4th AMI-SeCo meeting
Agenda point 4.2

Fintech-Task Force reporting

Frankfurt am Main, 20 March 2018
1. Fintech-TF work plan

2. Use cases currently being assessed

3. Further work
Fintech-TF work plan

- **Key areas to be addressed**
  - Work on-going or about to be launched on the following topics
    - Shareholder transparency and voting
    - Corporate Action information
    - Withholding Tax Procedures
    - Collateral management
    - Governance of DLT environments (and other relevant Fintech technologies)
  - Further areas to be addressed
- **Reporting to the HSG and AMI-SeCo and high-level timeline**
  - Regular reporting to the HSG and AMI-SeCo in each meeting
  - Report to the HSG within a year of the first meeting
    - Draft report expected to be submitted to the HSG before its October meeting
- **The work plan for 2018 agreed by the HSG**
1. Fintech-TF work plan

2. Use cases currently being assessed

3. Further work
Use cases currently being assessed

- **Shareholder transparency and voting**
  - Focus on the needs stemming from the recast of the SRD
  - Involvement of issuers required

- **Corporate Action information**
  - Use case on Corporate Actions Golden copy

- **Withholding Tax Procedures**
  - Actual topic: EPTF work done on the topic & EC Code of Conduct on Withholding Tax
  - Use the momentum in this area, promote harmonisation
1. Fintech-TF work plan
2. Use cases currently being assessed
3. Further work
Further work and other points

- Collateral management
- Governance of DLT environments (and other relevant Fintech technologies)
- Leveraging the use cases (Shareholder transparency) on other topics
  - Registration, AGM processing, Corporate Action Execution and/or Portfolio transfers
- Analysis of technologies within the current and upcoming regulatory framework