General Information (Origin of Request)	
☒ User Requirements Document (URD)	
☐ User Detailed Functional Specification (UDFS)	
☐ User Handbook (UHB)	
☐ Other User Functional or Technical Documentation (SYS)	

Request raised by: TSWG	Institute: ECB	Date raised: 02 July 2018
Request title: Enabling file transmission towards CLM		Request ref. no: CSLD-0004-URD

Request type: common	
1. Legal/business importance parameter: Medium	2. Market implementation efforts parameter – Stakeholder impact: Not applicable
3. Operational impact: (H, M, L)	4. Financial impact parameter: (H, M, L)
5. Functional/ Technical impact: (H, M, L)	6. Interoperability impact: (H,M,L)
Requestor Category: CB	Status: Under assessment

Reason for change and expected benefits/business motivation:

Instructions to the RTGS component can be sent via messages or via files (containing multiple messages). For the file transmission to RTGS a file header (head.002) needs to be used. Currently, the possibility to send messages bundled into a file was limited to the RTGS component only (RTGS.UR.HVP.PAYT.010.005). A support of files has so far not been envisaged for the CLM component.

During its meeting on 02 July 2018, the TARGET Services Working Group (TSWG) decided to enable the transmission of instructions bundled into a file also towards CLM and to raise a related change request.

_____

Description of requested change:

Inclusion of file support into the CLM URD and clarification of the RTGS URD

_____

Submitted annexes / related documents:

None

_____

Proposed wording for the Change request:

A) URD for Future RTGS

- Section 1.2.3.1 Technical Validation

Enhance UR Id RTGS.UR.HVP.PAYT.010.005 (File management) with the following sentence:

"Where the messages are sent packaged in a file, RTGS shall check the validity of the file and split it into single messages. Each message should keep track of the original file reference, notably for monitoring purposes. The file can contain different kind of instructions (e.g. payment orders, amendments of payment order, liquidity transfer orders etc.) but all contained instructions have to be directed to the RTGS component only and must not be mixed with instructions to other components (e.g. CRDM or CLM). Furthermore apart from instructions to RTGS no other types of requests are allowed to be sent in a file (e.g. queries). Validation errors after file splitting only cause rejection on a single message level, i.e. not the entire file is rejected. Other successfully validated instructions included in the same file are further processed."

B) URD for CLM

- Section 1.2.3.1 Perform Technical Validation

Add the following user requirement:

Id	CLM.UR.CLM.LTSEN.010.005
Name	File management

Description	Where the messages are sent packaged in a file, CLM shall check the validity of the file and split it into single messages. Each message should keep track of the original file reference, notably for monitoring purposes. The file can contain different kind of instructions (e.g. payment orders, amendments of payment order, liquidity transfer orders etc.) but all contained instructions have to be directed to the CLM component only and must not be mixed with instructions to other components (e.g. CRDM or RTGS). Furthermore apart from instructions to CLM no other types of requests are allowed to be sent in a file (e.g. queries). Validation errors after file splitting only cause rejection on a single message level, i.e. not the entire file is rejected. Other successfully validated instructions included in the same file are further processed.

- Section 1.3.3.1 Perform Technical Validation

Add user requirement CLM.UR.CLM.LTRCV.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

- Section 1.4.3.1 Perform Technical Validation

Add user requirement CLM.UR.CLM.ISLT.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

- Section 1.5.3.2 Perform Technical Validation

Add user requirement CLM.UR.CLM.LTDCA.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

- Section 1.6.3.2 Perform Technical Validation

Add user requirement CLM.UR.CLM.PAYT.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

- Section 1.9.3.2 Perform Technical Validation

Add user requirement CLM.UR.CLM.LIQR.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

C) URD for CLM – CB annex

- Section 1.2.3.2 Perform Technical Validation

Add user requirement CLM.CB.UR.CLM.MCL.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

- Section 1.3.3.2 Perform Technical Validation

Add user requirement CLM.CB.UR.CLM.CP.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

- Section 2.2.3.2 Perform Technical Validation

Add user requirement CLM.CB.UR.CBS.ODREQ.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

- Section 2.3.3.2 Perform Technical Validation

Add user requirement CLM.CB.UR.CBS.ODREV.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

- Section 2.5.3.2 Perform Technical Validation

Add user requirement CLM.CB.UR.CBS.MLREQ.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

- Section 2.9.3.1 Perform Technical Validation

Add user requirement CLM.CB.UR.CBS.IMRR.010.005 (same as CLM.UR.CLM.LTSEN.010.005)

_____

High level description of Impact:

_____

Impacts on other projects and products:

No impact on other Eurosystem projects and services

Outcome/Decisions:

02/10/2018 – MIB agreed to invite the service provider to prepare the assessment of the change request (MIB-T2-WP-2018-046 – by 2/10/2018)