

RECORD OF PROCESSING ACTIVITY

Staffing on-site inspections and internal model investigations with staff from National Competent Authorities and consultants

1. Controller(s) of data processing activities

Controller: European Central Bank (ECB)

Organisational unit responsible for the processing activity: Directorate General On-site & Internal Model Inspections

Data Protection Officer (DPO): DPO@ecb.europa.eu

2. Who is actually conducting the processing activity?

The data is processed by the ECB itself

The organisational unit conducting the processing activity is:

Directorate General On-site & Internal Model Inspections

3. Purpose of the processing

In order to designate on-site inspection and internal model investigation teams and ensure balanced and skilled on-site teams, the ECB has to collect, store and actively use the information on individuals from the National Competent Authorities (NCAs).

Additionally, DG-OMI/OPI acts as a facilitator for the ECB to procure external consultants from external contractors for ECB on-site inspections and Internal model investigations. This process is conducted under a Framework Agreement for the provision of consultants for on-site inspections and internal model investigations for the single supervisory mechanism. To efficiently manage these procurement activities, the

ECB collects, stores, and actively utilizes personal data of individuals available for on-site inspections. This data processing is crucial for ensuring the smooth exchange and handling of necessary documentation required for procuring consultant services. The rationale behind this processing is to streamline the procurement process, ensuring that the ECB has access to the most qualified and suitable consultants for its on-site missions.

4. Description of the categories of data subjects

- NCB or NCA counterparts (in the ESCB or SSM context)
- Contractors providing goods or services

5. Description of the categories of personal data processed

- Personal details (name, address etc.)
- Education & Training details
- Employment details
- Goods or services provided
- Criminal records
- Other:
For consultants: copy of IDs or passports in case of subcontractors, dates of birth.

6. The categories of recipients to whom the personal data have been or will be disclosed, including the recipients of the data in Member States, third countries or international organisations

- Data subjects themselves
- Managers of data subjects

- Designated ECB staff members
- Designated NCB or NCA staff members in the ESCB or SSM context

7. Retention time

The consulting contracts will be kept for 10 years after the end of the framework agreement (event based).

The rest of the documentation about the procured staffing will be kept for 5 years after the end of the mission (event based). The consultants' criminal records will be kept for 1 year (time based).