update.								
Cash Collatera	l Position Details - Tab								
Field	Description								
Pool Id	Shows the identifier that uniquely identifies the Pool.								
	Displayed format is: Max. 16 characters.								
Position Type	Shows the Position Type, value of Cash Collateral								
Position Date	Shows the Position Date of the Pool								
	Displayed format is: DD/MM/YYYY								
Actual Posi-	Shows the Actual Position								
tion	Displayed format is: Max. XX characters.								
Provisional	Shows the Provisional Position								
Position	Displayed format is: Max. XX characters.								
Conservative	Shows the Conservative Position								
Position	Displayed format is: Max. XX characters.								
After selecting	a record, the following buttons are displayed								
Button	Description								
View	This function enables you to access to the detailed information of a Cash Collateral Position.								
	Next screen:								
	Cash Collateral Position View – Details Screen.								
Cash Collatera	l Position View – Details Screen								
Field	Description								
Actual Posi-	Shows the Actual Position								
tion	Displayed format is: Max. XX characters.								
Provisional	Shows the Provisional Position								
Position	Displayed format is: Max. XX characters.								
Conservative	Shows the Conservative Position								
Position	Displayed format is: Max. XX characters.								
Pool Id	Shows the identifier that uniquely identifies the Pool.								
	Displayed format is: Max. 16 characters.								
Position Date	Shows the Position Date of the Pool								
	Displayed format is: DD/MM/YYYY								
Position Type	Shows the Position Type.								
	Displayed format is: Max. XX characters.								
	•								



Validity Date	Shows the Validity Date of the Pool							
l	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
FTD Position I	Details - Tab							
Field	Description							
Pool Id	Shows the identifier that uniquely identifies the Pool.							
1	Displayed format is: Max. 16 characters.							
Position Type	Shows the Position Type, value of FTD							
Position Date	Shows the Position Date of the Pool							
1	Displayed format is: DD/MM/YYYY							
Actual Posi-	Shows the Actual Position							
tion	Displayed format is: Max. XX characters.							
Provisional	Shows the Provisional Position							
Position	Displayed format is: Max. XX characters.							
Conservative	Shows the Conservative Position							
Position	Displayed format is: Max. XX characters.							
After selecting	a record, the following buttons are displayed							
Button	Description							
View	This function enables you to access to the detailed information of a FTD Posi-							
1	tion.							
l	Next screen:							
	FTD Position View – Details Screen.							
FTD Position V	View – Details Screen							
Field	Description							
Actual Posi-	Shows the Actual Position							
tion	Displayed format is: Max. XX characters.							
Provisional	Shows the Provisional Position							
Position	Displayed format is: Max. XX characters.							
Conservative	Shows the Conservative Position							
Position	Displayed format is: Max. XX characters.							
Pool Id	Shows the identifier that uniquely identifies the Pool.							
l								
	Displayed format is: Max. 16 characters.							
Position Date	Displayed format is: Max. 16 characters.  Shows the Position Date of the Pool							
Position Date								
Position Date Position Type	Shows the Position Date of the Pool							
	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY							
	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY Shows the Position Type.							
Position Type	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY Shows the Position Type. Displayed format is: Max. XX characters.							
Position Type Validity Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY Shows the Position Type. Displayed format is: Max. XX characters. Shows the Validity Date of the Pool							
Position Type Validity Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY Shows the Position Type. Displayed format is: Max. XX characters. Shows the Validity Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Position Type Validity Date Credit Claims	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY Shows the Position Type. Displayed format is: Max. XX characters. Shows the Validity Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs.  Position Details - Tab							



Position Type	Shows the Position Type, value of Credit Claims								
Position Date	Shows the Position Date of the Pool								
	Displayed format is: DD/MM/YYYY								
Actual Posi-	Shows the Actual Position								
tion	Displayed format is: Max. XX characters.								
Provisional	Shows the Provisional Position								
Position	Displayed format is: Max. XX characters.								
Conservative	Shows the Conservative Position								
Position	Displayed format is: Max. XX characters.								
After selecting	a record, the following buttons are displayed								
Button	Description								
View	This function enables you to access to the detailed information of a Credit Claims Position.								
	Next screen:								
	Credit Claims Position View – Details Screen.								
Credit Claims	Position View – Details Screen								
Field	Description								
Credit Claim	Shows the Reference given by the Counterparty								
Reference									
Credit Claim Id	Shows the Eurosystem reference given by the ECMS								
Credit Claim	Shows the Type of Credit Claim.								
Туре	Possible values:								
	- CC								
	- ACC								
Cross-Border	Shows whether the Credit Claims is Cross-Border.								
indicator	Possible values: Y or N								
Counterparty RIAD code	Shows the RIAD code of the Counterparty								
Account Identifier	Shows the Identifier of the account in which the CC are managed								
Debtor Ref	Reference of the debtor known by the Counterparty								
Debtor RIAD Code	RIAD code of the debtor								
Debtor Name	Name of the debtor								
Guarantor Ref	Reference of the garantor known by the Counterparty								
Maturity Date	Maturity date of the Credit claims								
Outstanding Amount	Outstanding amount of the Credit claim								
Actual Posi-	Shows the Actual Position								
tion	Displayed format is: Max. XX characters.								
Provisional	Shows the Provisional Position								
Position	Displayed format is: Max. XX characters.								
L	l = -								



Conservative	Shows the Conservative Position						
Position	Displayed format is: Max. XX characters.						
Pool Id	Shows the identifier that uniquely identifies the Pool.						
	Displayed format is: Max. 16 characters.						
Position Date	Shows the Position Date of the Pool						
	Displayed format is: DD/MM/YYYY						
Position Type	Shows the Position Type.						
	Displayed format is: Max. XX characters.						
Validity Date	Shows the Validity Date of the Pool						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Triparty Securi	ities Position Details - Tab						
Field	Description						
Triparty	Shows the Triparty Transaction Reference						
Transaction Reference	Displayed format is: Max. XX characters.						
Position Date	Shows the Position Date of the Pool						
	Displayed format is: DD/MM/YYYY						
Security	Shows the ISIN of the Security						
	Displayed format is: Max. XX characters.						
Counterparty	Shows the unique RIAD identifier of the Counterparty						
RIAD Id	Displayed format is: Max. XX characters.						
MI Position	Shows the MI Position Owner						
Owner	Displayed format is: Max. XX characters.						
Position	Shows the nature of the Position from the possible values:						
Nature	– Initiation						
	– Return						
Position Status	Shows the status of the Position.						
	Displayed format is: Max. XX characters.						
Position Type	Shows the Position Type, value of Triparty						
Quantity	Shows the quantity of the Position.						
	Displayed format is: Max. XX characters.						
Value	Shows the value of the Position.						
	Displayed format is: Max. XX characters.						
Currency	Shows the currency of the Position.						
	Displayed format is: Max. XX characters.						
Triparty	Shows the Triparty Model of the Position.						
Model	Displayed format is: Max. XX characters.						
Price	Shows the Price of the Position.						
	Displayed format is: Max. XX characters.						
Is Eligible	Shows if the asset is Eligible or not from the possible values:						
-	- YES						
l .	I						



	- NO							
Is Matured	Shows if the asset is matured or not from the possible values:							
	- YES							
	- NO							
Is Valuated	Shows if the asset is valuated or not from the possible values:							
	- YES							
	- NO							
Validity Date	Shows the Validity Date of the Pool							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
After selecting	a record, the following buttons are displayed							
Button	Description							
View	This function enables you to access to the detailed information of a Triparty Securities Position.							
	Next screen:							
	Triparty Securities Position View – Details Screen.							
Triparty Securi	ities Position View – Details Screen							
Field	Description							
Pool Id	Shows the identifier that uniquely identifies the Pool.							
	Displayed format is: Max. 16 characters.							
Position Date	Shows the Position Date of the Pool							
	Displayed format is: DD/MM/YYYY							
Position Type	Shows the Position Type.							
	Displayed format is: Max. XX characters.							
Validity Date	Shows the Validity Date of the Pool							
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.							
Credit Line Pos	sition Details - Tab							
Field	Description							
Transaction	Shows the Transaction Reference.							
Reference	Displayed format is: Max. XX characters.							
Counterparty	Shows the unique RIAD identifier of the Counterparty							
RIAD Id	Displayed format is: Max. XX characters.							
Pool Id	Shows the identifier that uniquely identifies the Pool.							
	Displayed format is: Max. 16 characters.							
Payment Type	Shows the Payment Type, possible values:							
	- MCL							
	- Connected Payment							
Payment	Shows the payment amount.							
Amount	Displayed format is: Max. XX characters.							
Currency	Shows the currency.							
	Displayed format is: Max. XX characters.							



ECMS Refer-	Shows the identifier that uniquely identifies the Credit Line Instruction.						
ence	Displayed format is: Max. XX characters.						
ECMS Description	Shows the description that identifies event underlying the Credit Line Instruction.						
	Displayed format is: Max. XX characters.						
Status	Shows the status of the Credit Line Instruction.						
	Displayed format is: Max. XX characters.						
Update Date	Shows the Update Date of the Credit Line Instruction						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation Date	Shows the Creation Date of the Credit Line Instruction						
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.						
After selecting	a record, the following buttons are displayed						
Button	Description						
View	This function enables you to access to the detailed information of a Credit Line Position.						
	Next screen:						
	Credit Line Position View – Details Screen.						
Credit Line Po	sition View – Details Screen						
Field	Description						
Transaction	Shows the Transaction Reference.						
Reference	Displayed format is: Max. XX characters.						
Pool Id	Shows the identifier that uniquely identifies the Pool.						
	Displayed format is: Max. 16 characters.						
Counterparty	Shows the unique RIAD identifier of the Counterparty						
RIAD Id	Displayed format is: Max. XX characters.						
Counterparty	Shows the Counterparty Cash Account of the Instruction						
Cash Account	Displayed format is: Max. XX characters.						
NCB Cash Ac-	Shows the NCB Cash Account of the Instruction						
count	Displayed format is: Max. XX characters.						
Payment Type	Shows the Payment Type, possible values:						
	- MCL						
	- Connected Payment						
New Credit	Shows the New Credit Line Amount						
Line Amount	Displayed format is: Max. XX characters.						
Credit Line	Shows the Credit Line Amount Variation						
Amount Variation	Displayed format is: Max. XX characters.						
Payment	Shows the Payment Amount						
Amount	Displayed format is: Max. XX characters.						
Status	Shows the status of the Credit Line Instruction.						
	Displayed format is: Max. XX characters.						



ECMS Refer-	Shows the identifier that uniquely identifies the Credit Line Instruction.
ence	Displayed format is: Max. XX characters.
ECMS Description	Shows the description that identifies event underlying the Credit Line Instruction.
	Displayed format is: Max. XX characters.
Update Date	Shows the Update Date of the Pool
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.

# 3.5.1.2 Pool Position History – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a specific Pool.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.							
Screen Access	Pool >> Positions >> Pool Position >> Pool Position History							
Screenshot	Pool Position	Counternarty 🔻	Pool Identifier •	#BA				
	Position Date == ▼	8						
	Advanced Search  Update Date == ▼	Pool Position ID == ▼	Pool Position Date & ▼ Time	<u>~</u>				
	つ Roset			Q Search				

Pool Position History – Search screen						
Field	Description					
Event Reference	Enter the event reference of the Pool Position					
Counterparty	Enter/Select the unique RIAD identifier of the Counterparty					
Pool Identifier	Enter/Select the unique identifier of the Pool provided by the system					
	Required format is: Max. 16 characters.					
Position Date	Enter/Select the Business Date of the Pool Position. Either the current Business Date or a Past Business Date.					
	The calendar icon can also be used.					
	Required format is: DD/MM/YYYY					
Update Date	Enter/Select the Update Date of the Pool Position. Either the current Business Date or a Past Business Date.					
	The calendar icon can also be used.					
	Required format is: DD/MM/YYYY hh:mm:ss:sss					
Pool Position ID	Enter/Select the unique identification of the Pool Position.					
Pool Position Date	Enter/Select the Pool Position Date and Time. Either the current Business					
and Time	Date or a Past Business Date.					
	The calendar icon can also be used.					
	Required format is: DD/MM/YYYY hh:mm:ss:sss					



Button	Function				
Reset	This function enables you to clear the form.				
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.				
	Next screen:				
	• Pool Position History – List Screen.				

## 3.5.1.2.1 Pool Position History – List screen

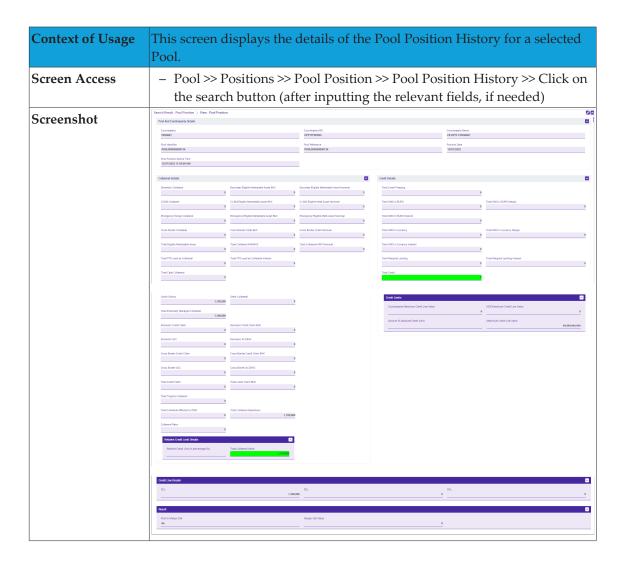
Context of Usage	This screen contains the search results of the Pool position history displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.															
Screen Access	Pool	>> F	ositi	ons>	> Poc	ol Pos	sitio	1 >> I	Pool	Posi	tion His	story	>>	Clio	ck or	ı the
	searcl	h bu	tton	(afteı	inpu	ıtting	the	relev	ant	field	s, if nee	ded)				
Screenshot	Search Resu	ilt : Pool Po	sition													
Scientific	10 ▼					K <		of 1000 >	·							
		Counterpart:	Position Dat.					Total Credit 😜 0				♥ RCL ♦				Update Date #
	POOLPOS001	FR50007	15/07/2022	MLVP3,041,2 MLVP3,041.2		0	0	0	0		0	0	0		ECMSOperati	
		FR50007	15/07/2022	MLVP3,041,2 MLVP3,041,2	ValuationEve	0	1.100.000	0	0		1.100.000	0	0		ECMSOperati	
		FR50007	15/07/2022	MLVP3,041,2	ValuationEve	0	900,000	0	0		900.000	0	0		ECMSOperati	
	POOLPOSOO1	FR50007	15/07/2022	MLVP3,041,2	ValuationEve	0	1,200,000	400,000	0	No	800,000	0	0		ECMSOperati	
	POOLPOS001	FR50007	15/07/2022	MLVP3,041,2	ValuationEve	0	0	0	0	No	0	0	0	0	ECMSOperati	13/07/2022 1
	POOLPOS001	FR50007	15/07/2022	MLVP3,041,2	ValuationEve	0	500,000	0	0	No	500,000	0	0	0	ECMSOperati	13/07/2022 1
	POOLPOS001	FR50007	15/07/2022	MLVP3,041,2	ValuationEve	0	200,000	0	0	No	200,000	0	0	0	ECMSOperati	13/07/2022 1
	POOLPOS001	FR50007	15/07/2022	MLVP3,041,2	ValuationEve	0	1,100,000	410,000	0	No	690,000	0	0	0	ECMSOperati	13/07/2022 1
	POOLPOS001	FR50007	15/07/2022	MLVP3,041,2	ValuationEve	0	0	0	0	No	0	0	0	0	ECMSOperati	13/07/2022 1

<b>Pool Position Histo</b>	Pool Position History – List screen						
Field	Description						
Pool Position ID	Show the unique identifier of the Pool position						
Counterparty	Shows the unique RIAD identifier of the Counterparty						
Position Date	Shows the Date of the Position						
	Displayed format is: DD/MM/YYYY						
Event Reference	Shows the unique event reference of the Pool Position.						
Modification Reason	Shows the modification reason that could be a (Credit Claim Valuation) or a (Valuation Event)						
Pool Variation Amount	Shows the variation of the pool amount between different events						
Total Collateral Value	Shows the value of the total of the collateral						
Total Credit	Shows the total credit value						
COLLATERAL EX- CESS	Show the Collateral excess value when the pool is in margin call						
Pool in Margin Call	Shows whether the Pool is subject to a Margin Call						
	Displayed format is: "YES" or "NO"						
SCL	Shows the Suggested Credit Line value						
ECL	Shows the Expected Credit Line value						
RCL	Shows the Real Credit Line value						
Excess ECL	Shows the excess of the Expected Credit Line value						



Updater User Id	Shows the Updater User Id
Update Date	Shows the Updated Date of the Position
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a re	ecord, the following buttons are displayed
Button	Description
View	This function enables you to access to the detailed information of a Pool Position History.
	Next screen:
	Pool Position History – Details Screen.

#### 3.5.1.2.1.1 Pool Position History – Details Screen



Pool Position History – Details screen	
Field	Description
Pool Position History Details	
Counterparty	Shows the unique RIAD identifier of the Counterparty
Counterparty BIC	Shows the Counterparty BIC code to whom the Pool belongs



Counterparty Name	Shows the Name of the Counterparty to whom the Pool belongs
Pool Identifier	Shows the unique identifier of the Pool provided by the system
	Displayed format is: Max. 16 characters.
Pool Reference	Shows the unique identifier of the Pool given by the refinancing NCB.
	Displayed format is: Max. 255 characters.
Position Date	Shows the Position Date of the Pool
	Displayed format is: DD/MM/YYYY
Pool Position Date	Shows the Position Date and Time of the Pool
& Time	Displayed format is: DD/MM/YYYY hh:mm:ss:sss
Collateral Details	
Domestic Collat- eral	Shows the Domestic Collateral value
Domestic Eligible Marketable Asset BHC	Shows the Domestic Eligible Marketable Asset BHC (Before Haircut) value
Domestic Eligible Marketable Asset Nominal	Shows the Domestic Eligible Marketable Asset Nominal value
CCBM Collateral	Shows the CCBM Collateral value
CCBM Eligible Marketable Asset BHC	Shows the CCBM Eligible Marketable Asset BHC (Before Haircut) value
CCBM Eligible Mktb Asset Nom- inal	Shows the CCBM Eligible Mktb Asset Nominal value
Emergency foreign collateral	Shows the Emergency foreign collateral value
Emergency Eligible Marketable Asset BHC	Shows the Emergency Eligible Marketable Asset BHC (Before Haircut) value
Emergency Eligible Mktb Asset Nom- inal	Shows the Emergency Eligible Mktb Asset Nominal value
Cross Border Collateral	Shows the Cross Border Collateral value
Cross Border Collat BHC	Shows the Cross Border Collat BHC (Before Haircut) value
Cross Border Collat Nominal	Shows the Cross Border Collat Nominal value
Total Eligible Mar- ketable Asset	Shows the Total Eligible Marketable Asset value
Total Collateral AMV BHC	Shows the Total Collateral AMV (Asset Marketable Value) BHC (Before Haircut) value
Total Collateral AMV Nominal	Shows the Total Collateral AMV (Asset Marketable Value) Nominal value



Total FTD used as Collateral	Shows the Total FTD used as Collateral value
Total FTD used as Collateral Interest	Shows the Total FTD used as Collateral Interest value
Total Cash Collateral	Shows the Total Cash Collateral value
Credit Claims	Shows the Credit Claims value
Other Collateral	Shows the Other Collateral value
Total Externally Managed Collat- eral	Shows the Total Externally Managed Collateral value
Domestic Credit Claim	Shows the Domestic Credit Claim value
Domestic Credit Claim BHC	Shows the Domestic Credit Claim BHC (Before Haircut) value
Domestic ACC	Shows the Domestic ACC (Additional Credit Claims) value
Domestic ACC BHC	Shows the Domestic ACC (Additional Credit Claims) BHC (Before Haircut) value
Cross Border Credit Claim	Shows the Cross Border Credit Claim value
Cross Border Credit Claim BHC	Shows the Cross Border Credit Claim BHC (Before Haircut) value
Cross Border ACC	Shows the Cross Border ACC (Additional Credit Claims) value
Cross Border ACC BHC	Shows the Cross Border ACC (Additional Credit Claims) BHC (Before Haircut) value
Total Credit Claim	Shows the Total Credit Claim value
Total Credit Claim BHC	Shows the Total Credit Claim BHC (Before Haircut) value
Total Triparty Collateral	Shows the Total Triparty Collateral value
Total Collateral affected to OMO	Shows the Total Collateral affected to OMO value
Total Collateral Operations	Shows the Total Collateral Operations value
Collateral Ratio	Shows the Collateral Ratio value
Relative Credit Lin	nit Details
Relative Credit Limit in percent- age	Shows the relative credit limit in percentage
Total Collateral Value	Shows the total collateral value
Credit Details	
Total Credit Freezing	Shows the value of the Total Credit Freezing
Total OMO in EURO	Shows the value of the Total OMO in EURO



Total OMO in Currency Interest  Total Marginal Lending Lending Shows the value of the Total Marginal Lending Lending Total Marginal Lending Interest  Shows the value of the Total Marginal Lending Interest  Total Credit Shows the value of the Total Credit  Credit Limits  Counterparty Maximum Credit Line Value  NCB Maximum Credit Line Value  Amount of Absolute Credit Limit  Maximum Credit Line Value  Shows the Amount of Absolute Credit Limit  Maximum Credit Line Value  Credit Line Value  Shows the Maximum Credit Line value  Credit Line Details  SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Real Credit Line  Shows the value of the Real Credit Line				
EURO Interest Total OMO in Currency Total OMO in Currency Total OMO in Currency Margin Total OMO in Currency Margin Total OMO in Currency Interest Total Marginal Lending Total Marginal Lending Interest Total Credit Shows the value of the Total Marginal Lending Interest Total Credit Total Credit Shows the value of the Total Marginal Lending Interest Total Credit Shows the value of the Total Marginal Lending Interest Total Credit Shows the value of the Total Credit Credit Limits Counterparty Maximum Credit Line Value NCB Maximum Credit Line Value Amount of Absolute Credit Limit Maximum Credit Line Value Shows the Amount of Absolute Credit Limit Maximum Credit Line Value Credit Line Value Credit Line Value Shows the Maximum Credit Line value Credit Line Value Credit Line Details SCL Shows the value of the Suggested Credit Line ECL Shows the value of the Real Credit Line Result Pool in Margin Call Indicated if the Pool is margin call or not. Format: Yes / No		Shows the value of the Total OMO in EURO Margin		
Total OMO in Currency Margin  Total OMO in Currency Interest  Total OMO in Currency Interest  Total Marginal Lending  Total Marginal Lending Interest  Shows the value of the Total Marginal Lending Interest Lending Interest  Shows the value of the Total Marginal Lending Interest Lending Interest  Shows the value of the Total Marginal Lending Interest Lending Interest  Shows the value of the Total Credit  Credit Limits  Counterparty Maximum Credit Line Value  NCB Maximum Credit Line Value  Amount of Absolute Credit Limit  Maximum Credit Line Value  Shows the Amount of Absolute Credit Limit  Maximum Credit Line Value  Credit Line Details  SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Real Credit Line  Result  Pool in Margin Call  Indicated if the Pool is margin call or not.  Format: Yes / No		Shows the value of the Total OMO in EURO Interest		
rency Margin Total OMO in Currency Interest Total Marginal Lending Total Marginal Lending Total Marginal Lending Interest Total Marginal Lending Interest Total Credit Shows the value of the Total Marginal Lending Interest Total Credit Credit Limits Counterparty Maximum Credit Line Value NCB Maximum Credit Line Value Amount of Absolute Credit Limit Maximum Credit Line Value  Shows the Amount of Absolute Credit Limit Maximum Credit Line Value  Shows the Maximum Credit Line Value  Shows the Shows the Amount of Absolute Credit Limit  Maximum Credit Line Shows the Maximum Credit Line value  Credit Line Details  SCL Shows the value of the Suggested Credit Line ECL Shows the value of the Expected Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not. Format: Yes / No		Shows the value of the Total OMO in Currency		
rency Interest Total Marginal Lending Total Marginal Lending Total Marginal Lending Interest Total Credit Shows the value of the Total Marginal Lending Interest Total Credit Shows the value of the Total Credit Credit Limits Counterparty Maximum Credit Line Value NCB Maximum Credit Line Value Amount of Absolute Credit Limit Maximum Credit Limit Maximum Credit Line Value Credit Limit Shows the Maximum Credit Line Value  Moss the Amount of Absolute Credit Limit  Maximum Credit Limit Maximum Credit Line Value Credit Line Value Credit Line Value Credit Line Value  Credit Line Value  Credit Line Details SCL Shows the Value of the Suggested Credit Line ECL Shows the value of the Expected Credit Line RCL Shows the value of the Real Credit Line RCL Shows the Value of the Real Credit Line Result Pool in Margin Call Indicated if the Pool is margin call or not. Format: Yes / No	Total OMO in Currency Margin	Shows the value of the Total OMO in Currency Margin		
Lending Total Marginal Lending Interest  Total Credit Shows the value of the Total Marginal Lending Interest  Total Credit Shows the value of the Total Credit  Credit Limits  Counterparty Maximum Credit Line Value  NCB Maximum Credit Line Value  Amount of Absolute Credit Limit  Maximum Credit Line Credit Limit  Maximum Credit Line Value  Shows the Amount of Absolute Credit Limit  Maximum Credit Line Value  Credit Line Details  SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Expected Credit Line  RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not. Format: Yes / No	Total OMO in Currency Interest	Shows the value of the Total OMO in Currency Interest		
Lending Interest  Total Credit  Credit Limits  Counterparty Maximum Credit Line Value  Shows the Counterparty Maximum Credit Line Value  NCB Maximum Credit Line Value  Amount of Absolute Credit Limit  Maximum Credit Line value  Credit Line Value  Shows the Amount of Absolute Credit Limit  Maximum Credit Line value  Credit Line Details  SCL  Shows the value of the Suggested Credit Line  ECL  Shows the value of the Expected Credit Line  RCL  Shows the value of the Real Credit Line  Result  Pool in Margin Call  Indicated if the Pool is margin call or not.  Format: Yes / No	Total Marginal Lending	Shows the value of the Total Marginal Lending		
Credit Limits Counterparty Maximum Credit Line Value  Shows the Counterparty Maximum Credit Line Value  NCB Maximum Credit Line Value  Amount of Absolute Credit Limit  Maximum Credit Line Value  Shows the Amount of Absolute Credit Limit  Maximum Credit Line value  Credit Line Details  SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Expected Credit Line  RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not. Format: Yes / No	Total Marginal Lending Interest	Shows the value of the Total Marginal Lending Interest		
Counterparty Maximum Credit Line Value  NCB Maximum Credit Line Value  Shows the NCB Maximum Credit Line Value  Amount of Absolute Credit Limit  Maximum Credit Line Value  Shows the Amount of Absolute Credit Limit  Maximum Credit Line value  Credit Line Details  SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Expected Credit Line  RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not. Format: Yes / No	Total Credit	Shows the value of the Total Credit		
imum Credit Line Value  NCB Maximum Credit Line Value  Amount of Absolute Credit Limit  Maximum Credit Line value  Shows the Amount of Absolute Credit Limit  Maximum Credit Line value  Credit Line Details  SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Expected Credit Line  RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not. Format: Yes / No	Credit Limits			
Credit Line Value  Amount of Absolute Credit Limit  Maximum Credit Line value  Credit Line Details  SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Expected Credit Line  RCL Shows the value of the Real Credit Line  RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not.  Format: Yes / No	imum Credit Line	Shows the Counterparty Maximum Credit Line Value		
Iute Credit Limit  Maximum Credit Line value  Credit Line Details  SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Expected Credit Line  RCL Shows the value of the Real Credit Line  RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not.  Format: Yes / No		Shows the NCB Maximum Credit Line Value		
Line value  Credit Line Details  SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Expected Credit Line  RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not.  Format: Yes / No	Amount of Absolute Credit Limit	Shows the Amount of Absolute Credit Limit		
SCL Shows the value of the Suggested Credit Line  ECL Shows the value of the Expected Credit Line  RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not.  Format: Yes / No	Maximum Credit Line value	Shows the Maximum Credit Line value		
ECL Shows the value of the Expected Credit Line  RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not.  Format: Yes / No	<b>Credit Line Details</b>	Credit Line Details		
RCL Shows the value of the Real Credit Line  Result  Pool in Margin Call Indicated if the Pool is margin call or not.  Format: Yes / No	SCL	Shows the value of the Suggested Credit Line		
Result  Pool in Margin Call Indicated if the Pool is margin call or not.  Format: Yes / No	ECL	Shows the value of the Expected Credit Line		
Pool in Margin Call Indicated if the Pool is margin call or not.  Format: Yes / No	RCL	Shows the value of the Real Credit Line		
Format: Yes / No	Result			
	Pool in Margin Call	Indicated if the Pool is margin call or not.		
Margin Call Value Shows the Margin Call Value		Format: Yes / No		
	Margin Call Value	Shows the Margin Call Value		

## 3.5.1.3 Banking Group Pool Position – Search Screen

Context of Us-	This screen contains a number of search fields and shows the latest and stored
age	searches. By inputting the relevant data, you can search for a specific Banking
	Group.
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
	This screen is available in the following cases:
	The Pool of Accounts can be accessed by the Counterparty designated
	- as the Manager of the Banking Group and the NCB of the Counterparty
	- designated as the Manager of the Banking Group;



	The Cinale Real can be accessed by the Countermarky designated as
	The Single Pool can be accessed by the Counterparty designated as
	- the Manager of the Banking Group and the NCB of the Counterparty
	- designated as the Manager of the Banking Group.
	This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Pool Position >> Dis-
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Pool Position >> Display Consolidated Banking Group Pool Position
Screen Access Screenshot	play Consolidated Banking Group Pool Position
	play Consolidated Banking Group Pool Position

<b>Banking Group</b>	Pool Position – Search screen
Field	Description
Banking Group	Enter/Select the Banking Group Name
Name	Required format is: Max. XX characters.
Business Date	Enter/Select the Business Date of the Pool Position. Either the current Business Date or a Past Business Date.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	Banking Group Pool Position – List Screen.

## 3.5.1.3.1 Banking Group Pool Position – List screen

Context of Usage	This screen contains the search results of the Pools belonging to a Banking Group displayed in a list, which is sorted by the values of the 'Pool Id' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
	This screen is available in the following cases:
	- The Pool of Accounts can be accessed by the Counterparty designated
	- as the Manager of the Banking Group and the NCB of the Counterparty
	- designated as the Manager of the Banking Group;
	The Single Pool can be accessed by the Counterparty designated as
	- the Manager of the Banking Group and the NCB of the Counterparty
	<ul> <li>designated as the Manager of the Banking Group.</li> </ul>
	This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Pool Position >> Display Consolidated Banking Group Pool Position >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)



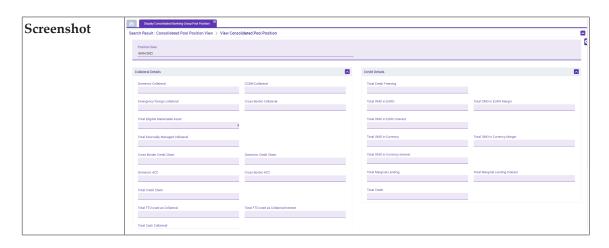


Banking Group Pool Position – List screen		
Field	Description	
Banking Group	Shows the Banking Group Name	
Name	Displayed format is: Max. XX characters.	
Counterparty RIAD Id	Shows a list of the RIAD code of the Counterparties to whom the Pool belongs	
	Displayed format is: Max. XX characters.	
Pool Identifier	Shows the unique identifier of the Pool belonging to the Counterparty	
	Displayed format is: Max. 16 characters.	
Total Pool Col-	Shows the value of the Pool Collateral	
lateral Value	Displayed format is: Max. XX characters.	
Total Credit	Shows the value of the Credit	
Value	Displayed format is: Max. XX characters.	
After selecting a	After selecting a record, the following buttons are displayed	
Button	Description	
View	This function enables you to access to the detailed information of a Banking Group Pool Position.	
	Next screen:	
	Banking Group Pool Position – Details Screen.	

## 3.5.1.3.1.1 Banking Group Pool Position – Details Screen

Context of Usage	This screen displays the consolidated view of the Pool Positions for the Counterparty and Counterparties belonging to a selected Banking Group.
	This screen is available in the following cases:
	- The Pool of Accounts can be accessed by the Counterparty designated
	- as the Manager of the Banking Group and the NCB of the Counterparty
	- designated as the Manager of the Banking Group;
	The Single Pool can be accessed by the Counterparty designated as
	- the Manager of the Banking Group and the NCB of the Counterparty
	- designated as the Manager of the Banking Group.
	This function is available only in U2A mode.
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool Overview &gt;&gt; Positions &gt;&gt; Pool Position &gt;&gt;         Display Consolidated Banking Group Pool Position &gt;&gt; Click on the search         button (after inputting the relevant fields, if needed) &gt;&gt; Select a Pool &gt;&gt;         Click on the View button     </li> </ul>





Paulsing Crass	Pool Position Dataile sensen
Field	Pool Position – Details screen  Description
	-
	Pool Position Details
App Reference	Shows the unique Application Reference of the Pool Position.
Main Reference	Shows the Main Reference of the Pool Position History
Counterparty RIAD Id	Shows a list of the RIAD code of the Counterparties to whom the Pool belongs
Counterparty BIC	Shows the Counterparty BIC code to whom the Pool belongs
Counterparty Name	Shows the Name of the Counterparty to whom the Pool belongs
Modification Reason	Shows the reason for Modification
Pool in Margin	Flag indicating if Margin Call applied for Pool
Call	Either "YES" or "NO"
Collateral Ex- cess	Shows the value of excess Collateral
Position Date	Shows the Position Date of the Pool
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Pool Reference	Shows the unique identifier of the Pool
Absolute Credit Limit	Shows the Absolute Credit Limit
Collateral Detail	ls
Domestic Eli- gible Market- able Asset	Shows the Domestic Eligible Marketable Asset value.
CCBM Eligible Marketable Asset	Shows the CCBM Eligible Marketable Asset value.
Emergency Eli-	Shows the Emergency Eligible Marketable Asset value.
gible Market- able Asset	Displayed format is: Max. XX characters.



Total Fixed- Term Deposit used as Collat- eral	Shows the Total Fixed-Term Deposit (FTD) collateral value
Total Interest for Fixed-Term De- posit used as Collateral	Shows the Total Interest Fixed-Term Deposit (FTD) used as collateral value
Total Externally Managed Credit Claims	Shows Total Externally Managed Credit Claims value
Total Externally Managed Col- lateral apart from Credit Claims	Shows the Total Externally Managed Collateral apart from Credit Claims value
Total Externally Managed Addi- tional Credit Claims	Shows Total Externally Managed Collateral Additional Credit Claims
Total Credit Claims	Shows Total Credit Claims value
Total Triparty Collateral	Shows the Total Triparty Collateral value
Total Cash Collateral	Shows Total mobilised Cash Collateral value
Total Cash Collateral Interest	Shows Total mobilised Cash Collateral Interest value
Relative Credit Limit in per- centage (%)	Relative Credit Limit in percentage (%)
Total Collateral Value before Re- lative Credit Limit	Shows Total Collateral Value before Relative Credit Limit
Total Collateral Value	Shows Total Collateral Value after application of the Relative Credit Limit
Relative Credit Limit	Shows Relative Credit Limit
Credit Details	
Total Credit Freezing	Shows Total Credit Freezing
Total Open Mar- ket Credit Oper- ation (Euro)	Shows Total Open Market Credit Operations (OMO) – Euro value Segregated by type of operations and operation



Total Open Mar- ket Credit Oper- ation Margin (Euro)	Shows Total Open Market Credit Operations (OMO) Margin – Euro value Segregated by type of operations and operation
Total Open Mar- ket Credit Oper- ation Interest (Euro)	Shows Total Open Market Credit Operations (OMO) Interest – Euro value Segregated by type of operations and operation
Total Open Mar- ket Credit Oper- ation (non-Euro)	Degregated by type of operations and operation
Total Open Mar- ket Credit Oper- ation Margin (non-Euro)	Shows Total Open Market Credit Operations (OMO) Margin – non-Euro value Segregated by type of operations and operation
Total Open Mar- ket Credit Oper- ation Interest (non-Euro)	Shows Total Open Market Credit Operations (OMO) Interest – non-Euro value Segregated by type of operations and operation
Total Fixed- Term Deposit not used as Col- lateral	Shows the Total Fixed-Term Deposit (FTD) not used as Collateral value Displayed format is: Max. XX characters.
Total Interest for Fixed-Term De- posit not used as Collateral	Shows the Total Interest Fixed-Term Deposit (FTD) not used as Collateral value
Total MLOR Total MLOR Interest	Shows Total MLOR Shows Total MLOR Interest
Total AML	Shows Total AML
Total AML Interest	Shows Total AML Interest
Total Credit	Shows sum of the Total Credit
Credit Line Deta	ils
Last Modifica- tion Type	Shows the last type of Modification for the Pool Position.
Nbr of MCL	Shows the number of Modify Credit Line for the Pool Position.
СР	Shows the number of Connected Payment for the Pool Position.
Suggested Credit Line	Shows Suggested Credit Line (SCL)
Expected Credit Line	Shows Expected Credit Line (ECL)
Real Credit Line	Shows Real Credit Line (RCL)
NCB Maximum Credit Line Value	Shows Optional Maximum Credit line value authorised set by the Refinancing Central Bank



Counterparty Maximum Credit Line Value	Shows Optional Maximum Credit line value set by the Counterparty
<b>Section Button</b>	Description
Credit Freezing Position	Section to view the list of the Credit Freezing Position of the Pool.
Securities Position Details	Section to view the list of the Securities Position of the Pool.
EMC Position	Section to view the list of the EMC Position of the Pool.
Cash Collateral Positions	Section to view the list of the Cash Collateral Position of the Pool.
FTD Positions	Section to view the list of the FTD Positions of the Pool.
Credit Claims Positions	Section to view the list of the Credit Claims Position of the Pool.
Triparty Securities Positions	Section to view the list of the Triparty Securities Position of the Pool.
Credit Line Details	Section to view the list of the Credit Line Details of the Pool.

## 3.5.1.4 EMC Position

#### 3.5.1.4.1 EMC Position – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a EMC position.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.			
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Display EMC Position			
Screenshot	Search Citeria: SMC Pesition  Position Date • • • Country Info Pesition  Position Date • • • Country Info Pesition  Position Date • • • Country Info Pesition Date • • Country Info Pesition Date • • Country Info Pesition Date • • Country Info Pesition Date • • Country Info Pesition Date • • Country Info Pesition Date • • Country Info Pesition Date • • Country Info Pesition Date • • Country Info Pesition Date • • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date • Country Info Pesition Date •			

EMC Position – Search screen			
Field	Description		
Pool Identifier	Enter the unique identifier of the Pool provided by the system		
	Required format is: Max. 16 characters.		
Counterparty	Enter/Select the unique RIAD identifier of the Counterparty		
EMC Type	Enter the EMC Type.		
Position Date	Enter/Select the Position Date		



	The calendar icon can also be used.
	Required format is: DD/MM/YYYY
Process Reference	Enter the reference of the Process.
Creation Date	Enter/Select the Creation Date of the EMC Position.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY hh:mm:ss: μs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	• EMC Position – List Screen.

## 3.5.1.4.2 EMC Position – List screen

Context of Usage	This screen contains the search results of the EMC position displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.								
Screen Access	Collater	al and (	Credit	>> Pool	Overview :	>> Position	s >> Dis	splay EM	IC Posi-
	tion >> 0	tion >> Click on the <i>search</i> button (after inputting the relevant fields, if							
	needed)	needed)							
C 1 1	Search Result: EMC Position	on							
Screenshot	10 ₩							Qx	●★韓甲目の▽₹
	■ Process Reference ♥	Pool identifier #		▼ EMC Type Φ	∇ Amount      ∇ Curren	icy ¢ Position Date ¢	▽ Validity Date Φ	□ Update Date \$	□ Updater User ld   □ □  □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □
	EMC2021092300058	P00L00000000004	FR50007	Individual ACC	1,000,000,000 EUR	23/09/2021	31/12/9999	23/09/2021 09:50:54:827	ECMS OPERATOR 1
	EMC2021092800080	POOL000000000099	FR50007	cc	100,000,000 EUR	28/01/2021	31/12/9999	27/09/2021 14:30:18:991	ECMS OPERATOR 1
	EMC2021092400078	P00L000000000073	FR20007	Portfolio ACC	120,000,000 EUR	24/09/2021	31/12/9999	24/09/2021 14:49:12:196	ECMS OPERATOR 1
	EMC2021092700002  EMC2021101100101	P00L000000000073	FR20007 FR18129	ec ec	800,000 EUR 100,000,000 FUR	27/09/2021	31/12/9999	27/09/2021 15:14:40:445	ECMS OPERATOR 1
	EMC2021101100101	P00L000000000077	FR18129	00	100,000,000 EUR	11/10/2021	31/12/9999	11/10/2021 17:31:10:854	ECMSOperationalDaySchedul ECMSOperationalDaySchedul
	EMC2021101100104	PO0L00000000077	FR18129	CC	100,000,000 EUR	11/10/2021	31/12/9999	11/10/2021 17:31:12:409	ECMSOperational DaySchedul.
	EMC2021101100105	P00L000000000077	FR18129	CC	100,000,000 EUR	11/10/2021	31/12/9999	11/10/2021 17:31:19:580	ECMSOperational DaySchedul.
	EMC2021101100107	POGL000000000077	FR18129	cc	100,000,000 EUR	11/10/2021	31/12/9999	11/10/2021 17:31:17:073	ECMSOperationalDaySchedul
	EMC2021102200163	P00L000000000082	FR30007	oc	0 EUR	22/10/2021	31/12/9999	19/10/2021 20:55:51:846	ECMS OPERATOR 1
									® View

EMC Position – List screen				
Field	Description			
Process Reference	Shows the unique identifier of the Process.			
Pool identifier	Shows the unique identifier of the Pool provided by the system			
	Displayed format is: Max. 16 characters.			
Counterparty	Shows the unique RIAD identifier of the Counterparty			
EMC Type	Shows the EMC Type of the EMC Position.			
Amount	Shows the amount of the EMC Position.			
Currency	Shows the currency of the EMC Position.			
Position Date	Shows the Date of the Position.			
	Displayed format is: DD/MM/YYYY			
Validity Date	Shows the Validity Date of the EMC Position.			
	Displayed format is: DD/MM/YYYY			
Updater User Id	Shows the Updater User Id.			
Update Date	Shows the Updated Date of the EMC Position.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs			



After selecting a record, the following buttons are displayed			
Button	Description		
View	This function enables you to access to the detailed information of a EMC Position.		
	Next screen:		
	EMC Position – Details Screen.		

#### 3.5.1.4.3 EMC Position – Details Screen

Context of Usage	This screen displays the details of the EMC Position.				
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool Overview &gt;&gt; Positions &gt;&gt; Display EMC</li> </ul>				
	Position >> Click on the search button (after inputting the relevant fields, if needed) >> Select an EMC Position >> Click on the <i>View</i> button				
Screenshot	Search Result: EMC Position > View : EMC Position Pratrion late LinkedSi Amount Interest Inference Interest Inference INCREMINION INCREMINION	Counterparty FRISI29 EMG Type CC Validity Date 310.20896		Pod stuerite Pod stuerite Commey EDIX	E
	FootPrint  Updates Updates  Updates Updates  UKMO/powissamDoylsheledur  Charlot Updates  Charlot Updates  KCMO/powissamDoylsheledur		Update Date III382921 17-5115-599 Creation Date III382921 17-5115-599		

EMC Position – Details screen				
Field	Description			
Position Date	Shows the Date of the Position.			
	Displayed format is: DD/MM/YYYY			
Counterparty	Shows the unique RIAD identifier of the Counterparty.			
Pool identifier	Shows the unique identifier of the Pool provided by the system			
	Displayed format is: Max. 16 characters.			
Amount	Shows the amount of the EMC Position.			
EMC Type	Shows the EMC Type of the EMC Position.			
Currency	Shows the currency of the EMC Position.			
Process Reference	Shows the unique identifier of the Process.			
Validity Date	Shows the Validity Date of the EMC Position.			
	Displayed format is: DD/MM/YYYY			
Footprint				
Field	Description			
Updater User Id	Show the identification of the user that made the last update.			
Update Date	Shows the date and time of the update.			
	Display format is: DD/MM/YYYY hh:mm:ss:µs.			
Creation User Id	Shows the identification of the user that created the EMC Position.			
Creation Date	Show the date and time of the creation.			



Display format is: DD/MM/YYYY hh:mm:ss:µs.

## 3.5.1.5 FTD Position

#### 3.5.1.5.1 FTD Position – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a FTD position.  The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> FTD Position
Screenshot	FTDPositionSearchings: ML Position Detailed  PositionSearchings: ML Posi

FTD Position – Search screen				
Field	Description			
Position Date	Enter/Select the Position Date			
	The calendar icon can also be used.			
	Required format is: DD/MM/YYYY			
Pool Identifier	Enter the unique identifier of the Pool provided by the system			
	Required format is: Max. 16 characters.			
Button	Function			
Reset	This function enables you to clear the form.			
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.			
	Next screen:			
	• FTD Position – List Screen.			

### 3.5.1.5.2 FTD Position – List screen

Context of Usage	This screen contains the search results of the FTD position displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.							
Screen Access		Collateral and Credit >> Pool Overview >> Positions >> FTD Position >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)						
Screenshot	View : ML Position Detail  10 Pool Identifier \$ 9001.60000000000000000000000000000000000	© Countriparty € FISOCOT	▽ Actual Pasition ¢	Provisional Position \$	Conservative Position 2	Position Date 8  auren/2022	♥ Validity Date \$ 21/12/9999	② 1 ☑ () €

FTD Position – List screen				
Field	Description			
Pool identifier	Shows the unique identifier of the Pool provided by the system			



	Displayed format is: Max. 16 characters.				
Counterparty	Shows the unique RIAD identifier of the Counterparty				
Actual position	hows the actual position of the FTD Position.				
Provisional Position	Shows the Provisional Position of the FTD Position.				
Conservative Position	Shows the Conservative Position of the FTD Position.				
Position Date	Shows the Date of the Position.				
	Displayed format is: DD/MM/YYYY				
Validity Date	Shows the Validity Date of the FTD Position.				
	Displayed format is: DD/MM/YYYY				
Position Type	Shows the Position Type of the FTD Position.				
After selecting a rec	ord, the following buttons are displayed				
Button	Description				
View	This function enables you to access to the detailed information of a FTD Position.  Next screen:				
	FTD Position – Details Screen.				

#### 3.5.1.5.3 FTD Position – Details Screen

Context of Usage	This screen displays the details of the FTD Position.				
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool Overview &gt;&gt; Positions &gt;&gt; FTD Position</li> <li>Click on the search button (after inputting the relevant fields, if needed) &gt;&gt; Select an FTD Position &gt;&gt; Click on the <i>View</i> button</li> </ul>				
Screenshot	View: M.R. Position Detailed > View: ML Position Detailed  Actual Position  B Position Type  Find from Deposit  Viding Gree  33120000	Pool docrifer POOLAMOROMOSE Proystoonal Position 6	Promoto Date (Seas-Catt) Conservative Prolition (Seas-Catt)		

FTD Position – Details screen				
Field	Description			
Actual position	Shows the actual position of the FTD Position.			
Pool identifier	Shows the unique identifier of the Pool provided by the system			
	Displayed format is: Max. 16 characters.			
Position Date	Shows the Date of the Position.			
	Displayed format is: DD/MM/YYYY			
Position Type	Shows the Position Type of the FTD Position.			
Provisional Posi-	Shows the Provisional Position of the FTD Position.			
tion				



Conservative Posi-	Shows the Conservative Position of the FTD Position.
tion	
Validity Date	Shows the Validity Date of the FTD Position.
	Displayed format is: DD/MM/YYYY

## 3.5.1.6 Credit Freezing Position

These screens provide information on the Credit Freezing Positions of Pools.

## 3.5.1.6.1 Credit Freezing Position – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a Credit Freezing position.  The search results will be displayed in a list. After selecting an entry, you				
	can proceed further by clicking on the buttons that are shown.				
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Display Credit Freezing Position				
Screenshot	Search Criteria : Credit Freezing Position				
	Pool Identifier → ▼ Counterparty → ▼ Credit Freezing Type → ▼				
	Position Date == ▼ ☐ Process Reference == ▼ Update Date == ▼ ☐				
	2 front				

Credit Freezing Position – Search screen				
Field	Description			
Pool Identifier	Enter the unique identifier of the Pool provided by the system			
	Required format is: Max. 16 characters.			
Counterparty	Enter/Select the unique RIAD identifier of the Counterparty			
	Required format is: Max. 30 characters.			
Credit Freezing	Enter the Credit Freezing Type.			
Туре	Required format is: Max. XX characters.			
Position Date	Enter/Select the Position Date of the Credit Freezing Position.			
	The calendar icon can also be used.			
	Required format is: DD/MM/YYYY			
Process Reference	Enter the reference of the Process.			
	Required format is: Max. XX characters.			
Update Date	Enter/Select the Update Date of the Credit Freezing Position.			
	The calendar icon can also be used.			
	Required format is: DD/MM/YYYY hh:mm:ss: µs			
Button	Function			
Reset	This function enables you to clear the form.			
Search	This function enables you to start a search according to the filled in cri-			
	teria. The results are displayed in a list on the List Screen.			
	Next screen:			



• Credit Freezing Position – List Screen.

## 3.5.1.6.2 Credit Freezing Position – List screen

Context of Usage	This screen contains the search results of the Credit Freezing position displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.								
Screen Access	Freezin	al and Credig Position >> Ineeded)						,	
Screenshot	Search Result : Credit Freezing Position    Search Result : Credit Freezing Position								
	Position Date 6	Pool Semifier   Contretant   ECONS DISC	Currency   100,000 EUR  200,000 EUR  1,215,315 EUR  50,000 EUR  120,000 EUR  120,000 EUR  120,000 EUR  120,000 EUR  6 EUR  9 EUR  9 EUR  1 EUR  1 EUR	Process Reference 2 FF22206680009695 FF222206680009695 FF222205110000686 FF222205110000685 FF222205190008627 FF222205190008627 FF222205190009627 FF22220620000790 FF2222062000790 FF22220620007979 FF22220620007979	Process Valuation Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre. Credit Freezing Incre.	31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999	Vipdate Date © 0806/2022 18:31:52. 0806/2022 18:01.52. 19:01.30.00.00.00.2021 16:01.27. 31:05/2022 11:03:13. 30:05/2022 17:11:51. 30:05/2022 17:11:51. 1905/2022 10:452. 20:05/2022 60:30. 27/04/2022 60:30. 26:04/2022 60:04. 25:04/2022 14:46:26. 11/03/2022 16:14/26.	ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1 ECMS OPERATOR 1	

<b>Credit Freezing Po</b>	sition – List screen
Field	Description
Position Date	Shows the Date of the Position.
	Displayed format is: DD/MM/YYYY
Pool identifier	Shows the unique identifier of the Pool provided by the system
	Displayed format is: Max. 16 characters.
Counterparty	Shows the unique RIAD identifier of the Counterparty
	Displayed format is: Max. 30 characters.
Credit Freezing	Shows the Credit Freezing Type of the Credit Freezing Position.
Туре	Displayed format is: Max. XX characters.
Amount	Shows the amount of the Credit Freezing Position.
	Displayed format is: Max. XX characters.
Currency	Shows the currency of the Credit Freezing Position.
	Displayed format is: Max. XX characters.
Process Reference	Shows the unique identifier of the Process.
	Displayed format is: Max. XX characters.
Process Valuation	Shows the process valuation type of the Credit Freezing Position provided
Туре	by the system.
	Displayed format is: Max. XX characters.
Position Date	Shows the Date of the Position.
	Displayed format is: DD/MM/YYYY
Validity Date	Shows the Validity Date of the Credit Freezing Position.
	Displayed format is: DD/MM/YYYY
Update Date	Shows the Updated Date of the Credit Freezing Position.
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the Updater User Id.



After selecting a record, the following buttons are displayed		
Button	Description	
View	This function enables you to access to the detailed information of a Credit Freezing Position.	
	Next screen:	
	Credit Freezing Position – Details Screen.	

## 3.5.1.6.3 Credit Freezing Position – Details Screen

Context of Usage	This screen displays the details of the Credit Freezing Position.				
Screen Access	<ul> <li>Collateral and Credit &gt;&gt; Pool Overview &gt;&gt; Positions &gt;&gt; Display Credit Freezing Position &gt;&gt; Click on the search button (after inputting the relevant fields, if needed) &gt;&gt; Select an Credit Freezing Position &gt;&gt; Click on the <i>View</i> button</li> </ul>				
Screenshot	Search Result: Credit Freezing Position > View: Credit Freezing Position Date Position Date 13:973201 Credit Freezing Type ECONS Process Valuation Type Credit Freezing Exercise Position Status Seatted FootPrint	Osition Pool Identifier POOL Identifier POOL Identifier Identifier Identifier Identifier Identifier Identifier Identifier Identifier Identifier Identifier Identifier Identifier Identifier Identifier Identifier Identifier	Counterparty FRIGOT  Currency EUR  Position Halare  Tailstoise		

Credit Freezing Position – Details screen			
Field	Description		
Position Date	Shows the Date of the Position.		
	Displayed format is: DD/MM/YYYY		
Pool identifier	Shows the unique identifier of the Pool provided by the system		
	Displayed format is: Max. 16 characters.		
Counterparty	Shows the unique RIAD identifier of the Counterparty.		
	Displayed format is: Max. 30 characters.		
Credit Freezing	Shows the Credit Freezing Type of the Credit Freezing Position.		
Туре	Displayed format is: Max. XX characters.		
Amount	Shows the amount of the Credit Freezing Position.		
Currency	Shows the currency of the Credit Freezing Position.		
Process Valuation	Shows the process valuation type provided by the ECMS of the Credit		
Туре	Freezing Position.		
	Displayed format is: Max. XX characters.		
Process Reference	Shows the unique identifier of the Process.		
	Displayed format is: Max. XX characters.		
Position Nature	Shows the Position Nature of the Credit Freezing Position.		
	Displayed format is: Max. XX characters.		



Position Status	Shows the Position Status of the Credit Freezing Position.		
	Displayed format is: Max. XX characters.		
Footprint			
Field	Description		
Updater User Id	Show the identification of the user that made the last update.		
Update Date	Shows the date and time of the update.		
	Display format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the user that created the Credit Freezing Posi-		
	tion.		
Creation Date	Show the date and time of the creation.		
	Display format is: DD/MM/YYYY hh:mm:ss:µs.		

### 3.5.1.7 Cash Position

These screens provide information on the Cash Positions of Pools.

### 3.5.1.7.1 Cash Position – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a Credit Freezing position.				
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.				
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Cash Position >> Cash Position Details				
Screenshot	Cash Position Details Search Screen  Poul Identifier zz   Counterparty zz   Position Type   Position Type   Position Type   Position Type   Position Type   Position Salus   Pos				

Cash Position – Search screen			
Field	Description		
Pool Identifier	Enter the unique identifier of the Pool provided by the ECMS Required format is: Max. 16 characters.		
Counterparty	Enter/Select the unique RIAD identifier of the Counterparty		
	Required format is: Max. 30 characters.		
Position Date	Enter/Select the Position Date of the Cash Position.		
	The calendar icon can also be used.		
	Required format is: DD/MM/YYYY		
Creation Date	Enter/Select the Creation Date of the Cash Position.		
	The calendar icon can also be used.		
	Required format is: DD/MM/YYYY		



Process Valuation Type	Enter/Select the process valuation type provided by the ECMS of the Cash Position.
	Required format is: Max. XX characters.
Position Type	Enter the Position Type from possible values:
	- Collateral
	– Main
	- Interest
	- Main Standing Facilities
	- Interest Standing Facilities
	- Margin
	- Cash Proceeds
	- Cash Proceeds Interest.
	Required format is: Max. XX characters.
Movement Refer-	Enter the reference of the movement that affects the Cash Position.
ence	Required format is: Max. 30 characters.
Position Nature	Enter / Select the Position Nature from possible values:
	- Initiation
	– Return
	Required format is: Max. XX characters.
Being Cancelled	Enter / Select whether the Cash Position is cancelled or not from possible values:
	– Yes
	- No
	Required format is: Max. XX characters.
Currency	Enter / Select the currency of the amount that increases / decreases the Cash Position.
	Required format is: Max. 3 characters.
Position Status	Enter / Select the status of the Cash Position from the possible values:
	- Instructed
	- Settled
	Required format is: Max. XX characters.
Update Date from	Enter/Select the Start of the Update Date of the Cash Position.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY hh:mm:ss: µs
Update Date to	Enter/Select the End of the Update Date of the Cash Position.
	The calendar icon can also be used.
	Required format is: DD/MM/YYYY hh:mm:ss: µs
Process Reference	Enter the reference of the Process.
	Required format is: Max. XX characters.
Button	Function
Reset	This function enables you to clear the form.



Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	• Cash Position – List Screen.

#### 3.5.1.7.2 Cash Position – List screen

Context of Usage	list. Aft	This screen contains the search results of the Cash position displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.							
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Cash Position >> Cash Position Details >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)								
Screenshot	Search Result : Creci    Pustion Date     Pustion Date     0006/2022   0006/2022   110/5/2022   120/5/2022   120/5/2022   120/5/2022   120/5/2022   120/5/2022   120/5/2022   120/5/2022	Receiping Position	ECONS 20 NCB 15,315,521,31 ECONS 5 DISC 200,995,7 DISC 112 DISC 446	Currency © 0,000 EUR 0,000 EUR	Process Reference 9 CF30226680009947 CF30226680009947 CF30226510009947 CF30226510009965 CF30226510009965 CF30226510009967 CF3022651000997 CF302265100097 CF302264500077 CF30226450077 CF30226450077	Credit Freezing Incre Credit Freezing Incre Credit Freezing Incre	31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999 31/12/9999	Q 58. ★ 1000 Q 58.	ECAS OPERATOR 1 ECAS OPERATOR 1 ECAS OPERATOR 1 ECAS OPERATOR 1 ECAS OPERATOR 1 ECAS OPERATOR 1 ECAS OPERATOR 1 ECAS OPERATOR 1 ECAS OPERATOR 1

Cash Position – List screen			
Field	Description		
Movement Refer-	Shows the reference of the movement that affects the Cash Position.		
ence	Displayed format is: Max. 30 characters.		
Counterparty	Shows the unique RIAD identifier of the Counterparty		
	Displayed format is: Max. 30 characters.		
Pool identifier	Shows the unique identifier of the Pool provided by the system		
	Displayed format is: Max. 16 characters.		
MI Position Owner	Shows the MI Position Owner Type		
Туре	Displayed format is: Max. XX characters.		
Amount	Shows the amount of the Cash Position.		
	Displayed format is: Max. XX characters.		
Currency	Shows the currency of the Cash Position.		
	Displayed format is: Max. 3 characters.		
Process Valuation	Shows the process valuation type provided by the ECMS of the Cash Posi-		
Type	tion.		
	Displayed format is: Max. XX characters.		
Position Date	Shows the Date of the Position.		
	Displayed format is: DD/MM/YYYY		
Validity Date	Shows the Validity Date of the Cash Position.		
	Displayed format is: DD/MM/YYYY		
Position Status	Shows the status of the Position from the possible values:		
	- Instructed		



	- Settled			
	Displayed format is: Max. XX characters.			
Position Type	Shows the Position Type from possible values:			
	- Collateral			
	– Main			
	- Interest			
	- Main Standing Facilities			
	<ul> <li>Interest Standing Facilities</li> </ul>			
	- Margin			
	- Cash Proceeds			
	- Cash Proceeds Interest.			
	Displayed format is: Max. XX characters.			
Position Nature	Shows the nature of the Position from the possible values:			
	- Initiation			
	- Return			
	Displayed format is: Max. XX characters.			
Being Cancelled	Enter / Select whether the Cash Position is cancelled or not from possible values:			
	- Yes			
	- No			
	Required format is: Max. XX characters.			
Creation Date	Shows the Creation Date of the Cash Position.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs			
Update Date	Shows the Updated Date of the Cash Position.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs			
Updater User Id	Shows the Updater User Id.			
Creation User Id	Shows the Creation User Id.			
After selecting a rec	cord, the following buttons are displayed			
Button	Description			
View	This function enables you to access to the detailed information of a Cash Position.			
	Next screen:			
	Cash Position – Details Screen.			

### 3.5.1.7.3 Cash Position – Details Screen

Context of Usage	This screen displays the details of the Cash Position Position.
Screen Access	<ul><li>Collateral and Credit &gt;&gt; Pool Overview &gt;&gt; Positions &gt;&gt; Cash Position</li></ul>
	>> Cash Position Details >> Click on the search button (after inputting
	the relevant fields, if needed) >> Select an Cash Position Position >>
	Click on the <i>View</i> button



nshot	Cash Position Details List Screen > Cash Position	on Details View Screen		/
151101	Position Date	Cash Position Id	Amount	
	15/07/2021	initiation collateral Instructed false POOL000000000008 BDF-FR10007-CASHMOB0		5,000,0
		1		
		Pool Identifier	M. D. W. Community	
	Being Cancelled No	POOL00000000008	MI Position Owner Type	
	50	POOL/100000000003	com.vermeg.services.megalend.pool.Pool	
	Position Nature	Position Status	Position Type	
	Initiation	Instructed	Collateral	
	Process Reference	Addi Hair Cut CC	Adjustment Rate	
	BDF-FR10007-CASHMOB01	Addi Hall Cat Ca	Aujustineni Hate	
	Black Listed	Book Amount	Book Currency	
	No	5,000,000	EUR	
	Counterparty	Close Link Detected	Convertable	
	37	No	No	
		Cqs	Credit Rating Not Eligible	
	Convertion Failure Reason	cqs	No	
	1			
	Convertion Failure Reason	Cqs	Credit Rating Not Eligible	
			No	
	Cross Border Account	Currency	Productive Production	
	Cross Border Account	EUR	Displayed Validity Date	
		LUX.		
	Ftd Identifier	Fx	Fx Date	
		1		
	Hair Cut ACC	Hair Cut CC	Internal Account	
		100		
	Is Converted No.	Is Direct FX	Is Eligible Yes	
		1/0	ies	
	Is Externally Managed Credit Claim	Is Matured	Is Residual Mat Breached	
	No	No	No	
	Margin Amount	Margin Rate	Mark Down CC	
	Margin Autount	maryiii nate	Mark DOWN CC	
	Movement Reference	Original Currency	Process Valuation Type	
	00000024MLMVT	HII	Cash Collateral Increase	
	Search Date	Simulation ID	Total CC Hair Cut	
	Search Date	Simulation ID	Total CC Hair Cut	
	Valuation Failure Reason	Value Date		
	varuation randre neason	Value Date		
	FootPrint			

Cash Position – Details screen							
Field	Description						
Position Date	Shows the Date of the Position.						
	Displayed format is: DD/MM/YYYY						
Cash Position Id	Shows the Cash Position Id of the Cash Position.						
	Displayed format is: Max. XX characters.						
Amount	Shows the amount of the Credit Freezing Position.						
	Displayed format is: Max. XX characters.						
Being Cancelled	Shows whether the Position is cancelled or not from the possible values:						
	- Yes						
	- No						
	Displayed format is: Max. XX characters.						
Pool Identifier	Shows the unique identifier of the Pool provided by the system						
	Displayed format is: Max. 16 characters.						
MI Position Owner	Shows the MI Position Owner Type						
Туре	Displayed format is: Max. XX characters.						
Position Nature	Shows the nature of the Position from the possible values:						
	- Initiation						
	– Return						
	Displayed format is: Max. XX characters.						
Position Status	Shows the status of the Position from the possible values:						



	- Instructed						
	- Settled						
	Displayed format is: Max. XX characters.						
Position Type	Shows the Position Type from possible values:						
	– Collateral						
	- Main						
	- Interest						
	– Main Standing Facilities						
	Interest Standing Facilities						
	– Margin						
	- Cash Proceeds						
	- Cash Proceeds Interest.						
	Displayed format is: Max. XX characters.						
Process Reference	Shows the unique identifier of the Process.						
	Displayed format is: Max. XX characters.						
Additional Hair	Shows the Additional Hair Cut for Credit Claims.						
Cut CC	Displayed format is: Max. XX characters						
Adjustment Rate	Shows the Adjustment Rate.						
	Displayed format is: Max. XX characters.						
Is Excluded	Shows whether it is excluded or not from possible values:						
	– Yes						
	- No						
	Displayed format is: Max. XX characters.						
Book Amount	Shows the Book Amount.						
	Displayed format is: Max. XX characters.						
Book Currency	Shows the Book currency of the Cash Position.						
	Displayed format is: Max. 3 characters.						
Counterparty	Shows the unique RIAD identifier of the Counterparty.						
	Displayed format is: Max. 30 characters.						
Close Link Detec-	Shows if a forbidden close link has been detected from possible values:						
ted	- Yes						
	- No						
	Displayed format is: Max. XX characters.						
Process Valuation	Shows the process valuation type provided by the ECMS of the Cash Posi-						
Туре	tion.						
	Displayed format is: Max. XX characters.						
Convertable	Shows if the Position is convertable from the possible values:						
	- Yes						
	- No						
	Displayed format is: Max. XX characters.						



Convertion Failure	Shows the Convertion Failure Reason, if exists						
Reason	Displayed format is: Max. XX characters.						
CQS	Shows the Credit Quality Step of the CC						
	Displayed format is: Max. XX characters.						
Credit Rating Not	Shows if the Credit Rating is not eligible from the possible values:						
Eligible	- Yes						
	- No						
	Displayed format is: Max. XX characters.						
Cross Border Ac-	Show the Cross Border Account						
count	Displayed format is: Max. XX characters.						
Currency	Shows the Currency of the Cash Position.						
,	Displayed format is: Max. 3 characters.						
Displayed Validity	Shows the Displayed Validity Date of the Position.						
Date	Displayed format is: DD/MM/YYYY						
FTD Identifier	Shows the FTD Identifier.						
	Displayed format is: Max. XX characters.						
FX	Shows the FX, if this is the case.						
	Displayed format is: Max. XX characters.						
FX Date	Shows the FX Date of the Position, if this is the case.						
	Displayed format is: DD/MM/YYYY						
Hair Cut ACC	Shows the Hair Cut for Additional Credit Claims, if this is the case.						
	Displayed format is: Max. XX characters						
Hair Cut CC	Shows the Hair Cut for Credit Claims, if this is the case.						
	Displayed format is: Max. XX characters						
Internal Account	Shows the Identifier of the Internal account in which the Position is managed						
	Displayed format is: Max. XX characters						
Is Converted	Shows if the Position is converted from the possible values:						
13 Converted	- Yes						
	- No						
Is Direct FX	Displayed format is: Max. XX characters						
is Direct FX	Shows if the Position is Direct FX from the possible values:						
	- Yes						
	- No						
	Displayed format is: Max. XX characters						
Is Eligible	Shows if the asset is Eligible or not from the possible values:						
	- Yes						
	- No						
	Displayed format is: Max. XX characters						
Is Externally Man-	Shows if the asset is Externally Managed Credit Claims or not from the						
aged Credit Claims	possible values:						



	- Yes						
	- No						
	Displayed format is: Max. XX characters						
Is Matured	Shows if the asset is matured or not from the possible values:						
	- Yes						
	- No						
	Displayed format is: Max. XX characters						
Is Residual Mat	Shows if the Residual Maturity is breached or not from the possible values:						
Breached	- Yes						
	- No						
N	Displayed format is: Max. XX characters						
Margin Amount	Shows the Margin Amount.						
16 1 7	Displayed format is: Max. XX characters						
Margin Rate	Shows the Margin Rate						
	Displayed format is: Max. XX characters						
Mark Down CC	Shows the Mark Down for Credit Claims						
	Displayed format is: Max. XX characters						
Movement Refer-	Shows the reference of the movement that affects the Cash Position.						
ence	Displayed format is: Max. 30 characters.						
Original Currency	Shows the Original currency of the movement of the Cash Position.						
	Displayed format is: Max. 3 characters.						
Process Valuation Type	Shows the process valuation type provided by the ECMS of the Cash Position.						
	Displayed format is: Max. XX characters.						
Search Date	Shows the Search Date of the Cash Position.						
	Displayed format is: DD/MM/YYYY						
Simulation Identi-	Shows the Simulation Identifier.						
fier	Displayed format is: Max. XX characters.						
Total Hair Cut CC	Shows the Total Hair Cut for Credit Claims, if this is the case.						
	Displayed format is: Max. XX characters						
Validation Failure Reason	Shows the Validation Failure Reason (if the status is Validation Failure Detected)						
	Displayed format is: Max. XX characters						
Value Date	Shows the Value Date of the Cash Position						
	Displayed format is: DD/MM/YYYY						
Footprint							
Field	Description						
Updater User Id	Show the identification of the user that made the last update.						
Update Date	Shows the date and time of the update.						
	Display format is: DD/MM/YYYY hh:mm:ss:µs.						
Creation User Id	Shows the identification of the user that created the Cash Position.						



Creation Date	Show the date and time of the creation.	
	Display format is: DD/MM/YYYY hh:mm:ss:µs.	

### 3.5.2 Pool Projection

- The ECMS provides the Counterparty and the NCB user with a projection of the Counterparty Pool for a date in the future. The projection is limited to n ECMS business days posterior to the current ECMS business date.
- The ECMS displays the Pool projection based on the selected search criteria chosen by the Counterparty/NCB user. The ECMS provides the Pool projection results for the projected ECMS business date (the maximum business days in the future is set as a system parameter).
- The Pool projection can be viewed via a query, for a specified Business Date from ECMS current Business Date + n, by the Counterparty or the NCB user. First the user launches the query, then the results are available to be viewed.

#### 3.5.2.1 Launch Pool Projection – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a specific Pool or search for the different Pools available for a Counterparty.								
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.								
	This function is available only in U2A mode.								
Screen Access	Collateral and Credit >> Pool Overview >> Pool Projection >> Launch Pool Pro-								
	jection								
Screenshot	Launch Pool projection  Pool Identifier  Counterparty  Projection Date								
	1 Reset Launch Projection								

Launch Pool Projection – Search screen							
Object	Description						
Pool Identifier	Enter/Select the unique identifier of the Pool provided by the system						
	Required format is: Max. 16 characters.						
Counterparty	Enter/Select the RIAD identifier of the Counterparty to whom the Pool belongs						
RIAD Id	Required format is: Max. XX characters.						
Counterparty	Enter/Select the Blocked Status or Unblocked Status of the Counterparty to						
Blocked Status	whom the Pool belongs						
	Required format is: Max. XX characters.						
Projection	Enter/Select the Business Date of the Pool Projection. The Business Date can be						
Date	the current ECMS business date + 1 and is up to n business days maximum as						
	defined by a system parameter.						
	The calendar icon can also be used.						
	Required format is: DD/MM/YYYY						
Button	Function						
Reset	This function enables you to clear the form.						



Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
	Next screen:
	Pool Projection Result – Search Screen.

## 3.5.2.2 Pool Projection Result – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a specific Pool or for a specific date.								
	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.								
	This function is available only in U2A mode.								
Screen Access	Collateral and Credit >> Pool Overview >> Pool Projection >> Pool Projection Result								
Screenshot	Search Criteria: Pool Projection Result  Projection Date  Process Reference  Pool Respection Date 5  Time  Pool Projection Date 5  Pool Respection Date 5  Pool Respection Date 5  Pool Respection Date 5  Pool Respective Date 5  Pool Respecti								

<b>Pool Projection</b>	Result – Search screen					
Field	Description					
Pool Identifier	Shows the unique identifier of the Pool provided by the system					
	Displayed format is: Max. 16 characters.					
Counterparty	Enter/Select the RIAD identifier of the Counterparty to whom the Pool belongs					
RIAD Id	Required format is: Max. XX characters.					
Counterparty Enter/Select the Blocked Status or Unblocked Status of the Counter Blocked Status whom the Pool belongs						
	Required format is: Max. XX characters.					
Projection Date	Enter/Select the Business Date of the Pool Projection. The Business Date can be the current ECMS business date + 1 and is up to n business days maximum as defined by a system parameter.					
	The calendar icon can also be used.					
	Required format is: DD/MM/YYYY					
Process Refer-	Enter the Process Reference produced by the Launch Pool Projection.					
ence	Required format is: Max. XX characters.					
Button	Function					
Reset	This function enables you to clear the form.					
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.					
	Next screen:					
	• Pool Projection Result – List Screen.					



## 3.5.2.2.1 Pool Projection Result – List screen

Context of Usage	This screen contains the search results of the Pool Projection displayed in a list, which is sorted by the values of the 'Pool Id' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.											
	T	This function is available only in U2A mode.										
Screen Access Screenshot	R	Collateral and Credit >> Pool Overview >> Pool Projection >> Pool Projection  Result >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)  Search Result: >> View: Pool Projection Result: >> View: Pool Pr										
Screenshot	10	• •				K <	Page 1 of 56 >	K		,		Q 물
		Process Reference	♦♥ Projection Date ♦ 06/07/2022	Pool Identifier \$	Counterparty   FR10007	Blocked Status \$	Closed Status	Total Collateral After To	otal Exposure	Projection result ©	Update Date	Update User Id • 💎
			06/07/2022	POOL00000000000000000000000000000000000	FR10007 FR10007			0	0		07/07/2022 18:00:50:2	ECMSOperationalDayS ECMSOperationalDayS
	8	PROJ83	07/07/2022	POOL0000000000084	FR18129			0	0		06/07/2022 14:07:57:1	ECMS OPERATOR 1
	<b>2</b>	PROJ83	07/07/2022	P00L00000000110	FR50007			100,000,000	20,000,000	Sufficient Collateral	06/07/2022 14:07:57:0	ECMS OPERATOR 1
		PROJ83	07/07/2022	POOL000000000097	FR50007			0	0	Sufficient Collateral	06/07/2022 14:07:56:2	ECMS OPERATOR 1
		PROJ83	07/07/2022	POOL000000000088	FR50007			0	0	Sufficient Collateral	06/07/2022 14:07:55:4	ECMS OPERATOR 1
		PROJ83	07/07/2022	POOL0000000000086	FR50007			130	130	Sufficient Collateral	06/07/2022 14:07:54:7	ECMS OPERATOR 1
		PROJ83	07/07/2022	POOL0000000000069	FR50007			0	0	Falled	06/07/2022 14:07:53:9	ECMS OPERATOR 1
		PROJ83	07/07/2022	POOL000000000068	FR50007			310,000,000		Sufficient Collateral	06/07/2022 14:07:53:5	ECMS OPERATOR 1
		PROJ83	07/07/2022	P00L000000000067	FR50007			0	0	Sufficient Collateral	06/07/2022 14:07:52:7	ECMS OPERATOR 1

Pool Projection Result – List screen				
Field	Description			
Process Reference	Shows the Process Reference produced by the Launch Pool Projection.			
	Displayed format is: Max. XX characters.			
Pool Identifier	Shows the unique identifier of the Pool provided by the system			
	Displayed format is: Max. 16 characters.			
Counterparty	Shows the RIAD identifier of the Counterparty to whom the Pool belongs			
RIAD Id	Displayed format is: Max. XX characters.			
Projection Date and Time	Shows the Business Date and Time of the Pool Projection. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Collateral				
Domestic Eligible	Shows the Domestic Eligible Marketable Asset value.			
Marketable Asset	Displayed format is: Max. XX characters.			
CCBM Eligible	Shows the CCBM Eligible Marketable Asset value.			
Marketable Asset	Displayed format is: Max. XX characters.			
Emergency Eli-	Shows the Emergency Eligible Marketable Asset value.			
gible Marketable Asset	Displayed format is: Max. XX characters.			
Total Securities	Shows the Total Securities collateral value			
Collateral	Displayed format is: Max. XX characters.			
Total Fixed-Term	Shows the Total Fixed-Term Deposit (FTD) collateral value			
Deposit used as Collateral	Displayed format is: Max. XX characters.			
Total Interest for	Shows the Total Interest Fixed-Term Deposit (FTD) used as collateral value			
Fixed-Term De-	Displayed format is: Max. XX characters.			
posit used as Collateral				

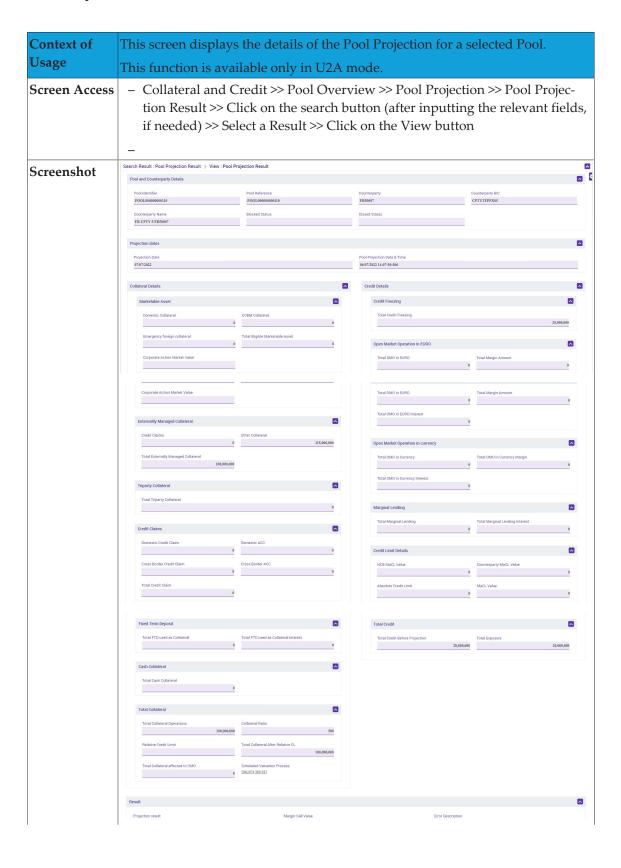


Total Triparty	Shows Total Triparty Collateral value
Collateral	Displayed format is: Max. XX characters.
Total Cash Collat-	Shows Total mobilised Cash Collateral value
eral	Displayed format is: Max. XX characters.
Total Externally	Shows Total Externally Managed Credit Claims value
Managed Credit Claims	Displayed format is: Max. XX characters.
Total Externally	Shows Total Externally Additional Managed Additional Credit Claims
Managed Addi- tional Collateral	Displayed format is: Max. XX characters.
Total Collateral	Shows Total Collateral after Relative Credit Limit
after Relative Credit Limit	Displayed format is: Max. XX characters.
Credit	
Total Credit	Shows Total Credit Freezing
Freezing	Displayed format is: Max. XX characters.
Total Market	Shows Total Market Credit Operations
Credit Operations	Displayed format is: Max. XX characters.
Total Market	Shows Total Market Credit Operations Interest
Credit Operations Interest	Displayed format is: Max. XX characters.
Total Open Mar-	Shows Total Open Market Credit Operations (non-Euro)
ket Credit Opera- tions (non-Euro)	Displayed format is: Max. XX characters.
Total Open Mar-	Shows Total Open Market Credit Operations (non-Euro) Margin Amount
ket Credit Opera- tion (non-Euro)	Displayed format is: Max. XX characters.
Margin Amount	
Total Open Mar-	Shows Total Open Market Credit Operations (non-Euro)Interest
ket Credit Opera-	Displayed format is: Max. XX characters.
tions (non-Euro) Interest	
Total Standing Fa-	Shows Total Standing Facilites
cilites	Displayed format is: Max. XX characters.
Total Standing Fa-	Shows Total Standing Facilites Interest
cilites Interest	Displayed format is: Max. XX characters.
Total Credit	Shows Total Credit
	Displayed format is: Max. XX characters.
Update Date	Shows the Updated Date
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a re	cord, the following buttons are displayed
Button	Description
View	This function enables you to access to the detailed information of a Pool Projection.
	Next screen:

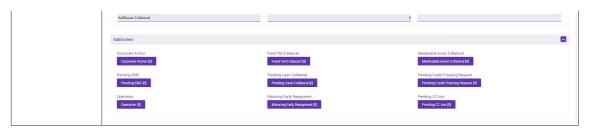


Pool Projection Result – Details Screen.

## 3.5.2.2.1.1 Pool Projection Result – Details Screen







<b>Pool Projection Resul</b>	lt – Details screen				
Field	Description				
Pool Projection Resu	lt Details				
Pool Identifier	Shows the unique identifier of the Pool provided by the system				
	Displayed format is: Max. 16 characters.				
Pool Reference	Shows the reference of the Pool given by the refinancing NCB.				
	Displayed format is: Max. 255 characters.				
Counterparty RIAD Id	Shows the RIAD identifier of the Counterparty to whom the Pool belongs				
	Displayed format is: Max. XX characters.				
Counterparty BIC	Shows the Counterparty BIC code to whom the Pool belongs				
	Displayed format is: Max. XX characters.				
Counterparty Name	Shows the Name of the Counterparty to whom the Pool belongs				
	Displayed format is: Max. XX characters.				
Counterparty	Shows the Counterparty Blocked Status.				
Blocked Status	In case the Counterparty Blocked Status is "YES" and the Projection Date is between the Blocked Start Date and the Blocked End Date, the field has "Blocked", otherwise blank.				
	Displayed format is: Max. XX characters				
Counterparty Closed	Shows the Counterparty Closed Status.				
Status	In case the Counterparty Closed Status is "YES" and the Projection Date is between the Closed Start Date and the Closed End Date, the field has "Closed", otherwise blank.				
	Displayed format is: Max. XX characters				
Projection Date and Time	Shows the Business Date and Time of the Pool Projection. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.				
Pool Reference	Shows the reference of the pool given by the refinancing NCB				
	Displayed format is: Max. 255 characters.				
Pool Identifier	Shows the unique identifier of the Pool				
	Displayed format is: Max. 16 characters.				
NCB MaCL	Shows the NCB Maximum Credit Limit				
	Displayed format is: Max. XX characters.				
Counterparty MaCL	Shows the Counterparty Maximum Credit Limit				
	Displayed format is: Max. XX characters.				
Absolute Credit	Shows the Absolute Credit Limit				
Limit	Displayed format is: Max. XX characters.				



Collateral Details	
Domestic Eligible	Shows the Domestic Eligible Marketable Asset value.
Marketable Asset	Displayed format is: Max. XX characters.
CCBM Eligible Mar-	Shows the CCBM Eligible Marketable Asset value.
ketable Asset	Displayed format is: Max. XX characters.
Emergency Eligible	Shows the Emergency Eligible Marketable Asset value.
Marketable Asset	Displayed format is: Max. XX characters.
Total Securities Col-	Shows the Total Securities value
lateral	Displayed format is: Max. XX characters.
Total Domestic Ex-	Shows the Total Domestic Externally Managed Credit Claims value
ternally Managed Credit Claims	Displayed format is: Max. XX characters.
Total CCBM Extern-	Shows the Total CCBM Externally Managed Credit Claims value
ally Managed Credit Claims	Displayed format is: Max. XX characters.
Total Domestic Ex-	Shows the Total Domestic Externally Managed Collateral apart from
ternally Managed Collateral apart from	Credit Claims value
Credit Claims	Displayed format is: Max. XX characters.
Total CCBM Extern-	Shows the Total CCBM Externally Managed Collateral apart from Credit
ally Managed Collat-	Claims value
eral apart from Credit Claims	Displayed format is: Max. XX characters.
	Shows the Total Domestic Mobilised Additional External Credit Claims
tional External Credit Claims	
	Displayed format is: Max. XX characters.
Total CCBM Additional External Credit	Shows the Total CCBM Mobilised Additional External Credit Claims value
Claims	Displayed format is: Max. XX characters.
Total Domestic	Shows the Total Domestic Credit Claims value
Credit Claims	Displayed format is: Max. XX characters.
Total CCBM Credit	Shows the Total CCBM Credit Claims value
Claims	Displayed format is: Max. XX characters.
Total Collateral af-	Shows the Total Collateral affected to OMO value
fected to OMO	Displayed format is: Max. XX characters.
Total Corporate Ac-	Shows the Total Corporate Actions value
tions	Displayed format is: Max. XX characters.
Total Credit Claims	Shows Total Credit Claims value
	Displayed format is: Max. XX characters.
Total Triparty Collat-	Shows the Total Triparty Collateral value
eral	Displayed format is: Max. XX characters.
Total Cash Collateral	Shows Total mobilised Cash Collateral value
	Displayed format is: Max. XX characters.



Total Fixed-Term De-	Shows the Total Fixed-Term Deposit (FTD) collateral value
posit used as Collateral	Displayed format is: Max. XX characters.
Total Interest for	Shows the Total Interest Fixed-Term Deposit (FTD) used as collateral
Fixed-Term Deposit	value
used as Collateral	Displayed format is: Max. XX characters.
Total Collateral be-	Shows the sum of the Total collateral value before Relative Credit Limit
fore Relative Credit Limit	Displayed format is: Max. XX characters.
Relative Credit Limit in percentage (%)	In case the NCB has set a Relative Credit Limit as a discretionary measure
	Displayed format is: Max. XX characters.
Total Collateral Value after Relative	Shows the Total Collateral Value after application of the Relative Credit Limit
Credit Limit	Displayed format is: Max. XX characters.
Collateral Ratio	Shows the Collateral Ratio
	Displayed format is: Max. XX characters.
Credit Details	
Total Credit Before Projection	Shows the total Credit value before executing the future Projection (equal to Total Collateral for ECMS Current Business Day)
	Displayed format is: Max. XX characters.
Total Credit Freezing	Shows the Total Credit Freezing
	Displayed format is: Max. XX characters.
Total Open Market	Shows the Total Open Market Credit Operations (OMO) value
Credit Operation	Segregated by type of operations and operation
	Displayed format is: Max. XX characters.
Total Open Market	Shows the Total Open Market Credit Operations (OMO) Interest value
Credit Operation In-	Segregated by type of operations and operation
terest	Displayed format is: Max. XX characters.
Total Open Market	Shows the Total Open Market Credit Operations (non-Euro)
Credit Operations	Segregated by type of operations and operation
(non-Euro)	Displayed format is: Max. XX characters.
Total Open Market Credit Operations	Shows the Total Open Market Credit Operations (non-Euro) Margin Amount
(non-Euro) Margin	Segregated by type of operations and operation
Amount	Displayed format is: Max. XX characters.
Total Open Market	Shows the Total Open Market Credit Operations (non-Euro) Interest
Credit Operations	Segregated by type of operations and operation
(non-Euro) Interest	Displayed format is: Max. XX characters.



Shows the Expected Initial margin on outstanding liquidity providing OMO value			
Displayed format is: Max. XX characters.			
Shows the Total Fixed-Term Deposit (FTD) not used as Collateral value			
Displayed format is: Max. XX characters.			
Shows the Total Interest Fixed-Term Deposit (FTD) not used as Collateral value			
Displayed format is: Max. XX characters.			
Shows Total MLOR			
Displayed format is: Max. XX characters.			
Shows Total MLOR Interest			
Displayed format is: Max. XX characters.			
Shows Total AML			
Displayed format is: Max. XX characters.			
Shows Total AML Interest			
Displayed format is: Max. XX characters.			
Shows sum of the Total Credit			
Displayed format is: Max. XX characters.			
Shows Optional Maximum Credit line value authorised set by the Refinancing Central Bank			
Displayed format is: Max. XX characters.			
Shows Optional Maximum Credit line value set by the Counterparty			
Displayed format is: Max. XX characters.			
t			
Shows Description of the result: "Sufficient collateral" or "Insufficient collateral"			
Displayed format is: Max. XX characters			
Value of the margin call			
Description			
Section to view the list of the Credit Freezing Position of the Pool.			
Section to view the list of the Securities Position of the Pool.			
Section to view the list of the EMC Position of the Pool.			
- Section to view the list of the Cash Collateral Position of the Pool.			
Section to view the list of the FTD Positions of the Pool.			
Section to view the list of the Credit Claims Position of the Pool.			
Section to view the list of the Triparty Securities Position of the Pool.			



Credit Line Details Section to view the list of the Credit Line Details of the Pool.

## 3.5.3 System Parameters

The System Parameters are configured by the ECMS Operator in the case of System Parameters maintained by the ECMS Operator, or by the NCB Operator in the case of System Parameters (Business Parameters) maintained by the NCB Operator.

The ECMS Actors can search, list and view the details on the System Parameters.

### 3.5.3.1 Overview System Parameters – Search Screen

Context of Usage	This screen shows the System Parameters information available to Counterparties and NCB users allowing an overview of the configuration of the ECMS.  This function is available only in U2A mode.
Business Case related	Search/List/View the System Parameters
Screen Access	Reference Data >> System Parameters >> Overview System Parameters >> Display
Screenshot	Create / Display: System Parameter  Parametrians  Update Date  Update Date  Update Date  Update Date  Update Date  Update Date

System Parameters – Search screen				
Field	Description			
Parameter	Enter part of the Parameter Name.			
Name	Required format is: Max. XX characters			
Description	Enter part of the Parameter Description.			
	Required format is: Max. XX characters.			
Maintained By	Enter/Select the value: ECMS Operator, NCB Operator.			
	Required format is: Max. XX characters.			
Update Date	Enter/Select the Update Date. Either the current Business Date or a Past Busi-			
	ness Date.			
	The calendar icon can also be used.			
	Required format is: YYYYMMDD hh:mm:ss:µs			
Button	Function			
Reset	This function enables you to clear the form.			
Search	This function enables you to start a search according to the filled in criteria. The			
	results are displayed in a list on the List Screen.			
	Next screen:			
	• System Parameters – List Screen.			



### 3.5.3.1.1 System Parameters – List screen

Context of Usage	This screen contains the search results of the System Parameters displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.							
	Thi	is function i	is available	only in U2	A mode	·.		
Screen Access		Reference Data >> System Parameters >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)						
Screenshot	_	Result : System Parameter						
	10 ▼							Q 156 () [I 🖾 🖈 🖓
		Parameter Name	Parameter Value	Description	Action To Validate \$	□ Rejected      □	▽ Update Date Φ	□ Updater User ld Φ □
	22		Pool_Creation_ELA_Description_Pool_Creatio.			No	28/04/2022 11:12:06:229	admin
	100	CREDIT_LINE_NEEDED	false	CREDIT_LINE_NEEDED		No	28/04/2022 11:12:02:234	admin
	10	AZACFROLE	NCB - A2A CREDIT FREEZING EXECUTION	NCB - AZA CREDIT FREEZING EXECUTION		No	27/04/2022 20:09:48:399	admin
	100	DTT_QUEUE_NAME_MDB	MegaLendServicesMDB	DTT_QUEUE_NAME_MDB		No	28/04/2022 11:12:00:912	admin
		DTT_MULTI_ISMULTIMACHINE_ACTIVE	true	DTT_MULTI_ISMULTIMACHINE_ACTIVE		No	31/03/2022 11:32:16:811	admin admin
		DTT_MAX_CHARACTERS_SPLIT AUTO FILL INPUT MKT PAYMENT	2000 false	maximum number of characters within a chu AUTO FILL INPUT MKT PAYMENT		No No	31/03/2022 11:32:16:479 30/03/2022 13:28:15:363	admin atmin
		MAX ALLOW VARIATION PRICE	Taise 15	AUTO_PILL_INPUT_MKT_PAYMENT  Tolerance value from which the marketable a		No No	28/03/2022 13:28:15:363	admin
	E	DTT_XSD_PATH	/home/ecms/megara/KSD/DataTransferToo			No.	30/03/2022 13:54:37:578	admin
	E .			ClientSecAccountBICAddressForECMSEntity		No.	03/03/2022 14 15 01 354	admin
								<b>◎</b> View

<b>System Param</b>	eters – List screen				
Field	Description				
Parameter	Shows the Parameter Name.				
Name					
Parameter Value	Shows the Parameter Value.				
Description	Shows the Parameter Description.				
Action to Val-	Shows the outstanding action to validate the System Parameter.				
idate					
Rejected	Shows the status of the validation (possible values: YES/NO).				
Update Date	Shows the Update Date and time:				
	Displayed format is: YYYYMMDD hh:mm:ss:µs				
Updater User Id	Shows the user that changed the System Parameter.				
Button	Description				
View	This function enables you to access to the detailed information of a System Parameter entry.				
	Next screen:				
	System Parameter – Details Screen.				
History	This function enables you to access to the historical information.				
	Next screen:				
	System Parameters – History Screen.				

### 3.5.3.1.1.1 System Parameters – History Screen



Context of Usage	This screen displays historical information that has changed on the selected System Parameters. You can check the data and proceed further by clicking on the buttons that are shown.  This screen is not available for Counterparty users.  This function is available only in U2A mode.
Screen Access Screenshot	<ul> <li>Reference Data &gt;&gt; System Parameters &gt;&gt; Display &gt;&gt; Click on the search button (after inputting the relevant fields, if needed) &gt;&gt; Select an System Parameter &gt;&gt; Click on the <i>History</i> button</li> </ul>
Sciensilot	

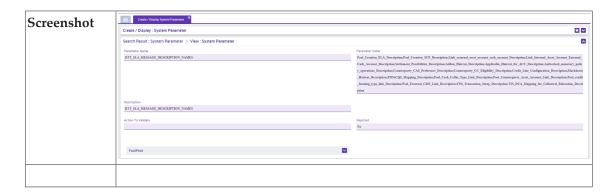
#### 3.5.3.1.1.2 New Node

System Parameters – History screen				
Field	Description			
Fields	Shows the fields whose information have been updated since the creation of the selected System Parameter.			
Timestamp	Shows the date and time when the fields have been updated.			
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.			
Button	Description			
Compare	Shows the differences between the selected records whose data have been updated.			
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected System Parameter.			
Show All	Shows the information that existed before each modification of all the fields regarding the System Parameter.			
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the System Parameter.			
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected System Parameter.			

## 3.5.3.1.1.3 System Parameters – Details Screen

Context of	This screen displays the details of a specific System Parameter.
Usage	This function is available only in U2A mode.
Screen Access	Reference Data >> System Parameters >> Display >> Click on the search button
	(after inputting the relevant fields, if needed) >> Select an item >> Click on the
	View button





System Parameters – Details screen			
Field	Description		
Parameter Name	Shows the Parameter Name.		
Parameter Value	Shows the Parameter Value.		
Description	Shows the Parameter Description.		
Action to Validate	Shows the outstanding action to validate the System Parameter.		
Rejected	Shows the status of the validation (possible values: YES, NO).		
Footprint	Footprint		
Creation	Shows the date and time of the creation.		
Date	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Creation User Id	Shows the identification of the user that performed the creation.		
Update Date	Shows the date and time of the update.		
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.		
Updater User Id	Shows the identification of the user that made the last update.		

#### 3.5.4 Dashboard

The ECMS provides a dashboard to monitor and retrieve business information during the ECMS business day. The dashboard provides an aggregated view and allows the ECMS Actors to retrieve detailed information via the relevant functional screens.

In case of a list, the dashboard shows the number of instructions/operations. Then the user double-clicks on the item in order to access the relevant functional screen providing the list of instructions/operations. Then the user can perform actions such as consultation, recycling, updates, etc.

The dashboard contains an icon providing the possibility to request a refresh of the data, meaning that the screen is reloaded with updated data. In addition, the dashboard is automatically refreshed based on the number of minutes determined by a system parameter.

The dashboard is configured according to the access rights in order to limit the information available to the user if required.



### 3.5.4.1 Overview Dashboard – Search Screen

Context of Usage	This screen shows the dashboard information available to Counterparties and NCB users allowing an overview of the workflow in the ECMS.
	The results will be displayed in a dashboard showing the number of entries per item. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
	This function is available only in U2A mode.
<b>Business Case</b>	Search/List the Dashboard Screen
related	View the Transaction Details Screen for the Dashboard
Screen Access	Reference Data >> Dashboard >> Overview Dashboard >> Display
Screenshot	

Overview Dashboard – Search screen		
Field	Description	
Alerts		
List of Alerts	Shows the number of Alerts grouped by type of alert (File processing, Collateral Sufficiency check, Cash correspondent manual payment, Repayment processing).	
4-Eyes Validation Po	ending	
List of Pending 4- Eyes instructions	Shows the number of validation instructions waiting for 4-Eyes approval.	
Payments		
List of Pending payments instructions	Shows the number of Regular Payments or Connected Payments instructions sent to CLM with a "Pending" status.	
List of Rejected payments instructions	Shows the number of Regular Payments or Connected Payments instructions with a "Rejected" status.	
List of Cancelled payments instructions	Shows the number of Regular Payments or Connected Payments instructions with a "Cancelled" status.	
List of payments in- structions Waiting for NCB Manual In- tervention	Shows the number of Regular Payments or Connected Payments instructions with a "Waiting for NCB Manual Intervention" status.	
List of Pending Expected payments instructions	Shows the number of Expected Payments instructions sent to CLM with a "Pending" status.	
List of Rejected Expected payments instructions	Shows the number of Expected Payments instructions with a "Rejected" status.	
List of Expected payments instructions	Shows the number of Expected Payments instructions with a "Cancelled" status.	



List of Expected payments instructions Waiting for NCB Manual Intervention	Shows the number of Expected Payments instructions with a "Waiting for NCB Manual Intervention" status.
Credit Line / Credit	Position
List of Pending Modify Credit Line instructions	Shows the number of Modify Credit Line instructions sent to CLM with a "Pending" status.
List of Rejected Modify Credit Line instructions	Shows the number of Modify Credit Line instructions with a "Rejected" status.
List of Modify Credit Line instruc- tions Waiting for NCB Manual Inter- vention	Shows the number of Modify Credit Line instructions with a "Waiting for NCB Manual Intervention" status.
List of Pending Quick Tender Oper- ations	Shows the number of Quick Tender instructions with a "Pending" status.
List of Rejected Quick Tender Oper- ations	Shows the number of Quick Tender instructions with a "Rejected" status.
List of Quick Tender Operations Waiting for NCB Manual Interven- tion	Shows the number of Quick Tender instructions with a "Waiting for NCB Manual Intervention" status.
List of Pending OMO Operations	Shows the number of OMO instructions with a "Pending" status.
List of Rejected OMO Operations	Shows the number of OMO instructions with a "Rejected" status.
List of OMO Opera- tions Waiting for NCB Manual Inter- vention	Shows the number of OMO instructions with a "Waiting for NCB Manual Intervention" status.
List of Pending Marginal Lending instructions	Shows the number of Marginal Lending instructions with a "Pending" status.
List of Rejected Marginal Lending instructions	Shows the number of Marginal Lending instructions with a "Rejected" status.
List of Marginal Lending instruc- tions Waiting for NCB Manual Inter- vention	Shows the number of Marginal Lending instructions with a "Waiting for NCB Manual Intervention" status.



List of Pending Credit Freezing in- structions	Shows the number of Credit Freezing instructions with a "Pending" status.
List of Rejected Credit Freezing in- structions	Shows the number of Credit Freezing instructions with a "Rejected" status.
List of Credit Freez- ing instructions Waiting for NCB Manual Interven- tion	Shows the number of Credit Freezing instructions with a "Waiting for NCB Manual Intervention" status.
<b>Collateral Position</b>	
List of Rejected mar- ketable assets mo- bilisation instruc- tions	Shows the number of Marketable assets and non-marketable assets mobilisation instructions with a "Rejected" status.
List of Cancelled marketable assets mobilisation in- structions	Shows the number of Marketable assets and non-marketable assets mobilisation instructions with a "Cancelled" status.
List of marketable assets mobilisation instructions Waiting for NCB Manual In- tervention	Shows the number of Marketable assets and non-marketable assets mobilisation instructions with a "Waiting for NCB Manual Intervention" status.
List of Rejected de- mobilisation of mar- ketable assets in- structions	Shows the number of Marketable assets and non-marketable assets demobilisation instructions with a "Rejected" status.
	Shows the number of Marketable assets and non-marketable assets demobilisation instructions with a "Cancelled" status.
List of demobilisa- tion of marketable assets instructions Waiting for NCB Manual Interven- tion	Shows the number of Marketable assets and non-marketable assets demobilisation instructions with a "Waiting for NCB Manual Intervention" status.
List of Rejected revaluation instructions	Shows the number of Revaluation instructions with a "Rejected" status.
List of Cancelled revaluation instructions	Shows the number of Revaluation instructions with a "Cancelled" status.
List of revaluation instructions Waiting for NCB Manual In- tervention	Shows the number of Revaluation instructions with a "Waiting for NCB Manual Intervention" status.



List of Rejected Cash as Collateral mobilisation in- structions	Shows the number of Cash as Collateral mobilisation instructions with a "Rejected" status.
List of Cancelled Cash as Collateral mobilisation in- structions	Shows the number of Cash as Collateral mobilisation instructions with a "Cancelled" status.
List of Cash as Collateral mobilisation instructions Waiting for NCB Manual Intervention	Shows the number of Cash as Collateral mobilisation instructions with a "Waiting for NCB Manual Intervention" status.
List of Rejected Cash as Collateral demobilisation in- structions	Shows the number of Cash as Collateral demobilisation instructions with a "Rejected" status.
List of Cancelled Cash as Collateral demobilisation in- structions	Shows the number of Cash as Collateral demobilisation instructions with a "Cancelled" status.
List of Cash as Collateral demobilisation instructions Waiting for NCB Manual Intervention	Shows the number of Cash as Collateral demobilisation instructions with a "Waiting for NCB Manual Intervention" status.
List of Rejected T2S Relocation instruc- tions	Shows the number of T2S Relocation instructions with a "Rejected" status.
List of T2S Relocation instructions Waiting for NCB Manual Intervention	Shows the number of T2S Relocation instructions with a "Waiting for NCB Manual Intervention" status.
<b>Credit Claims</b>	
List of Rejected Credit Claims in- structions for the in- struction types CCR, CCU, CCOAU, RR, RU	Shows the number of Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU with a "Rejected" status.
List of Cancelled Credit Claims in- structions for the in- struction types CCR, CCU, CCOAU, RR, RU	Shows the number of Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU with a "Cancelled" status.



List of Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU with a Waiting for NCB Manual Inter- vention	Shows the number of Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU with a "Waiting for NCB Manual Intervention" status.
List of Rejected Credit Claims mo- bilisation instruc- tions	Shows the number of Credit Claims mobilisation instructions with a "Rejected" status.
List of Cancelled Credit Claims mo- bilisation instruc- tions	Shows the number of Credit Claims mobilisation instructions with a "Cancelled" status.
List of Credit Claims mobilisation instructions Waiting for NCB Manual In- tervention	Shows the number of Credit Claims mobilisation instructions with a "Waiting for NCB Manual Intervention" status.
List of Rejected Credit Claims de- mobilisation in- structions	Shows the number of Credit Claims demobilisation instructions with a "Rejected" status.
List of Cancelled Credit Claims de- mobilisation in- structions	Shows the number of Credit Claims demobilisation instructions with a "Cancelled" status.
List of Credit Claims demobilisation instructions Waiting for NCB Manual Intervention	Shows the number of Credit Claims demobilisation instructions with a "Waiting for NCB Manual Intervention" status.
List of Collateral insufficiency instructions	Shows the number of Collateral Insufficiency instructions.
List of Margin Calls	Shows the number of Margin Calls.
Corporate Actions	
List of Corporate Actions Events awaiting instruc- tions	Shows the number of Corporate Actions Events awaiting instructions grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).
List of Rejected Corporate Actions payments	Shows the number of Corporate Actions payments with a "Rejected" status grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).



List of Corporate Actions payments on hold for Margin Call	Shows the number of Corporate Actions payments payments on hold for Margin Call grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).	
List of Rejected Corporate Actions instructions	Shows the number of Corporate Actions instructions with a "Rejected" status grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).	
List of Cancelled Corporate Actions instructions	Shows the number of Corporate Actions instructions with a "Cancelled" status grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).	
List of Corporate Actions instructions Waiting for NCB Manual Interven- tion	Shows the number of Corporate Actions instructions with a "Waiting for NCB Manual Intervention" status grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).	
List of reception of reversal of Corpor- ate Actions Advice	Shows the number of reception of reversal of Corporate Actions Advice.	
Triparty		
List of Decrease/ Closure of the Tri- party transactions waiting for NCB validation	Shows the number of Decrease/Closure of the Triparty transactions with a "Waiting for NCB Manual Intervention" status	
List of Cancelled Decrease/Closure of the Triparty transac- tions	Shows the number of Decrease/Closure of the Triparty transactions with a "Cancelled" status.	
List of Rejected Tri- party Report	Shows the number of Triparty Report with a "Rejected" status.	
List of Triparty Report Waiting for NCB Manual Intervention	Shows the number of Triparty Report with a "Waiting for NCB Manual Intervention" status.	
Billing		
List of Invoice Expected	Shows the number of Invoice Expected grouped by sender source (CSD, TPA) in case invoice not received.	
Notifications		
List of Files Received in ECMS	Shows the number of Received Files grouped by sender source (CEPH, RIAD, TOP, etc) and status.	
List of Files Sent by ECMS	Shows the number of Sent messages grouped by receiver source (CEPH, RIAD, TOP, etc) and status.	
List of Messages Received in ECMS	Shows the number of Received messages grouped by sender source (ESMIG, CLM, T2S, etc) and status.	
List of Messages Sent by ECMS	Shows the number of Sent messages grouped by receiver source (ESMIG, CLM, T2S, etc) and status.	
Recycled		



List of Recycled in-	Shows the number of Instructions with a "Recycled" status.	
structions		
Waiting Settlement	Date	
List of Waiting Set-	Shows the number of Instructions with a "Waiting Settlement Date"	
tlement Date in-	status.	
structions		
On-Hold or Queued		
List of On-hold or	Shows the number of Instructions with an "On Hold" or "Queued"	
Queued instructions	status.	
Button	Description	
Search	This function enables you to access the list of instructions relating to the	
	specific item. The results are displayed in a list on the List Screen.	
	Next screen:	
	<ul><li>– Dashboard – List Screen.</li></ul>	

#### 3.5.4.1.1 Overview Dashboard – List screen

Context of Usage	This screen contains the search results of a certain item displayed in a list, which is sorted by the values of the 'Pool Id' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
	Please note that the fields in the list screen specified below are indicative and are not applicable to all the objects listed in the Overview Dashboard screen above.
	This function is available only in U2A mode.
Screen Access	Reference Data >> Dashboard >> Overview Dashboard >> Display >> Click on the <i>search</i> button (after selecting the relevant item)
Screenshot	

Overview Dashboard – List screen	
Field	Description
Item	
Item Description	Shows the object displayed in the Overview Dashboard.
Application Reference Number	Shows the application reference that uniquely identifies the instruction.
Transaction Reference Number	Shows the reference that uniquely identifies the instruction.
Pool ID	Shows the identifier that uniquely identifies the Pool.
Counterparty ID	Shows the identifier that uniquely identifies the Counterparty.
Counter- party's MCA	Shows the identifier of the Main Cash Account of the Counterparty



Instruction	Shows the Instruction Type
Туре	
Amount	Shows the amount.
Currency	Shows ISO currency.
Instruction	Shows the business date on which the instruction is created.
Date	Displayed format is: DD/MM/YYYY.
Intended Set-	Shows the business date on which the instruction will be settled. Either the cur-
tlement Date	rent business date or current business date + 1.
	Displayed format is: DD/MM/YYYY.
After selecting	g a record, the following buttons are displayed
Button	Description
View	This function enables you to access to the detailed information of an instruction.
	Next screen:
	Dashboard – Transaction Details Screen.

#### 3.5.4.1.1.1 Overview Dashboard – Transaction Details Screen

Context of	This screen displays detailed information of the selected Dashboard transaction.
Usage	This function is available only in U2A mode.
Screen Access	<ul> <li>Reference Data &gt;&gt; Dashboard &gt;&gt; Overview Dashboard &gt;&gt; Display &gt;&gt; Click on the <i>search</i> button (after selecting the relevant item)&gt;&gt; Click on the <i>view</i> button</li> </ul>
Screenshot	
Field/	See the screens in the relevant sections for the transactions of the Monetary
Button de- scription	Policy Operations, Marginal Lending, Credit Freezing, Externally Managed Collateral, Cash Collateral, Triparty, Credit Claims.

# 3.6 Reporting

# 3.6.1 Outstanding Credit Operations Report

## 3.6.1.1 Outstanding Credit Operations Report - Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the Outstanding Credit Operations report.	
Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Outstanding Credit Operations	
Screenshot	Report Actual Desides on National Report  Post on Desides on National Report  Protect Desides National Report  Compared to the National Report  From the National Report  Compared to the National Rep	

Outstanding Credit Operations Report – Search screen	
Field	Description



Pool Reference	Enter the Identifier that uniquely identifies the Pool.	
Riad Code	Enter the RIAD Code that identifies the counterparty	
	Displayed format is: Max. 30 characters.	
Position Date	Enter the position date or use the calendar icon to select one.	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs	
Button	Description	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	
	teria. The results are displayed in a list of the List Screen.	

## 3.6.1.2 Outstanding Credit Operations Report - List Screen

Context of Usage	This screen contains a list of Settled and Reimbursed Credit Operations Reports.
	The Settled and Reimbursed Credit Operations Reports listed correspond to the search criteria captured in the Settled and Reimbursed Credit Operations Reports – Search screen.
	The list displayed can be sorted and filtered by the fields displayed.
	The list can be exported.
Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Outstanding Credit Operations >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	Search Result: Credit Operations Report    V
	15. 28. 1 Pt. 17. 19. 17. 19. 17. 10. 11. 10. 11. 10. 11. 10. 10. 11. 20. 10. 10. 10. 10. 10. 10. 10. 10. 10. 1

Outstanding Credit Operations Report – List screen		
General Information		
Field	Description	
Accounting Interest	Shows the Accounting Interest Rate	
Rate	Displayed format is: Max. XX characters.	
Allotment Amount	Shows the nominal value of the OMO	
	Displayed format is: Max. XX characters.	
Allotment Maturity	Shows the date at which the operation will be matured	
Date	Displayed format is: DD/MM/YYYY.	
Allotment Rate	Shows the Allotment Rate	
	Displayed format is: Max. XX characters.	
Allotment Status	Shows the Allotment Status	
	Displayed format is: Max. XX characters.	
Allotment Value Date	Shows the Allotment Value Date	
	Displayed format is: DD/MM/YYYY.	



Shows the amount
Displayed format is: Max. XX characters.
Shows the Auction Type. The possible values are:
- FR (for "Fixed Rate Tender")
- VR (for "Variable Rate Tender")
Displayed format is: Max. XX characters.
Shows the short name of the Counterparty
Displayed format is: Max. XX characters.
Shows the reference of the cash account where the payment is to be paid
Displayed format is: Max. XX characters.
Show the currency of the payment
Shows the reference of the cash account where the payment is debited
Displayed format is: Max. XX characters
Shows the Duration of Operation
Shows the application reference that uniquely identifies the instruction.
Displayed format is: Max. XX characters.
Shows the Allotment Value Date
Displayed format is: DD/MM/YYYY.
Shows the FX Currency
Displayed format is: Max. 3 characters
Shows the Initial Margin
Shows the interest currency.
Shows the applied interest rate for the accrued interest calculation.
Shows the Maturity Date
Displayed format is: DD/MM/YYYY.
Shows the MI Type
Shows the Netted Payment Id, if the payment was netted.
Shows the Netting Indicator. The possible values are:
- Yes
- No.
Shows the Nominal Amount
Shows the Nominal Reimbursed in Currency
Chang the Name's al Datashama die Euro
Shows the Nominal Reimbursed in Euro
Shows the application reference that uniquely identifies the instruction.
Displayed format is: Max. XX characters.
Shows the Operation Type
Shows the Outstanding Accrued Interest



Outstanding Amount in Currency	Shows the Outstanding Amount in Currency	
Outstanding Amount in Euro	Shows the Outstanding Amount in Euro	
Paid Accrued Interest	Shows the Paid Accrued Interest	
Party Internal Reference	Shows the Party Internal Reference	
Payment Currency	Shows the Payment Currency	
Payment Status	Shows the Payment Status	
Percentage Total Amount	Shows the Percentage Total Amount	
Pool Reference	Shows the reference of the cash account where the payment is debited	
	Displayed format is: Max. XX characters	
Position Date	Show the date of Position Date.	
Principal Interest Indicator	Show the Principal Interest Indicator.	
Procedure	Shows the Procedure	
Report Time	Shows the Report Date and Time	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
RIAD Code	Shows the RIAD identifier	
	Displayed format is: Max. 30 characters.	
Segregated Operation Type	Shows the Segregated Operation Type	
Settlement Date	Shows the Settlement date or use the calendar icon.	
	Displayed format is: DD/MM/YYYY	
Spread	Shows the Spread	
Instruction Status	Shows the Instruction Status	
Transaction Reference Number	Shows the Transaction Reference Number	
Transaction Type	Shows the Transaction Type	
Button	Description	
Export Report Csv	This function enables you to export the displayed list of the in a CSV file.	
Export Report Excel	This function enables you to export the displayed list of the in an Excel file.	
Export Report XML	This function enables you to export the displayed list of the in an XMI file.	
Delete	This function enables you to delete the <i>Outstanding Credit Operations Report</i> .	
Edit	This function enables you to edit the <i>Outstanding Credit Operations Report</i> .	
View	This function enables you to access to the detailed information of the <i>Outstanding Credit Operations Report</i> .	
	Next screen:	



- Outstanding Credit Operations Report - details screen

# 3.6.1.3 Outstanding Credit Operations Report - Details Screen

Context of Usage		search results displayed ed further by clicking on	l in a list. After selecting the buttons below.
Screen Access	Credit Operations >> Cl	Reporting >> Credit Op ick on the <i>Search</i> button >> Select an Outstanding ton the <i>View</i> button	(after inputting the rel-
Screenshot	Construction Control C	Advances Colores  Advances Colores  Advances Colores  Advances Colores  Advances Colores  Advances Colores  ER  Convency  ER  ER  ER  ER  ER  ER  ER  ER  ER  E	Advanced Manufacture  Advanced Manufacture  Advanced Manufacture  Advanced Manufacture  Convergingly Manufacture  Extra Control Control  District Physical Research  Extra Control  Extra
	Uplane Davi M  377 (4493021 14465249  Creation Upor M  Creation Upor M  A4983021 144752449  A4983021 144752445		

Outstanding Credit Operations Report – Details screen		
General Information		
Field	Description	
Accounting Interest	Shows the Accounting Interest Rate	
Rate	Displayed format is: Max. XX characters.	
Allotment Amount	Shows the nominal value of the OMO	
	Displayed format is: Max. XX characters.	
Allotment Maturity	Shows the date at which the operation will be matured	
Date	Displayed format is: DD/MM/YYYY.	
Allotment Rate	Shows the Allotment Rate	
	Displayed format is: Max. XX characters.	
Allotment Status	Shows the Allotment Status	
	Displayed format is: Max. XX characters.	
Allotment Value Date	Shows the Allotment Value Date	
	Displayed format is: DD/MM/YYYY.	
Amount	Shows the amount	



	Displayed format is: Max. XX characters.
Auction Type	Shows the Auction Amount. The possible values are:
	- "Fixed Rate Tender"
	– "Variable Rate Tender"
	Displayed format is: Max. XX characters.
Counterparty Name	Shows the short name of the Counterparty
1 7	Displayed format is: Max. XX characters.
Credit Account	Shows the reference of the cash account where the payment is to be paid
	Displayed format is: Max. XX characters.
Currency	Show the currency of the payment
Debited Account	Shows the reference of the cash account where the payment is debited
	Displayed format is: Max. XX characters
Duration of Opera-	Shows the Duration of Operation
tion	one we burner of operation
ECMS Internal Refer-	Shows the application reference that uniquely identifies the instruction.
ence	Displayed format is: Max. XX characters.
Effective Payment	Shows the Effective Payment Date
Date	Displayed format is: DD/MM/YYYY.
FX Currency	Shows the FX Currency
	Displayed format is: Max. 3 characters
Initial Margin	Shows the Initial Margin
Interest Currency	Shows the applied interest rate for the accrued interest calculation
Interest Rate	Shows the applied interest rate for the accrued interest calculation.
Maturity Date	Shows the Maturity Date
	Displayed format is: DD/MM/YYYY.
MI Type	Shows the MI Type
Netted Payment ID	Shows the Netted Payment Id, if the payment was netted.
Netting Indicator	Shows the Netting Indicator. The possible values are:
	- Yes
	- No.
Nominal	Shows the Nominal Amount
Nominal Reimbursed	Shows the Nominal Reimbursed in Currency
in Currency	
Nominal Reimbursed in Euro	Shows the Nominal Reimbursed in Euro
OMO Reference No	Shows the application reference that uniquely identifies the instruction.
ONIO Reference IVO	
Operation Type	Displayed format is: Max. XX characters.
Operation Type	Shows the Operation Type  Shows the Outstanding Accrued Interest
Outstanding Accrued Interest	Shows the Outstanding Accrued Interest
	Shows the Outstanding Amount in Currency
in Currency	



Outstanding Amount	Shows the Outstanding Amount in Euro
in Euro	Shows the Outstanding Amount in Euro
Paid Accrued Interest	Shows the Paid Accrued Interest
Party Internal Reference	Shows the Party Internal Reference
Payment Currency	Shows the Payment Currency
Payment Status	Shows the Payment Status
Percentage Total Amount	Shows the Percentage Total Amount
Pool Reference	Shows the reference of the cash account where the payment is debited
	Displayed format is: Max. XX characters
Position Date	Show the date of Position Date.
Principal Interest Indicator	Show the Principal Interest Indicator.
Procedure	Shows the Procedure
Report Time	Shows the Report Date and Time
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
RIAD Code	Shows the RIAD identifier
	Displayed format is: Max. 30 characters.
Segregated Operation Type	Shows the Segregated Operation Type
Settlement Date	Shows the Settlement date or use the calendar icon.
	Displayed format is: DD/MM/YYYY
Spread	Shows the Spread
Instruction Status	Shows the Instruction Status
Transaction Reference Number	Shows the Transaction Reference Number
Transaction Type	Shows the Transaction Type
Footprint	
Field	Description
Update User Id	Show the identification of the user that made the last update.
Update Date	Shows the date and time of the update.
	Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the report.
Creation Date	Show the date and time of the creation.
	Display format is: DD/MM/YYYY hh:mm:ss:µs.

## 3.6.2 Settled and Reimbursed Credit Operations Report

## 3.6.2.1 Settled and Reimbursed Credit Operations - Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the Settled and Reim-
	bursed Credit Operations report.



Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Settled and Reimbursed Credit Operations	
Screenshot	ReportActus DateS describingus: Credit Operations Report  Position Date =	₹ A

Settled and Reimbursed Credit Operations Report – Search screen		
Field	Description	
Pool Reference	Shows the Identifier that uniquely identifies the Pool.	
Riad Code	Shows the RIAD Code that identifies the counterparty	
	Displayed format is: Max. 30 characters.	
Position Date	Shows the Date of the Cash Collateral Position	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs	
Button	Description	
Reset	This function enables you to clear the form.	
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.	

# 3.6.2.2 Settled and Reimbursed Credit Operations - List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Settled and Reimbursed Credit Operations >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	Search Result: Credit Operations Report

Settled and Reimbursed Credit Operations – List screen		
General Information		
Field	Description	
Accounting Interest	Shows the Accounting Interest Rate	
Rate	Displayed format is: Max. XX characters.	
Allotment Amount	Shows the nominal value of the OMO	
	Displayed format is: Max. XX characters.	
Allotment Maturity	Shows the date at which the operation will be matured	
Date	Displayed format is: DD/MM/YYYY.	
Allotment Rate	Shows the Allotment Rate	
	Displayed format is: Max. XX characters.	
Allotment Status	Shows the Allotment Status	



	Displayed format is: Max. XX characters.
Allotment Value Date	Shows the Allotment Value Date
	Displayed format is: DD/MM/YYYY.
Amount	Shows the amount
	Displayed format is: Max. XX characters.
Auction Type	Shows the Auction Amount. The possible values are:
	- "Fixed Rate Tender"
	- "Variable Rate Tender"
	Displayed format is: Max. XX characters.
Counterparty Name	Shows the short name of the Counterparty
Counterparty Ivanic	Displayed format is: Max. XX characters.
Credit Account	Shows the reference of the cash account where the payment is to be paid
Credit / recount	Displayed format is: Max. XX characters.
Currency	Show the currency of the payment
Debited Account	Shows the reference of the cash account where the payment is debited
Debited Account	Displayed format is: Max. XX characters
Duration of Opera	Shows the Duration of Operation
Duration of Operation	Shows the Duration of Operation
ECMS Internal Refer-	Shows the application reference that uniquely identifies the instruction.
ence	Displayed format is: Max. XX characters.
Effective Payment	Shows the Allotment Value Date
Date	Displayed format is: DD/MM/YYYY.
FX Currency	Shows the FX Currency
	Displayed format is: Max. 3 characters
Initial Margin	Shows the Initial Margin
Interest Currency	Shows the applied interest rate for the accrued interest calculation
Interest Rate	Shows the applied interest rate for the accrued interest calculation.
Maturity Date	Shows the Maturity Date
	Displayed format is: DD/MM/YYYY.
MI Type	Shows the MI Type
Netted Payment ID	Shows the Netted Payment Id, if the payment was netted.
Netting Indicator	Shows the Netting Indicator. The possible values are:
	- Yes
	– No.
Nominal	Shows the Nominal Amount
Nominal Reimbursed	Shows the Nominal Reimbursed in Currency
in Currency	
Nominal Reimbursed in Euro	Shows the Nominal Reimbursed in Euro
OMO Reference No	Shows the application reference that uniquely identifies the instruction.
	Displayed format is: Max. XX characters.
Operation Type	Shows the Operation Type



Outstanding Accrued Interest	Shows the Outstanding Accrued Interest	
Outstanding Amount in Currency	Shows the Outstanding Amount in Currency	
Outstanding Amount in Euro	Shows the Outstanding Amount in Euro	
Paid Accrued Interest	Shows the Paid Accrued Interest	
Party Internal Reference	Shows the Party Internal Reference	
Payment Currency	Shows the Payment Currency	
Payment Status	Show the Payment Status	
Percentage Total Amount	Shows the Percentage Total Amount	
Pool Reference	Shows the reference of the cash account where the payment is debited	
	Displayed format is: Max. XX characters	
Position Date	Show the date of Position Date.	
Principal Interest Indicator	Show the Principal Interest Indicator.	
Procedure	Shows the Procedure	
Report Time	Shows the Report Date and Time	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
RIAD Code	Shows the RIAD identifier	
	Displayed format is: Max. 30 characters.	
Segregated Operation Type	Shows the Segregated Operation Type	
Settlement Date	Shows the Settlement date or use the calendar icon.	
	Displayed format is: DD/MM/YYYY	
Spread	Shows the Spread	
Instruction Status	Shows the Instruction Status	
Transaction Reference Number	Shows the Transaction Reference Number	
Transaction Type	Shows the Transaction Type	
Button	Description	
Export Report Csv	This function enables you to export the displayed list of the in a CSV file.	
Export Report Excel	This function enables you to export the displayed list of the in an Excel file.	
Export Report Xml	This function enables you to export the displayed list of the in an XML file.	
Delete	This function enables you to delete the Settled and reimbursed Credit Operations Report.	
Edit	This function enables you to edit the Settled and reimbursed Credit Operations Report.	
View	This function enables you to access to the detailed information of the Settled and Reimbursed Credit Operations report.	



	Next screen:	
	– Settled and Reimbursed Credit Operations - Details details	

## 3.6.2.3 Settled and Reimbursed Credit Operations - Details Screen

Context of Usage		search results displayed ed further by clicking on	l in a list. After selecting the buttons below.
Screen Access	Reimbursed Credit Ope putting the relevant fiel	Reporting >> Credit Operations >> Click on the S ds, if needed) >> Select a ns Report >> Click on the	earch button (after in- Settled and Reim-
Screenshot	General Result - Certain Operations Report > View - Credit Operations Report Accessing interest face A	Aborner forour  Allorer forour  XX  XX  Convey  XX  Loss remain furfamore  Loss remain furfamore  Loss remain furfamore  Income Magn  Manage	Advanced Manufry Class  [UMM02]  Advanced Manufry Class  [UMM02]  Advanced Value Class  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMM02]  [UMm02]  [Umm0
	TEMPORY TEMPOR	performent Date 600-80421 Transaction federace Number CL3800000012431*	General 0 Transaction Type Revene Executions

Settled and Reimbursed Credit Operations – Details screen		
General Information		
Field	Description	
Accounting Interest	Shows the Accounting Interest Rate	
Rate	Displayed format is: Max. XX characters.	
Allotment Amount	Shows the nominal value of the OMO	
	Displayed format is: Max. XX characters.	
Allotment Maturity	Shows the date at which the operation will be matured	
Date	Displayed format is: DD/MM/YYYY.	
Allotment Rate	Shows the Allotment Rate	
	Displayed format is: Max. XX characters.	
Allotment Status	Shows the Allotment Status	
	Displayed format is: Max. XX characters.	
Allotment Value Date	Shows the Allotment Value Date	
	Displayed format is: DD/MM/YYYY.	



Amount	Shows the amount	
Amount	Displayed format is: Max. XX characters.	
Assotion Town		
Auction Type	Shows the Auction Amount. The possible values are:	
	- "Fixed Rate Tender"	
	– "Variable Rate Tender"	
	Displayed format is: Max. XX characters.	
Counterparty Name	Shows the short name of the Counterparty	
	Displayed format is: Max. XX characters.	
Credit Account	Shows the reference of the cash account where the payment is to be paid	
	Displayed format is: Max. XX characters.	
Currency	Show the currency of the payment	
Debited Account	Shows the reference of the cash account where the payment is debited	
	Displayed format is: Max. XX characters	
Duration of Operation	Shows the Duration of Operation	
ECMS Internal Refer-	Shows the application reference that uniquely identifies the instruction.	
ence	Displayed format is: Max. XX characters.	
Effective Payment	Shows the Allotment Value Date	
Date	Displayed format is: DD/MM/YYYY.	
FX Currency	Shows the FX Currency	
,	Displayed format is: Max. 3 characters	
Initial Margin	Shows the Initial Margin	
Interest Currency	Shows the applied interest rate for the accrued interest calculation	
Interest Rate	Shows the applied interest rate for the accrued interest calculation.	
Maturity Date	Shows the Maturity Date	
	Displayed format is: DD/MM/YYYY.	
MI Type	Shows the MI Type	
Netted Payment ID	Shows the Netted Payment Id, if the payment was netted.	
Netting Indicator	Shows the Netting Indicator. The possible values are:	
	- Yes	
	- No.	
Nominal	Shows the Nominal Amount	
Nominal Reimbursed		
in Currency	and the state of t	
Nominal Reimbursed in Euro	Shows the Nominal Reimbursed in Euro	
OMO Reference No	Shows the application reference that uniquely identifies the instruction.	
	Displayed format is: Max. XX characters.	
Operation Type	Shows the Operation Type	
Outstanding Accrued Interest	Shows the Outstanding Accrued Interest	



Outstanding Amount in Currency	Shows the Outstanding Amount in Currency	
Outstanding Amount in Euro	Shows the Outstanding Amount in Euro	
Paid Accrued Interest	Shows the Paid Accrued Interest	
Party Internal Reference	Shows the Party Internal Reference	
Payment Currency	Shows the Payment Currency	
Payment Status	Shows the Payment Status	
Percentage Total Amount	Shows the Percentage Total Amount	
Pool Reference	Shows the reference of the cash account where the payment is debited	
	Displayed format is: Max. XX characters	
Position Date	Show the date of Position Date.	
Principal Interest Indicator	Show the Principal Interest Indicator.	
Procedure	Shows the Procedure	
Report Time	Shows the Report Date and Time	
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.	
RIAD Code	Shows the RIAD identifier	
	Displayed format is: Max. 30 characters.	
Segregated Operation Type	Shows the Segregated Operation Type	
Settlement Date	Shows the Settlement date or use the calendar icon.	
	Displayed format is: DD/MM/YYYY	
Spread	Shows the Spread	
Instruction Status	Shows the Instruction Status	
Transaction Reference Number	Shows the Transaction Reference Number	
Transaction Type	Shows the Transaction Type	
Footprint		
Field	Description	
Updater User Id	Show the identification of the user that made the last update.	
Update Date	Shows the date and time of the update.	
	Display format is: DD/MM/YYYY hh:mm:ss:µs.	
Creation User Id	Shows the identification of the user that created the report.	
Creation Date	Show the date and time of the creation.	
	Display format is: DD/MM/YYYY hh:mm:ss:µs.	



# 4 Screen User Guide

## 4.1 General

# 4.2 Reference Data

# 4.2.1 User Management

### 4.2.1.1 Administration of ECMS Users

Overview	This describes all activities that an NCB can perform for the administration of the users that needs to manage its Parties lifecycle.
	The Administration of Users has the aim to manage users with appropriate rights:
	<ul> <li>To ensure the access only to the seggregated data that this user is allowed to work with.</li> </ul>
	- To access only the functionality that this user is granted.
	For achieving above goals, four different concepts has to be set in the creation of a user:
	<ul> <li>Groups: when a user is assigned to a group, the user inherit the roles and</li> <li>Properties assigned to this group, making user management easier.</li> </ul>
	<ul> <li>Security Properties: for assigning to the user specific properties.</li> </ul>
	<ul> <li>Security Roles: for assigning to the user specific roles.</li> </ul>
	<ul> <li>User Multi Tenancy: in case of users that are allowed to manage data from different tenants.</li> </ul>
Business	- Create a new User
Scenarios	- Edit an existing User

#### 4.2.1.1.1 Create a new User

Context of Usage	This business scenario describes how to create a new User.  Before you start, check whether the User has not already been created by someone else.
Reference	Users – Create Screen
	Users – Search Screen
Instructions	– Go to the Users – Search Screen
	Reference Data >> Reference Data >> Access Right Reference Data >> Users Create/Display
	- Click on the <i>Create</i> button.
	The <i>Users – Create</i> Screen is displayed.
	Enter all mandatory information necessary to determine the potential user.
	Following fields must be filled in:



- "ECMS System User Reference". To unequivocally identify the user.
- "Name". To assign an appropriate name to the user
- "Is Active". To set if the user is active or not.

Next step is related to assign groups, roles and/or security properties or User Multi Tenancy if needed..

- "Groups". In this case, all the groups of users in the system will be displayed in order the user (with appropriate rigths to create user) can select the needed ones for this user.
- "Security Property". In this case, all the security properties in the system will be displayed in order the user (with appropriate rigths to create user) can select the needed ones for this user.
- "Security Roles". In this case, all the security roles in the system will be displayed in order the user (with appropriate rigths to create user) can select the needed ones for this user.
- "User Multi Tenancy". This button will be only shown if logged user is an ECMS Operator, then this kind of user could select from the system the different tenants in the system where the "in creation" user will work.

#### Alternatives

- To set all fields to default value and blank out all optional fields, click on the Reset button.
- To cancel the process and return to the previous screen, click on the *cancel* button.

#### 4.2.1.1.2 Edit an existing User

Context of Usage	This business scenario describes how to edit the reference data of an existing User. Each NCB is able to update the reference data for its Users.
	Editing an existing User is optional for the administration of Users.
Reference	Further information on the involved screens can be found in the screen reference part:
	- Users- Create Screen
	– Users– Search Screen
	- Users-List Screen
	- Users- Details Screen
Instructions	- Go to the <i>Users</i> -Search screen
	Reference Data >> Reference Data >> Access Right Reference Data >> Users Create/Display
	- Enter the search criteria known to you about the User you want to edit.
	<ul> <li>Click on the Search button. The Users – List screen is displayed. A list containing the search results is displayed on the screen.</li> </ul>
	<ul> <li>Select the relevant User from the search results by clicking on an entry in the list.</li> </ul>
	– Click on the <i>Edit</i> button.
	– The <i>Users – Edit</i> screen is displayed.



<ul> <li>To add or change current values on the User setup, enter the new values in the respective fields.</li> </ul>
<ul> <li>Before adding or changing the Group, security Role, Security Property or User Multi Tenancy, all mandatory fields of the current screen should be properly populated.</li> </ul>
Alternatives
- To cancel the process and return to the previous screen, click on the <i>Cancel</i> button.
- Click on the Group button.
<ul> <li>The list of Groups that applies for the current User is displayed.</li> </ul>
- If adding a new group is needed click on Create button:
The Group-Create screen is displayed, select the needed one.
Click on the Save button.
The added group is stored.
- If removing the link to a group is needed.
Select the group to be removed from the ones displayed.
Click on Delete button
The group is not displayed in the list of groups
- Click on the Security Role button.
- The list of Roles that applies for the current User is displayed.
- If adding a new Role is needed click on Create button:
The Role– Create screen is displayed, select the needed one.
Click on the Save button.
The added Role is stored.
– If removing the link to a Role is needed.
Select the Role to be removed from the ones displayed.
Click on Delete button
The Role is not displayed in the list of Roles
- Click on the Security Property button.
- The list of Security Properties that applies for the current User is displayed.
- If adding a new Security Property is needed click on Create button:
The Security Property– Create screen is displayed, select the needed one.
Click on the Save button.
The added Security Property is stored.
<ul> <li>If removing the link to a Security Property is needed.</li> </ul>
Select the Security Property to be removed from the ones displayed.
Click on Delete button
The Security Property is not displayed in the list of Security Properties
- Click on the User Multi Tenancy button.
- The list of Tenants that applies for the current User is displayed.
- If adding a new Tenant is needed click on Create button:



The <i>User Multi Tenancy– Create</i> screen is displayed, select the needed one.
Click on the Save button.
The added Tenant is stored.
– If removing the link to a Tenant is needed.
Select the Tenant to be removed from the ones displayed.
Click on Delete button
The Tenant is not displayed in the list of tenants

# 4.3 Monetary Policy Operations

# 4.3.1 Standing Facilities

## 4.3.1.1 Marginal Lending on Request

Overview	This section describes the Marginal Lending on Request activities that can be performed by an NCB user or a Counterparty.  After the initial creation of a Marginal Lending on Request instruction, the NCB user or the Counterparty can edit it. To manage a Marginal Lending on Request instruction, carry out the following business scenarios in the predefined order:
Business Scenarios	Create a new Marginal Lending on Request instruction     Edit an existing Marginal Lending on Request instruction

### 4.3.1.1.1 Create a new Standing Facilities instruction

This business scenario describes how to create a new Marginal Lending on Request instruction.
Standing Facilities – Input Screen
<ul> <li>Through the main menu, go to the Standing Facilities – Input Screen. Click on the Input button.</li> </ul>
The Standing Facilities – Input Screen is displayed.
Enter all fields.
- Click on the <i>Save</i> button.
The new Standing Facilities instruction has been created.
Alternatives
To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button.
To cancel the process and return to the previous screen, click on the cancel button.



## 4.3.1.1.2 Edit an existing Standing Facilities instruction

Context of Usage	This business scenario describes how to edit a Marginal Lending on Request instruction. Each NCB user and Counterparty is able to update its Marginal Lending on Request instructions.
	Editing an existing Marginal Lending on Request instruction is optional for the management of Marginal Lending on Request instructions.
Reference	Further information on the involved screens can be found in the screen refer-
	ence part:
	- Standing Facilities - Input Screen
	<ul> <li>Standing Facilities – Search Screen</li> </ul>
	- Standing Facilities - List Screen
	- Standing Facilities - Details Screen
Instructions	- Through the main menu, go to the Standing Facilities - Search screen
	Collateral and Credit >> Exposure >> Standing Facilities >> Display
	<ul> <li>Enter the search criteria known to you about the Marginal Lending on Request instruction you want to edit. Enter search fields with the criteria "MLOR" for the Instruction Description.</li> </ul>
	<ul> <li>Click on the <i>Search</i> button. The <i>Standing Facilities – List</i> screen is displayed.</li> <li>A list containing the search results is displayed on the screen.</li> </ul>
	<ul> <li>Select the relevant Marginal Lending on Request instruction from the search results by clicking on an entry in the list.</li> </ul>
	- Click on the <i>Edit</i> button.
	- The Standing Facilities – Edit screen is displayed.
	– You can also access to the <i>Standing Facilities</i> – <i>Edit</i> screen:
	- Click on the <i>View</i> button. The <i>Standing Facilities</i> – <i>Details</i> screen is displayed.
	- Click on the icon.
	<ul> <li>To add or change current values in fields, enter the new values in the respective fields.</li> </ul>
	Alternatives
	<ul> <li>To cancel the process and return to the previous screen, click on the Cancel button.</li> </ul>
	<ul> <li>Click on the <i>Save</i> button.</li> <li>The edited Marginal Lending on Request instruction is stored.</li> </ul>

## 4.3.1.2 Standing Facilities Notifications - MLOR Notifications

Overview	This section describes the MLOR Notifications activities that can be performed
	by an NCB user or a Counterparty.



	After the initial creation of a MLOR instruction by the ECMS, the NCB user or the Counterparty can view the notifications. To manage a MLOR Notifications, carry out the following business scenarios in the predefined order:
	1. View a MLOR Notification
Scenarios	2. View the details of a MLOR Notification.

#### 4.3.1.2.1 View a MLOR Notification

Context of Usage	This business scenario describes how to view a MLOR Notification.
Reference	MLOR Notification – Search Screen
Instructions	<ul> <li>Through the main menu, go to the MLOR Notification – Search Screen.</li> <li>Click on the button.</li> </ul>
	The MLOR Notification – Search Screen is displayed.
	Enter search fields.
	- Click on the <i>Search</i> button.
	The MLOR Notification are displayed.
	Alternatives
	- To set all fields to default value, click on the <i>Reset</i> button.

## 4.3.1.3 Standing Facilities Notifications – MLOR Cancellation Notifications

Overview	This section describes the MLOR Cancellation Notifications activities that can be performed by an NCB user or a Counterparty.  After the initial creation of a MLOR instruction by the ECMS and then the cancellation, the NCB user or the Counterparty can view the notifications. To manage a MLOR Cancellation Notifications, carry out the following business scenarios in the predefined order:
Business Scenarios	<ol> <li>View a MLOR Cancellation Notification</li> <li>View the details of a MLOR Cancellation Notification.</li> </ol>

#### 4.3.1.3.1 View a MLOR Cancellation Notification

Context of Usage	This business scenario describes how to view a MLOR Cancellation Notification.
Reference	MLOR Cancellation Notification – Search Screen
Instructions	Through the main menu, go to the MLOR Notification – Search Screen.
	Click on the button.
	- The MLOR Cancellation Notification - Search Screen is displayed.
	Enter search fields.
	– Click on the <i>Search</i> button.



The MLOR Cancellation Notification are displayed.
Alternatives
– To set all fields to default value, click on the <i>Reset</i> button.

## 4.3.1.4 Standing Facilities Notifications - MLOR Status Notifications

Overview	This section describes the MLOR Status Notifications activities that can be performed by an NCB user or a Counterparty.  After the initial creation of a MLOR instruction by the ECMS, the NCB user or the Counterparty can view the status notifications. To manage a MLOR Status Notifications, carry out the following business scenarios in the predefined order:
Business Scenarios	<ol> <li>View a MLOR Status Notification</li> <li>View the details of a MLOR Status Notification.</li> </ol>

#### 4.3.1.4.1 View a MLOR Status Notification

Context of Usage	This business scenario describes how to view a MLOR Status Notification.
Reference	MLOR Status Notification – Search Screen
Instructions	Through the main menu, go to the MLOR Status Notification – Search Screen
	- Click on the button.
	The MLOR Status Notification – Search Screen is displayed.
	Enter search fields.
	- Click on the <i>Search</i> button.
	The MLOR Status Notification are displayed.
	Alternatives
	- To set all fields to default value, click on the <i>Reset</i> button.

## 4.3.1.5 Automatic Marginal Lending

Overview	This section describes the Automatic Marginal Lending activities that can be performed by an NCB user or a Counterparty.
	After the initial creation of a Automatic Marginal Lending instruction, the NCB user or the Counterparty can view it. To view an Automatic Marginal Lending instruction, carry out the following business scenarios in the predefined order:
Business Scenarios	1. View an Automatic Marginal Lending instruction



## 4.3.1.5.1 View an Automatic Marginal Lending Instruction

Context of	This business scenario describes how to view an AML Instruction.
Usage	
Reference	AML Instruction – Search Screen
Instructions	- Through the main menu, go to the Standing Facilities - Search Screen
	- Click on the button.
	The Standng Facilities – Search Screen is displayed.
	Enter search fields with the criteria "AML" for the Instruction Description.
	- Click on the <i>Search</i> button.
	The AML Instructions are displayed.
	Alternatives
	- To set all fields to default value, click on the <i>Reset</i> button.

# 4.3.1.6 Standing Facilities Notifications - AML Status Notifications

Overview	This section describes the AML Status Notifications activities that can be performed by an NCB user or a Counterparty.  After the initial creation of an AML instruction, the NCB user or the Counterparty can view the status notifications. To manage an AML Status Notifications, carry out the following business scenarios in the predefined order:
Business Scenarios	View an AML Status Notification     View the details of an AML Status Notification.

#### 4.3.1.6.1 View an AML Status Notification

Context of	This business scenario describes how to view an AML Status Notification.
Usage	
Reference	AML Status Notification – Search Screen
Instructions	- Through the main menu, go to the AML Status Notification - Search Screen
	- Click on the button.
	The AML Status Notification – Search Screen is displayed.
	Enter search fields.
	– Click on the <i>Search</i> button.
	The AML Status Notification are displayed.
	Alternatives
	- To set all fields to default value, click on the <i>Reset</i> button.



## 4.4 Collateral

## 4.4.1 Marketable Asset Instructions and Positions

### 4.4.1.1 Marketable Asset Instructions

Overview	This describes the activities that a user can perform to monitor the processing of Marketable Asset Instructions in the ECMS.  The monitoring of Marketable Asset Instructions aims at having a detailed view on the Marketable Asset Instructions received by the ECMS and at following
Business Scenarios	their different processing status.  - Search/List/View the Counterparty Marketable Asset (de)Mobilisation Instructions
Sections	- Search/List/View the NCB Marketable Asset Instructions
	<ul><li>Search/List/View the Marketable Asset Settlement Instructions</li><li>Input a Counterparty Marketable Asset (de)Mobilisation Instruction</li></ul>

# 4.4.1.1.1 Search/List/View Counterparty Marketable Asset (de)Mobilisation Instructions

Context of Usage	This business scenario describes how to Search to List and to View Counterparty Marketable Asset (de)Mobilisation Instructions.
Osage	Counterparty Marketable Asset (de)Mobilisation Instructions are the instruction received by the ECMS for the mobilisation and the demobilisation of Marketable Asset Collateral on an ECMS Counterparty Asset Account.
Reference	Counterparty Marketable Asset Instructions – Search Screen
	Counterparty Marketable Asset Instructions – List Screen
	Counterparty Marketable Asset Instructions – Details screen
Instructions	- Through the main menu, go to the Counterparty Marketable Asset Instructions - Search Screen
	Instructions and Positions >> MA Instruction >> Monitor >> Counterparty Instruction
	<ul> <li>Capture the criteria corresponding to the search or leaves them blank to display all the Counterparty Marketable Asset (de)Mobilisation Instructions.</li> </ul>
	Click on the "Search" button.
	The list of all the Counterparty Marketable Asset (de)Mobilisation Instructions answering the captured criteria is displayed in the <i>Counterparty Marketable Asset Instructions—List Screen</i> .
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the Counterparty Marketable Asset Instructions—List Screen.
	<ul> <li>Once a Counterparty Marketable Asset (de)Mobilisation Instruction has been selected in the list, a "View" button is available.</li> </ul>



 Click on the "View" button or double clicking on the selected line to display the Counterparty Marketable Asset Instructions—Details screen with the details of the selected Counterparty Marketable Asset (de)Mobilisation Instruction.

#### Alternatives

Alternatively, click on the "Download" button to download the notification message.

 Click on the Details heading to go back to the list of "Marketable Asset (de)Mobilisation Instructions"

#### 4.4.1.1.2 Search/List/View Marketable Asset Settlement Instructions

Context of	This business scenario describes how to Search to List and to View Marketable
Usage	Asset Settlement Instructions.
	Marketable Asset Settlement Instructions are the instructions sent for the settle-
	ment of a Marketable Asset Instruction.
Reference	Marketable Asset Settlement Instructions – Search Screen
	Marketable Asset Settlement Instructions – List Screen
	Marketable Asset Settlement Instructions – Details screen
Instructions	<ul> <li>Through the main menu, go to the Marketable Asset Settlement Instructions</li> <li>Search Screen</li> </ul>
	Instructions and Positions >> MA Settlement Instruction >> Marketable Asset Settlement Instructions
	<ul> <li>Capture the criteria corresponding to the search or leaves them blank to dis- play all the Marketable Asset Settlement Instructions.</li> </ul>
	Click on the "Search" button.
	The list of all the Marketable Asset Answering Instructions answering the captured criteria is displayed in the <i>Marketable Asset Settlement Instructions—List Screen</i> is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the Marketable Asset Settlement Instructions—List Screen.
	<ul> <li>Once a Marketable Asset Settlement Instruction has been selected in the list,</li> <li>a "View" button is available.</li> </ul>
	<ul> <li>Click on the "View" button or double clicking on the selected line to display the Counterparty Marketable Asset Settlement Instructions—Details screen with the details of the selected Marketable Asset Settlement Instruction.</li> </ul>
	<ul> <li>Click on the Details heading to go back to the list of "Marketable Asset Set- tlement Instructions"</li> </ul>

#### 4.4.1.1.3 Input a Counterparty Marketable Asset (de)Mobilisation Instruction

Context of	This business scenario describes how to input a new Counterparty Marketable
Usage	Asset (de)Mobilisation Instruction.



	Counterparty Marketable Asset (de)Mobilisation Instructions are the instruction received by the ECMS for the mobilisation and the demobilisation of Marketable Asset Collateral on an ECMS Counterparty Asset Account.  This scenario is applicable for Counterparty or NCB users acting on behalf on the counterparty as long as they have the appropriate user rights.
Reference	Counterparty Marketable Asset (de)Mobilisation Instructions – Input Screen
Instructions	- Through the main menu, go to the <i>Counterparty Marketable Asset (de)Mobilisation Instructions – Input Screen</i>
	Instructions and Positions >> MA Instruction >> Input Instruction >> Marketable Asset Instruction
	<ul> <li>Capture the General Information fields of the Counterparty Marketable Asset Instruction:</li> </ul>
	Note that
	<ul> <li>If a Counterparty has been selected, only the NCB Participant Asset Account of that counterparty can be captured or selected.</li> </ul>
	<ul> <li>If no Counterparty has been selected, when the NCB Participant Asset Account the field Counterparty will be filled automatically with the Counterparty owning that account.</li> </ul>
	<ul> <li>When the field ISIN is captured with an ISIN valid in the ECMS reference data, the field ISIN description will be automatically displayed.</li> </ul>
	<ul> <li>Capture the Custody Chain fields of the Counterparty Marketable Asset Instruction:</li> </ul>
	Note that when the "CSD of the T2S Securities Account for Regular Collateral" will be filled in, the field External Asset Account and the External Asset Account Owner will be filled in automatically will be automatically displayed using the settlement possibility for mobilisation or the custody chain for demobilization.
	<ul> <li>Capture the Counterparty Details fields of the Counterparty Marketable Asset Instruction:</li> </ul>
	<ul> <li>Click on the "Save" button and the Counterparty Marketable Asset Instruction will be saved.</li> </ul>
	<ul> <li>If the business validation are passed successfully, it will be automatically processed</li> </ul>
	<ul> <li>If the business validation fails, and pop-up screen with the errors will be displayed. The user still have to click on the "ok" button of the error screen to come back to the Counterparty Marketable Asset Instruction – input screen</li> </ul>
	Alternatives
	Alternatively, click on the "Reset" button to clear the fields captured in the <i>Counterparty Marketable Asset Instructions—Input</i> Screen.

# 4.4.1.1.4 Recycle/Reject Counterparty Marketable Asset (de)Mobilisation Instructions waiting for NCB Manual Intervention

	This business scenario describes how to recycle or reject Counterparty Marketable Asset (de)Mobilisation Instructions waiting for NCB Manual Intervention.
Reference	Counterparty Marketable Asset Instructions – Search Screen
	Counterparty Marketable Asset Instructions – List Screen



	Counterparty Marketable Asset Instructions – Details screen
Instructions	- Through the main menu, go to the <i>Counterparty Marketable Asset Instructions</i> - <i>Search Screen</i>
	Instructions and Positions >> MA Instruction >> Validation / Rejection >> Recycle / Reject MA Instructions waiting for NCB Manual Intervention
	<ul> <li>Capture the criteria corresponding to the search or leave them blank to display all the Counterparty Marketable Asset (de)Mobilisation Instructions waiting for NCB Manual Intervention.</li> </ul>
	Click on the "Search" button.
	The list of all the Counterparty Marketable Asset (de)Mobilisation Instructions waiting for NCB Manual Intervention and answering the captured criteria is displayed in the <i>Counterparty Marketable Asset</i> (de)Mobilisation Instructions—List Screen is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the Counterparty Marketable Asset Instructions—List Screen.
	<ul> <li>Once a Counterparty Marketable Asset (de)Mobilisation Instruction has been selected in the list, a "Recycle" and a "Reject" button are available.</li> </ul>
	- Click on the "Recycle" button to recycle the <i>Counterparty Marketable Asset Instructions</i> — <i>Details screen</i> with the details of the selected Counterparty Marketable Asset (de)Mobilisation Instruction.
	Alternatives

### 4.4.1.2 Marketable Asset Positions

Overview	This describes the activities that a user can perform to monitor the Marketable Asset Positions in the ECMS.
	The monitoring of Marketable Asset Positions aims at having a detailed view on the Marketable Asset Positions in the following Accounts:
	- Counterparty Asset Account
	- Cross NCB Asset Account
	- T2S Securities Account
Business	- Search/List/View the Client Marketable asset Position per Position Type
Scenario	- Search/List/View the Market Marketable asset Position per Position Type

Alternatively, click on the "Reject" button to reject the instruction.

### 4.4.1.2.1 Search/List/View Client Marketable Asset Positions per Position Type

Context of Usage	This business scenario describes how to Search to List and to View Client Marketable Asset Positions per Position Type.
	Client Marketable Asset Position by Position Type represents the quantity of a given marketable asset held
	<ul> <li>On a ECMS Counterparty Asset Account opened in its books by a counterparty of its communities,</li> </ul>



	- On a Cross NCB Asset Account opened in its books by a refinancing NCB.
Reference	Client Marketable Asset Positions per Position Type – Search Screen
	Client Marketable Asset Positions per Position Type – List Screen
	Client Marketable Asset Positions per Position Type – Details screen
Instructions	- Through the main menu, go to the Client Marketable Asset Positions per Position Type – Search Screen  - Search Screen
	Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Position by Position Type
	<ul> <li>Capture the criteria corresponding to the search.</li> </ul>
	Click on the "Search" button.
	The list of all the Client Marketable Asset Positions per Position Type answering the captured criteria is displayed in the <i>Client Marketable Asset Positions per Position Type – List</i> screen is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the Client Marketable Asset Positions per Position Type – List screen.
	<ul> <li>Once a Client Marketable Asset Position per Position Type has been selected in the list, a "View" button is available.</li> </ul>
	<ul> <li>Click on the "View" button or double clicking on the selected line to display the Client Marketable Asset Positions per Position Type – Details screen with the details of the selected position.</li> </ul>
	<ul> <li>Click on the Details heading to go back to the list of "Client Marketable Asset Position per Position Type".</li> </ul>

## 4.4.2 Cash Collateral Instructions and Positions

### 4.4.2.1 Cash Collateral Instructions

Overview	This section describes the activities that a NCB user can perform to monitor the processing of Cash Collateral Instructions in the ECMS.
	Also the monitoring of the Payment Notifications send to CLM and the manual input of a Cash Collateral (de)Mobilisation Instruction.
	The monitoring of Cash Collateral Instructions and Notifications aim at having a detailed view on the Cash Collateral Instructions/Notifications received/sent by the ECMS.
Business	Search/List/View the Cash Collateral (de)Mobilisation Instructions
Scenarios	- Search/List/View the CCOL Instructions Notifications
	- Search/List/View the CCOL Instructions Status Notifications
	Input a Cash Collateral (de)Mobilisation Instruction
	Recycle a Cash Collateral (de)Mobilisation Instruction
	Reject a Cash Collateral (de)Mobilisation Instruction



## 4.4.2.1.1 Search/List/View Cash Collateral (de)Mobilisation Instructions

Context of Usage	This business scenario describes how to Search to List and to View Cash Collateral (de)Mobilisation Instructions.  Cash Collateral (de)Mobilisation Instructions are the instruction received by the ECMS for the mobilisation and the demobilisation of Cash as Collateral on the ECMS.
Reference	Cash Collateral Instructions – Search Screen
Reference	Cash Collateral Instructions – List Screen
	Cash Collateral Instructions – Details screen
Instructions	<ul> <li>Through the main menu, go to the Cash Collateral Instructions – Search</li> <li>Screen</li> </ul>
	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display
	<ul> <li>Capture the criteria corresponding to the search or leave them blank to display all the Cash Collateral Instructions.</li> </ul>
	Click on the "Search" button.
	The list of all the Cash Collateral Instructions answering the captured criteria is displayed in the Cash Collateral Instructions—List Screen.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the Cash Collateral Instructions—List Screen.
	<ul> <li>Once a Cash Collateral Instruction has been selected in the list, a "View" button is available.</li> </ul>
	<ul> <li>Click on the "View" button or double clicking on the selected line to display the Cash Collateral Instructions— Details screen with the details of the selected Cash Collateral Instruction.</li> </ul>
	<ul> <li>Click on the Details heading to go back to the list of "Cash Collateral In- structions"</li> </ul>

### 4.4.2.1.2 Search/List/View CCOL Instructions Notifications

Context of Usage	This business scenario describes how to Search to List and to View CCOL Instructions Notifications.
	You can also download the message sent to CLM.
	CCOL Instructions Notifications are the messages sent by the ECMS to CLM to instruct the payment for the (de)mobilisation of Cash as Collateral on the ECMS.
Reference	CCOL Instructions – Search Screen
	CCOL Instructions – List Screen
Instructions	- Through the main menu, go to the CCOL Instructions - Search Screen.
	Collateral and Credit >> Notification >> Counterparties Notifications >> Cash Collateral Notifications >> CCOL Instructions
	<ul> <li>Capture the criteria corresponding to the search or leave them blank to display all the CCOL Instructions Notifications.</li> </ul>
	Click on the "Search" button.



The list of all the CCOL Instructions answering the captured criteria is displayed in the CCOL Instructions – List Screen.
Alternatives
Alternatively, click on the "Reset" button to clear the search criteria and close the CCOL Instructions – List Screen.
- Once a CCOL Instructions has been selected in the list, the "Download" but-

- Once a CCOL Instructions has been selected in the list, the "Download" button is available.
- Click on the "Download" button to download the message sent to CLM.

### 4.4.2.1.3 Search/List/View CCOL Instructions Status Notifications

Context of Usage	This business scenario describes how to Search to List and to View CCOL Instruction Status Notifications.
U	You can also download the message sent by CLM.
	CCOL Instruction Status Notifications are the messages sent by CLM to ECMS to confirm the payment for the mobilisation of Cash as Collateral on the ECMS.
Reference	CCOL Instruction Status Notifications – Search Screen
	CCOL Instruction Status Notifications – List Screen
Instructions	- Through the main menu, go to the CCOL Instruction Status Notifications - Search Screen.
	Collateral and Credit >> Notification >> Counterparties Notifications >> Cash Collateral Notifications >> CCOL Instructions Status Notifications
	<ul> <li>Capture the criteria corresponding to the search or leave them blank to display all the CCOL Instruction Status Notifications.</li> </ul>
	Click on the "Search" button.
	The list of all the CCOL Instruction Status Notifications answering the captured criteria is displayed in the CCOL Instruction Status Notifications – List Screen.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the CCOL Instruction Status Notifications – List Screen.
	<ul> <li>Once a CCOL Instruction Status Notifications has been selected in the list, the "Download" button is available.</li> </ul>
	- Click on the "Download" button to download the message sent to CLM.

### 4.4.2.1.4 Input a Cash Collateral (de) Mobilisation Instruction

Usage	This business scenario describes how to manually input a new Cash Collateral (de)Mobilisation Instruction.  Cash Collateral (de)Mobilisation Instructions are the instructions received by the ECMS for the mobilisation and the demobilisation of Cash Collateral in the ECMS.
Reference	Cash Collateral Instructions – Input Screen
Instructions	- Through the main menu, go to the Cash Collateral Instructions – Input Screen



Collateral and Credit >> Collateral >> Cash Collateral >> Input Instruction

- Enter all the required information of the Cash Collateral Instruction:
- Click on the "Save" button and the Cash Collateral Instruction will be saved.
- If the business validation are passed successfully, it will be automatically processed
- If the business validation fails, a pop-up screen with the errors will be displayed. The user will have to click on the "ok" button of the error screen to come back to the Cash Collateral Instruction input screen

#### Alternatives

Alternatively, click on the "Reset" button to clear the fields captured in the *Cash Collateral Instructions—Input Screen*.

#### 4.4.2.2 Cash Collateral Aggregated Positions

Overview	This section describes the activities that a NCB user can perform to monitor the Cash Collateral Aggregated Positions in the ECMS.  The monitoring of Cash Collateral Positions aims at having a detailed view on the cash (de)mobilised as collateral by Counterparties and the daily calculation of accrued interest on the ECMS Pools.
Business Scenario	- Search/List/View the Cash Collateral Position

#### 4.4.2.2.1 Search/List Cash Collateral Aggregated Positions

Context of Usage	This business scenario describes how to Search and to List Cash Collateral Positions aggregated.
	At a given time the Cash Collateral Position is equal to:
	The sum of the amount Cash Collateral Mobilisation instruction confirmed,
	- Plus the sum of the daily accrued interest,
	<ul> <li>Minus the sum the amount Cash Collateral Demobilisation instruction confirmed.</li> </ul>
Reference	Cash Collateral Aggregated Positions – Search Screen
	Cash Collateral Aggregated Positions – List Screen
Instructions	- Through the main menu, go to the <i>Cash Collateral Aggregated Positions – Search</i> Screen
	Collateral and Credit >> Pool Overview >> Positions >> Aggregated Positions >> Aggregated Cash Collateral Position
	Capture the criteria corresponding to the search.
	Click on the "Search" button.
	The list of all the Cash Collateral Aggregated Positions answering the captured criteria is displayed in the Cash Collateral Aggregated Positions – List screen is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the Cash Collateral Aggregated Positions – List screen.



## 4.4.3 Credit Claims

### 4.4.3.1 Credit Claims Instructions

Overview	This section describes the activities that a NCB user can perform to monitor the processing of Credit Claims Instructions in the ECMS.
	In addition, the monitoring of the of the credit claim file received from counterparties, the processing report send to the counterparties and the manual input of Credit Claim Instructions
Business	- Search/List/View the Credit Claims file
Scenarios	- Recycle the Credit Claims file
	- Input a Credit Claim (de)Mobilisation Instruction
	- Confirm a Credit Claim (de)Mobilisation Instruction
	Reject a Credit Claim (de)Mobilisation Instruction
	- Recycle a Credit Claim (de)Mobilisation Instruction
	- Input a Credit Claim Registration / update Instruction
	- Input a Credit Claim Exclusion Instruction
	- Input an Obligor Exclusion Instruction
	Confirm a Credit Claim Registration / Update Instruction
	Reject a Credit Claim Registration / Update Instruction
	Recycle a Credit Claim Registration / Update Instruction
	- Input a Credit Claim Rating registration / update Instruction
	- Confirm a Credit Claim Rating registration / update Instruction
	- Confirm Reject a Credit Claim Rating registration / update Instruction
	Recycle a Credit Claim Rating registration / update Instruction
	- Search/List/View the Credit Claim registration/update instructions
	- Search/List/View the Rating registration / Rating update Instructions
	- Search/List/View the Credit Claim (de)Mobilisation Instructions
	- Search/List/View the processing report file
	- Search/List/View the Credit Claims
	- Create a Guarantee
	- Search/List/View Guarantee
	– Edit an existing Guarantee
	- Create Obligor
	- Search/List/View/ Obligor
	– Edit an existing Obligor
	- Create Creditor
	- Search/List/View Creditor
	- Edit an existing Creditor
	_



### 4.4.3.1.1 Search/List/View Credit Claims file

#### 4.4.3.1.1.1

Context of Usage	This business scenario describes how to Search, List and View the Credit Claims file received by the ECMS from the counterparties and containing the counterparties credit claims instructions
Reference	Credit Claims file – Search Screen
	Credit Claims file – List Screen
	Credit Claims file – Details screen
Instructions	Through the main menu, go to the <i>Credit Claims file – Search</i> Screen
	Collateral and Credit >> Credit Claims >> Credit Claims file Display
	<ul> <li>Capture the criteria corresponding to the search or leave them blank to display all received Credit Claims file.</li> </ul>
	Click on the "Search" button.
	The list of all the Credit Claims file answering the captured criteria is displayed in the <i>Credit Claims file – List Screen</i> .
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Credit Claims file – List Screen</i> .
	On so a Coudit Claims Glab as been salested in the list a "Wisser" button is
	<ul> <li>Once a Credit Claims file has been selected in the list, a "View" button is available.</li> </ul>
	<ul> <li>Click on the "View" button or double clicking on the selected line to display the <i>Credit Claims file – Details screen</i> with the details of the selected Credit Claims file.</li> </ul>
	- Click on the Details heading to go back to the list of "Credit Claims files"
	Alternatives
	Alternatively, click on the "History" button to view the history of the changes having affecting the instruction in the <i>Credit Claims file – History Screen</i> .

### 4.4.3.1.2 Search/List/View Credit Claim (de)Mobilisation Instructions

#### 4.4.3.1.2.1

Context of Usage	This business scenario describes how to Search, List and View Credit Claims (de)Mobilisation Instructions.
	The Credit Claims (de)Mobilisation are created within reception of the Credit Claims file from counterparties or manually by an authorized user.



Reference	Credit Claim (de)Mobilisation Instruction – Search Screen
	Credit Claim (de)Mobilisation Instruction – List Screen
	Credit Claim (de)Mobilisation Instruction – Details screen
Instructions	- Through the main menu, go to the <i>Credit Claim (de)Mobilisation Instruction</i> - <i>Search Screen</i>
	Collateral and Credit >> Collateral >> Credit Claims >> Credit Claims (de)Mobilisation Instructions Display
	- Capture the criteria corresponding to the search or leave them blank to display all the <i>Credit Claim (de)Mobilisation Instruction</i> .
	Click on the "Search" button.
	The list of all the Credit Claim (de)Mobilisation Instructions answering the captured criteria is displayed in the <i>Credit Claim</i> (de)Mobilisation Instruction – List Screen.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Credit Claim (de)Mobilisation Instruction – List Screen</i> .
	_
	<ul> <li>Once a Credit Claim (de)Mobilisation Instruction has been selected in the list, the "View" button is available.</li> </ul>
	- Click on the "View" button or double clicking on the selected line to display the <i>Credit Claim (de)Mobilisation Instruction – Details screen</i> with the details of the selected Credit Claim (de)Mobilisation Instruction.
	<ul> <li>Click on the Details heading to go back to the list of "Credit Claim (de)Mo- bilisation Instructions"</li> </ul>

## 4.4.3.1.3 Search/List/View Credit Rating registration/update Instruction

### 4.4.3.1.3.1

Context of Usage	This business scenario describes how to Search to List and to View Credit Rating registration/update instruction Instructions.  Credit Rating registration/update instruction instructions are created within the reception of the Credit Claim file from counterparties or manually by an authorized user.
Reference	Credit Rating registration/update instruction — Search Screen  Credit Rating registration/update instruction — List Screen  Credit Rating registration/update instruction — Details screen



Instructions	<ul> <li>Through the main menu, go to the Credit Rating registration/update In- structions – Search Screen</li> </ul>
	Collateral and Credit >> Collateral >> Credit Claims >> Credit Rating registration/update Instruction Display
	<ul> <li>Capture the criteria corresponding to the search or leave them blank to display all the Credit Rating registration/update Instruction.</li> </ul>
	Click on the "Search" button.
	The list of all the Credit Rating registration/update instructions answering the captured criteria is displayed in the <i>Credit Rating registration/update instruction</i> – <i>List Screen</i> .
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Credit Rating registration/update instruction – List Screen</i> .
	<ul> <li>Once a Claims (de)Mobilisation Instruction has been selected in the list, the "View" and History buttons are available.</li> </ul>
	<ul> <li>Click on the "View" button or double clicking on the selected line to display the Credit Rating registration/update instruction – Details screen with the de- tails of the selected Credit Rating registration/update Instruction.</li> </ul>
	<ul> <li>Click on the Details heading to go back to the list of "Credit Rating registration/update instructions"</li> </ul>

### 4.4.3.1.4 Search/List/View Credit Claims

#### 4.4.3.1.4.1

Context of Usage	This business scenario describes how to Search, to List and to View the detailed information of the Credit Claim Assets.
	Credit Claims are non-marketable assets that can be mobilised by the counterparties on their collateral pools.
Reference	Credit Claims – Search Screen
	Credit Claims – List Screen
	Credit Claims – Details Screen
Instructions	- Through the main menu, go to the Monitor Credit Claims - Search Screen
	Collateral and Credit >> Credit Claims >> Monitor Credit Claims - Display
	<ul> <li>Capture the criteria corresponding to the search or leave them blank to display all the Credit Claims.</li> </ul>
	Click on the "Search" button.



The list of all the Credit Claims answering the captured criteria is displayed in the *Monitor Credit Claims – List Screen* is displayed.

Alternatives

Alternatively, click on the "Reset" button to clear the search criteria and close the *Monitor Credit Claims – List Screen*.

Once a Credit Claim has been selected in the list, a "View" button is available.

- Click on the "View" button or double clicking on the selected line to display the *Monitor Credit Claims – Details screen* with the details of the selected

- Click on the Details heading to go back to the list of "Credit Claims".

#### 4.4.3.2 Credit Claims Positions

Overview	This section describes the activities that a NCB user can perform to monitor the Credit Claim Collateral position in ECMS.
	The monitoring of Credit Claims Collateral position aims at having a detailed view on the Credit Claims (de)mobilised as collateral by Counterparties.
Business Scenario	- Search/List/View the Credit Claims Position

#### 4.4.3.2.1 Search/List/View Cash Collateral Positions

Credit Claim.

Context of Usage	This business scenario describes how to Search, to List and to View Credit Claim Collateral Positions.
	The Credit Claims Positions are created within the reception of the Credit Claims file after the business validation checks and eligibility checks are successfully passed.
	The user can access to the details of the valorized position.
Reference	Credit Claims Position – Search Screen
	Credit Claims Position – List screen
	Credit Claims Position – Detail Screen
Instructions	- Through the main menu, go to the Credit Claims Positions - Search Screen
	Collateral and credit >> Collateral >> Credit Claims >> credit Claims Position Display
	<ul> <li>Capture the criteria corresponding to the search.</li> </ul>
	Click on the "Search" button.



The list of all the Credit Claims Positions answering the captured criteria is displayed in the *Credit Claims Positions – List* screen is displayed.

Alternatives

Alternatively, click on the "Reset" button to clear the search criteria and close the *Credit Claims Positions – List* screen.

Once a Credit Claims Position has been selected in the list, a "View" button is available.

- Click on the "View" button or double clicking on the selected line to display the *Credit Claims Positions – Details screen* with the details of the selected position.

- Click on the Details heading to go back to the list of "Credit Claims Positions".

## 4.4.4 Triparty

Overview	This section describes the activities that an NCB user can perform to monitor the Triparty Transactions in the ECMS.
	The monitoring of Triparty Transaction aims at having a detailed view on the lifecycle of the transaction from the ECMS point of view.
Business	1. Search Triparty Transactions
Scenarios	2. List Triparty Transactions
	3. View Triparty Transactions
	4. List / View the collateral movements of a Triparty Transactions
	5. List / View Securities Allocated to a Triparty Transaction

### 4.4.4.1 Triparty Transactions

### 4.4.4.1.1 View Triparty Transaction Related Information

Context of Usage	This business scenario describes how to Search to List and to View the all the information related to a Triparty Transaction.
Reference	Triparty Transactions – Search screen
	Triparty Transactions – List Screen
	Triparty Transactions – Details Screen
	Triparty Collateral Movements – <i>List</i> Screen
	Triparty Collateral Movements – Details Screen
	Triparty Collateral Positions– List Screen
	Triparty Collateral Positions– Details Screen



	Unilateral Asset Removal – Create Screen
Instructions	- Through the main menu, go to the Triparty Transactions - Search Screen
	Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display
	<ul> <li>Capture the criteria corresponding to the search or leaves them blank to display all the Triparty Transactions.</li> </ul>
	Click on the "Search" button.
	The list of all the Triparty Transactions answering the captured criteria is displayed in the <i>Triparty Transactions – List Screen</i> is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Triparty Transactions – List Screen</i> .
	- A pop-up screen will be displayed for the user to confirm or not the reinitial-isation. Click on the Button "Yes" to confirm or "No" to cancel.
	Once a Triparty Transaction has been selected in the list, a "View" button is available.
	- Click on the "View" button or double clicking on the selected line to display the <i>Triparty Transactions</i> - <i>Details Screen</i> with the details of the selected Transaction.
	- Click on the Details heading to go back to the list of Triparty Transactions
	- Through the main menu, go to the Triparty Collateral Movements - Search Screen
	Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Movements
	<ul> <li>Capture the criteria corresponding to the search or leaves them blank to display all the Triparty Collateral Movements.</li> </ul>
	Click on the "Search" button.
	The list of all the Triparty Collateral Movements answering the captured criteria is displayed in the <i>Triparty Collateral Movements – List Screen</i> is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Triparty Collateral Movements – List Screen</i> .
	- A pop-up screen will be displayed for the user to confirm or not the reinitial-isation. Click on the Button "Yes" to confirm or "No" to cancel.
	Once a Triparty Transaction has been selected in the list, a "View" button is available.
	- Click on the "View" button or double clicking on the selected line to display the <i>Triparty Collateral Movements</i> – <i>Details Screen</i> with the details of the selected Transaction.
	Click on the Details heading to go back to the list of Triparty Collateral Movements.
	- Through the main menu, go to the Triparty Collateral Positions - Search Screen
	Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Positions



<ul> <li>Capture the criteria corresponding to the search or leaves them blank to display all the Triparty Collateral Positions.</li> </ul>
Click on the "Search" button.
The list of all the Triparty Collateral Positions answering the captured criteria is displayed in the <i>Triparty Collateral Movements – List Screen</i> this allows to list all the securities allocated to the triparty transaction.
Alternatives
Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Triparty Collateral Positions – List Screen</i> .
<ul> <li>A pop-up screen will be displayed for the user to confirm or not the reinitial- isation. Click on the Button "Yes" to confirm or "No" to cancel.</li> </ul>
From the Unilateral Asset Removal Request – Create Screen a button allows the user to request for a unilateral removal of the asset.
- Through the main menu, go to the Triparty Collateral Positions - Search Screen
Collateral and Credit >> Collateral >> Triparty >> Unilaterla Asset Removal request

# 4.4.5 Multi-pooling

## 4.4.5.1 Multi-pooling Same Counterparty for Marketable Assets

Overview	This section describes the Multi-pooling functionalities that can be performed by an NCB user or a Counterparty by transferring marketable assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account, or from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same Counterparty and same NCB in the same CSD or in a different CSD.  After the initial creation of a Multi-pooling instruction, the NCB user or the Counterparty can edit it. To manage a Multi-pooling instruction, carry out the following business scenarios in the predefined order:
Business Scenarios	<ul> <li>Create a new Multi-pooling Same Counterparty instruction</li> <li>Search/List/View Multi-pooling Same Counterparty instruction</li> <li>Edit an existing Multi-pooling Same Counterparty instruction</li> </ul>

# 4.4.5.1.1 Create a Multi-pooling Same Counterparty Same CSD instruction for Marketable Assets

Context of Usage	This business scenario describes how to create a new Multi-pooling Same Counterparty Same CSD instruction for Marketable Assets.
Reference	Multi-pooling Same Counterparty Same CSD – Input Screen
Instructions	- Through the main menu, go to the <i>Multi-pooling Same Counterparty Same</i> CSD - Input Screen
	- Click on the Input button.
	The Multi-pooling Same Counterparty Same CSD – Input Screen is displayed.
	Enter all fields.
	– Click on the <i>Save</i> button.



The new *Multi-pooling* Same Counterparty *Same CSD* instruction has been created.

#### Alternatives

- To set all fields to default value and blank out all optional fields, click on the *Reset* button.
- To cancel the process and return to the previous screen, click on the *cancel* button.

# 4.4.5.1.2 Search/List/View Multi-pooling Same Counterparty instruction for Marketable Assets

Context of Usage	This business scenario describes how to Search, List and View a Multi-pooling Same Counterparty instruction for Marketable Assets. Each NCB user and Counterparty is able to access its Multi-pooling Same Counterparty instructions for Marketable Assets.
Reference	Further information on the involved screens can be found in the screen reference part:
	- Multi-pooling Same Counterparty - Search Screen
	- Multi-pooling Same Counterparty - List Screen
	- Multi-pooling Same Counterparty - Details Screen
Instructions	- Through the main menu, go to the <i>Multi-pooling Same Counterparty</i> - <i>Search</i> screen
	Instructions and Positions >> Multi-pooling >> Same Counterparty >> Display
	<ul> <li>Enter the search criteria known to you about the Multi-pooling Same Counterparty instruction you want to edit.</li> </ul>
	<ul> <li>Click on the Search button. The Multi-pooling Same Counterparty – List screen is displayed. A list containing the search results is displayed on the screen.</li> </ul>
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Multi-pooling Same Counterparty – List Screen</i> .
	- A pop up screen will be displayed for the user to confirm or not the reinitialisation. Click on the Button "Yes" to confirm or "No" to cancel.
	<ul> <li>Once a Multi-pooling Same Counterparty Instruction has been selected in the list, a "View" button is available.</li> </ul>
	Click on the "View" button or double clicking on the selected line to display the Multi-pooling Same Counterparty – <i>Details screen</i> with the details of the selected Multi-pooling Same Counterparty Instruction.
	Alternatives
	Alternatively, click on the "Download" button to download the Multi-pooling Same Counterparty Instruction.



- Alternatively, click on the "View" button to see the Multi-pooling Same Counterparty Instruction.
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# 4.4.5.1.3 Edit an existing Multi-pooling Same Counterparty instruction for Marketable Assets

Context of Usage	This business scenario describes how to edit a Multi-pooling Same Counterparty instruction for Marketable Assets. Each NCB user and Counterparty is able to update its Multi-pooling Same Counterparty instructions for Marketable Assets.  Editing an existing Multi-pooling Same Counterparty instruction is optional for the management of Multi-pooling Same Counterparty instructions for Marketable Assets.
Reference	Further information on the involved screens can be found in the screen reference part:
	- Multi-pooling Same Counterparty - Input Screen
	- Multi-pooling Same Counterparty - Search Screen
	- Multi-pooling Same Counterparty - List Screen
	- Multi-pooling Same Counterparty - Details Screen
Instructions	- Through the main menu, go to the <i>Multi-pooling Same Counterparty – Search</i> screen
	Instructions and Positions >> >> Multi-pooling >> Same Counterparty >> Display
	<ul> <li>Enter the search criteria known to you about the Multi-pooling Same Counterparty instruction you want to edit.</li> </ul>
	<ul> <li>Click on the Search button. The Multi-pooling Same Counterparty – List screen is displayed. A list containing the search results is displayed on the screen.</li> </ul>
	<ul> <li>Select the relevant Multi-pooling Same Counterparty instruction from the search results by clicking on an entry in the list.</li> </ul>
	- Click on the <i>Edit</i> button.
	- The Multi-pooling Same Counterparty - Edit screen is displayed.
	– You can also access to the <i>Multi-pooling Same Counterparty – Edit</i> screen:
	<ul> <li>Click on the View button. The Multi-pooling Same Counterparty – Details screen is displayed.</li> </ul>
	– Click on the icon.
	<ul> <li>To add or change current values in fields, enter the new values in the respective fields.</li> </ul>
	Alternatives
	- To set all fields to default value, click on the <i>Reset</i> button.



- To cancel the process and return to the previous screen, click on the <i>Cancel</i> button.
<ul> <li>Click on the <i>Save</i> button.</li> <li>The edited Multi-pooling Same Counterparty instruction is stored.</li> </ul>

# 4.4.5.2 Multi-pooling – Between CPTYs or Between CPTY and NCB for Marketable Assets

Overview	This section describes the Multi-pooling Between CPTYs or Between CPTY and NCB functionalities for Marketable Assets that can be performed by an NCB user.  After the initial creation of a Multi-pooling Between CPTYs or Between CPTY and NCB instruction for Marketable Assets, the NCB user can edit it. To manage a Multi-pooling Between CPTYs or Between CPTY and NCB instruction, carry out the following business scenarios in the predefined order:
Business Scenarios	Create a new Multi-pooling Between CPTYs or Between CPTY and NCB instruction
	<ul> <li>Search/List/View Multi-pooling Between CPTYs or Between CPTY and NCB instruction</li> </ul>
	<ul> <li>Edit an existing Multi-pooling Between CPTYs or Between CPTY and NCB instruction</li> </ul>

# 4.4.5.2.1 Create a Multi-pooling – Between CPTYs or Between CPTY and NCB instruction for Marketable Assets

Context of Usage	This business scenario describes how to create a new Multi-pooling Between CPTYs or Between CPTY and NCB instruction for Marketable Assets.
Reference	Multi-pooling Between CPTYs or Between CPTY and NCB – Input Screen
Instructions	- Through the main menu, go to the <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Input Screen</i>
	- Click on the Input button.
	The <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Input Screen</i> is displayed.
	Enter all fields.
	- Click on the <i>Save</i> button.
	The new <i>Multi-pooling Between CPTYs or Between CPTY and NCB</i> instruction has been created.
	Alternatives
	- To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button.



To cancel the process and return to the previous screen, click on the *cancel* button.

# 4.4.5.2.2 Search/List/View Multi-pooling Between CPTYs or Between CPTY and NCB instruction for Marketable Assets

Context of Usage	This business scenario describes how to Search, List and View a Multi-pooling Between CPTYs or Between CPTY and NCB instruction for Marketable Assets. Each NCB user is able to access its Multi-pooling Between CPTYs or Between CPTY and NCB instructions for Marketable Assets.
Reference	Further information on the involved screens can be found in the screen reference part:
	- Multi-pooling Between CPTYs or Between CPTY and NCB - Search Screen
	- Multi-pooling Between CPTYs or Between CPTY and NCB - List Screen
	- Multi-pooling Between CPTYs or Between CPTY and NCB - Details Screen
Instructions	<ul> <li>Through the main menu, go to the Multi-pooling Between CPTYs or Between CPTY and NCB – Search screen</li> </ul>
	Instructions and Positions >> Multi-pooling >> Between CPTYs or Between CPTY and NCB >> Display
	<ul> <li>Capture the criteria corresponding to the search or leaves them blank to display all the Multi-pooling Between CPTYs or Between CPTY and NCB instructions.</li> </ul>
	<ul> <li>Click on the Search button. The Multi-pooling Between CPTYs or Between</li> <li>CPTY and NCB – List screen is displayed. A list containing the search results is displayed on the screen.</li> </ul>
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Multi-pooling Between CPTYs or Between CPTY and NCB – List Screen.</i>
	- A pop up screen will be displayed for the user to confirm or not the reinitialisation. Click on the Button "Yes" to confirm or "No" to cancel.
	<ul> <li>Once a Multi-pooling Between CPTYs or Between CPTY and NCB Instruction has been selected in the list, a "View" button is available.</li> </ul>
	Click on the "View" button or double clicking on the selected line to display the Multi-pooling Between CPTYs or Between CPTY and NCB – <i>Details screen</i> with the details of the selected Multi-pooling Between CPTYs or Between CPTY and NCB Instruction.
	Alternatives
	Alternatively, click on the "Download" button to download the Multi-pooling Between CPTYs or Between CPTY and NCB Instruction.



 Alternatively, click on the "View" button to see the Multi-pooling Between CPTYs or Between CPTY and NCB Instruction.

# 4.4.5.2.3 Edit a Multi-pooling Between CPTYs or Between CPTY and NCB instruction for Marketable Assets

Context of	This business scenario describes how to edit a Multi-pooling instruction
Usage	Between CPTYs or Between CPTY and NCB for Marketable Assets. Each NCB
	user is able to update its Multi-pooling Between CPTYs or Between CPTY and
	NCB instructions for Marketable Assets.
	Editing an existing Multi-pooling Between CPTYs or Between CPTY and NCB
	instruction is optional for the management of Multi-pooling instructions.
Reference	Further information on the involved screens can be found in the screen reference part:
	– Multi-pooling Between CPTYs or Between CPTY and NCB – Input Screen
	- Multi-pooling Between CPTYs or Between CPTY and NCB - Search Screen
	– Multi-pooling Between CPTYs or Between CPTY and NCB – List Screen
	- Multi-pooling Between CPTYs or Between CPTY and NCB - Details Screen
Instructions	- Through the main menu, go to the <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Search</i> screen
	Instructions and Positions >> Multi-pooling >> Between CPTYs or Between CPTY and NCB >> Display
	<ul> <li>Enter the search criteria known to you about the Multi-pooling Between CPTYs or Between CPTY and NCB instruction you want to edit.</li> </ul>
	<ul> <li>Click on the Search button. The Multi-pooling Between CPTYs or Between</li> <li>CPTY and NCB – List screen is displayed. A list containing the search results is displayed on the screen.</li> </ul>
	<ul> <li>Select the relevant Multi-pooling instruction from the search results by clicking on an entry in the list.</li> </ul>
	– Click on the <i>Edit</i> button.
	<ul> <li>The Multi-pooling Between CPTYs or Between CPTY and NCB – Edit screen is displayed.</li> </ul>
	<ul> <li>You can also access to the Multi-pooling Between CPTYs or Between CPTY and NCB – Edit screen:</li> </ul>
	<ul> <li>Click on the <i>View</i> button. The <i>Multi-pooling Between CPTYs or Between CPTY</i>         and NCB – Details screen is displayed.</li> </ul>
	– Click on the icon.
	<ul> <li>To add or change current values in fields, enter the new values in the respective fields.</li> </ul>
	Alternatives
	- To set all fields to default value, click on the <i>Reset</i> button.



- To cancel the process and return to the previous screen, click on the <i>Cancel</i> button.
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- Click on the <i>Save</i> button.
<ul> <li>The edited Multi-pooling Between CPTYs or Between CPTY and NCB in- struction is stored.</li> </ul>

# 4.5 Global Credit and Collateral Position Management

# 4.5.1 Global Credit Position Management

## 4.5.1.1 Credit Freezing

Overview	This section describes the Credit Freezing activities that can be performed by an NCB user or a Counterparty.  After the initial creation of a Credit Freezing instruction, the NCB user or the Counterparty can edit it. To manage a Credit Freezing instruction, carry out the following business scenarios in the predefined order:
Business Scenarios	1. Create a new Credit Freezing instruction

## 4.5.1.1.1 Create a new Credit Freezing instruction

Context of	This business scenario describes how to create a new Credit Freezing instruc-
Usage	tion.
Reference	Credit Freezing – Create Screen
Instructions	- Through the main menu, go to the <i>Credit Freezing - Create</i> Screen.
	- Click on the <i>Create</i> button.
	The Credit Freezing – Create Screen is displayed.
	Enter all fields.
	- Click on the <i>Save</i> button.
	The new Credit Freezing instruction has been created.
	Alternatives
	<ul> <li>To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button.</li> </ul>
	<ul> <li>To cancel the process and return to the previous screen, click on the cancel button.</li> </ul>



### 4.5.1.1.2 Validate a Credit Freezing Instruction

Context of Usage	This business scenario describes how to validate a Credit Freezing Instruction that is incomplete due business validation errors or not yet settled.	
Reference	Further information on the involved screens can be found in the screen reference part:	
	– Credit Freezing Waiting for Validation – Search screen	
	- Credit Freezing Waiting for Validation - List screen	
	- Credit Freezing Waiting for Validation - Details screen	
Instructions	- Through the main menu, go to the <i>Credit Freezing – Waiting for Validation</i> Screen.	
	Enter all search criteria known about the Credit Freezing Instruction.	
	<ul> <li>Click on the Search button. The Credit Freezing Waiting for Validation – List screen is displayed. A list containing the search results is displayed.</li> </ul>	
	<ul> <li>Select the relevant Credit Freezing Instruction from the search results by clicking on an entry in the list.</li> </ul>	
	Click on the Validate button to validate the Credit Freezing Instruction.	
	- The <i>Credit Freezing Instruction</i> status is moved to <i>Validated</i> .	

## 4.5.1.2 Credit Freezing Notifications

Overview	This section describes the Credit Freezing Notifications activities that can be performed by an NCB user or a Counterparty.  After the initial creation of a Credit Freezing instruction by the ECMS, the NCB user or the Counterparty can view the notifications. To manage a Credit Freezing Notifications, carry out the following business scenarios in the predefined order:
Business Scenarios	Search/List/View a Credit Freezing Notification

## 4.5.1.2.1 Search/List/View a Credit Freezing Notification

Context of Usage	This business scenario describes how to search, list and view a Credit Freezing Notification.
Reference	Credit Freezing Notification
Instructions	- Through the main menu, go to the <i>Credit Freezing Notifications – Search</i> Screen.
	Enter the criteria fields.
	- Click on the <i>Search</i> button.
	The Credit Freezing Notifications –List Screen is displayed.
	Alternatives
	- To set all fields to default value, click on the <i>Reset</i> button.



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- Once a Credit Freezing Notification has been selected in the list, the "View" and the "Download" buttons are available.
- Click on the "View" button or double clicking on the selected line to display the Credit
  Freezing Notifications Details screen with the details of the selected Credit Freezing Notification.

Click on the "Download" button to download a copy of the Credit Freezing Notification.

#### 4.5.1.2.2 Search/List/View Credit Line & Payment Instructions (MCL, CP & RP)

Context of Usage	This business scenario describes how to Search to List and to View Credit Line Instructions.
Reference	Credit Line & Payment Instructions – Search Screen
	Credit Line & Payment Instructions – List Screen
	Credit Line & Payment Instructions – Details screen
Instructions	- Through the main menu, go to the Credit Line & Payment <i>Instructions</i> - Search Screen
	Credit Line >> Display CL Instructions
	<ul> <li>Capture the criteria corresponding to the search or leaves them blank to display all the Credit Line Instructions.</li> </ul>
	Click on the "Search" button.
	The list of all the Credit Line Instructions answering the captured criteria is displayed in the <i>Credit Line &amp; Payment Instructions – List Screen</i> .
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Credit Line &amp; Payment Instructions – List Screen</i> .
	<ul> <li>Once a Credit Line Instruction has been selected in the list, a "View" button is available.</li> </ul>
	- Click on the "View" button or double clicking on the selected line to display the <i>Credit Line &amp; Payment Instructions – detail Screen</i> with the details of the selected Credit Line Instruction.
	<ul> <li>Click on the Details heading to go back to the list of "Credit Line Instructions"</li> </ul>

## 4.5.2 Global Collateral Position Management

### 4.5.2.1 MaCL for the Counterparty

Overview	This section describes the Counterparty MaCL activities that can be performed
	by a Counterparty or an NCB user, on behalf of the Counterparty.



	After the initial creation of a Counterparty MaCL instruction, the Counterparty or the NCB user, on behalf of the Counterparty, can edit it. To manage a Counterparty MaCL instruction, carry out the following business scenarios in the predefined order:
Business	1. Create a new Counterparty MaCL instruction
Scenarios	2. Display an existing Counterparty MaCL instruction
	3. Recycle an existing Counterparty MaCL instruction
	4. Reject an existing Counterparty MaCL instruction

## 4.5.2.1.1 Create a new Counterparty MaCL instruction

Context of Usage	This business scenario describes how to create a new Counterparty MaCL instruction.
Reference	Input MaCL request Instruction – Input Screen
Instructions	- Go to the Input MaCL request Instruction - Input Screen:
	Credit line >> MaCL Instruction >> Input MaCL Instruction
	The MaCL request Instrcution – Input Screen is displayed.
	Enter all fields.
	- Click on the <i>Save</i> button.
	The new Credit Line instruction has been created.
	Alternatives
	- To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button.
	- To cancel the process and return to the previous screen, click on the <i>cancel</i> button.

### 4.5.2.1.2 Display an existing Counterparty MaCL instruction

Context of Usage	This business scenario describes how to consult a Counterparty MaCL instruction by a Counterparty, or by the NCB user on behalf of the Counterparty.
Reference	Further information on the involved screens can be found in the screen refer-
	ence part:
	- Credit Line - Create Screen
	- Credit Line - Search Screen
	- Credit Line - List Screen
	– Credit Line –History Screen
	- Credit Line - Details Screen
Instructions	Go to the Counterparty MaCL Instruction – Display screen
	Credit Line >> MaCL Instruction >> Display MaCL Instruction



Enter the search criteria known to you about the Counterparty MaCL instruction you want to consult.
<ul> <li>Click on the Search button. The Counterparty MaCL – List screen is displayed.</li> <li>A list containing the search results is displayed on the screen.</li> </ul>
<ul> <li>Select the relevant Counterparty MaCL instruction from the search results by clicking on an entry in the list.</li> </ul>
- Click on the View button.
- The Counterparty MaCL Instruction - Detail screen is displayed.
<ul> <li>You can also access to the Counterparty MaCLInstruction – Detail screen by clicking on right and click on View button. The Counterparty MaCL Instruc- tion – Details screen is displayed.</li> </ul>
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## 4.5.2.1.3 Recycle a MaCL instruction (NCB or Counterparty)

Context of	This business scenario describes how to recycle a MaCL instruction.
Usage	
Reference	Recycle – Recycle Screen
Istructions	- Go to the MaCL - Recycle Screen:
	Collateral and Credit >> Credit line >> Max Credit Line >> Recycle
	The MaCL – Search Screen is displayed.
	<ul> <li>Enter the search criteria known to you about to select the MaCL that the Counterparty or the NCB want to recycle.</li> </ul>
	<ul> <li>Click on the Search button. The MaCL - Search screen is displayed. A list containing the search is displayed on the screen.</li> </ul>
	<ul> <li>Select the relevant MaCL instruction from the search results by clicking on an entry in the list.</li> </ul>
	The MaCL instruction has been selected.

## 4.5.2.1.4 Reject a MaCL instruction (NCB or Counterparty)

Context of Usage	This business scenario describes how to reject a MaCL instruction.
Reference	Reject – Reject Screen
Istructions	- Go to the MaCL - Reject Screen:
	Collateral and Credit >> Credit line >> Max Credit Line >> Reject
	The MaCL – Search Screen is displayed.
	<ul> <li>Enter the search criteria known to you about to select the MaCL that the Counterparty or the NCB want to reject.</li> </ul>
	<ul> <li>Click on the Search button. The MaCL - Search screen is displayed. A list containing the search is displayed on the screen.</li> </ul>
	<ul> <li>Select the relevant MaCL instruction from the search results by clicking on an entry in the list.</li> </ul>



	The MaCL instruction has been selected.
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# 4.6 Custody

## 4.6.1 Corporate Actions

Overview	This section describes the activities that an NCB user can perform to monitor the full lifecycle of Corporate Actions in the ECMS.
	The monitoring of Corporate Actions aims at having a detailed view on the creation of a CA Event received by the ECMS and the following steps that the NCB user can perform until the Corporate Action is closed.
Business	1. Administration of CA Event Management
Scenarios	2. Search / List / View CA Event information
	3. Search / List / View CA Eligible Positions
	4. Search / List / Download CA Notification (seev.031)
	5. Search / List / View Entitlements
	6. Search / List / View Movements
	7. Search / List / View Cash Payments

#### 4.6.1.1 CA Announcements

### 4.6.1.1.1 Search / List / View CA Event Information

Context of Usage	This business scenario describes how to Search to List and to View Corporate Action Event Information.
	Corporate Action Events are created automatically when the ECMS receives a seev.031 message.
Reference	CA Event – Search screen
	CA Event – List Screen
	CA Event – Details screen
Instructions	- Through the main menu, go to the CA Event - Search Screen
	Corporate Actions >> Announcements >> CA Event Display
	<ul> <li>Capture the criteria corresponding to the search or leaves them blank to display all the CA Events.</li> </ul>
	Click on the "Search" button.
	The list of all the CA Events answering the captured criteria is displayed in the <i>CA Event – List Screen</i> is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>CA Event – List Screen</i> .
	Once a CA Event has been selected in the list, a "View" button is available.



- Click on the "View" button or double clicking on the selected line to dis-
play the <i>CA Event – Details screen</i> with the details of the selected CA Event.
- Click on the Details heading to go back to the list of "CA Events"

## 4.6.1.2 CA Positions

Overview	This business scenario describes the activities that an NCB user can perform to monitor the CA Positions calculated in the ECMS (Client Eligible Position by CA) and the positions received in the EMCS (Market Eligible Position).  The monitoring of CA Positions aims at having a detailed view on the CA Positions received and calculated by the ECMS.
Business	- Client Eligible Position by CA.
Scenarios	- Market Eligible Position by CA.

## 4.6.1.2.1 Search / List Client Eligible Position by CA

Context of Usage	This business scenario describes how to Search and to List Client Eligible Position by CA.
	Client Eligible Positions by CA are calculated by the ECMS when a CA Event is created.
Reference	Client Eligible Position by CA – Search screen
	Client Eligible Position by CA – List Screen
Instructions	<ul> <li>Through the main menu, go to the Client Eligible Position by CA – Search Screen</li> </ul>
	Corporate Actions >> CA Position >> Monitor Client Eligible Position by CA
	<ul> <li>Capture the criteria corresponding to the search or leaves them blank (at least the CA ECMS Event Ref. is needed) to display all the Client Eligible Po- sitions by CA for the selected CA Event.</li> </ul>
	Click on the "Search" button.
	The list of all the Client Eligible Positions by CA answering the captured criteria is displayed in the <i>Client Eligible Position by CA – List Screen</i> is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Client Eligible Position by CA – List Screen</i> .

### 4.6.1.3 CA Instructions

Overview	This business scencario describes the activities that an NCB user can perform to input an instruction as well as to monitor the CA Instructions received from the Counterparty in the ECMS and sent to the CSD.
	The monitoring of CA Instructions aims at having a detailed view on the CA Instructions received and sent by the ECMS or to download a copy of the messages.
Business Scenarios	<ul> <li>Input a new CA Instruction</li> <li>Search / List / View CA Client Instructions</li> <li>Search / List / View Monitor Uninstructed Balance</li> </ul>



- Search / List / View CA Market Instructions

## 4.6.1.3.1 Input a new CA Instruction

Context of	This business scenario describes how to input a new CA Instruction.
Usage	This scenario is applicable for Counterparty or NCB users acting on behalf on
	the counterparty as long as they have the appropriate user rights.
Reference	CA Client Instructions– Input screen
Instructions	- Go to the CA Client Instructions- Input screen
	Corporate Actions >> Instructions >> CA Client Instructions >> Input Instructions >> Input Client Instructions
	- The CA Client Instructions - Input screen is displayed.
	- Enter all mandatory information.
	– Click on the <i>Save</i> button.
	The new CA Client Instruction has been created.
	Alternatives
	<ul> <li>To set all fields to default value and blank out all optional fields, click on the Reset button.</li> </ul>
	- To cancel the process and return to the previous screen, click on the Cancel button.

### 4.6.1.3.2 Search / List / View CA Client Instructions

Context of Usage	This business scenario describes how to Search, to List and to View CA Instructions (seev.033).
	NCB users can view the details of the CA Instructions received or to download a copy of the message seev.033.
Reference	CA Client Instructions – Search screen
	CA Client Instructions – List Screen
	CA Client Instructions – Details Screen
Instructions	- Through the main menu, go to the CA Instructions - Search Screen
	Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor Client Instructions
	<ul> <li>Capture the criteria corresponding to the search or leave them blank to display all the CA Instructions.</li> </ul>
	Click on the "Search" button.
	The list of all the CA Instructions received answering the captured criteria is displayed in the CA Instructions – List Screen.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>CA Instructions – List Screen</i> .
	<ul> <li>Once a CA Instruction has been selected in the list, the "View" button is available.</li> </ul>



- Click on the "View" button or double clicking on the selected line to display the Monitor Client Instructions – Details screen with the details of the selected Client Instruction.
- Click on the Details heading to go back to the list of "CA Instructions".

### 4.6.1.3.3 Search / List / View Monitor Uninstructed Balance

Context of	This business scenario describes how to Search, to List and to View the Unin-
Usage	structed balance on the Counterparties side.
	NCB users can view the details of the Uninstructed balance of the Counterparties
	for an specific CA Event.
Reference	Monitor Uninstructed Balance – Search screen
	Monitor Uninstructed Balance – List Screen
	Monitor Uninstructed Balance – Details screen
Instructions	- Through the main menu, go to the Monitor Uninstructed Balance - Search Screen
	Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor Uninstructed Balance
	<ul> <li>Capture the criteria corresponding to the search or leave them blank to display all the Uninstructed balance.</li> </ul>
	Click on the "Search" button.
	The list of all the Uninstructed balance answering the captured criteria is displayed in the <i>Monitor Uninstructed Balance – List Screen</i> is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>Monitor Uninstructed Balance – List Screen</i> .
	<ul> <li>Once an Uninstructed balance has been selected in the list, the "View"" button is displayed.</li> </ul>
	<ul> <li>Click on the "View" button or double clicking on the selected line to display the Monitor Uninstructed Balance – Details screen with the details of the se- lected Uninstructed balance.</li> </ul>
	<ul> <li>Click on the Details heading to go back to the list of "Monitor Uninstructed Balance".</li> </ul>

### 4.6.1.4 CA Notifications

Overview	This business scenario describes the activities that an NCB user can perform to monitor the CA received and sent by the ECMS.  The monitoring of CA Notifications aims at having a detailed view on the CA Notifications received and by the ECMS or to download a copy of the messages.
Business Scenarios	<ul><li>Search / List / View CA Notifications received</li><li>Search / List / View CA Notifications sent.</li></ul>



### 4.6.1.4.1 Search / List / View CA Notifications received

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Context of Usage	This business scenario describes how to Search, to List and to View CA notifications received (seev.031).
	NCB users can view the details of the CA Notifications received or to download
	a copy of the message seev.031.
Reference	CA Notifications – Search screen
	CA Notifications – List Screen
	CA Notifications – Details Screen
Instructions	- Through the main menu, go to the CA Notifications - Search Screen
	Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Notifications Received (seev.031)
	<ul> <li>Capture the criteria corresponding to the search or leaves them blank to display all the CA Notifications received.</li> </ul>
	Click on the "Search" button.
	The list of all the CA Notifications received answering the captured criteria is displayed in the <i>CA Notifications – List Screen</i> is displayed.
	Alternatives
	Alternatively, click on the "Reset" button to clear the search criteria and close the <i>CA Notifications – List Screen</i> .
	<ul> <li>Once a CA Notifications received has been selected in the list, the "View" and the "Download" buttons are available.</li> </ul>
	<ul> <li>Click on the "View" button or double clicking on the selected line to display the CA Notifications – Details screen with the details of the selected CA No- tifications.</li> </ul>
	<ul> <li>Click on the "Download" button to download a copy of the seev.031 received.</li> </ul>
	<ul> <li>Click on the Details heading to go back to the list of "CA Notifications received".</li> </ul>

#### 4.6.1.4.2 Search / List / View CA Notifications sent

Context of Usage	This business scenario describes how to Search, to List and to View CA notifications sent to the Counterparties (seev.031).
	NCB users can view the details of the CA Notifications sent or to download a copy of the message seev.031.
Reference	CA Notifications – Search screen
	CA Notifications – List Screen
	CA Notifications – Details screen
Instructions	- Through the main menu, go to the CA Notifications - Search Screen



Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Notifications Sent (seev.031)

 Capture the criteria corresponding to the search or leaves them blank to display all the CA Notifications sent.

Click on the "Search" button.

The list of all the CA Notifications sent answering the captured criteria is displayed in the CA Notifications – List Screen is displayed.

#### Alternatives

Alternatively, click on the "Reset" button to clear the search criteria and close the *CA Notifications – List Screen*.

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- Once a CA Notifications sent has been selected in the list, the "View" and the "Download" buttons are available.
- Click on the "View" button or double clicking on the selected line to display the CA Notifications – Details screen with the details of the selected CA Notifications.
- Click on the "Download" button to download a copy of the seev.031sent.
- Click on the Details heading to go back to the list of "CA Notifications sent".

#### 4.6.1.5 CA Entitlements

#### 4.6.1.5.1 Search / List / View CA Client Entitlements

Context of Usage	This business scenario describes how to Search to List and to View CA Client Entitlements.
	CA Client Entitlements are computed by the ECMS when a CA Movement Preliminary Advice is received or when the record date of a CA event is reached (same as for the CA Market Entitlements Calculated).
Reference	CA Entitlements – Search screen
	CA Entitlements – List Screen
	CA Entitlements – Details screen
Instructions	<ul> <li>Follow the same instructions as for Market Entitlements Received scenario but starting with the Client Entitlement–Search Screen</li> </ul>
	Corporate Actions >> CA Entitlements >> Client Entitlements >> Monitor Client Entitlement



## 4.7 Payments

### 4.7.1 Regular and Connected Payments

The Payments Notifications cover several types of payments:

Cash Transfer Notifications cover messages to the account which is credited. So Cash Transfer Notifications are for Cash Credit messages, for example, the pacs.009 Financial Institution Credit Transfer.

Cash Debit Notifications cover messages to the account which is debited. So Cash Debit Notifications are for Cash Debit messages, for example, the pacs.010 Financial Institution Direct Debit.

Payment Status Notifications cover the CLM payment status of the messages (pacs.009 and pacs.010) that are exchanged with CLM, for example, the pacs.002 Payment Status Report.

In addition, the notifications for an instruction can be consulted from the Details screen of an instruction.

#### 4.7.1.1 CLM Notifications - Cash Transfer Notifications

Overview	This section describes the Cash Transfer Notifications activities that can be performed by an NCB user or a Counterparty.  After the initial creation of a Payment instruction by the ECMS and then the transfer to the CLM, the NCB user or the Counterparty can view the notifications. To manage a Cash Transfer Notifications, carry out the following business scenarios in the predefined order:
Business Scenarios	View a Cash Transfer Notification     View the details of a Cash Transfer Notification.

#### 4.7.1.1.1 View a Cash Transfer Notification

Context of	This business scenario describes how to view a Cash Transfer Notification.
Usage	
Reference	Cash Transfer Notification – Display Screen
Instructions	- Through the main menu, go to the Cash Transfer Notification - Search Screen
	- Click on the button.
	The Cash Transfer Notification – Display Screen is displayed.
	Enter search fields.
	– Click on the <i>Search</i> button.
	The Cash Transfer Notification are displayed.
	Alternatives
	– To set all fields to default value, click on the <i>Reset</i> button.



### 4.7.1.2 CLM Notifications - Cash Debit Notifications

Overview	This section describes the Cash Debit Notifications activities that can be performed by an NCB user or a Counterparty.  After the initial creation of a Payment instruction by the ECMS and then the transfer to the CLM, the NCB user or the Counterparty can view the notifications. To manage a Cash Debit Notifications, carry out the following business scenarios in the predefined order:
Business Scenarios	<ol> <li>View a Cash Debit Notification</li> <li>View the details of a Cash Debit Notification.</li> </ol>

#### 4.7.1.2.1 View a Cash Debit Notification

Context of Usage	This business scenario describes how to view a Cash Debit Notification.
Reference	Cash Debit Notification – Search Screen
Instructions	- Through the main menu, go to the Cash Debit Notification - Search Screen
	- Click on the button.
	The Cash Debit Notification – Search Screen is displayed.
	Enter search fields.
	– Click on the <i>Search</i> button.
	The Cash Debit Notification is displayed.
	Alternatives
	- To set all fields to default value, click on the <i>Reset</i> button.

## 4.7.1.3 CLM Notifications - Payment Status Notifications

Overview	This section describes the Payment Status Notifications activities that can be performed by an NCB user or a Counterparty.  After the initial creation of a Payment instruction by the ECMS and then the transfer to the CLM, the NCB user or the Counterparty can view the notifica-
	tions. To manage a Payment Status Notifications, carry out the following business scenarios in the predefined order:
Business Scenarios	<ol> <li>View a Payment Status Notification</li> <li>View the details of a Payment Status Notification.</li> </ol>

## 4.7.1.3.1 View a Payment Status Notification

Context of Usage	This business scenario describes how to view a Payment Status Notification.
Reference	Payment Status Notification – Search Screen



Instructions	- Through the main menu, go to the <i>Payment Status Notification – Search</i> Screen
	- Click on the button.
	The Payment Status Notification – Search Screen is displayed.
	Enter search fields.
	- Click on the <i>Search</i> button.
	The Payment Status Notification is displayed.
	Alternatives
	- To set all fields to default value, click on the <i>Reset</i> button.

# 4.8 Support Functionalities

## 4.8.1 Pool Position

Overview	This section describes the Pool Position functionalities that can be performed by an NCB user or a Counterparty.  The monitoring of a Pool aims at having a detailed view on the movements impacting a Pool. To view a Pool Position, carry out the following business scenario:
Business	Search/List/View an Overview Pool Position
Scenarios	Search/List/View a Consolidated Pool Position
	3. Search/List/View a Banking Group Pool Position

## 4.8.1.1 Search/List/View a Pool Position History

Context of Usage	This business scenario describes how to Search, List and View a Pool Position History.
Reference	Pool Position History – Search Screen
	Pool Position History – List Screen
	Pool Position History – Details screen
Instructions	- Through the main menu, go to the <i>Pool Position History - Search</i> Screen
	<ul> <li>Enter the criteria about the Pool you wish to view. Either the current Business Date can be used, or a past ECMS Business Date can be entered.</li> </ul>
	- Click on the Search button.
	The <i>Pool Position History – List</i> Screen is displayed.
	<ul> <li>Select the Pool you wish to view by clicking the "View" button or by clicking on an entry in the list.</li> </ul>



Alternatives
<ul> <li>To set all fields to default value and blank out all optional fields, click on the <i>reset</i> button.</li> </ul>

## 4.8.1.2 Search/List/View a Banking Group Pool Position

Context of Usage	This business scenario describes how to Search, List and View a Banking Group Pool Position.
Reference	Banking Group Pool Position – Search Screen
	Banking Group Pool Position – List Screen
	Banking Group Pool Position – Details screen
Instructions	- Through the main menu, go to the <i>Banking Group Pool Position – Search</i> Screen
	<ul> <li>Enter the criteria about the Pool for which you wish to view the Banking Group view. Either the current Business Date can be used, or a past ECMS Business Date can be entered.</li> </ul>
	- Click on the Search button.
	The Banking Group Pool Position – List Screen is displayed.
	<ul> <li>Select the Pool you wish to view by clicking the "View" button or by clicking on an entry in the list.</li> </ul>
	Alternatives
	<ul> <li>To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button.</li> </ul>

# 4.8.2 Pool Projection

	This section describes the Pool Projection functionalities that can be performed by an NCB user or a Counterparty.  The monitoring of a Pool aims at having a detailed view on the movements impacting a Pool. To view a Pool Position, carry out the following business scenario:
Business Scenarios	1. Search/List/View a Pool Position.



## 4.8.2.1 Launch a Pool Projection

Context of Usage	This business scenario describes how to launch a Pool Projection.
Reference	Pool Projection – Launch Screen
Instructions	- Through the main menu, go to the <i>Pool Projection – Search</i> Screen
	<ul> <li>Enter the criteria about the Pool for which you wish to view the projection.</li> <li>A future Business Date is used.</li> </ul>
	- Click on the Search button.
	A pop-up screen is displayed with the information that the Pool Projection has been launched for the input criteria.
	Alternatives
	<ul> <li>To set all fields to default value and blank out all optional fields, click on the <i>reset</i> button.</li> </ul>
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## 4.8.2.2 Search/List/View a Pool Projection

Context of Usage	This business scenario describes how to Search, List and View a Pool Projection.
Reference	Pool Projection – Search Screen
	Pool Projection – List Screen
	Pool Projection – Details screen
Instructions	- Through the main menu, go to the <i>Pool Projection – Search</i> Screen
	<ul> <li>Enter the criteria about the Pool for which you wish to view the projection.</li> <li>A future Business Date is used.</li> </ul>
	- Click on the Search button.
	The Overview Pool Projection – List Screen is displayed.
	<ul> <li>Select the Pool for which you wish to view the projection by clicking on an entry in the list.</li> </ul>
	Alternatives
	<ul> <li>To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button.</li> </ul>

## 4.8.3 Dashboard



Overview	This section describes the dashboard functionalities that can be performed by an NCB user or a Counterparty.  The monitoring of the Dashboard aims at providing a global overview of the different instructions/operations. To view the dashboard, carry out the following business scenario:
Business Scenarios	1. Search/List/View the Transaction Details for the Dashboard

### 4.8.3.1 Search/List/Transaction Details for the Dashboard

Context of Usage	This business scenario describes how to Display, List and View the Transaction Details for the Dashboard.
Reference	Search /List Dashboard Screen
	View the Transaction Details Screen for the dashboard
Instructions	- Through the main menu, go to the Dashboard - Search Screen
	- Click on the <i>Dashboard</i> option.
	- The Overview Dashboard - Search Screen is displayed.
	<ul> <li>Select an object, such as "Number of Pending payments instructions", you wish to view by clicking the "Search" button or by clicking on an object on the screen.</li> </ul>
	- The <i>Overview Dashboard</i> - <i>List Screen</i> is displayed with the list of items for that object.
	<ul> <li>Select an instruction/operation in the list, for which you wish to view the transaction details, by selecting the "View" button or by clicking on an object on the screen.</li> </ul>
	- The <i>Overview Dashboard</i> - <i>Transaction Details Screen</i> is displayed with the list of items for that object.

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## 4.8.4 System Parameters

Overview	This section describes the functionalities that can be performed by an NCB user or Counterparty.
	The monitoring of the System Parameters allows the user to check the configuration of the System Parameters for processes and data. To check the System Parameters, carry out the following business scenario:
Business Scenarios	1. Search/List/View the System Parameters.

## 4.8.4.1 Search/List/View the System Parameters

Context of	This business scenario describes how to Search, List and View the System Para-
Usage	meters.



Reference	System Parameters – Search Screen
	System Parameters – List Screen
	System Parameters – Details screen
Instructions	- Through the main menu, go to the System Parameters - Search Screen
	<ul> <li>Enter the criteria about the System Parameters or leave them blank to display all the records.</li> </ul>
	- Click on the Search button.
	The System Parameters – List Screen is displayed.
	<ul> <li>Select the specific record you wish to view by clicking the "View" button or by clicking on an entry in the list.</li> </ul>
	Alternatives
	<ul> <li>To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button.</li> </ul>

