



EUROPEAN CENTRAL BANK

EUROSYSTEM

AMI-SeCo Corporate Events Group

Presentation to
AMI-SeCo

AMI-SeCo
15/06/2022



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Background

The aim of today's presentation is to:

1. Debrief the AMI-SeCo on CEG developments since the last AMI-SeCo meeting
2. Provide information on the forthcoming 2022 Monitoring Exercise



CEG Developments

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CEG Developments (1/2)

Progress since December 2021 AMI-SeCo

- **2 meetings of the AMI-SeCo CEG have taken place since the last AMI-SeCo meeting**
 - Another CEG meeting is scheduled for 30 June
- **In these meetings the CEG has discussed:**
 - Questions on the practical implementation of the Shareholder Identification (SI Standards)
 - A paper submitted by the Italian NSG
 - Validation checks to be performed upon receipt of a disclosure request
 - The application of SRD II to depositaries and trustees (based on an AGC paper)
 - The securities subject to disclosure requests in AMI-SeCo markets
- **The CEG anticipates that it will issue an FAQ document on the SI standards that will be submitted to the AMI-SeCo for approval in Q3/Q4**

CEG Developments (2/2)

Progress since December 2021 AMI-SeCo

- **Establishment of CEG internal working procedures**
 - CEG Working Procedures document was finalised in Q1 2022.
- **Updated CEG membership list (for approval)**
 - An updated CEG membership list (taking into account, inter alia, some personnel changes in member firms) has been shared as part of the background documentation. AMI-SeCo is invited to approve the updated member list today.



2022 Monitoring Exercise

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2022 Monitoring Exercise

Timeline

- The 2022 Monitoring Exercise will be launched on Monday 11 July
- Market stakeholders will have 8 weeks to respond to the survey (deadline: Friday 2 September)
- The response template remains largely the same as last year's (only minor enhancements have been introduced)
- The results of the exercise will be documented in the *2022 Corporate Events Compliance Report* which will be submitted to AMI-SeCo for approval in the December 2022 meeting